

AGENDA
Finance & Facilities Committee
April 28, 2022

- | | | |
|-------|--|-------------|
| I. | Approval of Minutes February 10, 2022 | Action |
| II. | Review of Operational Metrics | Information |
| III. | All Funds Budget | Action |
| IV. | Annual Safety Report | Information |
| V. | Advance Planning increase for Fleming HVAC and Bathroom | Action |
| VI. | BOT Designer Selection Medical Education Building | Action |
| VII. | Information Items | |
| | A. Comprehensive Budget Report | |
| | B. Report of Approved EHRA Employee Salary Adjustments | |
| | C. Pension Report | |
| | D. Annual HR Compliance Report | |
| | E. Report of Related Persons | |
| | F. Designer Selections since last Board meeting | |
| | G. Approved BOT Delegated Capital Projects \$300K-\$750K | |
| | H. Capital Projects submitted to BOG for approval | |
| | I. Capital Projects update | |
| | J. Statement of Bonded Indebtedness | |
| VIII. | Other | |



Finance and Facilities Committee

April 28, 2022

Agenda Item: I. Approval of Minutes – February 10, 2022

Committee Chair: Jason Poole

Action Requested: Approval

Notes: N/A

**East Carolina University | Board of Trustees
Finance & Facilities | In Person & Virtual /Main Campus Student Center | February 11, 2022
Minutes**

The Finance & Facilities Committee of the ECU Board of Trustees met in person and virtually on February 10, 2022, 2021 at 2:15 pm. Committee members in attendance/virtual included Cassie Burt, Jason Poole, Dave Fussell, Van Isley, Fielding Miller, and Angela Moss.

I. Approval of Minutes

The Committee approved the minutes from the November 11, 2021 meeting.

II. Review of Operational Metrics

Stephanie Coleman, VC for Administration & Finance, provided info that the State expenses are on target; shows slight increase spend over plan; bonuses were paid in December \$1000 for everyone and an extra \$500 for employees where salaries were less than 75,000 plus ECUPD officers. Athletics and ECUP both had positive months compared to plan in unrestricted fund balance. The Cumulative amount is reallocation of resources. Administration & Finance Auxiliary changes - 50% of variance is the timing of receipts between Dec and Jan for our purchasing card commission. Student Affairs auxiliary Dining is behind projection. Tuition revenue is doing well compared to prior year. Mandatory fees are budgeted at 17,500, yielding 18,500 right now. Athletic Performance revenue is up (bowl and concession commissions; timing of expenses.) ECUP received \$1.5M COVID provider relief, UPL payment \$1.8M greater than budget, and labor cost down \$1M.

III. Funding Model Changes - Stephanie Coleman, VC for Administration & Finance, Outlined the Current Funding Model, Desired Attributes of a Revised New Model, and Proposed Funding Model. Examples were provided.

IV. Criminal Background Check Policy -Stephanie Coleman, VC for Administration & Finance, shared the updated policy. Two reasons for the update- current policy was not in PRR format & UNC System Office regulation on pre-employment.

V. In-State Tuition/Athletic Scholarships - Stephanie Coleman, VC for Administration & Finance, shared the G.S. 116-143.6 was updated to allow undergraduates on full scholarships to be considered as NC residents for all purposes by UNC with BOT approval of a constituent institution. Request implementation effective Summer 1, 2022.

VI. Building Conditions and Capital Planning Process - Stephanie Coleman, VC for Administration & Finance, and Bill Bagnell, Associate VC for Campus Operations, provided a Building Condition Analysis

Action Items

- A. Stephanie Coleman, VC for Administration & Finance, requested approval of:
- Minutes
 - Criminal Background Check policy
 - In-State Tuition/Athletic Scholarships

VII. Informational Items

- A. Stephanie Coleman, VC for Administration & Finance, provided the Comprehensive Budget Report.
- B. Stephanie Coleman, VC for Administration & Finance, provided the Report of Approved EHRA Employee Salary Adjustments.
- C. Stephanie Coleman, VC for Administration & Finance, provided the Pension Report.
- D. Bill Bagnell, Associate VC for Campus Operations, provided information on the Designer Selection. Informal Projects included: Neurology and MRI ADA Assessment, Umstead Limited Indoor Environmental Quality Evaluation, Athletics Title 9 Requirements Feasibility Study, HSC Brody Basement Exhaust Repairs, and the Science & Technology Roof Section B - Breezeway.
- E. Bill Bagnell, Associate VC for Campus Operations, updated the Committee on Capital Projects submitted to the Board of Governors. Information was provided on the Bate Building Fire Walls - Code Correction, Health Science Campus Deaerator, and Main Campus Steam - De-Centralization to

Minges, Murphy, Ward, Joyner to Student Rec Pedestrian Plaza Phase 3, Eastern Region Pharma Education Center.

- F. Bill Bagnell, Associate VC for Campus Operations, updated the Committee on Capital Projects. Information was provided on the Life Sciences and Biotechnology Center, Mendenhall Renovation Phase I, Isley Innovation Hub, Main Campus Steam Plant Fuel Oil Containment, and the Multi-Sports Strength Training Facility. The Major Capital Projects Schedule was also provided.
- G. Bill Bagnell, Associate VC for Campus Operations, updated the Committee on Delegated Capital Projects. Information was provided on the School of Dental Medicine Faculty Practice Renovations, Dail House - Heritage Hall Renovations, Science & Tech Main Electrical Distribution -Switch Replacements, Main Campus HVAC Improvements, Main Campus Steam Plant Permanent Gas Line Replacement, Brewster A Wing BAS Upgrades, and the Science & Technology Classroom Building - Lecture Halls Fireproofing Replacement.
- H. Stephanie Coleman, VC for Administration & Finance, provided the Statement of Bonded Indebtedness as of December 31, 2021.

VIII. Other - NA

Meeting adjourned at 3:03 pm.



Finance and Facilities Committee

April 28, 2022

Agenda Item: II. Review of Operational Metrics

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A



CEO Tracking Sheet
Fiscal Year - 2021-2022
Finance & Facilities Committee

KPI	Measurement	Prior Year	Target	Variance	July	August	September	October	November	December	January	February	March	April	May	June	Total			
State Funded Expenditures	% of State Budgeted Expenses Incurred	100.0%	100.0%	Plan	7.8%	8.7%	7.5%	7.3%	6.8%	6.4%	9.2%	7.6%	7.3%	7.2%	11.2%	13.0%	100.0%			
				Actual	7.1%	9.4%	6.7%	7.0%	7.3%	8.0%	8.7%	7.8%	4.4%						66.4%	
				+/-	-0.7%	0.7%	-0.8%	-0.3%	0.5%	1.6%	-0.5%	0.2%	-2.9%							-2.2%
				YTD +/-	-0.7%	0.0%	-0.8%	-1.1%	-0.6%	1.0%	0.9%	0.7%	-2.2%							
Change in Unrestricted Fund Balances	Change Unrestricted Fund Balances	\$ 32,257,494	\$ 7,959,852	Plan	29,428,653	1,835,621	(18,014,584)	(4,254,098)	27,626,552	1,954,263	(6,443,597)	(10,301,139)	(4,700,679)	(10,074,154)	(6,835,040)	7,738,054	7,959,852			
				Actual	36,752,495	14,249,128	(29,651,148)	(7,339,323)	39,437,190	2,504,896	(2,010,843)	(5,660,566)	(8,176,777)							21,115,052
				+/-	7,323,842	12,413,507	(11,636,564)	(3,075,225)	11,810,638	550,633	(14,567,346)	4,640,573	(3,476,683)							
				YTD +/-	7,323,842	19,737,349	8,100,785	5,025,560	16,836,198	17,386,831	2,819,585	7,460,158	3,984,060							
Change in Major Auxiliary Balances - Admin & Finance (J Card, Police, Ed&Tech, Minges, Parking, Printing, Stores, Vending, Warehouses, Procard, ITCS, Storm Damage, Millennial Campus)	Change in Cash Modified Fund/Cash Balances in A&F Auxiliaries	\$ 999,063	\$ 1,881,099	Plan	4,858,459	(212,612)	(806,049)	(1,293,301)	6,107,395	(1,082,509)	(1,140,287)	(859,688)	(1,274,096)	(457,741)	(1,048,389)	(910,080)	1,881,102			
				Actual	4,909,655	(578,828)	(867,747)	(709,586)	4,122,328	(189,891)	(215,699)	(617,704)	972,539						6,825,067	
				+/-	51,196	(366,216)	(61,698)	583,715	(1,985,067)	892,618	924,588	241,984	2,246,635							
				YTD +/-	51,196	(315,020)	(376,718)	206,998	(1,728,069)	(885,452)	39,136	281,121	2,527,755							
Change in Major Auxiliary Balances - Student Affairs (Housing, Dining, Transit, Student Unions, Student Health, Campus Rec, Media, Orientation, SAB, SGA)	Change in Cash Modified Fund/Cash Balances in Student Affairs	\$ (13,615,950)	\$ (598,937)	Plan	28,915,297	2,336,141	(21,361,228)	(5,538,363)	23,803,081	(47,447)	(3,846,548)	(5,503,265)	(5,128,925)	(4,651,371)	(2,997,190)	(6,579,119)	(598,937)			
				Actual	28,960,024	2,492,328	(23,904,373)	(5,903,392)	23,815,348	733,928	(4,423,360)	(351,150)	(9,978,178)						11,441,176	
				+/-	44,727	156,187	(2,543,145)	(365,029)	12,267	781,375	(576,812)	5,152,115	(4,849,253)							
				YTD +/-	44,727	200,914	(2,342,231)	(2,707,259)	(2,694,922)	(1,913,617)	(2,490,429)	2,661,686	(2,187,567)							
Change in Tuition Revenues Compared to Last Year	Tuition Billed, Net Waivers vs. Last Year	\$ (836,231)	\$ (2,853,619)	Plan	2,050,000	(4,950,000)	615,000	600,000	(1,300,000)	(1,000,000)	500,000	125,000	125,000	125,000	125,000	150,000	106,381	(2,853,619)		
				Actual	2,022,654	(1,255,708)	(996,042)	159,874	56,749	58,242	60,898	14,827	58,792							189,286
				+/-	(27,346)	3,693,292	(1,611,042)	(140,126)	1,356,749	1,068,242	(439,102)	(110,173)	(66,208)							
				YTD +/-	(27,346)	3,665,946	2,054,904	1,614,778	2,971,527	4,039,769	3,600,667	3,490,494	3,424,286							
Change in Tuition Revenues Compared to Budget	Tuition Billed, Net Waivers vs. Budget	\$ (4,041,642)	\$ (3,904,525)	Plan	(2,092,825)	132,754	13,275	(1,171)	(1,622,204)	(84,581)	(18,927)	2,914	(20,793)	(225,163)	10,944	1,253	(3,904,524)			
				Actual	(1,801,457)	4,670,252	(993,544)	(98,190)	(2,547,519)	397,605	978,809	(59,820)	(21,097)						525,039	
				+/-	291,368	4,537,498	(1,006,819)	(97,019)	(925,315)	482,186	997,736	(62,734)	(90)							
				YTD +/-	291,368	4,828,866	3,822,047	3,725,028	2,799,713	3,281,899	4,279,635	4,216,901	4,216,597							
Mandatory Fees	Mandatory Fee Billed, Net Waivers	\$ 52,739,727	\$ 48,910,532	Plan	24,443,148	(152,888)	(29,898)	134,322	20,727,554	793,620	463,368	187,555	23,329	999,785	1,225,925	94,802	48,910,532			
				Actual	29,099,784	187,415	(302,819)	(63,627)	24,291,461	1,366,841	797,432	(550,564)	37,109						54,863,032	
				+/-	4,656,636	340,302	(72,921)	(197,859)	3,563,907	573,221	334,065	(738,119)	13,780							
				YTD +/-	4,656,636	4,996,938	4,724,017	4,526,158	8,090,065	8,663,286	8,997,351	8,259,231	8,273,011							
Athletics Financial Performance to Budget	Budgeted Profit(Loss)	\$ (4,245,705)	\$ (9,742,380)	Plan	(1,582,199)	1,312,211	(2,708,560)	(2,930,810)	(928,942)	2,506,622	(4,944,319)	(2,195,894)	(2,550,449)	(3,658,314)	(1,374,012)	9,312,286	(9,742,380)			
				Actual	(1,776,489)	1,421,406	(2,554,235)	1,045,647	(2,108,253)	3,526,565	(6,719,299)	(2,468,423)	(3,131,892)						(12,764,974)	
				+/-	(194,290)	109,195	154,324	3,976,457	(1,179,311)	1,019,943	(1,774,981)	(272,529)	(681,449)							
				YTD +/-	(194,290)	(85,095)	69,230	4,045,686	2,866,376	3,886,319	2,111,338	1,838,809	1,257,366							
ECUP Financial Performance to Budget	Budgeted Profit(Loss)	\$ (3,498,667)	\$ (3,904,353)	Plan	(2,151,397)	(2,275,349)	2,238,809	(2,518,097)	(2,353,837)	454,473	2,914,214	(2,458,688)	3,643,331	(2,209,343)	(2,490,051)	3,301,582	(3,904,353)			
				Actual	(4,519,868)	(2,360,803)	3,254,276	(7,119,400)	3,630,362	4,969,942	(8,418,294)	(4,121,099)	1,926,690						(12,758,194)	
				+/-	(2,368,471)	(85,454)	1,015,467	(4,601,303)	5,984,199	4,515,469	(11,332,508)	(1,662,411)	(1,716,641)							
				YTD +/-	(2,368,471)	(2,453,925)	(1,438,458)	(6,039,761)	(5,562)	4,459,907	(6,872,601)	(8,535,012)	(10,251,653)							



Finance and Facilities Committee

April 28, 2022

Agenda Item: III. All Funds Budget

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Approval

Notes: N/A

All Funds Budget Model

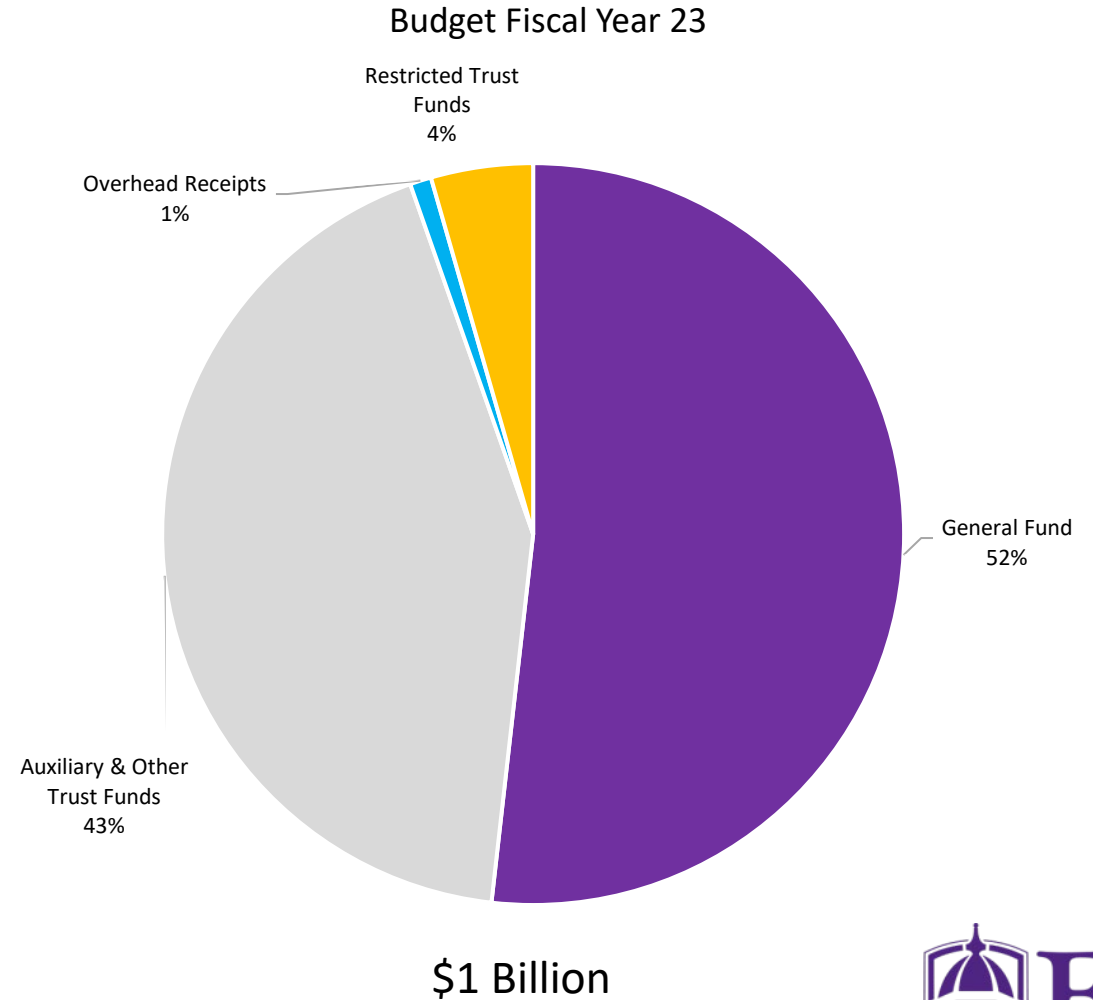
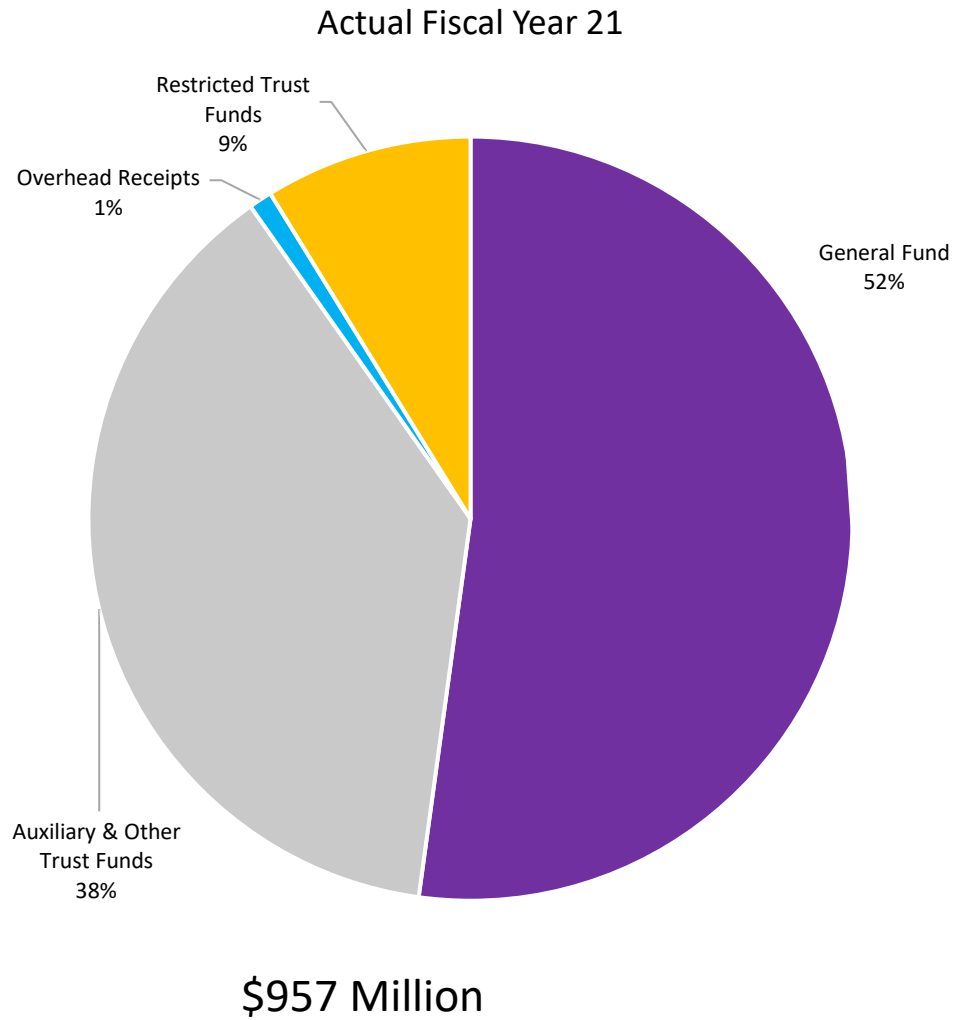
Stephanie Coleman

Vice Chancellor for Administration and Finance

FY23 Budget Development Timeline

September 16, 2021	FY2023 All-Funds Budget Guidance from System Office issued
October 2021	Meetings with financial leaders in each division
December 2021	Provided campus guidelines, templates, and historical data trends to divisions
February 28, 2022	Budgets due to University Budget Office
Beginning March 13, 2022	Review and discussion of budgets with Chancellor
April 11, 2022	Final Budget Decisions
April 14, 2022	Final Budget Documents Completed
April 29, 2022	BOT Reviews Budget at meeting
May 25, 2022	BOG Reviews Budget at meeting

