

University Affairs Meeting – Board of Trustees
September 9, 2021

- **Operational Metrics** – Operational metrics from the Divisions of Academic Affairs, Student Affairs and Research, Economic Development and Engagement were reviewed.

- **Coastal Research During the Pandemic**
 - Interim Vice Chancellor Mike Van Scott presented a video that highlighted Coastal Research at the ECU Coastal Studies Institute.
 - Dean Reide Corbett was with the committee online and spoke more about the student and research experiences at CSI.

- **Greenville ENC Alliance & Intersect East**
 - Merrill Flood provide update on the development of Intersect East and shared several design images.
 - Construction will begin this fall, with groundbreaking kickoff event on Oct. 5, 2021
 - 10 year development; \$350M investment over next decade
 - Historic tax credits are being reviewed and are pivotal to this development
 - Brad Hufford, Vice President of Business Development with Greenville ENC Alliance spoke to the committee. He gave an overview of Greenville ENC Alliance and how the public private partnership supports economic development in the East.
 - Mr. Hufford also talked about the collaborative efforts between ElliottSidewalk, ECU and Greenville ENC Alliance to market Intersect East.
 - Greenville ENC Alliance will be one of the first tenants in the former Export Leaf Building

- **Fall Enrollment Update**
 - Dr. Ying Zhou presented the fall enrollment data based on Census Day information on Sept. 3, 2021.
 - 28,005 total enrollment which is a decline of 2.8% even though we had record applications and admittances.
 - Our committee will look forward to hearing more about budget impacts and initiatives to reverse the decline in November.

We had no action items coming out of committee.

Mr. Chairman, this concludes my report.

Report of the Audit, Risk Management, Compliance, and Ethics Committee for September 9, 2021

Operational Metrics Review

The committee reviewed the operational metrics related to Internal Audit, Healthcare Compliance, Research Compliance, and Athletics Compliance.

For the 2021 fiscal year, the University met or exceeded the established KPIs related to internal audit, healthcare compliance, and athletics compliance. In research compliance, the required conflict of interest disclosure rate was just shy of the expectation of 100%, as one employee did not submit the required disclosure by the deadline.

For the 2022 fiscal year, all audit and compliance KPIs were met for the month of July, except for auditor productivity, which was at 74.2% due to staff vacations. The goal is 75%. One new KPI is being tracked for Athletics compliance this year – the number of consultations and education sessions that are provided regarding the new NCAA name, image, and likeness legislation.

Action Items

The committee approved minor revisions to the Audit Committee Charter and the Internal Audit Charter, as presented by Chief Audit Officer Wayne Poole.

The committee also approved a resolution on the Board's delegations of authority. I believe that request for approval will be done jointly with a corresponding resolution from Health Sciences later in this meeting.

Informational Items

Office of Internal Audit – Mr. Wayne Poole

Mr. Poole provided an overview of the regulatory compliance offices that report to the committee, including healthcare, research, athletics, and Title IX compliance, as well as IT governance and information security.

Mr. Poole shared the FY 2021 annual report of ECU's Office of Internal Audit. He also shared the annual self-assessment of the Internal Audit function which is required by the North Carolina Council of Internal Auditing. Mr. Poole reported that the internal audit functions exceeds all audit standards based on the state's assessment tool.

Mr. Poole also briefed the committee on several recently completed internal audits.

Enterprise Risk Management – Ms. Stephanie Coleman

Ms. Coleman presented the University's top enterprise risks matrix, which included the responsible senior management official for each risk. The matrix included communications and steps that have been taken on each risk.

Ms. Coleman also shared the results of a recent ERM Committee exercise to review the University's response to the COVID-19 pandemic and the emerging risks that the pandemic brought to light.

Ms. Coleman advised the committee that the UNC System Board of Governors has taken a strong interest in cybersecurity risks across the system and as a result ECU's ERM Committee and the Board's Audit Committee will be very engaged on this in the coming months.

Mr. Chairman, that concludes my report.



Health Sciences Committee
September 9, 2021
Committee Report

Mr. Chairman, our meeting was conducted entirely in closed session yesterday. The committee did discuss the ECU P delegations of authority that I believe the full board will address later in the meeting.

This concludes my committee report.



East Carolina University | Board of Trustees
Finance & Facilities | In Person & Virtual/Main Campus Student Center | September 9, 2021
Committee Report

Review of Operational Metrics - Vice Chancellor Stephanie Coleman walked us through the operational metrics for Fiscal Year 2021 and Fiscal Year 2022.

All Funds Budget - Stephanie provided our committee with preliminary education around the "all funds budget" process and what will be required by the BOT beginning in April 2022, including the template that will be used and the timeline. This is definitely a priority for our committee and we look forward to the collaborative process around the all funds budget.