Operating Revenues



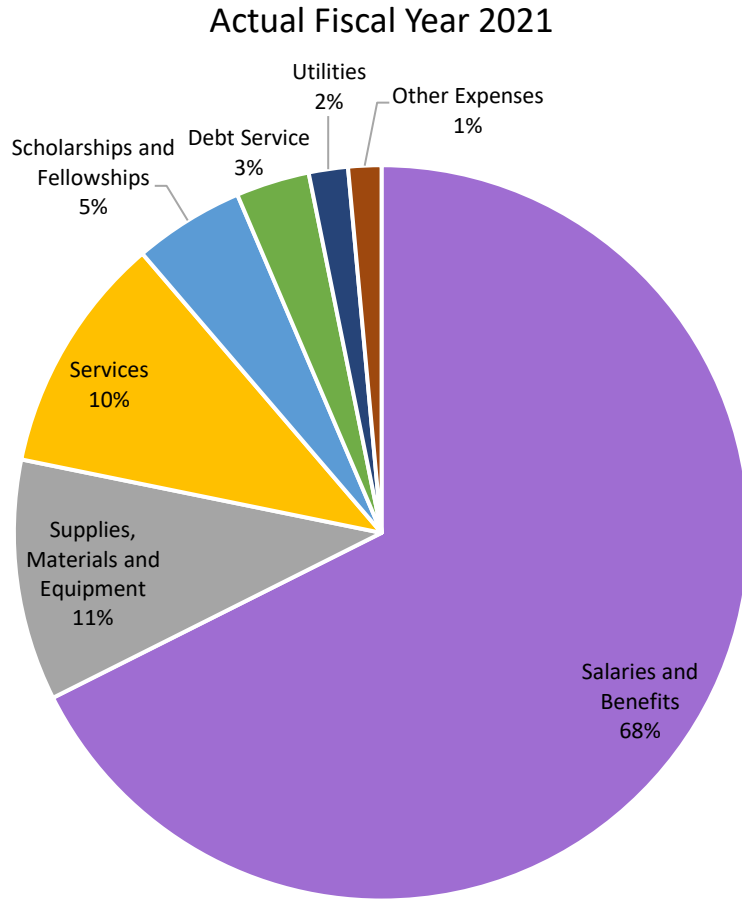
Revenue changes between actual FY21 and budgeted FY23

- General Fund
 - Appropriations
 - Salary increases for state funded employees 2.5% increase for both years FY22 and FY23
 - Increase in employee benefit cost
 - Change in enrollment funding model (FY21, FY22 and FY23)
 - Operating funds for new Life Sciences Building

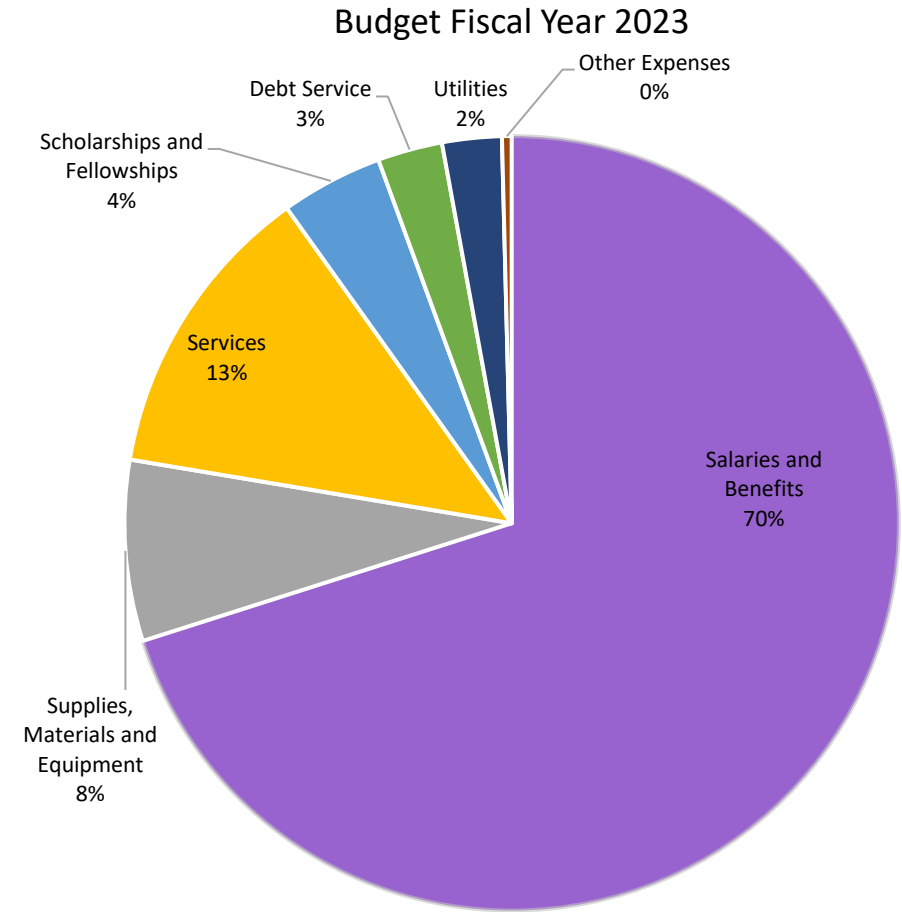
- Auxiliary & Other Trust Funds
 - COVID significantly impacted Sales and Service (housing, dining, and parking)
 - Reduction in federal and state aid due to COVID 19

- Restricted Trust Funds
 - FY21 had unprecedented investment returns
 - Reduction in federal and state aid due to COVID 19

Operating Expenses



\$903 Million



\$1 Billion

Expense differences between actual FY21 and budgeted FY23

➤ All Fund Types

- Salaries, Wages and Benefits
 - Salary increases for state funded employees 2.5% increase for both years FY22 and FY23
 - Increase in employee benefit cost

➤ General Fund

- Changes in enrollment funding (FY21, FY22 and FY23)
- Operating funds for new Life Sciences Building received in FY22

➤ Auxiliary & Trust Funds

- Auxiliaries return to pre-COVID service levels

➤ Restricted Trust Funds

- Less student aid as a result of federal emergency relief funds

All Funds Budget Model for Fiscal Year 2023

East Carolina University FY 2022-23 All-Funds Budget (With Internal Sales and Transfer Eliminations)						
		General Fund	Auxiliary & Other Trust Funds	Overhead Receipts	Restricted Trust Funds	Total
Revenues	State Appropriations	\$ 346,139,581	\$ -	\$ -	\$ -	\$ 346,139,581
	Tuition & Fees	\$ 165,456,844	\$ 62,110,607	\$ -	\$ -	\$ 227,567,451
	Sales & Services	\$ 5,238,988	\$ 92,048,891	\$ -	\$ -	\$ 97,287,879
	Patient Services	\$ -	\$ 261,557,378	\$ -	\$ 29,457	\$ 261,586,835
	Contracts & Grants	\$ 1,520,262	\$ 5,246,314	\$ 9,345,361	\$ 42,688,955	\$ 58,800,892
	Gifts & Investments	\$ -	\$ 5,760,896	\$ -	\$ 1,825,926	\$ 7,586,822
	Other Revenues	\$ 700,370	\$ 2,459,201	\$ -	\$ -	\$ 3,159,571
Revenues Total		\$ 519,056,044	\$ 429,183,287	\$ 9,345,361	\$ 44,544,338	\$ 1,002,129,030
Expenses	Salaries and Wages	\$ 306,583,169	\$ 194,330,476	\$ 3,995,309	\$ 20,026,663	\$ 524,935,617
	Staff Benefits	\$ 104,041,331	\$ 63,729,868	\$ 1,597,622	\$ 6,383,881	\$ 175,752,702
	Supplies, Materials, & Equipment	\$ 22,492,282	\$ 48,004,291	\$ 1,918,835	\$ 3,050,897	\$ 75,466,305
	Services	\$ 29,895,235	\$ 78,796,747	\$ 766,703	\$ 15,528,438	\$ 124,987,123
	Scholarships & Fellowships	\$ 27,531,035	\$ 13,841,111	\$ -	\$ 1,320,192	\$ 42,692,338
	Debt Service	\$ -	\$ 27,054,721	\$ -	\$ -	\$ 27,054,721
	Utilities	\$ 23,369,716	\$ 1,460,843	\$ -	\$ 22,252	\$ 24,852,811
	Other Expenses	\$ 3,191,276	\$ 816,784	\$ -	\$ 800	\$ 4,008,860
Expenses Total		\$ 517,104,044	\$ 428,034,841	\$ 8,278,469	\$ 46,333,123	\$ 999,750,477
Net Transfers		(1,952,000)	(6,065,245)	(487,205)	(21,228)	\$ (8,525,678)
Net income		\$ 0	\$ (4,916,799)	\$ 579,687	\$ (1,810,013)	\$ (6,147,125)

All Funds Budget

Colleges and Academics

Academic Affairs
College of Arts and Sciences
College of Business
College of Education
College of Engineering and Tech
College of Fine Arts and Commun
College of Human and Health Per
Health Sciences
College of Allied Health
College of Nursing
School of Dental Medicine
School of Medicine
Library

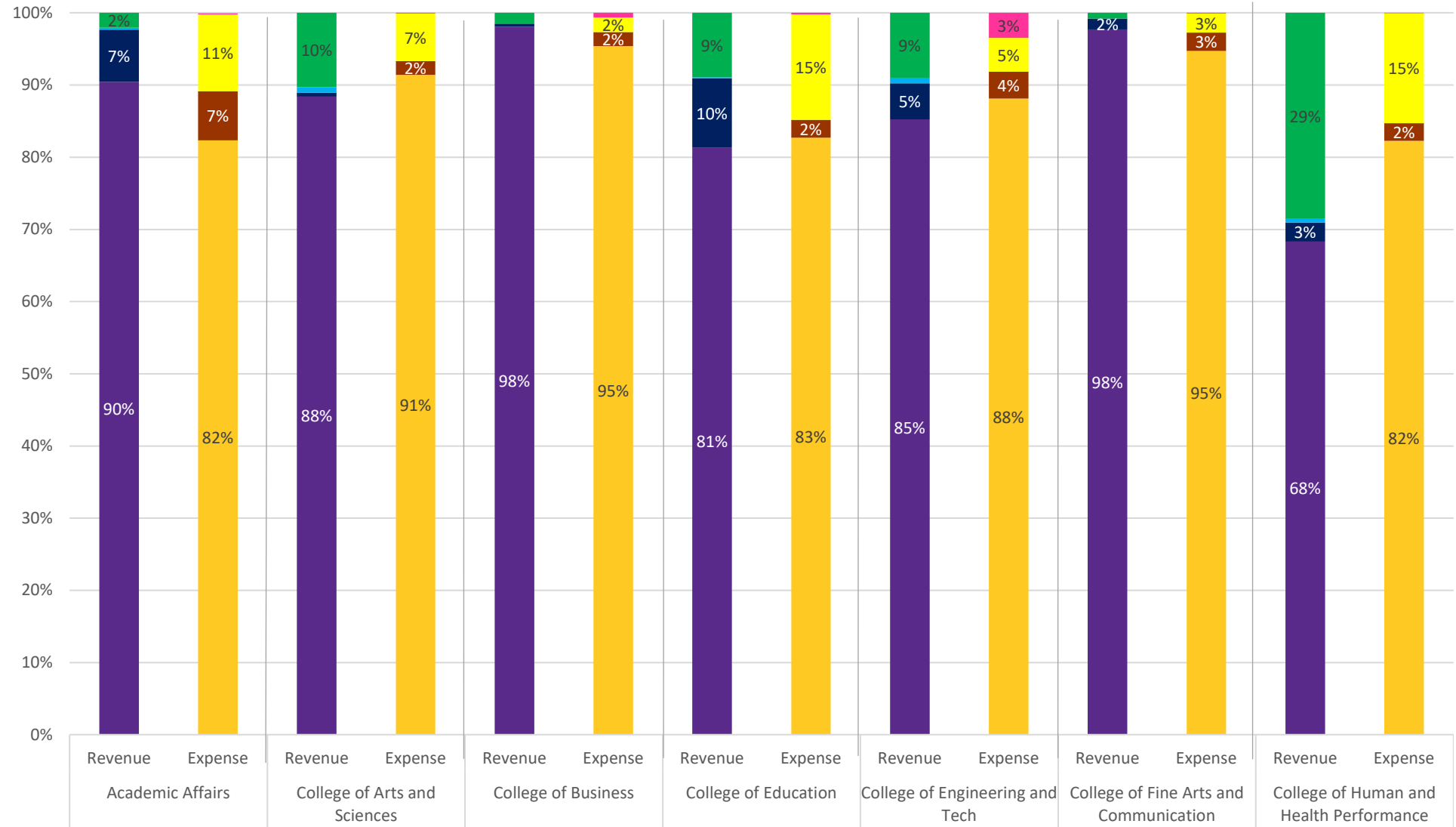
Administrative Units

Advancement
Business Affairs
Facilities
Financial Aid
Human Resources
Information Technology
Public Safety
Sponsored Research
Student Affairs
University Administration

Auxiliaries

Athletics
Dining
Housing
Other Auxiliaries
Parking
Student Health

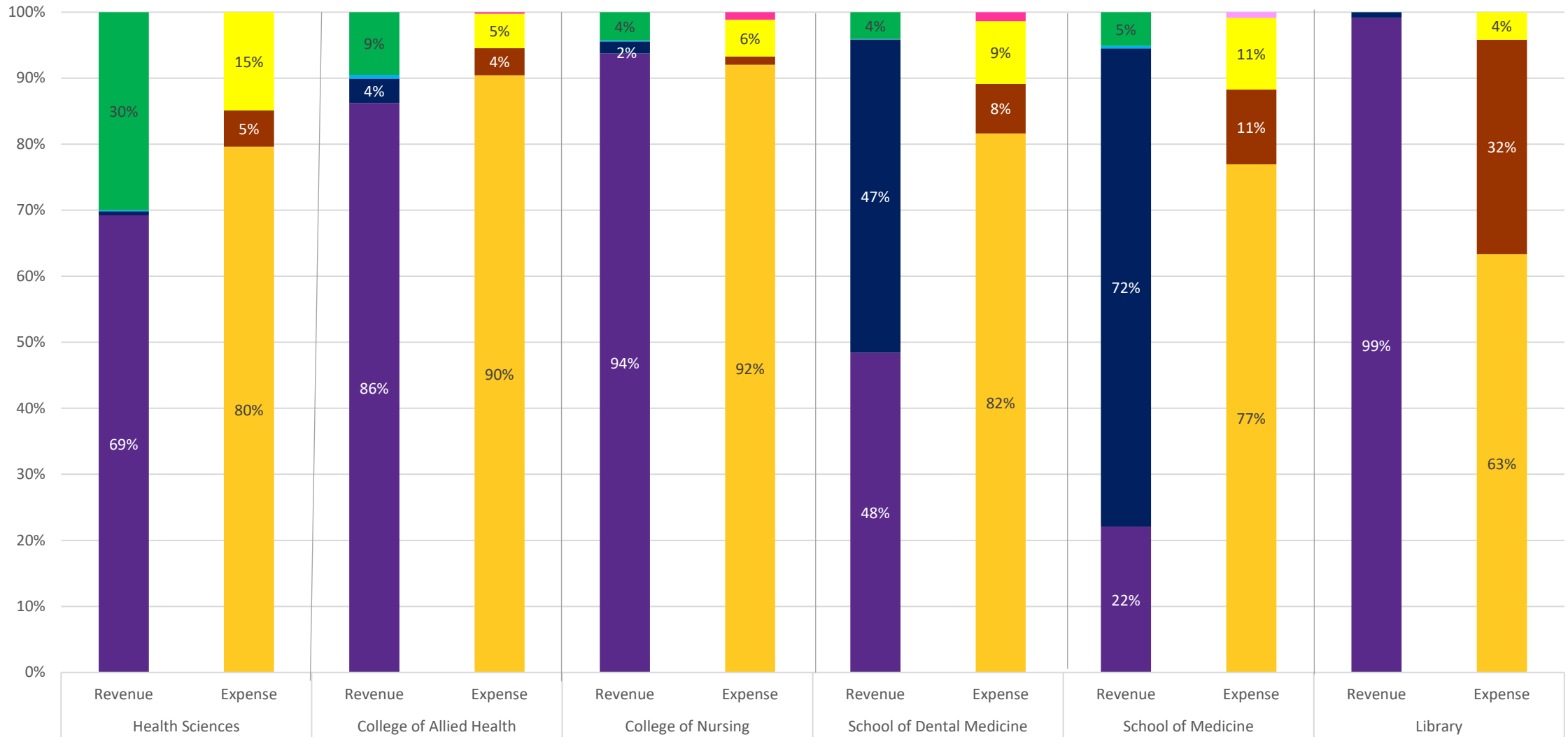
Percentage of Revenue and Expense by Category - Academic Affairs



Percentages of 1% or less are not labeled in chart

- General Fund
- Auxiliary & Other Trust Funds
- Overhead Receipts
- Restricted Trust Funds
- Salaries and Benefits
- Supplies, Materials and Equipment
- Services
- Scholarships and Fellowships
- Other Expenses, Utilities, Debt Service

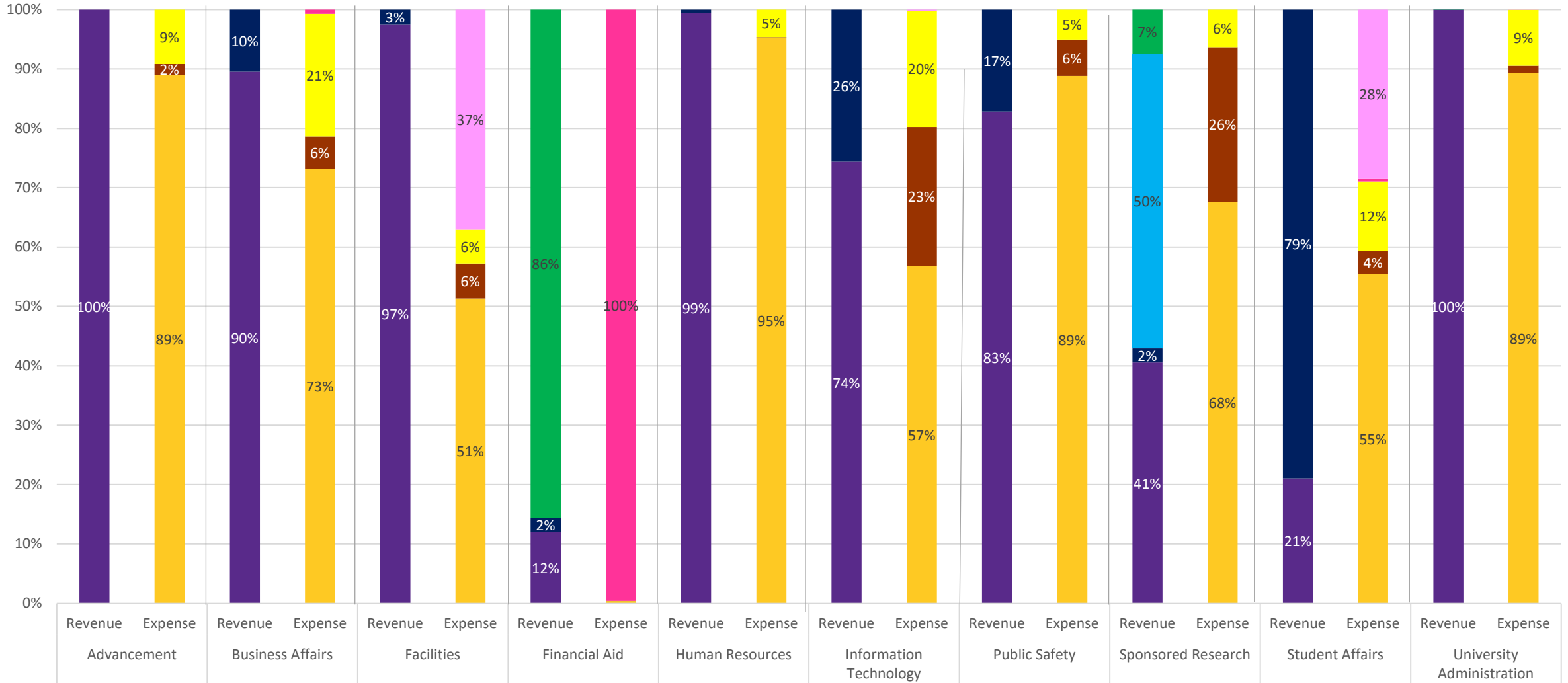
Percentage of Revenue and Expense by Category – Health Sciences and Library



Percentages of 1% or less are not labeled in chart

- General Fund
- Auxiliary & Other Trust Funds
- Overhead Receipts
- Restricted Trust Funds
- Salaries and Benefits
- Supplies, Materials and Equipment
- Services
- Scholarships and Fellowships
- Other Expenses, Utilities, Debt Service

Percentage of Revenue and Expense by Category – Other Units

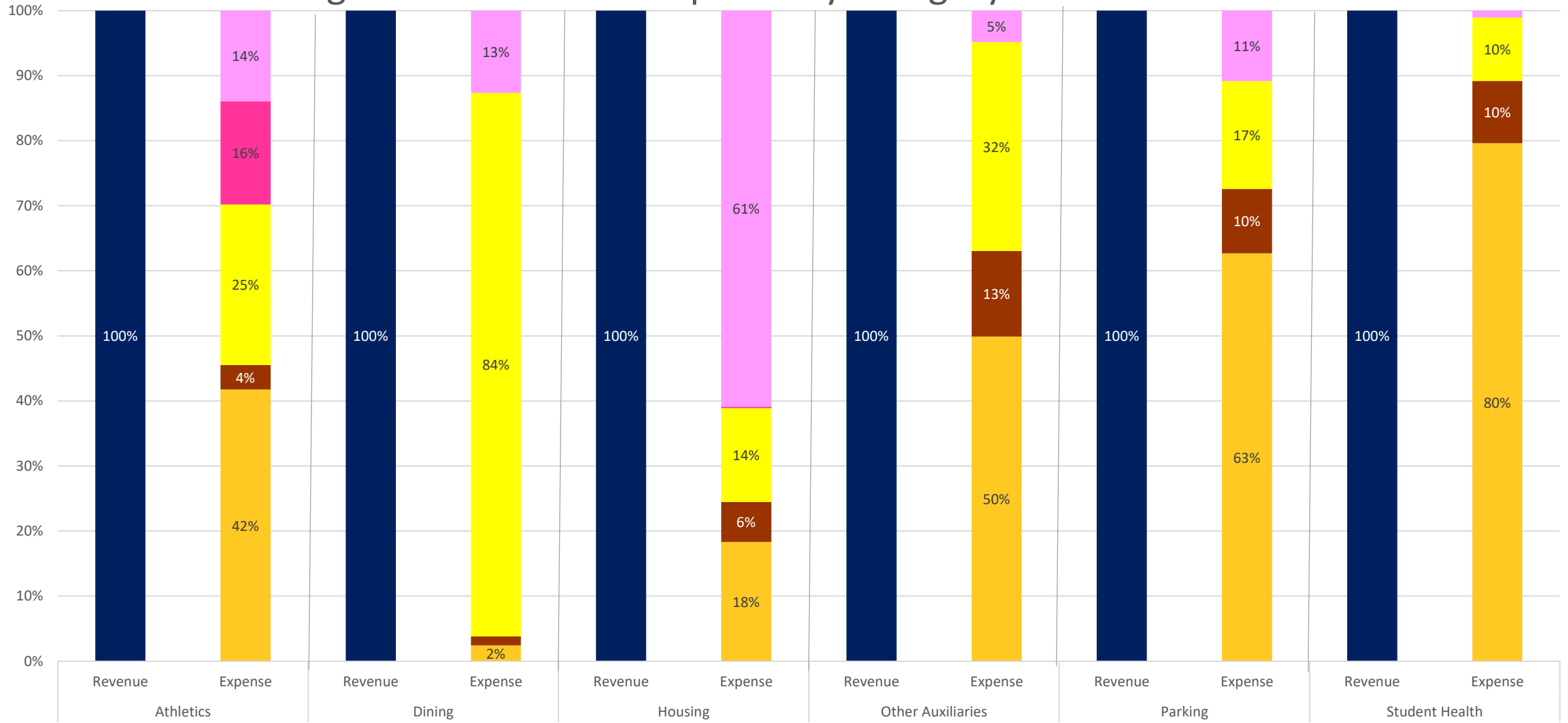


Percentages of 1% or less are not labeled in chart

- General Fund
- Auxiliary & Other Trust Funds
- Overhead Receipts
- Restricted Trust Funds
- Salaries and Benefits
- Supplies, Materials and Equipment
- Services
- Scholarships and Fellowships
- Other Expenses, Utilities, Debt Service



Percentage of Revenue and Expense by Category – Auxiliaries



Percentages of 1% or less are not labeled in chart

- General Fund
- Auxiliary & Other Trust Funds
- Overhead Receipts
- Restricted Trust Funds
- Salaries and Benefits
- Supplies, Materials and Equipment
- Services
- Scholarships and Fellowships
- Other Expenses, Utilities, Debt Service



Next Steps & Future Opportunities

- **BOG will review and approve in May**
- **Implementation of New Budget Tool (Anaplan)**
 - Mapped to align with all-funds budget template
 - Will facilitate multi-year forecasting (under various scenarios)
 - Implementation should be completed this Spring
- **Incorporate New Strategic Plan Priorities into future budget plans**

Questions



Finance and Facilities Committee

April 28, 2022

Agenda Item: IV. Annual Safety Report

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Koch, Associate Vice Chancellor Campus Safety
and Auxiliary Services
Jon Barnwell, Chief of Police

Action Requested: Information

Notes: N/A

Annual Safety and Security Report for 2021¹

April 28, 2022

The ECU Police Department's mission is to cultivate a safe learning environment through quality professional law enforcement, emergency response readiness, crime reduction initiatives, and community outreach/education.