Dowdy Student Stores - Barnes & Noble College - Our committee heard an update from Bill Koch and Chelsea Havner about Dowdy Student Stores transition to Barnes & Noble College.

Reports Provided - Vice Chancellor Coleman provided the committee with several reports we receive at each meeting, including the Comprehensive Budget Report, the Report of Approved EHRA Employee Salary Adjustments and the Pension Report.

Project Authorization Matrix - Bill Bangell Associate VC for Campus Operations provided information on Project Authorization Matrix and the differences of the project dollar thresholds.

Designer Selections - Bill Bagnell also provided information on Designer Selections. Informal Projects included: Coastal Studies Institute improvements; Bate Fire Alarm: Dowdy-Ficklen Stadium end zone joint sealants and North Side Upper Deck Evaluation; Building 43 Steam Surveying and SUE; Mendenhall Renovation Phase 1, LJCC Pharmacy CHEMOShield Hood; Brody Biochemistry Lab/Offices Upgrades; Multi-Sport Strength Training Facility construction material testing; BMP Permitting Updates for DENR for the Service Learning Centers, Coastal Studies Institute, and both campuses; ECU Warehouse Operations Relocation; and Brewster Building A- Building Inspection/Indoor Air and Water Quality Testing. Formal projects included: HSC Steam Plant Deaerator and Surge Tank Replacement.

Capital Projects - Bill Bagnell updated the Committee on Capital Projects. Information was provided on the Life Sciences and Biotechnology Center, Mendenhall Renovation Phase I, Isley Innovation Hub, Main Campus Steam Plant Fuel Tank Farm, Clement and White Elevator Modernization, Multi-Sports Strength Training Facility, Bate Building- Fire Alarm System and Smoke Containment Repairs, and College Hill Drive Replace Steam Ph 2. The Major Capital Projects Schedule was also provided.

Debt Capacity - Stephanie Coleman also provided the Statement of Bonded Indebtedness as of June 30, 2021.

Action Items - There were two action items, a Moye Boulevard lease and the Advance Planning for the Eakin Student Rec Center HVAC and Gym Floor that were both approved thru the consent agenda.

Mr. Chairman, that concludes my report.

Athletics & Advancement Committee Highlights September 9, 2021

The Athletics & Advancement Committee met yesterday and heard updates from Director of Athletics Jon Gilbert and Vice Chancellor for Advancement Chris Dyba.

Jon reported that the Athletics Annual Fund is up 61K. Athletic expenses compared to budget have done well with the intense monitoring of expenses. An anticipated \$20M deficit turned out to be \$4.5M.

Regarding ticket sales, we are in a better position for FY2021 season football tickets than the last few years with around 13,500. Next year's ticket goal is 14,000. We're actually expecting a large crowd at the game against South Carolina – with over 40,000 tickets sold. Basketball season ticket sales will launch soon with a goal of 1800 tickets.

The Pirate Club is fully staffed for the first time in quite awhile. Premium seating has been a hot commodity. We've made improvements to the Williams-Clark Club area with new furnishings and graphics. Growing the Student Pirate Club has been a priority this year and we're up to about 3700 members.

Jon provided an update regarding our student athletes and Name, Image, Likeness. Currently there are 60 student athletes who have submitted a disclosure form, the majority of which are for social media promotions.

We had some discussion about conference realignment; some institutions in our conference may be leaving. We have the best TV deal compared to the other five leagues. It is important to bring in institutions that bring value and are a good fit.

Vice Chancellor Dyba reports this fiscal year has started off strong with almost \$5M in less than 2 months for east campus and nearly \$785K for west campus. The goal for east campus is \$20M and west campus is \$12M. West campus has strong momentum around the integration model with Vidant. Fundraisers are back on the road being very careful and cautious and are slowly having events on campus and on the road. People seem eager to be engaged again and engagement helps with fundraising. A recent shift in the fundraising model within Advancement resulted in discontinued use of student callers and on to a more personalized effort with two annual fund officers.

Mr. Chairman, there was one action item regarding the appointment of John May to the Endowment Fund Board that was a part of the consent agenda this morning. I will also have two action items coming out of closed session, but for now, this concludes my report.

IN CLOSED SESSION

On behalf of the Athletics and Advancement Committee, I move the board revise the name of the Leo Jenkins Cancer Center to the "Leo Jenkins Building: as presented in board materials.

AFTER CLOSED SESSION:

On behalf of the Athletics and Advancement Committee, I move the board approve the non-salary compensation for certain personnel in the Athletics Department as presented in board materials.