ShotSpotter

ShotSpotter is a gunshot alerting technology that allows GPD and ECUPD to respond to the exact location where someone has utilized a firearm. We initially partnered with the city on a three-year contract. This contract has been extended for one year during which a comprehensive review of the program will be conducted.

CAD-2-CAD

ECUPD and GPD both utilize Central Square records management and computer-aided dispatch systems. CAD2CAD is an integration that connects our two systems together. This gives us the capability to share dispatch and call response information in real-time. It will streamline information sharing, enhance officer safety and expedite response time during emergencies.

Handheld Radio Upgrade

Our current handheld Motorola radios are obsolete and are no longer serviceable. They will cease to work on the statewide Viper system in 2025. We secured one-time funding to upgrade our radios and secured a contract with Kenwood Radio Systems. Their cost was significantly lower than the competition. The radios will be online in 2022.

Firearms

ECUPD is currently carrying Glock 45 caliber firearms. They have reached the end of their lifecycle and need to be replaced. We are converting to the Glock 9mm handgun which is a smaller caliber and therefore a smaller weapon. The smaller size provides more ease of use for those with smaller hands. Converting to 9mm will also lead to a cost savings in ammunition expenditures.

Safe Zone Mental Health App

The UNC System Office is piloting a mental health smart phone app. ECU is one of four schools in the system chosen to implement this app and review it over the course of the 2022-2023 academic year. The ECUPD Chief and Dean of Students have met with the vendor and are working on a deployment plan. The app itself helps individuals navigate resources to assist in all aspects of wellbeing. The proactive concept is to address depression and anxiety before it reaches a point of self-harm.



¹ For more information regarding safety and security at ECU, please refer to our Annual Security and Fire Safety Report at <https://www.ecu.edu/cs-admin/police/upload/Annual-Security-Report.pdf>.

Tabletop Exercise

Critical Arc sponsored a free tabletop exercise for ECU on March 31, 2022. Chief Barnwell and Kathy Delk worked with the vendor to develop the content. The exercise involved a cybersecurity breach that builds upon last year's exercise conducted by ITCS. This tabletop meets our Clery-mandated requirements as well.



Accreditation

ECUPD maintains dual accreditation through CALEA and IACLEA. This is the department's 5th reaccreditation cycle and successfully completed year two review March 18 - 25.

Traffic Safety Taskforce

ECU is concerned about students safely crossing the street in some key locations adjacent to campus. The Traffic Safety Taskforce has implemented several enhanced crosswalks around both campuses to include 10th and Anderson, 14th Street at Berkley Drive and 5th Street/Highway 43 at Treybrooke Apts. These crosswalks include high visibility reflective striping and rapid flashing beacons.



UNC Consensus Policy Group

Chief Barnwell chairs a committee of UNC System Chiefs that has been charged with streamlining critical/high liability policies and developing uniform protocols for all 16 campuses. Use of force has been completed and civil disturbance and mobile field force protocols are in final draft format.

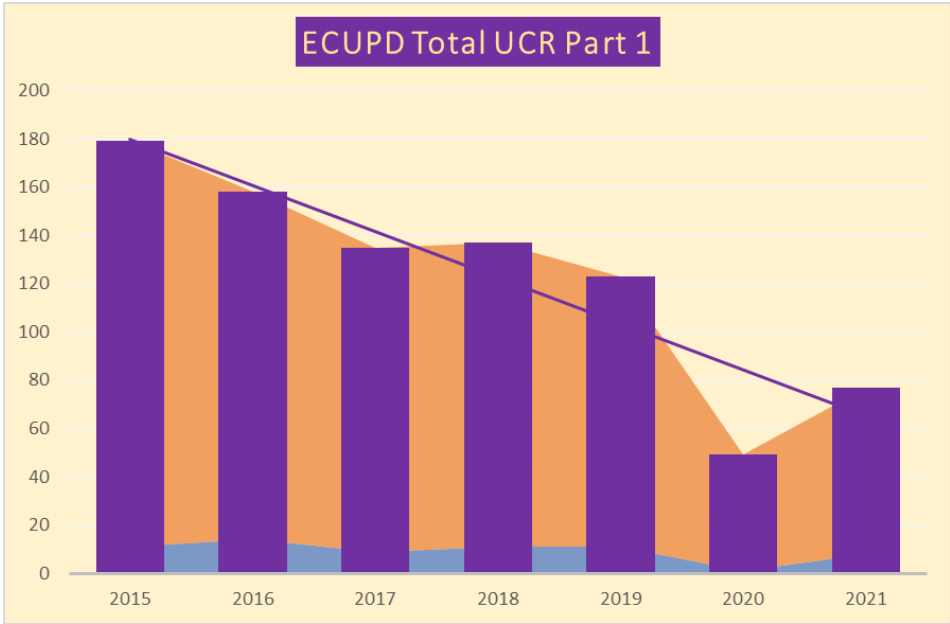
Greenville Police and ECUPD Crime Trends

Greenville Police Crime Trends

Part 1 Crime Comparison 2021					
Offense	2020	5 Yr Avg	2021	20-21 %Change	5 Yr Avg %Change
Homicide	11	6	3	-73%	-50%
Rape	27	21	24	-11%	Up 3
Robbery	73	117	74	Up 1	-37%
Ag Assault	287	336	362	26%	8%
Violent Crime	398	480	463	16%	-4%
Burglary	376	573	307	-18%	-46%
Larceny	2100	2366	1724	-18%	-27%
Auto Larceny	127	112	97	-24%	-13%
Property Crime	2603	3052	2128	-18%	-30%
Total	3001	3532	2591	-14%	-27%

ECUPD Crime Trends

Part 1 Crime Comparison 2021					
Offense	2020	5 Year Avg	2021	20-21 % Change	5 Yr Average
Homicide	0	0	0	0%	0%
Rape	1	4	5	400%	Up 1
Robbery	0	2	1	Up 1	Up 1
Ag Assault	0	3	2	Up 2	Up 1
Violent Crime	1	9	8	700%	-4%
Burglary	2	8	7	250%	Down 1
Larceny	46	88	61	33%	-31%
Auto Larceny	0	<1	0	0%	0%
Property Crime	48	96	68	42%	-30%
Total	49	105	76	55%	-38%



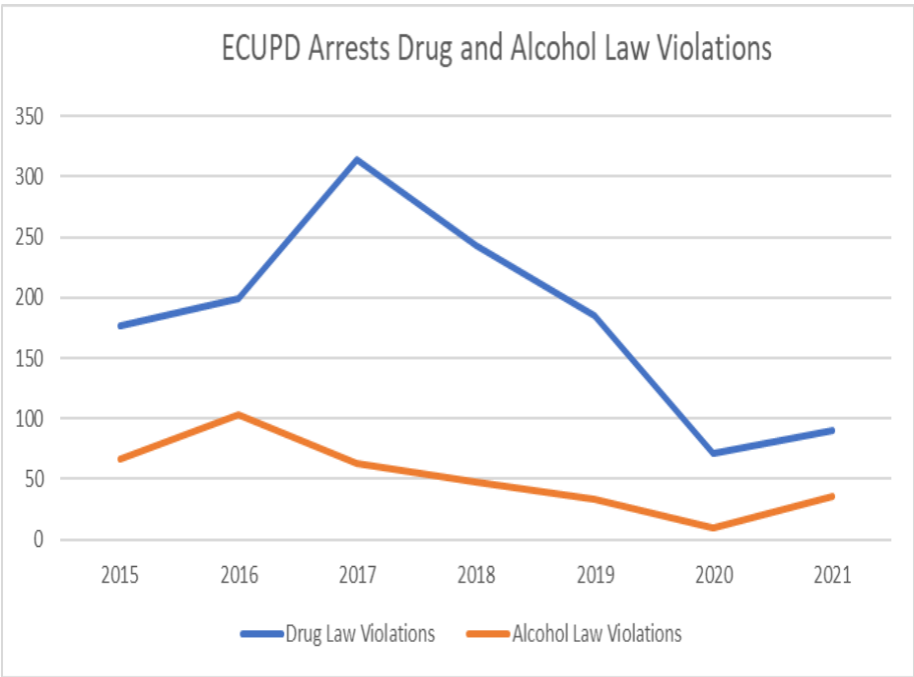
ECUPD 7 Year Trend²

There were 57% less UCR1 crimes (and 59% less UCR1 property crimes) reported to ECUPD in 2021 compared to 2015. The largest reason for this decrease is the number of larcenies reported decreased 60%. During this same period, violent crime reports decreased 27%, but there were 11 violent crimes reported in 2015 and 8 in 2021. Only 1 violent crime was reported to ECUPD in 2020. The increase in reported violent crime from 2020 to 2021 is mostly a product of students not being on campus in 2020.

ECUPD Drug and Alcohol Law Violations

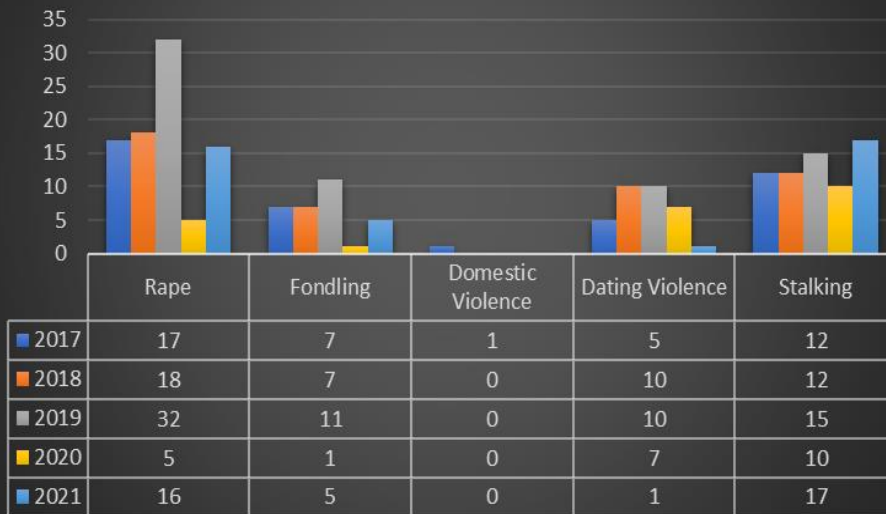
Drug law violations have decreased 49% since 2015. In 2021, ECU had 71% fewer drug related incidents than were reported in 2017. Alcohol related incidents have declined 49% since 2015 and decreased 71% since 2017.

Between 2020 and 2021, both drug and liquor law violations increased, but this is mostly because of the lack of students on campus in 2020. We would anticipate more activity on campus in 2021. For more information on crime reporting, outreach activities and prevention programs, please refer to the Annual Security Report (ASR) at <https://www.ecu.edu/cs-admin/police/upload/Annual-Security-Report.pdf>.



² These are incidents reported to ECUPD. UCR1 refers to the Part 1 (serious violent and property) crimes in the FBI Uniform Crime Report. Violent crimes involve assault, rape, murder and robbery. Property crime is arson, burglary, larceny-theft and vehicle theft.

Selected Crimes on Clery Geography, Reported to CSAs, 2017-2021



Data Related to Title IX³

While there are some ebbs and flows, here are the average number of cases reported to ECUPD (that occurred on ECU property):

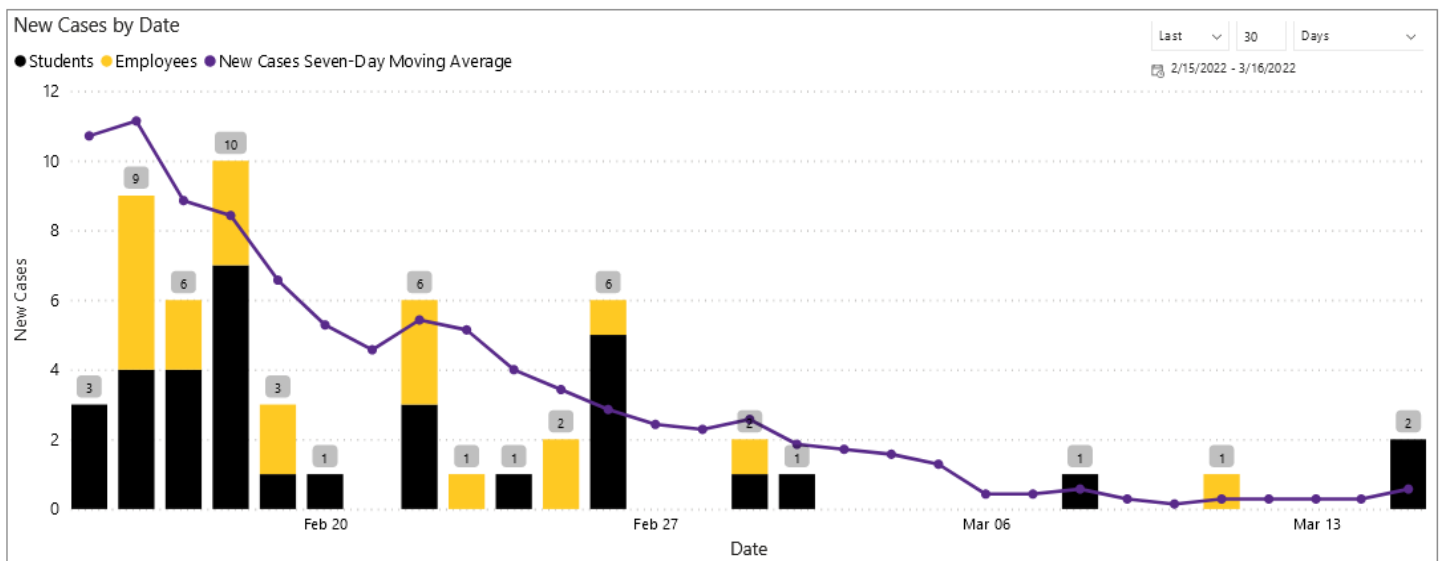
- 18 rapes,
- 6 fondling,
- < 1 domestic violence,
- 6 dating violence, and
- 13 stalking.

³These are preliminary statistics.

Environmental Health & Safety

Emergency Management

The University's Emergency Management program is administered by the office of Environmental Health & Safety (EH&S). The Emergency Manager serves as the focal point of the emergency management and disaster resistance program for all ECU campuses and facilitates the development and implementation of the university's emergency management and business continuity programs. The Emergency Manager has played a critical role in response to the COVID pandemic including compilation of case data from Student Health Services and Prospective Health (employee) and submission of data to the UNC System Office and campus leadership. EH&S has also been an integral component of the COVID Coordinating Committee and COVID Scoring Committee that recommends any community expectations revisions to the Chancellor. Case numbers have been declining, resulting in mask mandates being rescinded (with exception of health care and transit) effective March 7, 2022. Surveillance testing has also been discontinued.



The Emergency Manager facilitated a test of the ECU Alert notification system on March 11, 2022. The test assessed multiple emergency communication systems including the ECU homepage, email, indoor and outdoor loudspeakers, VOIP phone text and voice, SMS text messages, desktop pop-up boxes, and messages on digital signs. Some phones, computers and outdoor speakers did not activate as designed during the test. EH&S is coordinating with ITCS to resolve outstanding issues and will conduct another test following implementation of corrective actions to assure proper operation of all notification systems.

EH&S periodically conducts training exercises and drills to evaluate the University's readiness to respond to multi-hazard risks. A cybersecurity tabletop exercise was conducted on March 31, 2022. The exercise involved multiple stakeholders to evaluate response and continuity of critical operations in the event computer systems are disabled due to cybersecurity breach.

ECU's designation as a "StormReady University" has also been extended due to COVID with revised renewal process and deadline forthcoming. The designation indicates that the University is prepared for the increasing vulnerability to extreme weather and flooding events. The program encourages communities to take a proactive approach to improving local hazardous weather operations.

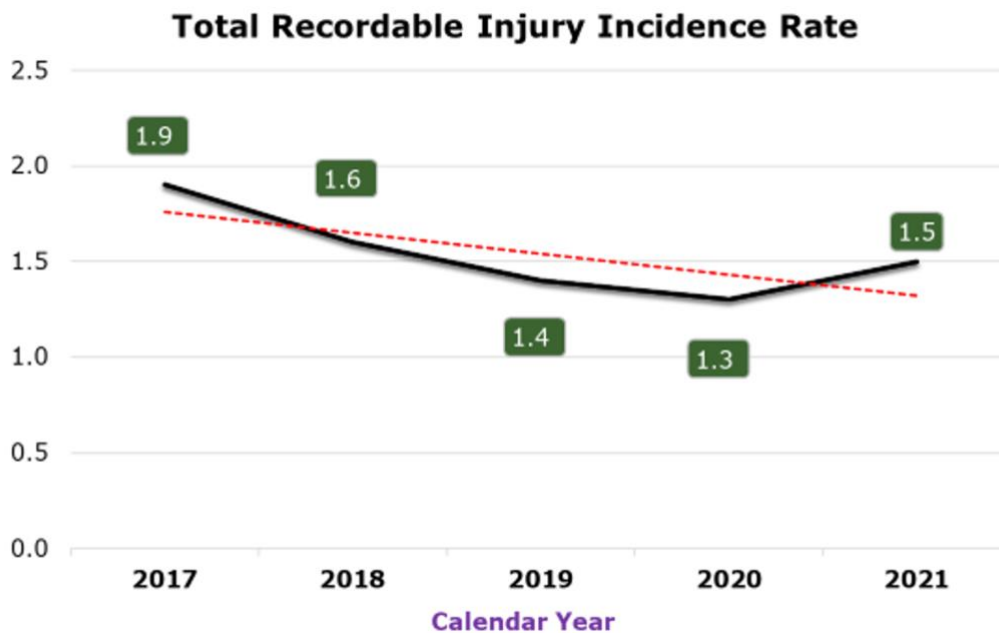
To be officially designated as StormReady, the University must continue to satisfy the following criteria:

- Establish a 24-hour warning point and emergency operations center
- Have more than one way to receive severe weather warnings and forecasts and to alert the public
- Create a system that monitors weather conditions locally on a 24/7 basis
- Promote the importance of public readiness through community seminars
- Develop a formal hazardous weather plan, which includes training severe weather spotters and holding emergency exercises.

Workers' Compensation and Injury Prevention

The University's Workers' Compensation and Injury Prevention programs are administered by EH&S. One component of this program is documenting OSHA recordable injuries and illnesses. OSHA recordable cases include any work-related fatality; any work-related injury or illness that results in loss of consciousness, days away from work, restricted work, or transfer to another job; any work-related injury or illness requiring medical treatment beyond first aid; and special recording criteria (needlesticks, medical removal, hearing loss, and tuberculosis). The OSHA recordable incidence rate continues to be below the current industry average for Colleges, Universities and Professional Schools (1.7) and no workplace fatalities have been recorded in the most recent 5-year period.

Employee Injury Data

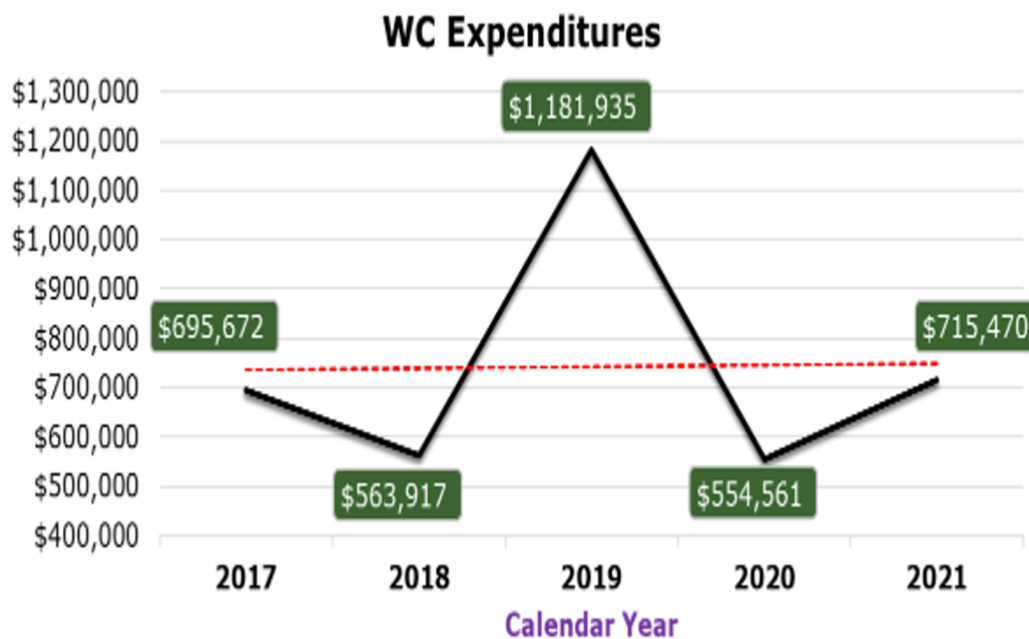


EH&S conducts an annual review of injury and illness data to identify trends. Trend analysis data is utilized to develop and implement focused prevention strategies to help reduce the number, severity, and cost of incidents. Strains and sprains associated with material handling activities continue to be the most common injury across the University. EH&S will collaborate with Housekeeping Services during the next year to evaluate material handling

processes, primarily trash handling, to attempt to decrease incident rates in that department. Injury data is presented annually to the University Safety and Security Committee for review and program recommendations.

Injury prevention initiatives and an enhanced Return-to-Work program continue to be utilized to help decrease lost day cases and associated costs. The University's 2020 expenditures were significantly lower than the previous year due primarily to the COVID pandemic in 2020. During this time, much of the campus was teleworking and there was a limited number of on-campus employees due to the Governor's Stay at Home order. In addition, EH&S conducted a review in 2019 of older cases that resulted in settlements for longer term savings. There were also a number of injuries in 2019 that required increased medical care and surgery. The 2021 expenditures increased as employees returned to campus. Also, the nature of several lost day cases required advanced medical diagnostics and surgeries. Costs associated with medical diagnostics and surgeries continue to rise as well. Expenditures for 2022 are projected to increase slightly due to several pending permanent partial disability rating payments and potential settlement of some open cases.

Workers' Compensation Expenditures



CAMPUS SAFETY

Annual Safety and Security Update
2021



Greenville Police Crime Trends

Part 1 Crime Comparison 2021

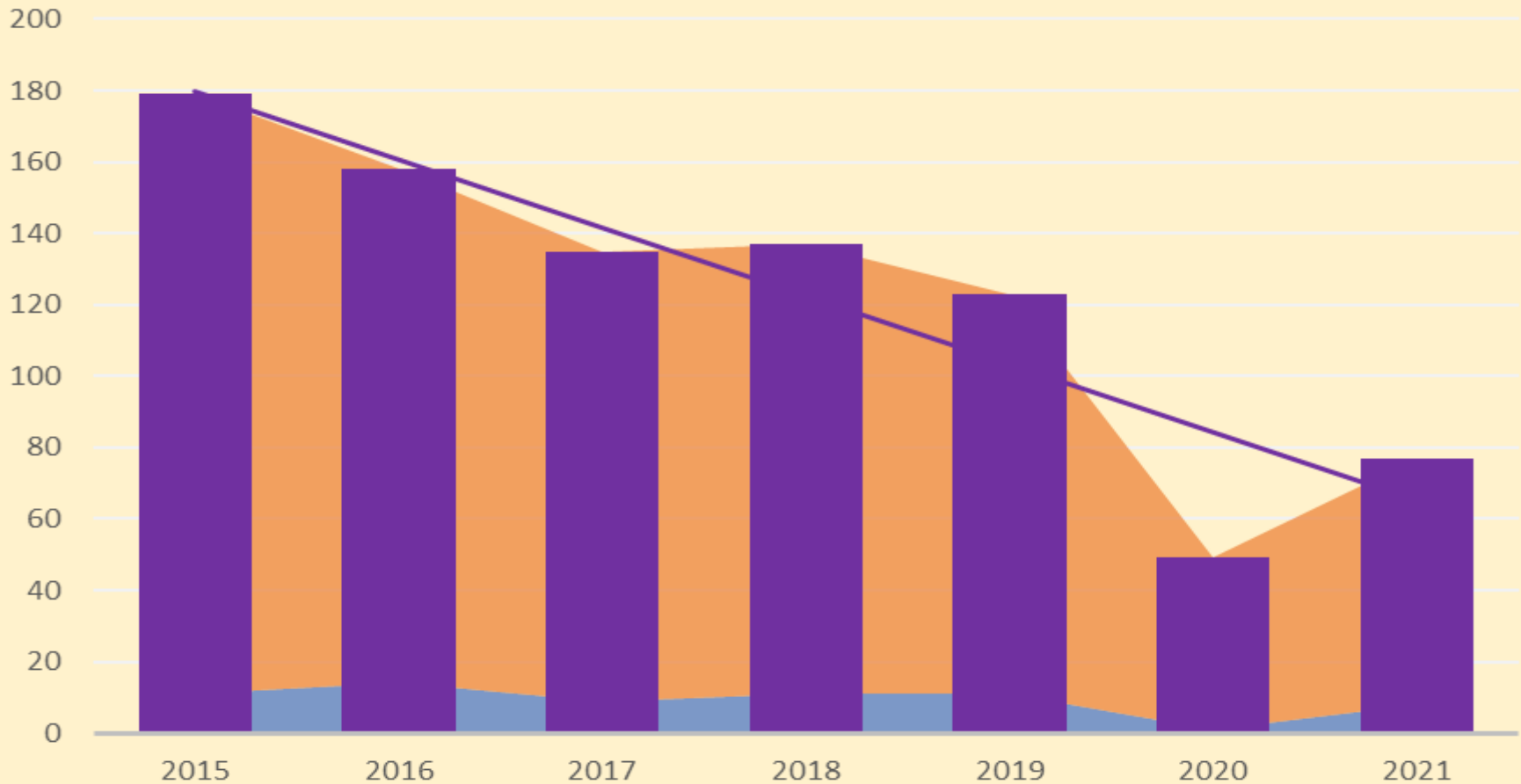
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Homicide	11	6	3	-73%	-50%
Rape	27	21	24	-11%	Up 3
Robbery	73	117	74	Up 1	-37%
Ag Assault	287	336	362	26%	8%
<i>Violent Crime</i>	<i>398</i>	<i>480</i>	<i>463</i>	<i>16%</i>	<i>-4%</i>
Burglary	376	573	307	-18%	-46%
Larceny	2100	2366	1724	-18%	-27%
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<i>Property Crime</i>	<i>2603</i>	<i>3052</i>	<i>2128</i>	<i>-18%</i>	<i>-30%</i>
Total	3001	3532	2591	-14%	-27%

ECUPD Crime Trends

Part 1 Crime Comparison 2021

Offense	2020	5 Year Avg	2021	20 -21 % Change	5 Yr Average
Homicide	0	0	0	0%	0%
Rape	1	4	5	400%	Up 1
Robbery	0	2	1	Up 1	Up 1
Ag Assault	0	3	2	Up 2	Up 1
Violent Crime	1	9	8	700%	-4%
Burglary	2	8	7	250%	Down 1
Larceny	46	88	61	33%	-31%
Auto Larceny	0	<1	0	0%	0%
Property Crime	48	96	68	42%	-30%
Total	49	105	76	55%	-38%

ECUPD Total UCR Part 1

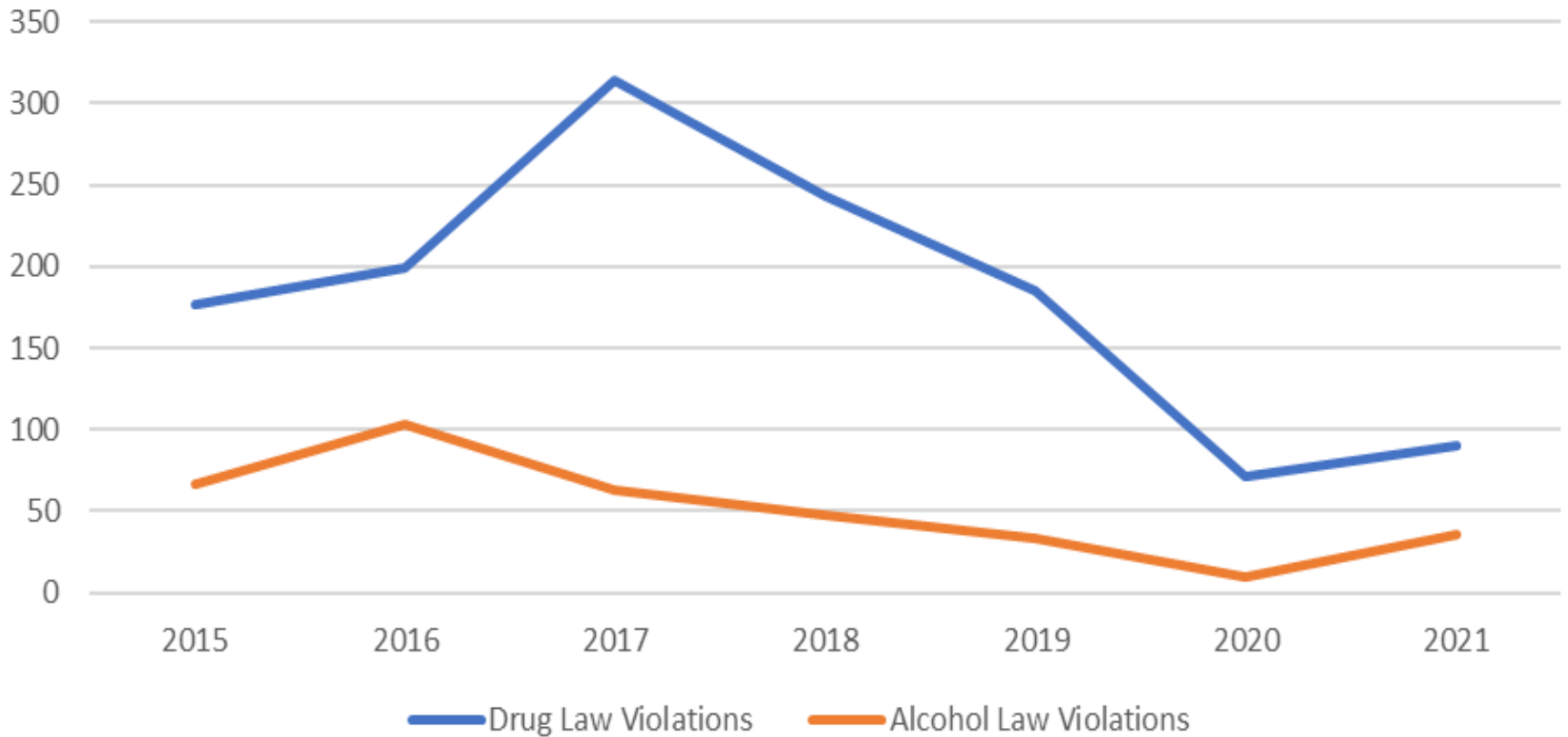


Over the last seven years:

- 57% less UCR1 crimes (and 59% less property crimes)
- the number of larcenies reported decreased 60%



ECUPD Arrests Drug and Alcohol Law Violations



Selected Crimes on Clery Geography, Reported to CSAs, 2017-2021



While there are some ebbs and flows, here are the average number of cases reported to ECU's CSAs (on ECU's Clery Geography): 18 rapes, 6 fondling, less than 1 domestic violence, 10 dating violence, and 13 stalking.



ECU[®]

Technological Advancements

- Safe Zone Mental Health App
- Shot Spotter
 - Extended for an additional year
- CAD2CAD
 - Computer-aided dispatch shared with GPD
- Handheld Radio Upgrade
 - Upgrading to Kenwood for compatibility with GPD
- Firearms
 - Converting to 9mm



UNC System Pilot

- Safe Zone Mental Health App
 - Smart phone app that helps individuals navigate resources to assist with all aspects of well being.
 - Home sickness
 - Anxiety
 - Suicidal ideation





Traffic Safety Taskforce



Accreditation



International Association of Campus
Law Enforcement Administrators

- Dual Accreditation
- 5th Reaccreditation
- Year one review of current four year cycle completed

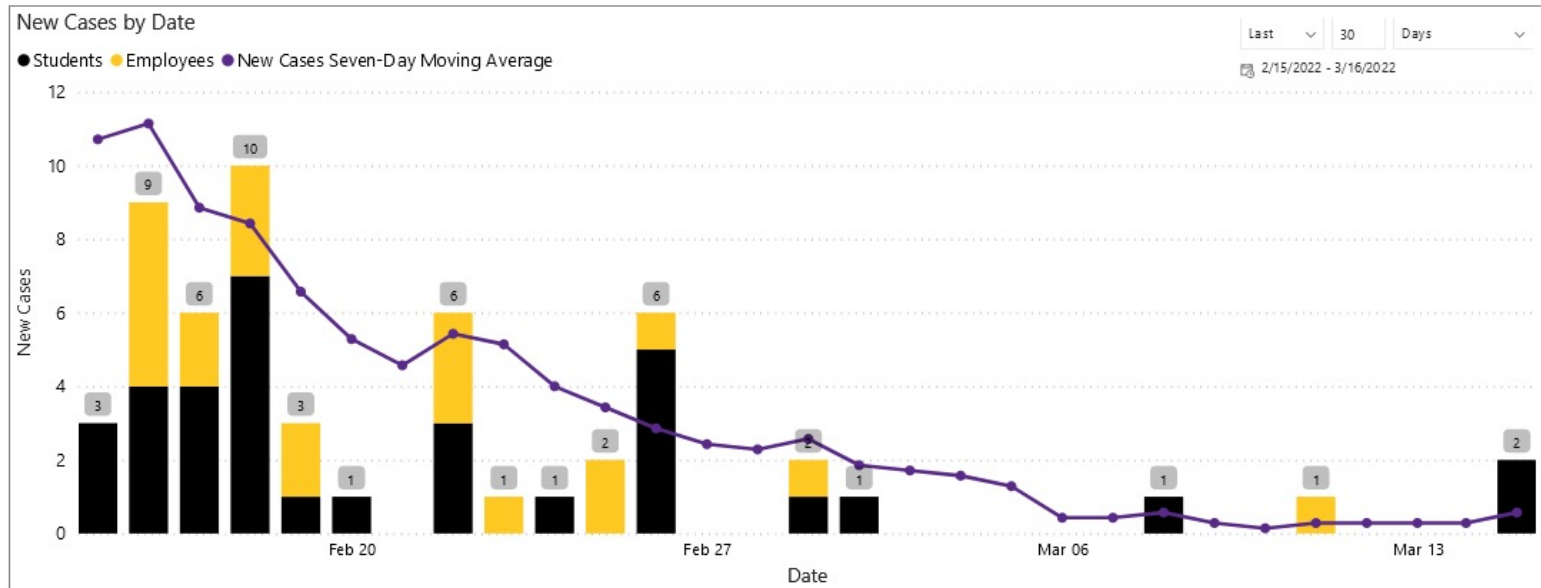


UNC Consensus Policy

- Committee of Seven Chiefs
 - Charged with Developing Uniform Policy
 - Concentration on High Liability
- Use of Force
- Civil Disturbance



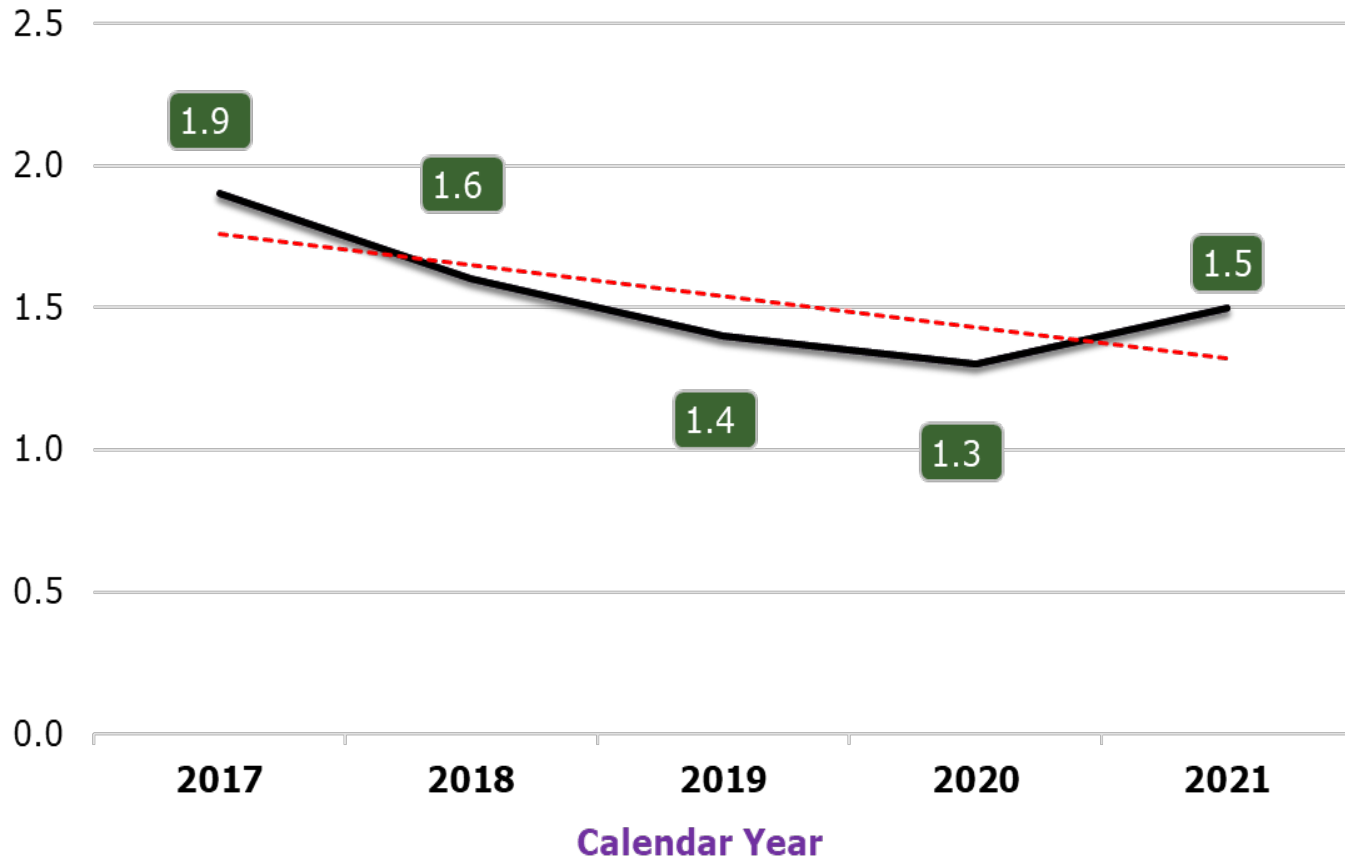
EH&S Emergency Management



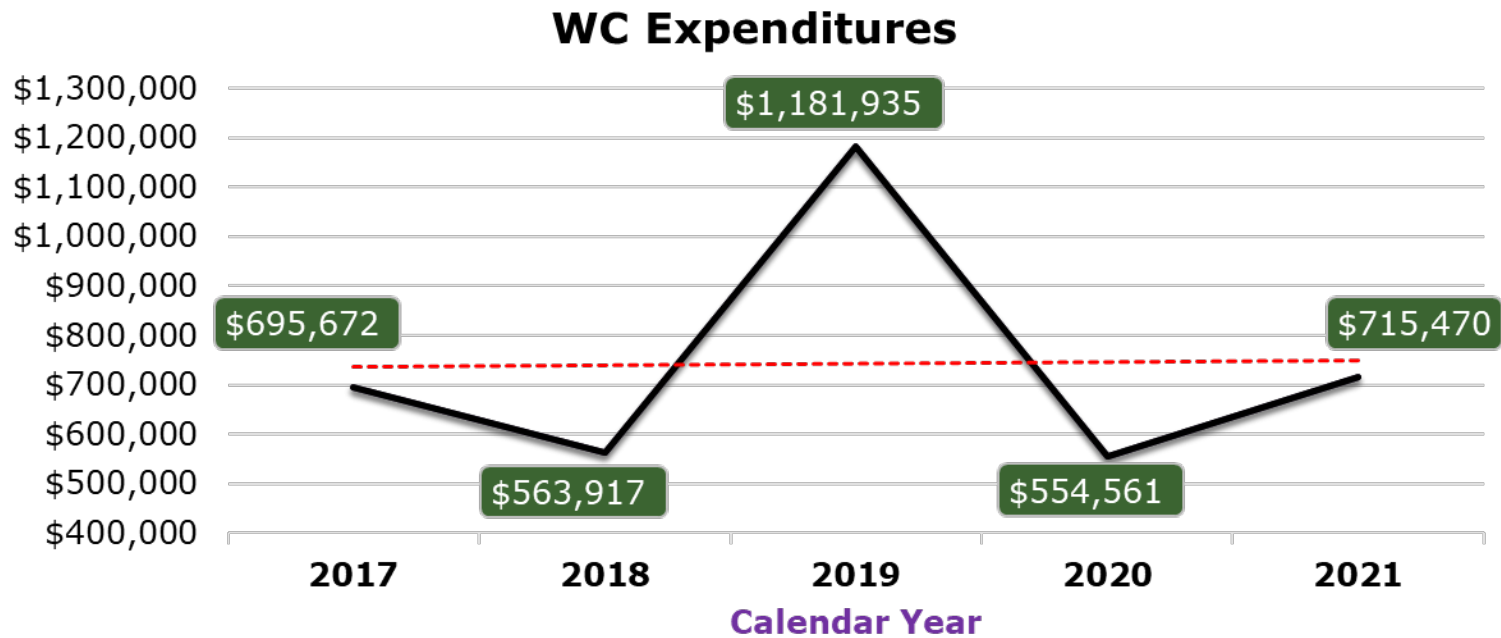
- EH&S continues to compile COVID-19 data from Student Health and Prospective Health to provide report to UNC System Office and campus leadership. Data is also used to update the ECU Coronavirus dashboard located at <https://returnofpiratenation.ecu.edu/public-covid-dashboard/>. Integral part of COVID Coordinating Committee and COVID Scoring Committee that recommends any changes to community expectations.
- Conducted test of the ECU Alert emergency notification system on March 11, 2022. The test assessed multiple communication systems including the ECU homepage, email, indoor and outdoor loudspeakers, VOIP phone text and voice, SMS text messages, desktop pop-up boxes, and messages on digital signs.
- Cybersecurity Tabletop exercise scheduled for March 31, 2022 involving multiple stakeholders to evaluate response and continuity of operations if computer systems are down due to cybersecurity breach.
- University's StormReady designation has been extended due to COVID.

Employee Injury Data

Total Recordable Injury Incidence Rate



Workers' Compensation Expenditures



- Continue to implement enhanced Return-to-Work program to decrease lost day cases and associated costs.
- 2020 expenditures were lower due primarily to COVID (telework).
- 2021 increase associated with employees returning to campus and several lost day cases required advanced medical diagnostics and surgeries with costs of those medical procedures continuing to rise as well.
- Expenditures are projected to increase in 2022 due to several pending permanent partial disability rating payments and potential settlement of some longstanding open claims.



Questions





Finance and Facilities Committee

April 28, 2022

Agenda Item: V. Advanced Planning increase for Fleming
HVAC and Bathroom

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Approval

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

Action Items

April 28, 2022



Action Item #1

Request Approval for Increase in Advance Planning Fleming Residence Hall – Renovation of HVAC System & Bathrooms

Funding Source for Advance Planning:
Housing Reserves

Current: \$ 250,000

Requested Increase: \$ 250,000

Total Advance Planning \$ 500,000

Total Proposed Project \$ 5,212,728



Action Item #1

Request Increase in Advance Planning Fleming Residence Hall – Renovation of HVAC System & Bathrooms

- **HVAC SYSTEM:** The HVAC system in Fleming is at the end of its useful life. The existing ductwork and air handling units are deteriorating and leaking air. The system lacks humidity control, and the building automation system does not fully interface with the University system. The proposed new energy efficient system includes new air handling units that incorporate humidity control, outside air intake, individual variable air volume units allowing each space to be controlled individually, and a new controls system to be integrated with the University building automation system. The existing chiller is also at the end of its useful life and will be replaced as part of this project.
- **BATHROOMS:** The bathrooms in Fleming Residence Hall have not been substantially renovated in 30 years and need modernization. This scope of work includes renovations to make all bathrooms fully ADA accessible and include demolition and replacement of rotten wood, new waterproofing, tile replacement, new concealed electrical and plumbing services, and new fixtures. Included in this scope is a new ADA ramp into the building to make it accessible.





Finance and Facilities Committee

April 28, 2022

Agenda Item: VI. BOT Designer Selection Medical Education Building

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Approval

Notes: N/A

Action Item #2

Designer Selection Approval – Brody School of Medicine (New Medical Education Building)

On April 19, 2022, the designer pre-selection committee for the above referenced project conducted interviews with four (4) firms who were shortlisted based on a qualifications-based selection criteria as required by the State Building Commission.

The attending committee consisted of Dr. Jason Higginson, Executive Dean BSOM; Van Isley, Board of Trustees; Stephanie Coleman, Vice Chancellor of Administration and Finance; Bill Bagnell, Associate Vice Chancellor of Campus Operations; Griff Avin, HSC Director of Facilities Maintenance, Michelle Brooks, Chief of Staff for the Vice Chancellor of the HSC (ex-officio); Robert M. Brown , Assistant Director and Project Manager, Facilities Engineering & Architectural Services.

Based on the interviews and requirements of this project the committee recommends the following firms in prioritized order with **TBD** being the top recommended firm.

TBD

TBD

TBD

TBD

To the best of our knowledge and belief all steps in the selection process were conducted in accordance with State Building Commission requirements as they apply to the institutions of the University of North Carolina.



Action Item #2 – Approval of the top recommended firm, TBD, is requested.

Action Item #2

Designer Selection Approval – Brody School of Medicine (New Medical Education Building)



- The new Medical Education Building is expected to be a multi-story 260,000 square foot facility. It is intended to support growth of the Medical School's student enrolment from 86 to 120+ students.
- This project will be focused on high technology versatile medical education classrooms, collaborative meeting and training spaces for some interprofessional and primarily medical student education.
- The project will also provide a 500-car parking deck to replace lost spaces and provide for the new spaces required due to the school's expansion. Substantial utility infrastructure construction will be required to support the utility needs of the new building without negatively effecting the campuses existing building's services.





Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. Information Items

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. A. Comprehensive Budget Report

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 1

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
State Funds						
Tuition Annual Revenues 16065						
On Campus	122,194,711	(3,884,489)	118,310,222	112,494,481	102,713,450	9,781,031
Distance Education	31,539,149	3,984,489	35,523,638	30,989,254	40,272,359	(9,283,105)
Summer Face-to-Face	2,007,087	0	2,007,087	470,115	73,711	396,404
**Total Tuition Annual Revenues 16065	155,740,947	100,000	155,840,947	143,953,850	143,059,520	894,330
Tuition Annual Revenues 16066						
School of Dental Medicine	5,738,279	0	5,738,279	5,668,399	6,236,337	(567,938)
Brody School of Medicine	6,541,667	0	6,541,667	6,819,861	6,954,031	(134,170)
**Total Tuition Annual Revenues 16066	12,279,946	0	12,279,946	12,488,260	13,190,368	(702,108)
Continuing Education Revenues	3,126,857	2,799,425	5,926,282	3,822,135	3,645,216	176,919
Appropriations 16065	246,648,022	1,274,340	247,922,362	158,000,000	145,209,798	12,790,202
Appropriations 16066	86,056,011	0	86,056,011	56,800,000	61,798,942	(4,998,942)
Other Miscellaneous Revenues	11,655,482	24,335,277	35,990,759	33,317,678	33,867,032	(549,354)
**Total State Funded Revenues	515,507,265	28,509,042	544,016,307	408,381,923	400,770,876	7,611,047
Total 16065 Operating Expenses	416,817,848	24,274,550	441,092,398	282,631,759	272,735,483	9,896,276
Total 16066 Operating Expenses	98,689,417	4,234,492	102,923,909	67,697,907	84,978,761	(17,280,854)
**Total State Funded Expenses	515,507,265	28,509,042	544,016,307	350,329,666	357,714,244	(7,384,578)

Comprehensive University Operating Budget
 FYM810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 3

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Performing Arts						
Beginning Fund Balance				348,955	353,708	(4,753)
Total Annual Revenues	51,956	0	51,956	73,418	(2,815)	76,233
Total Annual Expenses	147,160	0	147,160	93,139	5,838	87,301
Annual Operating Results	(95,204)	0	(95,204)	(19,721)	(8,653)	(11,068)
Net Transfers	44,975	0	44,975	22,996	(42)	23,038
Annual Operating Results Net Transfers	(50,229)	0	(50,229)	3,275	(8,695)	11,970
Ending Fund Balance				352,230	345,013	7,217
Administration and Finance						
lCard						
Beginning Fund Balance				625,835	579,593	46,242
Total Annual Revenues	106,500	0	106,500	66,191	81,687	(15,496)
Total Annual Expenses	82,298	0	82,298	22,216	131,825	(109,609)
Annual Operating Results	24,202	0	24,202	43,975	(50,138)	94,113
Net Transfers	(1,834)	0	(1,834)	(1,711)	(1,072)	(639)
Annual Operating Results Net Transfers	22,368	0	22,368	42,264	(51,210)	93,474
Ending Fund Balance				668,099	528,383	139,716
Campus Safety & Police						
Beginning Fund Balance				1,339,846	1,731,382	(391,536)
Total Annual Revenues	1,252,992	0	1,252,992	1,138,588	611,161	527,427
Total Annual Expenses	2,153,867	(15,423)	2,138,444	1,061,388	1,119,023	(57,635)
Annual Operating Results	(900,875)	15,423	(885,452)	77,200	(507,862)	585,062
Net Transfers	878,685	0	878,685	890,913	407,070	483,843
Annual Operating Results Net Transfers	(22,190)	15,423	(6,767)	968,113	(100,792)	1,068,905
Ending Fund Balance				2,307,959	1,630,590	677,369

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 4

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
ECU Purchasing Card						
Beginning Fund Balance				1,101,299	774,238	327,061
Total Annual Revenues	400,000	2,096	402,096	283,889	336,658	(52,769)
Total Annual Expenses	79,792	1,566	81,358	59,215	45,152	14,063
Annual Operating Results	320,208	530	320,738	224,674	291,506	(66,832)
Net Transfers	(1,000,385)	0	(1,000,385)	(1,000,158)	(1,407)	(998,751)
Annual Operating Results Net Transfers	(680,177)	530	(679,647)	(775,484)	290,099	(1,065,583)
Ending Fund Balance				325,815	1,064,337	(738,522)
Ed & Tech						
Beginning Fund Balance				7,203,499	4,579,462	2,624,037
Total Annual Revenues	10,520,000	0	10,520,000	9,532,619	9,517,325	15,294
Total Annual Expenses	10,402,706	(15,602)	10,387,104	6,710,414	5,361,907	1,348,507
Annual Operating Results	117,294	15,602	132,896	2,822,205	4,155,418	(1,333,213)
Net Transfers	(155,000)	0	(155,000)	(140,092)	(167,712)	27,620
Annual Operating Results Net Transfers	(37,706)	15,602	(22,104)	2,682,113	3,987,706	(1,305,593)
Ending Fund Balance				9,885,612	8,567,168	1,318,444
Minges						
Beginning Fund Balance				139,946	140,710	(764)
Total Annual Revenues	105,000	0	105,000	111,167	103,676	7,491
Total Annual Expenses	57,109	0	57,109	72,979	94,628	(21,649)
Annual Operating Results	47,891	0	47,891	38,188	9,048	29,140
Net Transfers	(47,891)	0	(47,891)	(47,621)	(79)	(47,542)
Annual Operating Results Net Transfers	0	0	0	(9,433)	8,969	(18,402)
Ending Fund Balance				130,513	149,679	(19,166)

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 5

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Parking and Transportation						
Beginning Fund Balance				11,170,278	11,008,859	161,419
Total Annual Revenues	3,652,425	0	3,652,425	3,405,401	1,826,288	1,579,113
Total Annual Expenses	2,847,125	(10,899)	2,836,226	1,482,828	977,211	505,617
Annual Operating Results	805,300	10,899	816,199	1,922,573	849,077	1,073,496
Net Transfers	(635,206)	0	(635,206)	(610,922)	(277,007)	(333,915)
Annual Operating Results Net Transfers	170,094	10,899	180,993	1,311,651	572,070	739,581
Ending Fund Balance				12,481,929	11,580,929	901,000
Printing and Graphics						
Beginning Fund Balance				1,168,343	1,300,252	(131,909)
Total Annual Revenues	2,190,000	0	2,190,000	1,413,446	977,063	436,383
Total Annual Expenses	2,465,409	(18,479)	2,446,930	1,534,106	1,023,546	510,560
Annual Operating Results	(275,409)	18,479	(256,930)	(120,660)	(46,483)	(74,177)
Net Transfers	(42,148)	0	(42,148)	(42,805)	(32,036)	(10,769)
Annual Operating Results Net Transfers	(317,557)	18,479	(299,078)	(163,465)	(78,519)	(84,946)
Ending Fund Balance				1,004,878	1,221,733	(216,855)
Student Stores						
Beginning Fund Balance				4,364,794	5,344,615	(979,821)
Total Annual Revenues	1,460,000	0	1,460,000	925,732	5,315,735	(4,390,003)
Total Annual Expenses	310,670	0	310,670	217,474	4,870,854	(4,653,380)
Annual Operating Results	1,149,330	0	1,149,330	708,258	444,881	263,377
Net Transfers	(369,886)	0	(369,886)	(77,456)	(218,255)	140,799
Annual Operating Results Net Transfers	779,444	0	779,444	630,802	226,626	404,176
Ending Fund Balance				4,995,596	5,571,241	(575,645)

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 6

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Vending						
Beginning Fund Balance				294,424	434,748	(140,324)
Total Annual Revenues	132,500	0	132,500	422,871	286,059	136,812
Total Annual Expenses	0	0	0	54	0	54
Annual Operating Results	132,500	0	132,500	422,817	286,059	136,758
Net Transfers	(315,000)	0	(315,000)	(439,126)	(65,000)	(374,126)
Annual Operating Results Net Transfers	(182,500)	0	(182,500)	(16,309)	221,059	(237,368)
Ending Fund Balance				278,115	655,807	(377,692)
Warehouse & Storerooms						
Beginning Fund Balance				1,060,526	932,517	128,009
Total Annual Revenues	1,081,270	0	1,081,270	1,040,872	1,247,338	(206,466)
Total Annual Expenses	1,054,052	(604)	1,053,448	1,002,590	1,180,058	(177,468)
Annual Operating Results	27,218	604	27,822	38,282	67,280	(28,998)
Net Transfers	(19,429)	0	(19,429)	(18,716)	(14,392)	(4,324)
Annual Operating Results Net Transfers	7,789	604	8,393	19,566	52,888	(33,322)
Ending Fund Balance				1,080,092	985,405	94,687
IT Maintenance and Infrastructure						
Beginning Fund Balance				3,334,770	3,993,547	(658,777)
Total Annual Revenues	245,268	0	245,268	47,444	154,933	(107,489)
Total Annual Expenses	973,466	(1,810)	971,656	126,147	932,234	(806,087)
Annual Operating Results	(728,198)	1,810	(726,388)	(78,703)	(777,301)	698,598
Net Transfers	90,025	0	90,025	105,148	197,972	(92,824)
Annual Operating Results Net Transfers	(638,173)	1,810	(636,363)	26,445	(579,329)	605,774
Ending Fund Balance				3,361,215	3,414,218	(53,003)

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 7

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Storm Damage						
Beginning Fund Balance				631,900	607,326	24,574
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	(24,573)	24,573
Annual Operating Results	0	0	0	0	24,573	(24,573)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	0	24,573	(24,573)
Ending Fund Balance				631,900	631,899	1
Millennial Campus						
Beginning Fund Balance				853,747	862,895	(9,148)
Total Annual Revenues	2,634,500	0	2,634,500	1,904,355	5,344	1,899,011
Total Annual Expenses	100,000	0	100,000	2,911	14,295	(11,384)
Annual Operating Results	2,534,500	0	2,534,500	1,901,444	(8,951)	1,910,395
Net Transfers	0	0	0	(193)	(799)	606
Annual Operating Results Net Transfers	2,534,500	0	2,534,500	1,901,251	(9,750)	1,911,001
Ending Fund Balance				2,754,998	853,145	1,901,853
Athletics						
Athletics Operating				0	0	0
Beginning Fund Balance				0	0	0
Total Annual Revenues	33,491,675	0	33,491,675	21,279,262	14,274,589	7,004,673
Total Annual Expenses	42,995,474	860,574	43,856,048	33,927,894	25,485,354	8,442,540
Annual Operating Results	(9,503,799)	(860,574)	(10,364,373)	(12,648,632)	(11,210,765)	(1,437,867)
Net Transfers	(254,733)	860,574	605,841	(243,541)	(35,317)	(208,224)
Annual Operating Results Net Transfers	(9,758,532)	0	(9,758,532)	(12,892,173)	(11,246,082)	(1,646,091)
Ending Fund Balance				(12,892,173)	(11,246,082)	(1,646,091)

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 8

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Marching Pirates						
Beginning Fund Balance				237,001	210,501	26,500
Total Annual Revenues	70,000	0	70,000	74,111	69,117	4,994
Total Annual Expenses	70,000	0	70,000	140,743	24,656	116,087
Annual Operating Results	0	0	0	(66,632)	44,461	(111,093)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	(66,632)	44,461	(111,093)
Ending Fund Balance				170,369	254,962	(84,593)
Southside Stadium						
Beginning Fund Balance				0	0	0
Total Annual Revenues	3,579,375	0	3,579,375	3,079,081	652,287	2,426,794
Total Annual Expenses	3,579,375	0	3,579,375	3,508,720	3,208,524	300,196
Annual Operating Results	0	0	0	(429,639)	(2,556,237)	2,126,598
Net Transfers	0	0	0	0	(1)	1
Annual Operating Results Net Transfers	0	0	0	(429,639)	(2,556,238)	2,126,599
Ending Fund Balance				(429,639)	(2,556,238)	2,126,599
ESPN Media Rights						
Beginning Fund Balance				575,929	615,206	(39,277)
Total Annual Revenues	0	0	0	0	904,545	(904,545)
Total Annual Expenses	0	0	0	6,740	237,794	(231,054)
Annual Operating Results	0	0	0	(6,740)	666,751	(673,491)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	(6,740)	666,751	(673,491)
Ending Fund Balance				569,189	1,281,957	(712,768)

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 9

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Chancellor						
Chancellors Discretionary						
Beginning Fund Balance				775,473	833,091	(57,618)
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	399,245	0	399,245	86,246	38,456	47,790
Annual Operating Results	(399,245)	0	(399,245)	(86,246)	(38,456)	(47,790)
Net Transfers	(755)	0	(755)	(956)	(3,413)	2,457
Annual Operating Results Net Transfers	(400,000)	0	(400,000)	(87,202)	(41,869)	(45,333)
Ending Fund Balance				688,271	791,222	(102,951)
Health Sciences						
ECU Physicians						
Beginning Fund Balance				76,994,294	83,213,650	(6,219,356)
Total Annual Revenues	232,322,689	0	232,322,689	161,778,245	157,723,653	4,054,592
Total Annual Expenses	229,569,679	0	229,569,679	156,806,045	149,089,295	7,716,750
Annual Operating Results	2,753,010	0	2,753,010	4,972,200	8,634,358	(3,662,158)
Net Transfers	(6,657,364)	0	(6,657,364)	(17,730,394)	(16,603,977)	(1,126,417)
Annual Operating Results Net Transfers	(3,904,354)	0	(3,904,354)	(12,758,194)	(7,969,619)	(4,788,575)
Ending Fund Balance				64,236,100	75,244,031	(11,007,931)
School of Dental Medicine						
Beginning Fund Balance				13,293,002	8,480,664	4,812,338
Total Annual Revenues	23,100,143	0	23,100,143	16,481,509	14,759,842	1,721,667
Total Annual Expenses	22,565,593	798	22,566,391	15,269,889	14,052,568	1,217,321
Annual Operating Results	534,550	(798)	533,752	1,211,620	707,274	504,346
Net Transfers	(211,576)	798	(210,778)	(216,729)	(212,989)	(3,740)
Annual Operating Results Net Transfers	322,974	0	322,974	994,891	494,285	500,606
Ending Fund Balance				14,287,893	8,974,949	5,312,944

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 10

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Comparative Medicine						
Beginning Fund Balance				263,144	351,520	(88,376)
Total Annual Revenues	733,487	0	733,487	513,446	559,668	(46,222)
Total Annual Expenses	966,948	(2,365)	964,583	411,438	575,385	(163,947)
Annual Operating Results	(233,461)	2,365	(231,096)	102,008	(15,717)	117,725
Net Transfers	(17,109)	0	(17,109)	(14,721)	(21,343)	6,622
Annual Operating Results Net Transfers	(250,570)	2,365	(248,205)	87,287	(37,060)	124,347
Ending Fund Balance				350,431	314,460	35,971
Research						
F&A						
Beginning Fund Balance				6,004,867	3,390,643	2,614,224
Total Annual Revenues	6,061,394	0	6,061,394	5,064,638	4,601,794	462,844
Total Annual Expenses	5,895,926	0	5,895,926	2,633,873	3,579,306	(945,433)
Annual Operating Results	165,468	0	165,468	2,430,765	1,022,488	1,408,277
Net Transfers	(906,445)	0	(906,445)	(353,894)	(565,401)	211,507
Annual Operating Results Net Transfers	(740,977)	0	(740,977)	2,076,871	457,087	1,619,784
Ending Fund Balance				8,081,738	3,847,730	4,234,008
Student Affairs						
Campus Recreation						
Beginning Fund Balance				6,544,841	6,198,921	345,920
Total Annual Revenues	5,313,950	0	5,313,950	5,443,926	4,849,445	594,481
Total Annual Expenses	5,253,971	(760)	5,253,211	3,433,490	3,155,825	277,665
Annual Operating Results	59,979	760	60,739	2,010,436	1,693,620	316,816
Net Transfers	(1,369,421)	0	(1,369,421)	(1,022,832)	(372,004)	(650,828)
Annual Operating Results Net Transfers	(1,309,442)	760	(1,308,682)	987,604	1,321,616	(334,012)
Ending Fund Balance				7,532,445	7,520,537	11,908

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 11

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Dining						
Beginning Fund Balance				(46,186)	1,616,193	(1,662,379)
Total Annual Revenues	30,502,885	0	30,502,885	25,609,131	10,461,801	15,147,330
Total Annual Expenses	28,257,266	0	28,257,266	21,871,521	11,389,370	10,482,151
Annual Operating Results	2,245,619	0	2,245,619	3,737,610	(927,569)	4,665,179
Net Transfers	(446,680)	0	(446,680)	(439,219)	(458,458)	19,239
Annual Operating Results Net Transfers	1,798,939	0	1,798,939	3,298,391	(1,386,027)	4,684,418
Ending Fund Balance				3,252,205	230,166	3,022,039
Housing						
Beginning Fund Balance				1,226,566	13,846,977	(12,620,411)
Total Annual Revenues	31,239,433	0	31,239,433	29,456,398	12,315,278	17,141,120
Total Annual Expenses	26,151,381	(10,232)	26,141,149	19,940,411	20,656,691	(716,280)
Annual Operating Results	5,088,052	10,232	5,098,284	9,515,987	(8,341,413)	17,857,400
Net Transfers	(5,101,990)	0	(5,101,990)	(5,129,975)	(2,346,048)	(2,783,927)
Annual Operating Results Net Transfers	(13,938)	10,232	(3,706)	4,386,012	(10,687,461)	15,073,473
Ending Fund Balance				5,612,578	3,159,516	2,453,062
Student Health						
Beginning Fund Balance				6,080,823	5,118,184	962,639
Total Annual Revenues	7,318,707	0	7,318,707	6,020,528	6,401,005	(380,477)
Total Annual Expenses	7,281,587	(79,593)	7,201,994	5,724,086	5,944,587	(220,501)
Annual Operating Results	37,120	79,593	116,713	296,442	456,418	(159,976)
Net Transfers	(478,451)	0	(478,451)	(503,530)	(380,399)	(123,131)
Annual Operating Results Net Transfers	(441,331)	79,593	(361,738)	(207,088)	76,019	(283,107)
Ending Fund Balance				5,873,735	5,194,203	679,532

Comprehensive University Operating Budget
 FYM810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 12

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Unions						
Beginning Fund Balance				6,131,102	6,175,977	(44,875)
Total Annual Revenues	5,467,900	0	5,467,900	5,417,620	4,995,277	422,343
Total Annual Expenses	5,189,635	(19,023)	5,170,612	3,186,625	3,642,107	(455,482)
Annual Operating Results	278,265	19,023	297,288	2,230,995	1,353,170	877,825
Net Transfers	(1,205,227)	0	(1,205,227)	(1,229,018)	(375,606)	(853,412)
Annual Operating Results Net Transfers	(926,962)	19,023	(907,939)	1,001,977	977,564	24,413
Ending Fund Balance				7,133,079	7,153,541	(20,462)
Transit						
Beginning Fund Balance				648,414	957,473	(309,059)
Total Annual Revenues	3,961,400	0	3,961,400	3,844,768	3,287,653	557,115
Total Annual Expenses	4,323,872	(6,776)	4,317,096	3,345,485	3,173,101	172,384
Annual Operating Results	(362,472)	6,776	(355,696)	499,283	114,552	384,731
Net Transfers	349,358	0	349,358	349,330	39,616	309,714
Annual Operating Results Net Transfers	(13,114)	6,776	(6,338)	848,613	154,168	694,445
Ending Fund Balance				1,497,027	1,111,641	385,386
Student Activities Board						
Beginning Fund Balance				1,127,337	1,060,654	66,683
Total Annual Revenues	443,750	0	443,750	453,930	423,343	30,587
Total Annual Expenses	445,403	(1,105)	444,298	262,273	203,817	58,456
Annual Operating Results	(1,653)	1,105	(548)	191,657	219,526	(27,869)
Net Transfers	(258)	0	(258)	(317)	(313)	(4)
Annual Operating Results Net Transfers	(1,911)	1,105	(806)	191,340	219,213	(27,873)
Ending Fund Balance				1,318,677	1,279,867	38,810

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Government Association						
Beginning Fund Balance				1,099,874	975,776	124,098
Total Annual Revenues	481,250	0	481,250	509,513	475,181	34,332
Total Annual Expenses	407,035	(1,261)	405,774	279,060	171,501	107,559
Annual Operating Results	74,215	1,261	75,476	230,453	303,680	(73,227)
Net Transfers	(78,133)	0	(78,133)	(30,134)	(59,879)	29,745
Annual Operating Results Net Transfers	(3,918)	1,261	(2,657)	200,319	243,801	(43,482)
Ending Fund Balance				1,300,193	1,219,577	80,616
Fine Arts Funding Board						
Beginning Fund Balance				327,521	269,468	58,053
Total Annual Revenues	140,000	0	140,000	148,222	138,284	9,938
Total Annual Expenses	140,000	0	140,000	74,124	42,752	31,372
Annual Operating Results	0	0	0	74,098	95,532	(21,434)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	74,098	95,532	(21,434)
Ending Fund Balance				401,619	365,000	36,619
Career Programs						
Beginning Fund Balance				620,717	627,552	(6,835)
Total Annual Revenues	217,534	0	217,534	260,858	121,045	139,813
Total Annual Expenses	216,995	(1,255)	215,740	93,512	94,744	(1,232)
Annual Operating Results	539	1,255	1,794	167,346	26,301	141,045
Net Transfers	(2,688)	0	(2,688)	(2,367)	(5,717)	3,350
Annual Operating Results Net Transfers	(2,149)	1,255	(894)	164,979	20,584	144,395
Ending Fund Balance				785,696	648,136	137,560

Comprehensive University Operating Budget
 FYM810
 For MARCH FY 2022

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Orientation						
Beginning Fund Balance				581,763	560,002	21,761
Total Annual Revenues	570,130	0	570,130	163,895	15,360	148,535
Total Annual Expenses	530,136	(1,740)	528,396	234,159	215,619	18,540
Annual Operating Results	39,994	1,740	41,734	(70,264)	(200,259)	129,995
Net Transfers	(477)	0	(477)	(490)	(504)	14
Annual Operating Results Net Transfers	39,517	1,740	41,257	(70,754)	(200,763)	130,009
Ending Fund Balance				511,009	359,239	151,770
Student Engagement						
Beginning Fund Balance				1,200,752	1,205,581	(4,829)
Total Annual Revenues	573,300	0	573,300	610,294	566,070	44,224
Total Annual Expenses	527,992	0	527,992	226,162	385,027	(158,865)
Annual Operating Results	45,308	0	45,308	384,132	181,043	203,089
Net Transfers	(45,308)	0	(45,308)	(99)	(225)	126
Annual Operating Results Net Transfers	0	0	0	384,033	180,818	203,215
Ending Fund Balance				1,584,785	1,386,399	198,386
Mendenhall Student Center Renovations						
Beginning Fund Balance				7,500,000	7,500,000	0
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	0	0
Annual Operating Results	0	0	0	0	0	0
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	0	0	0
Ending Fund Balance				7,500,000	7,500,000	0

Comprehensive University Operating Budget
 FYM810
 For MARCH FY 2022

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Unions Construction						
Beginning Fund Balance				2,557,214	3,066,530	(509,316)
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	0	0
Annual Operating Results	0	0	0	0	0	0
Net Transfers	0	0	0	(6,917)	(220,521)	213,604
Annual Operating Results Net Transfers	0	0	0	(6,917)	(220,521)	213,604
Ending Fund Balance				2,550,297	2,846,009	(295,712)
Student Media						
Beginning Fund Balance				987,420	1,024,819	(37,399)
Total Annual Revenues	598,850	0	598,850	601,214	519,064	82,150
Total Annual Expenses	604,948	39,605	644,553	411,688	436,680	(24,992)
Annual Operating Results	(6,098)	(39,605)	(45,703)	189,526	82,384	107,142
Net Transfers	(1,145)	0	(1,145)	(955)	(1,052)	97
Annual Operating Results Net Transfers	(7,243)	(39,605)	(46,848)	188,571	81,332	107,239
Ending Fund Balance				1,175,991	1,106,151	69,840
University						
Auxiliary Overhead						
Beginning Fund Balance				72,658,983	35,401,918	37,257,065
Total Annual Revenues	751,000	0	751,000	320,772	682,619	(361,847)
Total Annual Expenses	819,684	0	819,684	369,351	995,814	(626,463)
Annual Operating Results	(68,684)	0	(68,684)	(48,579)	(313,195)	264,616
Net Transfers	8,306,219	0	8,306,219	20,016,879	18,418,990	1,597,889
Annual Operating Results Net Transfers	8,237,535	0	8,237,535	19,968,300	18,105,795	1,862,505
Ending Fund Balance				92,627,283	53,507,713	39,119,570

Comprehensive University Operating Budget
 FYMG810
 For MARCH FY 2022

05:51 Tuesday, April 5, 2022 16

	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Debt Service						
Beginning Fund Balance				15,469,014	15,872,103	(403,089)
Total Annual Revenues	7,855,000	0	7,855,000	8,258,575	7,749,949	508,626
Total Annual Expenses	8,186,095	0	8,186,095	8,179,021	8,278,609	(99,588)
Annual Operating Results	(331,095)	0	(331,095)	79,554	(528,660)	608,214
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	(331,095)	0	(331,095)	79,554	(528,660)	608,214
Ending Fund Balance				15,548,568	15,343,443	205,125
Campus Operations Aux Funded Positions						
Beginning Fund Balance				(5,537)	0	(5,537)
Total Annual Revenues	1,256,422	0	1,256,422	1,045,194	0	1,045,194
Total Annual Expenses	7,328,127	(33,698)	7,294,429	4,739,072	0	4,739,072
Annual Operating Results	(6,071,705)	33,698	(6,038,007)	(3,693,878)	0	(3,693,878)
Net Transfers	5,953,942	0	5,953,942	5,953,932	0	5,953,932
Annual Operating Results Net Transfers	(117,763)	33,698	(84,065)	2,260,054	0	2,260,054
Ending Fund Balance				2,254,517	0	2,254,517



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. B. Report of Approved EHRA Employee
Salary Adjustments

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A



MEMORANDUM

TO: ECU Board of Trustees

FROM: Ms. Kitty H. Wetherington *Kitty H. Wetherington*
Associate Vice Chancellor for Human Resources and
Chief Human Resources Officer

DATE: March 28, 2022

RE: Report of Approved EHRA Employee Salary Adjustments
Pursuant to 9/30/16 Expanded Authority (January 1, 2022 –
February 28, 2022)

The attached informational report is provided to you in accordance with the *Resolution to Delegate Expanded Authority to the Chancellor of East Carolina University for Certain Salary Actions for Employees Exempt from the State Human Resources Act*, as approved by the Board of Trustees on September 30, 2016. Please note that the attached spreadsheet contains confidential personnel information in accordance with N.C. GEN. STAT. §126-22, *et seq.*

Attachments

cc: Dr. Philip Rogers
Chancellor

Ms. Stephanie Coleman
Vice Chancellor for Administration and Finance

Dr. B. Grant Hayes
Interim Provost and Senior Vice Chancellor for Academic Affairs

Mr. Jon Gilbert
Director of Athletics

Dr. Ronald L. Mitchelson
Interim Vice Chancellor for Health Sciences

Dr. Michael Van Scott
Interim Vice Chancellor for Research, Economic Development
and Engagement

Mr. Christopher Dyba
Vice Chancellor for University Advancement

**Department of
Human Resources**

210 East First Street
Mail Stop 205
East Carolina University*
Greenville, NC 27858-4353

252-328-9847 main
252-328-9918 fax

Administration
328-9884
328-9918 fax

ADA Coordinator
737-1018
328-9918 fax

Benefits
328-9887
328-9918 fax

**Classification and
Compensation**
328-9847
328-9917 fax

Employee Relations
328-9819
328-9917 fax

Employment
328-9847
328-9918 fax

HR Information Systems
328-9847
737-5818 fax

**Learning and Organizational
Development**
737-5824
328-9917 fax

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constituent institution of the
University of North Carolina.
An equal opportunity
university.*



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. C. Pension Report

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A



North Carolina
Total Retirement Plans



Dale R. Folwell, CPA
STATE TREASURER OF NORTH CAROLINA
DALE R. FOLWELL, CPA

3/17/2022

20300 - EAST CAROLINA UNIVERSITY
ATTN: CHIEF FINANCIAL OFFICER OR BUDGET ADMINISTRATOR
210 EAST FIRST STREET
GREENVILLE, NC 27858

Dear 20300 - EAST CAROLINA UNIVERSITY:

During the 2014 General Assembly session, contribution-based benefit cap legislation was enacted effective January 1, 2015. This legislation was created to control the practice of "pension spiking," in which a member's compensation substantially increases, resulting in a monthly retirement benefit that is significantly greater than the member and employer contributions would fund. The Contribution-Based Benefit Cap (CBBC) approach was created to protect each system for current and future retirees and to prevent all employers in the Retirement Systems from absorbing the additional liabilities caused by compensation decisions made by other employers. This legislation applies to members who retire on and after January 1, 2015, with an average final compensation of \$100,000 or higher (adjusted annually for inflation), and will directly impact only a small number of those individuals. It requires the member's last employer to pay the additional contribution required to fund the member's benefit in excess of the cap. [G.S. 135-5(a3); 135-4(jj); 128-27(a3); and 128-26(y)]

In order to assist employing agencies with planning and budgeting to comply with the CBBC provisions, we are required to report monthly to each employer a list of those members for whom the employer made a contribution to the Retirement System in the preceding month that are most likely to require an additional employer contribution should they elect to retire in the following 12 months. This letter and the attached report serve as our required monthly notification to your agency under this provision. [G.S. 135-8(f)(2)(f) and G.S.128-30(g)(2)(b)]

The chief financial officer of your agency is required to provide a copy of the attached report to the chief executive of your agency, as well as to the governing body, including any board which exercises financial oversight. Additionally, the chief financial officer of a public school system is required to provide a copy of the report to the local board of education and notify the board of county commissioners of the county in which the local administrative unit is located that the report was received and how many employees were listed in the report. [G.S. 115C-436(c); 135-8(j); and 128-30(j)]

For the purpose of determining the employees of your agency that are likely to require an additional employer contribution should they elect to retire in the following 12 months, the Retirement System modified the criteria used in the CBBC calculation. This allows for a broad list of potential employees, including those whose compensation average may approach the threshold and attempts to provide your agency with prior notification of a potential cost. The attached report

lists employees of your agency who may be eligible to retire in the next 13 months (at either a reduced or unreduced benefit), whose salary is \$95,000 or greater, and whose estimated monthly retirement benefit exceeds the CBBC based on information in the employee's most recent annual benefits statement. In addition, a lower CBBC Factor (i.e., TSERS is 4.2 and LGERS is 4.4) is applied.

This list is not exhaustive, and members included on this list may or may not exceed the CBBC upon retirement, depending on a number of factors such as the member's average final compensation, the member's age at retirement, and membership service. This is merely a notification of a potential cost that your agency may be required to pay, in the form of a lump-sum payment, due after the member retires.

For those employees hired on or after January 1, 2015, the employer is not required to pay the additional contribution to fund the member's benefit in excess of the Contribution-Based Benefit Cap. The employer has the option to pay all or part of the contribution required in excess of the CBBC; the employee also has the option to pay all or part of the contribution. However, should neither of you choose to pay this additional contribution, the employee's retirement benefit will be capped.

You can calculate the likelihood of whether the retirement benefit of a member listed on the attached report will exceed the CBBC with information available on our website at <https://www.myncretirement.com/employers/employer-training/pension-spiking>.

If you have any questions or need assistance in calculating the likelihood of a potential CBBC liability, please contact us at the address or telephone number listed below.

Sincerely,

Retirement Systems Division
N.C. Department of State Treasurer

623_PENSPK



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. D. Annual HR Compliance Report

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A



**Department of
Human Resources**

210 East First Street
Mail Stop 205
East Carolina University
Greenville, NC 27858-4353

252-328-9847 main
252-328-9918 fax

MEMORANDUM

TO: ECU Board of Trustees

FROM: Ms. Kitty H. Wetherington *Kitty H. Wetherington*
Associate Vice Chancellor for Human Resources and
Chief Human Resources Officer

DATE: April 13, 2022

RE: Annual HR Compliance Report – FY 20-21

The attached informational report is provided to you in accordance with instructions from the University of North Carolina System Office for the Annual HR Compliance Report for Fiscal Year 2020-2021. Please note that some of the attached information contains confidential personnel information in accordance with N.C. GEN. STAT. §126-22, *et seq.*

Attachments

cc: Dr. Philip Rogers
Chancellor

Stephanie Coleman
Vice Chancellor for Administration and Finance

Administration
328-9884
328-9918 fax

Benefits
328-9887
328-9918 fax

**Classification and
Compensation**
328-9847
328-9917 fax

Employee Relations
328-9848
328-9917 fax

Employment
328-9847
328-9918 fax

HR Information Systems
328-9847
737-5818 fax

**Learning and Organizational
Development**
328-9848
328-9917 fax

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constituent institution of the
University of North Carolina.
An equal opportunity
university.*

PART 1 (con't): SAAO Tier II Salary Ranges (check one)

<input checked="" type="checkbox"/>	Our institution used the UNC System Office published Senior Academic and Administrative Officer (SAAO) Tier II salary ranges in FY 20-21.
<input type="checkbox"/>	Our institution's SAAO Tier II salary ranges and methodology for FY 20-21 are attached.

EHRA IRIT Salary Ranges (check one)

<input checked="" type="checkbox"/>	Our institution used the UNC System Office published, recommended Institutional, Research and Information Technology (IRIT) salary ranges in FY 20-21.
<input type="checkbox"/>	In lieu of providing IRIT salary ranges, a disclosure on how individual ranges are derived is attached.

Faculty Salary Ranges (check one)

<input type="checkbox"/>	Our institution's faculty salary ranges and methodology for FY 20-21 are attached.
<input checked="" type="checkbox"/>	In lieu of providing faculty salary ranges, a disclosure on how individual ranges are derived is attached.

Attach your institution's faculty salary ranges and methodology or your institution's disclosure on how individual ranges are derived below. Please pay particular attention to the quality of your submission. We expect to see clear details on your methodology (including target market rate, and the size of the range spread) and primary/secondary data sources.

Please send attachments to Keith Dupuis at the System Office.

PART 2: Conferral of Tenure

36	Number of faculty reviewed for tenure
36	Number of faculty granted tenure
4	Number of new faculty hired with tenure

PART 3: Institution Policies

Does your institution have a supplemental pay, interim appointments, and/or secondary appointments policy?

We understand that campus practices differ, and that, at times, we even see difference in the interpretation of what constitutes "base pay" and "supplemental salary." We further understand that many of your HRIS systems are calibrated to gather information differently than other campuses, and that you may even have variances in policy amongst different college or business units. These questions represent an initial foray into gathering information on this subject, and you're welcome to provide whatever context you'd like. Please operate under basic definitions – such as base pay being all pay for the primary role; while everything else falls into the "supplemental" category, including long-term stipends, interim appointments, and other supplements. (It's okay to exclude things we've always excluded, including task-based compensation such as summer course payments and course overloads.) Please contact Keith Dupuis (kedupuis@northcarolina.edu) with any specific follow-up questions.

<input checked="" type="checkbox"/>	YES	Our institution's supplemental pay, interim appointments, and/or secondary appointments policy and/or procedures is attached.
	Date last reviewed:	7/18/2013

<input type="checkbox"/>	NO	Our institution does not have a supplemental pay, interim appointments, and/or secondary appointments policy or procedures. Attached is the methodology used at our institution to review supplemental pay, interim appointments, and/or secondary appointments. Please be aware that an answer of "NO" should also be accompanied by an action plan for permanently establishing those procedures over the coming fiscal year.
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Attach your institution's policy or procedures on supplemental pay, interim appointments, and/or secondary appointments OR attach your institution's methodology for reviewing supplemental pay, interim appointment and/or secondary appointments.

Please send attachments to Keith Dupuis at the System Office.

In your HRIS system, do you distinguish between base pay and supplemental pay?

<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO
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If "NO", please briefly explain how you do distinguish between base pay and supplemental pay. Please be aware that an answer of "NO" should also be accompanied by an action plan for permanently establishing those procedures over the coming fiscal year.

PART 4: EHRA IRIT Position Actions

Does your institution have delegated authority for IRIT position actions?

<input checked="" type="checkbox"/>	YES	My institution has independent IRIT authority to create and reclassify IRIT positions (excepting those that may require special review under periodic legislation, such as the state-mandated Consultation process), with the exception of RADA/CADA position actions which are submitted to UNC System Office for review and approval. Our institution's Annual IRIT Report for FY 20-21 is completed in the Tab Titled "IRIT Annual Report"
<input type="checkbox"/>	NO	My institution does not have delegated authority to create and revise IRIT positions. We submit all requests for new or reclassified IRIT positions to UNC System Office for review and approval.

PART 5: Harassment Complaints

Please affirm that your institution has measures in place to track harassment complaints and can provide this information to the System Office upon request. Internal tracking mechanisms should include the following data elements **(which we are only confirming you have prepared, and are not asking that you provide as part of this report)**:

1. Name of Accused and Employee Type/Student Status (SHRA, EHRA Non-faculty, Faculty, Temp Employee, Student, Other)
2. Name of Complainant and Employee Type/Student Status (use above categories)
3. Date of Complaint
4. Date of Resolution
5. Identify protected basis(es) for complaint: race, religion, color, national origin, sex, age, disability, genetic information, political affiliation.
6. How Complaint was filed: EEOC Complaint, EEO complaint, complaint part of SHRA grievance process
7. Findings? Yes or No
8. If findings, list type of disciplinary action: Dismissal or Other disciplinary action

<input checked="" type="checkbox"/>	YES	My institution tracks harassment complaints and, if requested by the System Office, can provide the above listed data in a report form.
<input type="checkbox"/>	NO	My institution does NOT track harassment complaints or does not collect all of the above listed data. Please be prepared to send a separate email to System Office HR, to the attention of Keith Dupuis, about this issue. It was an expectation starting during the FY 20-21 HR Compliance Plan that no campus would be checking "NO."

PART 6: Report of Hiring Activity

Report of Hiring Activity for Fiscal Year 2020-2021. Hiring activity should include competitive events and waivers of recruitment for **permanent positions only** (exclude temporary employees/appointments). Please note that WAIVERS are considered hires as well.

Employee Category	Hires External to State Government and UNC System	Hires from Outside the UNC System but Internal to State Government	Hires from another UNC System Campus or Affiliate (Including UNC Healthcare)	Hires Internal to the Campus
EHRA Faculty	77	1	3	12
EHRA Senior Academic and Administrative Officer Tier I	0	0	0	0
EHRA Senior Academic and Administrative Officer Tier II	5	1	0	9
EHRA IRIT	42	6	3	13
All Other EHRA Non-Faculty Not Otherwise Categorized	0	0		0
SHRA Staff	189	5		103
Grand Totals	313	13	6	137

PART 7: Training & Professional Development

Compliance Training		Do you offer mandatory supervisor training program(s)? If Yes, include # of supervisors who completed that training in FY20-21.	
Total # of Supervisors (Faculty and Staff)	1366		
# of Supervisors who have completed EODF Training	357	YES - Number who completed training this year	
# of Supervisors who have started EODF Training	126	NO	X

Use course completion information as of June 30, 2021

Central Staff Professional Development Expenditures	
Total FTE in Work Unit	3
Total Personnel Expenditures in Work Unit	\$182,382

Total Non-Personnel Expenditures in Work Unit	\$212,508
Total Expenditures Per Capita (<i>Perm Staff Only</i>)	\$103.73
Total Expenditures as % of Payroll (<i>Perm Staff Only</i>)	0.0013%

Report information only for development programs offered through Human Resources (or through other institution-wide organization if not housed within Human Resources) Under Non-Personnel Expenditures, include any institution-wide contracts with content providers (eg, Skillsoft, LinkedIn Learning, Covey, etc.) even if not paid by HR

PART 8: Verification Checks

Does your institution perform criminal background checks on all new hires as well as internal hires when the individual assumes an entirely new position?

<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO	COMMENT:
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Does your institution's established process conform with all of the requirements in the UNC System Regulation on background checks?

<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO	COMMENT:
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Does your institution perform educational credential checks on all new hires to the institution?

<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO
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What mechanism is used to perform these educational credential verifications?

For EHRA Faculty hires, educational credentials are reviewed in accordance with The Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) Standard 6.2a: "For each of its educational programs, the institution a. Justifies and documents the qualifications of its faculty members." Based on this standard, ECU has developed three pathways by which a faculty member is credentialed: 1) we verify via official transcripts that the employee's terminal degree is in the teaching discipline/related discipline or that they have completed 18 graduate semester hours of coursework in the teaching discipline, depending on the level of courses taught; 2) we verify equivalent alternate credentials; 3) we verify specific alternate credentials. Credentials are reviewed and verified on the "Certification of Credentials and Qualifications for a Faculty Appointment" form at the time of hire, as well as via the online Faculty Certification tool in PiratePort. In the case of a degree conferred outside of the US or its territories, we use a third-party vendor, Educational Credential Evaluators, that evaluates the transcripts, diplomas, and any other related information to ensure the degree is valid and equivalent to a degree conferred in the US. For EHRA Non-Faculty hires, the respective division offices request official transcripts showing conferral of highest earned degree be submitted to their office upon hire. For SHRA/CSS hires, the transcript process is completed for all employees within the first 90 days of employment using a transcript verification form. The form requests information regarding the institution that conveyed the degree along with approval to obtain the verification. The signed form is sent to the institution requesting confirmation of the degree (s) that were awarded. This is completed for the highest degree earned unless a lesser degree is used to minimally qualify the individual. If the form comes back indicating that the college/university uses the National Student Clearinghouse to verify educational credentials, then the employee is contacted to provide an original degree for examination by HR. The employee is also contacted if there are other difficulties encountered with the verification process. Employees that have received degrees from foreign institutions are required to have the degree translated to US equivalent during the first 90 days of their probationary period.

Does your institution have an established process to conduct Federal I-9 checks for all new hires to the institution?

<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO
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Are these I-9 verifications performed on paper forms or using a DHS-certified electronic platform?

<input type="checkbox"/>	Paper Forms	<input checked="" type="checkbox"/>	Electronic Platform	COMMENT: HireRight
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PART 9: Certification of Approval

Electronic approval is acceptable, such as an email to Keith Dupuis at the System Office that attests the Chancellor has personally signed off on this report, and that the Board of Trustees was advised on any element in which they're due approval or oversight (such as setting SAAO-II salary ranges or if you have Management Flexibility and elect to create your own ranges).

INSTITUTIONS WITH MANAGEMENT FLEXIBILITY	
I certify that I reviewed and approved [INSTITUTION NAME]'s Annual Human Report for the Fiscal Year ending June 30, 2021 and this report was reviewed by the Board of Trustees on [INSERT DATE].	
Name:	
Chancellor	Date

INSTITUTIONS WITHOUT MANAGEMENT FLEXIBILITY	
I certify that I reviewed and approved [INSTITUTION NAME]'s Annual Human Report for the Fiscal Year ending June 30, 2021.	
Name:	
Chancellor	Date

East Carolina University

Disclosure on Faculty Salary Ranges for FY 20-21

ECU's Division of Academic Affairs uses CUPA data to create salary ranges. The UNC System Office established list of peer institutions is used to determine the 80th percentile median and the range from 40% below to 40% above the 80th percentile except when such comparisons are not available. In these cases, the 80th percentile of the public research and doctorate institutions are customarily used. We use the established CUPA faculty salary ranges for our academic ranks. The Chancellor makes academic rank promotion increase determinations for the institution (for example, the current standard increase rate is \$6k for promotion to Associate Professor and \$9k for promotion to full Professor).

ECU's Division of Health Sciences uses salary survey results for each specialty when establishing faculty salaries. For example, the American Association of Medical Colleges and Schools (AAMC) is what the Division of Health Sciences uses for the Brody School of Medicine, and the American Dental Education Association (ADEA) is what the Division uses for faculty salaries in the School of Dental Medicine. When using AAMC data, the 25th percentile is typically used as the target market rate, divided by 1.50 to obtain the minimum of the salary range and the minimum is multiplied by 2 to obtain the maximum of the salary range. However, if the 75th percentile exceeds this calculation, then the 75th percentile is used as the maximum. When using ADEA data, the mean and median are used along with internal comparisons based on specialty area.

Policy	POL06.20.01
Title	Supplemental Pay for EHRA Employees
Category	Human Resources
Sub-category	Compensation
Authority	Board of Trustees
History	Original BOT approval May 5, 2006; Revised, BOT approved and effective July 18, 2013.
Contact	Associate Provost for Personnel and Resource Administration, 252-328-2679 Associate Vice Chancellor for Health Sciences Administration and Director, BSOM Faculty Employment, 252-744-1910 Assistant Vice Chancellor for Human Resources, 252-328-9882
Related Policies	UNC Policy Manual VA7 300.1.1, Senior Academic and Administrative Officers UNC Policy Manual VA7 300.2.13, Supplemental Pay Policy for Employees Exempt from the State Personnel Act (EPA) ECU Regulation, Faculty Workload ECU Faculty Manual, Part XI, General Faculty Employment Guidelines and Benefits ECU Policy for Employees Exempt from the State Personnel Act NC Office of State Human Resources Policy on Dual Employment
Additional References	EPA Plan for Management Flexibility to Appoint and Fix Compensation Dual Employment Form CP-30 ECU Supplemental Payment and Stipend Codes EPA Supplemental Pay and Stipend Form

1. Purpose

This Policy outlines practices for additional compensation paid to East Carolina University EHRA employees: 1) faculty, 2) non-faculty (EHRA-NF instructional and research), and 3) Senior Academic and Administrative Officers (SAAO) Tier I and Tier II. This Policy is not intended to modify any of the existing policies or procedures governing the administration of University salaries nor to address compensation practices for Clinical Support Services (CSS) or employees subject to the State Human Resources Act (SHRA). [Note: see the ECU Policy for Employees Exempt from the State Human Resources Act for more information on the non-faculty classification of EHRA employees]

2. Definitions

2.1. Base Salary – Base salary is the annual permanent salary of the employee approved by the Chancellor, Board of Trustees, or Board of Governors as it appears in the employment contract or subsequent salary increase/decrease letters. The base salary does not include any supplemental payments, although such payments may be addressed in a contract letter as part of the compensation package for the contract period.

2.1.1. For the purpose of defining the Base Salary, faculty in the Brody School of Medicine subject to the ECU Physicians' Clinical Faculty Compensation plan, an EHRA employee's Base Salary will be the sum of the academic base and the supplement, as defined by the Clinical Faculty Compensation Plan.

2.2. Supplemental Payments – Supplemental Payments are compensation in excess of an EHRA employee's Base Salary for temporary increases in responsibility and/or for extra duties beyond the scope of the primary appointment. Prior approval of the supervisor, department chair or school director, dean and vice chancellor(s) are required. See Section 5, below, for a non-exclusive list of Supplemental Payment types. [Note: see the ECU Supplemental Pay Codes matrix for additional descriptors and budget coding]

2.3. Contract Period – For 9-month faculty the contract period is typically August 16 through May 15. For 12-month faculty the contract period is typically July 1 through June 30. The specific dates for individual employees are based on the actual employment contracts.

2.4. Outside the Contract Period – The specific dates of the time outside the employee's contract period is based on the actual employment contract. There is no Outside the Contract Period for 12-month employees.

2.5. External Funds – Grant or other non-state appropriated funding sources.

2.6. Dual Employment – Dual employment occurs when a university employee agrees to perform services for another state agency on a part-time or contractual basis. Approval must be obtained from the supervisor, department chair or school director, dean and vice chancellor before the service is provided in order to ascertain whether the obligation will interfere with job duties and commitments of the primary position. Dual employment must be in accordance with the State of North Carolina Policy on Dual Employment.

3. General Provisions

3.1. No Supplemental Payments, above the Base Salary, may be paid for university duties that are generally related to the position to which the individual is appointed during the Contract Period. See Section 2.2 for a definition of Supplemental Payments and the Supplemental Pay Codes matrix for additional descriptors and budget coding.

3.2. Assignment of additional responsibilities for which supplemental payments may be considered must comply with university established Affirmative Action and Equal Employment Opportunity policies and procedures, up to and including a formal search process when required.

3.3. This Policy complies with applicable federal and state restrictions on level of effort for external sponsored projects, grants, or contracts. Federal restrictions permit up to 3/9ths effort for

a 9-month employee outside the Contract Period and no more than 100% of total compensated effort during the Contract Period (133% Rule). In the case of these projects, the rate of pay for any Supplemental Payment must be the same as the base rate of pay for the employee's primary contracted assignment.

3.3.1. Supplemental Payments, excluding approved summer research and instruction, and administrative supplements cannot be charged to a sponsored project.

3.4. All Supplemental Payments require the appropriate pre-approvals by the supervisor, department chair or school director, dean and vice chancellor. If the employee is in a different department/unit/division from the unit requesting service, approval of the supervisor, department chair or school director, dean and vice chancellor of the home department/unit/division is required, each time any vice chancellor approval is required under this policy.

3.5. Per the applicable hiring guidelines, less than full-time appointments during an academic or fiscal year can be increased up to full-time (1.0 FTE) with the appropriate additional compensation becoming part of their Base Salary upon approval by the supervisor, department chair or school director, dean and vice chancellor of the home department/unit/division.

3.6. Process for Approvals. Prior approval may be granted upon receipt and analysis of the following:

3.6.1. A written request from the appropriate unit administrator, including a rationale for recommending the employee, an explanation of the activities contemplated, a clear justification as to why the activity warrants Supplemental Payments, the relationships of the activities to the primary appointment, basis for the determination of the compensation rates, duration of assignment, and, if applicable, statements relative to any conflict with the primary appointment.

3.6.2. The request must be made to the supervisor, department chair or school director, dean and vice chancellor for their approval by affixing dated signatures on the request.

3.6.3. In the review, the total time commitments of the individual (workload, approved supplemental activities and external activities for pay) will be considered.

3.6.4. The approved request must be submitted to the Academic Affairs Personnel Administration, Health Sciences Personnel Administration, or EHRA Human Resources Administration for final review and processing.

3.6.5. Documentation related to Supplemental Payments must be maintained in the employee's personnel file.

3.7. No assignment that results in a supplemental payment may exceed one year, unless specifically approved by the appropriate vice chancellor(s).

3.8. No SAAO may be paid, in addition to his or her salary, for any services rendered to any institution-related foundation, endowment, or other entity that: 1) was established by officers of

the university, 2) is controlled by the university, or 3) is tax-exempt based on being a support organization for the university.

3.9. All Supplemental Payments will be processed as compensation through ECU Payroll, and subject to all applicable taxes and withholdings.

3.10. Individual fund sources may have specific restrictions on compensation or may have more restrictive practices than this policy. When there is conflict between this policy and the sponsor or activity requirements, the most restrictive practice will normally be applied.

4. The Chancellor may approve supplemental pay in any exceptional situation that makes it unduly burdensome or otherwise contrary to the best interest of the university to fulfill one or more requirements of this policy by signing a memorandum that states the reason(s) for the exception. The memorandum is to be retained in the employee's personnel file and a copy provided to the Academic Affairs Personnel Administration, Health Sciences Personnel Administration, or EHRA Human Resources Administration.

5. Types of Supplemental Payments

The following is a non-exclusive list of types of Supplemental Payments:

5.1. Conducting Seminars, Workshops, Continuing Education Programs, and Training Approval may be granted for Supplemental Payments during the Contract Period for conducting non-credit short courses or presenting special lectures, seminars, workshops, creative activity, teaching in non-credit programs sponsored by the Office of Continuing Studies, or conferences for continuing professional education.

5.2. Administrative Assignments EHRA employees occasionally assume administrative duties for which Supplemental Payments are appropriate. Examples include, but are not limited to: (1) assignments of one year or less as coordinators of undergraduate, graduate or special programs; (2) interim appointments to a higher level of administrative responsibility, such as interim department chair, dean, or director.

5.3. One-Time Special Payments EHRA employees sometimes perform work unrelated to their primary appointment, such a special project related to the person's expertise for which they may receive a payment

5.4. Overload Instruction Faculty should generally not be paid for teaching credit courses in addition to their normal course load. Instead, other arrangements should be made, such as a corresponding course reduction in the following semester. Under extraordinary circumstances, overloads may be approved consistent with the process outlined in this policy, for faculty to teach additional for credit courses. This is only allowable if this does not cause a conflict of commitment with other assigned duties, and the faculty member has an appropriate workload.

5.5. Summer Research and Instruction Due to government restrictions on the level of effort, a 9-month faculty member who participates in government-sponsored research outside the Contract Period can teach summer sessions as long as the combination of teaching and research effort

and compensation does not exceed 3/9ths of the Base Salary. The summer teaching rate of pay is determined by the academic unit. The summer research rate of pay is based on the previous year's academic contract Base Salary and the 3/9ths requirements.

5.6. Instruction by EHRA-NF and SAAO Employees (Tier II) EHRA-NF and SAAO Employees (Tier II) may be allowed, subject to advance approval as described herein, to receive a supplemental payment for additional instructional responsibilities provided the additional responsibilities do not conflict with the duties of their primary appointment. Generally the instructional responsibilities must be carried out at times other than during the normal working hours associated with the primary appointment. However, in extraordinary circumstances, the instruction may occur during normal working hours with the approval of the supervisor, department chair or school director, dean and vice chancellor(s). Paid leave may not be used to account for the time associated with the additional instructional assignment, but leave without pay is an option. If leave without pay is not used to account for the time away from the primary appointment, an alternate work schedule must be approved. All such arrangements must be reviewed annually and approved by the supervisor, department chair or school director, dean and vice chancellor(s).

5.7. External Funds

5.7.1. For 9-month faculty, Supplemental Payments can be earned outside the Contract Period for university-sponsored research that is externally funded by a government agency as approved by the department chair or school director, dean and vice chancellor and Office of Grants and Contracts. The maximum payment is 3/9ths of the Base Salary or 100% of time.

5.7.2. Government sponsors do not permit compensation beyond the base rate of pay during the Contract Period. As a general rule, faculty (9-month and 12-month) involved in sponsored research during the Contract Period should have their other assigned responsibilities reduced. All compensation rates and periods of appointment must be approved by the Office of Grants and Contracts. Individual sponsors may have more restrictive requirements than applicable university policies. The most restrictive policy will be applied for sponsored project compensation.

6. Effective Date

This Policy is effective July 18, 2013 and shall supersede any previous supplemental pay policies at East Carolina University.

For Institutions with the Special Authority to Create or Modify IRT Positions

Those campuses with special IRT authority should use this template to report all new or modified positions that happened during Fiscal Year 2020-2021.

Institution	EHRA Title	EHRA Position Number	Previous Designation	Previous SHRA Position (if applicable)		IRIT Code	Effective Date
			(SHRA, EHRA or New Position)	Classification (Plus Competency)	Position Number		
ECU	Director Client Engagement and Support	500199	SHRA	IT Project Program Mgr - Advanced	908615	NF22 - Information Technology	7/29/2020
ECU	Director of Office of Student Transitions	944606	EHRA			NF18 - Student Support Services	8/3/2020
ECU	Research Assistant	500200	New Position			NF17 - Research & Clinical Profession	8/11/2020
ECU	Gear Up Success Coach	500201	New Position			NF01 - Academic Advising & Assessment	8/24/2020
ECU	Transgenic Researcher	500202	New Position			NF17 - Research & Clinical Profession	9/25/2020
ECU	IT Business Systems Analyst I	500203	SHRA	Business Systems Analyst-Contributing	901593	NF22 - Information Technology	10/22/2020
ECU	Affirmative Action Compliance Program Manager & Diversity and Equity Special Projects Director	900021	EHRA			NF10 - IR & Assessment Mgmt	12/9/2020
ECU	Manager of Global Technologies	932818	EHRA			NF22 - Information Technology	1/8/2021
ECU	IT System Administrator III IT Enterprise Sys and Oper	500206	SHRA	Systems Programmer/Analyst-Advanced	908628	NF22 - Information Technology	1/12/2021
ECU	Equal Opportunity Complaint Investigative Assistant	002509	EHRA			NF10 - IR & Assessment Mgmt	1/20/2021
ECU	Assistant Director of Athletics for Medical Services	985101	EHRA			NF05 - Athletics Coaching & Mgmt	1/22/2021
ECU	Affirmative Action Compliance Assistant Manager	929941	EHRA			NF10 - IR & Assessment Mgmt	1/25/2021
ECU	IT Systems Administrator III IT Enterprise Sys and Oper	500207	SHRA	Systems Programmer/Analyst-Advanced	908610	NF22 - Information Technology	1/26/2021
ECU	IT Security Professional II IT Information Security	500208	SHRA	IT Security Specialist-Journey	908358	NF22 - Information Technology	1/27/2021
ECU	Assistant Director of Outreach and Recruitment	500209	New Position			NF18 - Student Support Services	2/12/2021
ECU	IT Analyst Programmer I	500210	New Position			NF22 - Information Technology	3/8/2021
ECU	Equal Opportunity and Title IX Compliance Specialist	500211	SHRA	University Program Specialist-Journey	400157	NF10 - IR & Assessment Mgmt	4/7/2021
ECU	Director NC Early Math Placement	937857	EHRA			NF18 - Student Support Services	4/14/2021
ECU	IT Bus Intel/Data Analyst	500212	SHRA	Business Systems Analyst-Advanced	002010	NF22 - Information Technology	4/21/2021
ECU	IT Analyst Programmer I	500213	New Position			NF22 - Information	4/22/2021
ECU	Defense POW/MIA Accounting Agency Research Fellow	500214	New Position			NF17 - Research & Clinical Profession	4/23/2021
ECU	Finance, Practice and Leadership Coordinator	500215	New Position			NF02 - Academic Preparation & Enhncmt	5/26/2021
ECU	Associate Athletics Director for External Relations	981355	EHRA			NF05 - Athletics Coaching & Mgmt	6/2/2021
ECU	Senior Special Teams Analyst	983002	EHRA			NF05 - Athletics Coaching & Mgmt	6/8/2021
ECU	Assistant Director, Disability Support Services	002697	EHRA			NF18 - Student Support Services	6/14/2021

ECU	IT Analyst/Programmer III IT Enterprise Info Systems	500217	SHRA	Business Technology Applications Analyst- Advanced	001442	NF22 - Information Technology	6/17/2021
ECU	Business Intelligence and Outreach Supervisor IT Enterprise Data Serv	500218	SHRA	Business Technology Applications Analyst- Advanced	908976	NF22 - Information Technology	6/17/2021



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. E. Report of Related Persons

Responsible Person: Stephanie Coleman, VC Admin & Finance


Action Requested: Information

Notes: N/A



MEMORANDUM

TO: ECU Board of Trustees

FROM: Dr. Philip Rogers
Chancellor 

DATE: April 4, 2022

RE: Employment of Related Persons

Annually, I am required to report to you, in accordance with applicable University of North Carolina and East Carolina University Anti-Nepotism Policies and Guidelines, concerning specific cases during the preceding year in which the terms of the Policies were applied.¹ Specifically, the Guidelines require that my written report to you set forth the circumstances for those cases in which the nepotism question arose during the preceding year:

- 1) All cases in which an individual making written application for employment was denied employment because of the requirements of the Policies and Guidelines (e.g., the employment would have resulted in one relative supervising another, or an unrelated candidate had demonstrably superior qualifications); and
- 2) All cases in which concurrent employment of related persons was allowed (e.g., the supervisory relationship was not “direct,” or there were no other candidates for the available position whose professional qualifications were demonstrably superior to those of the relative). In instances in which the concurrent employment of related persons was permitted, ECU ensured there was no direct supervisory relationship between the related persons (e.g., through the development of management plans).

We have sought and received information from each division for this report, and this memorandum is to report to you that East Carolina University is in compliance with the Policies and Guidelines. In addition, more specific information as noted, above, can be found on the attached spreadsheet.² Please note that the attached spreadsheet contains confidential personnel information in accordance with N.C. GEN. STAT. §126-22, *et seq.*

Attachment

cc: Ms. Stephanie Coleman, Vice Chancellor for Administration and Finance
Ms. Kitty Wetherington, Associate Vice Chancellor for Human Resources

¹ See The UNC Policy Manual 300.4.2, *Employment of Related Persons (Anti-Nepotism Policy)* and the East Carolina University *Employment of Related Persons (Anti-Nepotism) Policy* (POL06.05.02) (the “Policies”); and, The UNC Policy Manual 300.4.2.1[G], *Guidelines on Implementing Anti-Nepotism Policy* (the “Guidelines”).

² This year’s report includes specific information regarding all cases of concurrent employment, not only those identified since the prior year’s report.



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. F. Designer Selections since last Board meeting

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Information

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

Designer Selection Approval Summary

April 28, 2022



Informal Designer Selection Approval Summary

Direct Select Approvals 2-8-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
College Hill Suites Structural Evaluation for HVAC Roof Equipment	Housing Receipts	RPA Engineering
Campus Storm Retention (BMP) Improvements	Academic Affairs Grant	ARK Consulting
Dail House Front Porch (Floor) Replacement and ADA Ramp	Administration & Finance	MHAWorks
Jones Hall Water Main Dual Backflow Installation	Administration & Finance	East Group
Science and Tech Building Emergency Power for Research Equipment	20-21 Carry Forward	East Group
Bate Building Suite 2010 Renovations	Academic Affairs College of Arts and Sciences	JKF Architecture
Rawl Building Access Door for HVAC Equipment	20-21 Energy Carry Forward	JKF Architecture
College Hill Drive/Haskett Way Repair and Repave	20-21 Carry Forward	ARK Consulting
Ficklen Drive Repair and Repave	20-21 Carry Forward	ARK Consulting



Informal Designer Selection Approval Summary

Direct Select Approvals 2-14-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
MC Steam Plant Gas Line	20-21 Energy Carry Forward	Affiliated Engineers
Science & Tech Electrical Dist Switch Replacements	20-21 Carry Forward	Affiliated Engineers
Brewster Building Exterior Door Replacement	20-21 Carry Forward	JKF Architecture
Main Campus Student Center Parking Deck Repairs - Construction Materials Testing	Student Affairs 2013	Terracon

Direct Select Approvals 2-21-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
Cotanche HPC Study	IT Operational Funds - Data Center Management	Engineered Designs, Inc.
Scales Field House - Ballast and Roof Design	Facilities Non-recurring Year-end	Atlas Engineering
Brewster Early College High School Renovation	Administration & Finance	MHAWorks



Informal Designer Selection Approval Summary

Direct Select Approvals - 3-7-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
Old Cafeteria-Install Steam Manhole and Replace Piping	21-22 R&R	Dewberry
HSC CUP Catwalks	21-22 R&R	Lynch Mykins
East Carolina Heart Institute - Room 1310 CT Scanner Replacement	Cardiovascular Sciences HS CV Sci Imaging	Steve Hall Architecture

Direct Select Approvals 3-24-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
2022 ADA Assessment Update	Administration & Finance NRYE 21-22	MHAworks
Ward Building 3rd Floor Space Study	Facilities NRYE 21-22	CRA
Christenbury Replace Roof	21-22 R&R	Atlas Engineering
Warren Life Science Building - Roof Section B	21-22 R&R	Atlas Engineering
Eakin Student Rec Center Pool Pump Room Repairs	Campus Recreation and Wellness	The East Group



Informal Designer Selection Approval Summary

Direct Select Approvals 3-29-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
Howell Science Building South Comprehensive Renovation Hazardous Material Survey	Appropriated (SCIF)	Affinity Environmental Consulting, LLC.
Minges Pool Locker Room Hazardous Material Survey	Educational Foundation	Affinity Environmental Consulting, LLC.

Direct Select Approvals 4-18-22

<u>Project</u>	<u>Funding Source</u>	<u>Designer</u>
Duncan Court (Flanagan South) Parking Lot Improvements	Administration & Finance	The East Group
Service Drive Repairs and Repave (White Hall)	20-21 Carry Forward	The East Group
Whichard Comprehensive Renovation Hazardous Material Survey	Appropriated (SCIF)	Affinity Environmental Consulting, LLC.
Eastern Region Pharma Education Center	Golden Leaf Grant	Lord Aeck Sargent



Formal Designer Selection Approval Summary

Eakin SRC HVAC Repairs & Gym Floor Replacement

November 16, 2021	Advertisement
February 4, 2022	Pre-Selection Committee Recommendation
February 8, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Salas O'Brien, Raleigh, NC
(in prioritized order)	Affiliated Engineers, Inc., Chapel Hill, NC
	RMF Engineering, Inc., Raleigh, NC

FIRM SELECTED: **Salas O'Brien, Raleigh, NC**



Formal Designer Selection Approval Summary

Howell Science Building South Comprehensive

January 12, 2022	Advertisement
March 14, 2022	Pre-Selection Committee Recommendation
March 18, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	BSA Life Structures, Raleigh, NC
(in prioritized order)	Moseley Architecture, Raleigh, NC
	HH Architecture, Raleigh, NC

FIRM SELECTED: **BSA Life Structures, Raleigh, NC**



Formal Designer Selection Approval Summary

Whichard Building Comprehensive Renovation

January 13, 2022	Advertisement
March 18, 2022	Pre-Selection Committee Recommendation
March 21, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	HH Architecture, Raleigh, NC
(in prioritized order)	Skinner Farlow Kirwan Architecture, Raleigh, NC
	Davis Kane Architects, P.A., Raleigh NC

FIRM SELECTED: **HH Architecture, Raleigh, NC**



Formal Designer Selection Approval Summary

Chilled Water Extension to Whichard and Graham

January 28, 2022	Advertisement
March 22, 2022	Pre-Selection Committee Recommendation
March 24, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Dewberry Engineers, Inc., Raleigh, NC
(in prioritized order)	Affiliated Engineers, Inc., Chapel Hill, NC
	RMF Engineering, Raleigh, NC

FIRM SELECTED: Dewberry Engineers, Inc., Raleigh, NC



Formal Designer Selection Approval Summary

Health Sciences Building Envelope Infiltration Repairs

February 10, 2022	Advertisement
March 30, 2022	Pre-Selection Committee Recommendation
March 31, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Atlas Engineering, Inc., Raleigh, NC
(in prioritized order)	Davis Kane Architects, P.A., Raleigh, NC
	REI Engineers, Raleigh, NC

FIRM SELECTED: Atlas Engineering, Inc., Raleigh, NC



Formal Designer Selection Approval Summary

MC - Relocate Steam and Condensate Phase 1

January 28, 2022	Advertisement
March 30, 2022	Pre-Selection Committee Recommendation
March 31, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Atlas Engineering, Inc., Raleigh, NC
(in prioritized order)	Davis Kane Architects, PA, Raleigh, NC
	Wiley Wilson Engineers, Raleigh, NC

FIRM SELECTED: Atlas Engineering, Inc., Raleigh, NC



Formal Designer Selection Approval Summary

Main Campus Speight Building Roof, Window and Envelope Replacement

February 2, 2022	Advertisement
April 14, 2022	Pre-Selection Committee Recommendation
April 18, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Intrepid Architecture, P.A, Greenville, NC
(in prioritized order)	Davis Kane Architects, P.A., Raleigh NC
	REI Engineering, Inc., Raleigh, NC

FIRM SELECTED: **Intrepid Architecture, P.A, Greenville, NC**



Formal Designer Selection Approval Summary

Brody Building Envelope Infiltration Repairs

March 7, 2022	Advertisement
April 4, 2022	Pre-Selection Committee Recommendation
April 20, 2022	Approval of Committee Recommendation

FIRMS SHORTLISTED:	Davis Kane Architects, P.A., Raleigh, NC
(in prioritized order)	Terracon Consultants, Inc., Raleigh, NC
	REI Engineering, Inc., Raleigh, NC

FIRM SELECTED: **Davis Kane Architects, P.A., Raleigh, NC**





Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. G. Approved BOT Delegated Capital
Projects \$300K - \$750K

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Information

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

Delegated Project Approvals

April 28, 2022



BOT Delegated Project Approvals

On April 20, 2022 Board of Trustees (BOT) of East Carolina University per their delegation to the Chancellor in consultation with the Chair of the Finance and Facilities Committee authorized the following capital improvement projects:

<u>Project Title</u>	<u>Total Project Authorization</u>	<u>Funding Source</u>
East Carolina Heart Institute Water Intrusion and Flashing Corrections Phase 1	\$500,000	Carry Forward 20-21
Brewster Courtyard	\$687,574	Carry Forward 20-21

The Board of Governors, on May 22, 2019, approved changes to the dollar value of projects requiring Board of Governors approval of non-appropriated capital improvement projects, delegating authority for projects where the projected cost is less than \$750,000 to the institutions' Boards of Trustees, effective July 1, 2019, (UNC Policy Manual Section 600.1.1).





Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. H. Capital Projects submitted to BOG for approval

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Information

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

UNC Board of Governors
Capital Project Funding
Authorizations Received

April 28, 2022



UNC Board of Governors Capital Project Funding Authorizations Received

BOG Submissions - February 24, 2022

Project	Total Project Costs	Previous Authorization	Amount Approved	Funding
Eastern Region Pharma Education Center	\$ 978,000	\$ -	\$ 978,000	Trust Funds – Golden Leaf Grant
Joyner to Student Rec (Shared use path) Pedestrian Plaza Phase 3	\$ 1,000,000	\$ -	\$ 1,000,000	Carry Forward 20-21
Main Campus Steam De-Centralization to Minges, Murphy, Ward - Supplemental Funds	\$ 2,919,620	\$ 1,619,620	\$ 1,300,000	Carry Forward 20-21
Bate Building Fire Alarm - Smoke Containment Repairs	\$ 2,565,382	\$ 2,065,382	\$ 500,000	Carry Forward 20-21
HSC Heat Plant Deaerator Tank	\$ 1,342,454	\$ 935,000	\$ 407,454	Carry Forward 20-21

BOG Submissions - April 6, 2022

Project	Total Project Costs	Previous Authorization	Amount Approved	Funding
Mendenhall Comprehensive Renovation Phase 2	\$ 10,544,304	\$ 10,544,304	Net \$0	Replaced \$5.5M Student Affairs funding with \$5.5M Carry Forward 20-21 funding





Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. I. Capital Projects update

Responsible Person: Stephanie Coleman, VC Admin & Finance
Bill Bagnell, Associate VC Campus Operations

Action Requested: Information

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

Capital Projects Update

April 28, 2022



Life Sciences and Biotechnology Center



- Status: Closeout
- Budget: \$90,000,000
- Designer: Lord, Aeck & Sargent, Inc.
- Contractor: Rodgers Builders, Inc.
- Construction: 07/15/19 - 12/01/21
- Next quarter: All punch list items complete and roof repairs complete. Move to final closeout.



Mendenhall Renovation Phase 1



- Status: Construction
- Budget: \$6,700,000
- Designer: Davis Kane, Architects, P.A.
- Contractor: AR Chesson Construction Co, Inc.
- Estimated Construction: 03/22/21 – 07/01/22
- Next Quarter: Construction Continuing – Complete interior finishes and punch list and all site related activities and obtain all final inspections for occupancy



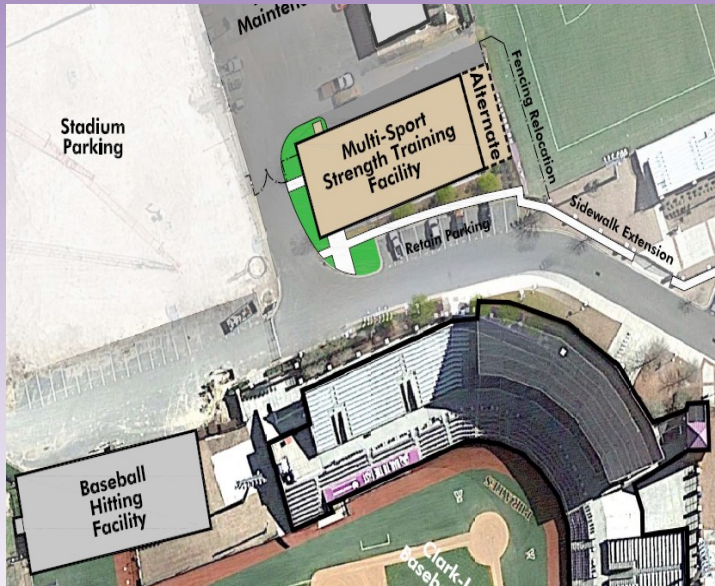
Isley Innovation Hub



- Status: Construction
- Budget: \$4,900,000
- Designer: Clark Nexsen
- Contractor: Cooper Tacia General Contracting Company
- Estimated Construction: 01/06/21 – 04/12/22
- Next quarter: Project close out



Multi-Sports Strength Training Facility



- Status: Construction Documents
- Budget: \$2,500,000
- Consultants: CRA
- Construction: 02/01/22- 08/15/22
- Next quarter: Continue shop drawings. Infrastructure and foundation work completed. In slab rough-ins, slab pour, rigid frame install.



Brody School of Medicine (New Medical Education Building)



- Status: Designer Selection
- Budget: \$215,000,000
- Designer: TBD
- Estimated Construction: 4/1/24 – 12/31/26
- Next Quarter: Begin advance planning and CM@Risk solicitations



Howell Science Building South Comprehensive Renovation



- Status: Designer Selection
- Budget: \$30,000,000
- Designer: BSA LifeStructures
- Estimated Construction: 1/1/24 - 6/1/25
- Next Quarter: Programming with the user groups and designer



Whichard Building Comprehensive Renovation



- Status: Designer Contracting
- Budget: \$15,000,000
- Designer: HH Architecture
- Estimated Construction: 10/1/24-6/1/26
- Next Quarter: Programming with the user groups and designer



Mendenhall Renovation Phase 2



- Status: Designer Agreement
- Budget: \$10,544,304
- Designer: Davis Kane, Architects, P.A.
- Estimated Construction: 07/01/23-09/01/24
- Next Quarter: Programming of the ground floor space with the designer and user groups



Main Campus – Chilled Water Extension to Graham and Whichard



- Status: Design Selection
- Budget: \$6,475,000
- Designer: Dewberry Engineers, Inc.
- Estimated Construction: 7/17/23 – 3/28/25
- Next quarter: Define design scope and negotiate design agreement; start schematics



Brody Medical Sciences Building High Rise Code Compliance – Phase 2



- Status: Designer Selection
- Budget: \$6,000,000
- Designer: TBD
- Estimated Construction:
06/26/2023 -11/09/2024
- Next Quarter: Select designer,
conduct geotechnical assessment,
contract Designer and complete
schematic design.



Health Sciences Building Envelope Infiltration Repairs



- Status: Designer Contracting
- Budget: \$5,000,000
- Designer: Atlas Engineering
- Estimated Construction: 04/14/2023 - 10/01/24
- Next Quarter: Locate and prioritize repair locations and begin repair design



Main Campus – Relocate Steam and Condensate – Phase 1



- Status: Design Selection
- Budget: \$5,000,000
- Designer: RMF Engineering, Inc.
- Estimated Construction: 4/24/23 – 11/17/23
- Next quarter: Define design scope and negotiate design agreement; start schematics



Speight Building Roof, Window and Envelope Replacement



- Status: Designer Selection
- Budget: \$4,000,000
- Designer: TBD
- Estimated Construction: 10/1/2023 – 04/30/2025
- Next Quarter: Advance planning and preliminary design



Main Campus – College Hill Drive Replace Steam Phase 3



- Status: Schematic Design
- Budget: \$2,500,000
- Designer: Dewberry Engineers, Inc.
- Estimated Construction: 2/27/23 – 07/28/23
- Next quarter: Design development drawings submission to ECU/SCO



Major Capital Projects Schedule



FINANCE AND FACILITIES COMMITTEE Major Capital Projects Schedule

PROJECT	BOT MEMBER	2021												2022												2023												2024-27																													
		JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC																														
Life Sciences and Biotechnology Building	Fanning	Yellow											Blue																																																						
Isley Innovation Hub		Yellow											Blue																																																						
Mendenhall Renovation Ph 1		Red	Yellow											Blue																																																					
Multi-Sports Strength Training Facility		Blue	Red			Yellow						Blue																																																							
College Hill Drive Steam Ph 3													Green			Blue						Red	Yellow						Blue																																						
MC Relocate Steam & Condensate Ph 1													Green			Blue												Red			Yellow						Blue																														
Brody High Rise Code Compliance Ph 2													Green			Blue						Red			Yellow						Blue	Dec-24																																			
Mendenhall Renovation Ph 2													Green			Blue						Red			Yellow						Blue	Sep-24																																			
Health Sciences Building Envelope Infiltration Repair													Green			Blue						Red			Yellow						Blue	Dec-24																																			
Chilled Water Extension to Graham and Whichard													Green			Blue						Red			Yellow						Blue	Mar-25																																			
Speight Building Roof, Window and Envelope Replacement													Green			Blue						Red			Yellow			Blue	May-25																																						
Howell Science Building South - Comprehensive Renovation													Green			Blue						Red									Blue	Jun-25																																			
Whichard Building Comprehensive Renovation													Green			Blue						Red			Yellow			Blue	Jun-26																																						
Brody School of Medicine (New Medical Education Building)	Isley												Green			Blue																		Blue	Mar-27																																
KEY:		Programming			Design			Advertise, Bid & Award			Construction			Occupancy																																																					
		Green			Blue			Red			Yellow			Light Blue																																																					



Finance and Facilities Committee

April 28, 2022

Agenda Item: VII. J. Statement of Bonded Indebtedness

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A

**The University of North Carolina
Statement of Bonded Indebtedness as of March 31, 2022**

East Carolina University

Facility	Bond Issue	Date of Issue	Amount of Issue	Final Maturity	Amount Outstanding 03/31/22	Debt Service 03/31/22
Refunding of 2004C Pool Bonds (College Hill Dorm)	UNC System Pool Bonds, Series 2010A	03/31/10	4,370,000	10/01/21	-	984,000
Refunding of 2004C Pool Bonds (College Hill Dorm)	UNC System Pool Bonds, Series 2011A	12/07/11	2,545,000	05/01/23	1,000,000	20,000
Refunding of 2004C Pool Bonds (College Hill Dorm)	General Revenue Refunding Bonds, Series 2013A	02/07/13	10,905,000	10/01/33	10,905,000	325,937
Gateway East and West Housing Project	General Revenue Bonds, Series 2014A	02/13/14	53,685,000	10/01/23	2,565,000	1,348,000
West Facility Student Union	ECU General Revenue Bonds 2015A	07/23/15	29,955,000	10/01/44	26,230,000	1,688,852
Refunding of 2009A Bonds (Dining Project Croatan)	ECU General Revenue Bonds 2015A	07/23/15	5,164,922	10/01/44	4,157,796	603,637
Refunding of 2009A Bonds (Scott Residence Hall)	ECU General Revenue Bonds 2015A	07/23/15	24,248,294	10/01/44	21,014,488	2,013,337
Refunding of 2009A Bonds (Softball Field Project)	ECU General Revenue Bonds 2015A	07/23/15	3,946,784	10/01/44	3,417,716	326,489
Refunding of 2006A Bonds (College Hill Dorm)	ECU General Revenue Bonds 2015A	07/23/15	2,930,000	10/01/44	2,240,000	225,979
Refunding of 2006A Ref Bonds (2001A Bonds - Jones and Galley)	ECU Taxable General Revenue Bonds 2015B	07/23/15	4,895,657	10/01/21	-	1,015,000
East Union Project, Bookstore, Parking, and Dining	ECU General Revenue Bonds 2016A	01/27/16	102,730,000	10/01/45	92,040,000	6,644,663
Housing Projects: White, Greene, and Clement	ECU General Revenue Bonds 2016A	01/27/16	37,190,000	10/01/45	33,475,000	2,075,181
Refunding of 2010A Pool Bonds (East End Zone)	ECU General Revenue Refunding Bond, Series 2017A	10/12/17	12,490,000	10/01/29	10,010,000	1,316,100
Refunding of General Revenue Refunding Bond 2012 (West End Dining)	ECU General Revenue Refunding Bond, Series 2017B	10/12/17	3,330,217	10/01/26	2,193,710	138,108
Refunding of General Revenue Refunding Bond 2012 (College Hill Dorm)	ECU General Revenue Refunding Bond, Series 2017B	10/12/17	4,814,783	10/01/26	4,571,290	1,122,610
Dowdy Ficklen Stadium Renovation	ECU General Revenue Bonds, Series 2018A	04/24/18	51,685,000	10/01/47	49,535,000	2,963,575
Greene Residence Hall Renovation	ECU General Revenue Bonds, Series 2018A	04/24/18	24,110,000	10/01/47	22,585,000	1,282,875
Refunding of 2010B Bonds Tyler Dorm Project	ECU General Revenue Refunding Bonds, Series 2020	10/14/20	6,121,043	10/01/35	5,610,873	728,061
Refunding of 2010B Bonds Olympic Sports Facility	ECU General Revenue Refunding Bonds, Series 2020	10/14/20	10,718,957	10/01/35	10,154,127	885,289
Refunding of 2014A Bonds Gateway East and West	ECU General Revenue Refunding Bonds, Series 2021	06/17/21	50,100,000	10/01/43	49,245,000	1,756,055
Total- East Carolina University					350,950,000	27,463,748

*Bonds partially refunded with ECU Series 2021.

**Direct Placement Bonds.

**The University of North Carolina
Statement of Notes Payable as of March 31, 2022**

East Carolina University					Amount Outstanding 03/31/22	Debt Service 03/31/22
Facility/Equipment	Financial Institution	Date of Note	Amount of Note	Final Maturity		
Energy Savings Improvement Financing	Banc of America Public Capital Corp	09/16/14	6,143,408	<u>02/14/2023</u>	985,234	732,285
Total- East Carolina University					985,234	732,285

**The University of North Carolina
Statement of Capital Leases as of March 31, 2022**

East Carolina University		Date of Lease Inception	Amount of Original Lease	Date of Lease Expiration	Number of Beds (if applicable)	Ending Balance 03/31/22	Debt Service 03/31/22
Facility/Equipment	Lessor						
MRI	Philips Medical Capital, LLC	06/09/17	1,007,038	06/09/27	N/A	593,411	100,119
MRI Leasehold Improvements	Philips Medical Capital, LLC	06/14/17	624,203	07/14/23	N/A	209,690	81,814
Total- East Carolina University			1,631,241			803,101	181,933



Finance and Facilities Committee

April 28, 2022

Agenda Item: VIII. Other

Responsible Person: Stephanie Coleman, VC Admin & Finance

Action Requested: Information

Notes: N/A