

**East Carolina University
Board of Trustees
July 22, 2021**

MINUTES

The ECU Board of Trustees met for an orientation session as part of their regular scheduled meeting on July 22, 2021. The meeting originated from room 249 in the Main Campus Student Center, on the campus of East Carolina University in Greenville, NC. Acting Chair Vince Smith called the meeting to order.

ROLL CALL

Mr. Smith called on Megan Ayers, Assistant Secretary to the Board of Trustees, to call the roll.

Present

Cassandra Burt
Leigh Fanning
Dave Fussell
Tom Furr
Van Isley
Angela Moss
Jason Poole
Jeffery Roberts
Jim Segrave
Scott Shook
Vince Smith
Chandler Ward

Absent

Fielding Miller

READING OF ETHICS STATEMENT

In compliance with the State Government Ethics Act, Mr. Smith read the conflict-of-interest statement and asked if anyone had a conflict to disclose. No conflicts were identified.

INTRODUCTIONS

Mr. Smith led the trustees in a round of introductions, each sharing where they reside and their affiliation with the university.

MISSION REVIEW

Mr. Smith called on Chancellor Philip Rogers to begin the first session of the day, which was a review of East Carolina University's mission.

Chancellor Rogers called on Grant Hayes, Interim Provost and Senior Vice Chancellor for Academic Affairs, to present the ECU Mission Statement and to provide additional information on the related SACSCOC standards as it relates to the mission. There was discussion by the board about whether the current mission statement is still relevant, whether it aligns with the university's direction, and whether it adequately addresses teaching, learning, research and public service. There was also discussion about what might trigger an additional review of the mission. Following substantive discussion, the board formed unanimous consensus around reaffirming the current mission statement and will vote on the reaffirmation at the full board meeting on Friday. The mission statement and related SACSCOC standards are listed as "Attachment A."

ROLES AND RESPONSIBILITIES / DELEGATIONS OF AUTHORITY

Mr. Smith called on Paul Zigas, Interim General Counsel, to lead the trustees in a discussion about trustee roles and responsibilities and their specific delegations of authority.

A copy of the presentation is listed as "Attachment X."

FINANCIAL OVERVIEW

Mr. Smith called on Stephanie Coleman, Interim Vice Chancellor for Administration and Finance, to provide an overview on university finance and budgets.

A copy of the presentation is listed as "Attachment X."

UNDERGRADUATE STUDENT RECRUITMENT

Mr. Smith called on Ms. Stephanie Whaley, Associate Vice Chancellor for Admissions, to introduce the trustees to student recruitment and admissions.

A copy of the presentation is listed as "Attachment X."

ADJOURNMENT

Before adjourning the orientation session, Mr. Smith reminded the trustees of the tour they will take with Rob Olewine, Assistant Director for Campus Visits, followed by a social at the Chancellor's Residence.

Hearing no further business to come before the board, Mr. Smith adjourned the meeting.

Respectfully submitted,

Megan Ayers
Assistant Secretary to the Board of Trustees

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ECU Mission

To be a national model for student success, public service and regional transformation, East Carolina University:

- Uses innovative learning strategies and delivery methods to maximize access;
- Prepares students with the knowledge, skills and values to succeed in a global, multicultural society;
- Develops tomorrow's leaders to serve and inspire positive change;
- Discovers new knowledge and innovations to support a thriving future for eastern North Carolina and beyond;
- Transforms health care, promotes wellness, and reduces health disparities; and
- Improves quality of life through cultural enrichment, academics, the arts, and athletics.

We accomplish our mission through education, research, creative activities, and service while being good stewards of the resources entrusted to us.

Approved by the Board of Trustees in July, 2013

Approved by the Board of Governors in February 2014

Reviewed and reaffirmed by the Board of Trustees in September, 2020

Related SACSCOC Standards

2.1 Institutional Mission

The institution has a clearly defined, comprehensive, and published mission specific to the institution and appropriate for higher education. The mission addresses teaching and learning and, where applicable, research and public service.

4.2a Mission Review

The governing board ensures the regular review of the institution's mission.

Discussion Questions

It would be necessary to have the minutes from both EC and BOT meetings outlining the following:

- Reaffirmation of the mission statement unless a change is proposed
- Discussion on why the current mission is still appropriate to ECU under the leadership of Chancellor Rogers
 - Does the statement adequately address teaching, learning, research, and public service?
 - Does the statement adequately describe the distinctiveness of ECU and its values?
 - How does the mission apply to our direction in the next 5 years?
- When might ECU/BOT consider any changes to the mission? Or what event(s) will trigger a review?
(Note: both UNC and ECU's strategic plans expire in 2022)



Board of Trustees Orientation Meeting

II. BOG Delegations of Authority & Trustee Roles and Responsibilities

July 22, 2021

Welcome and appreciation
for your public service on behalf of
East Carolina University.

Why are we here today?

The Board of Trustees is vital to the success of our University. The advice you provide to the Chancellor and the Board of Governors is essential. The University cannot function without the exercise of your authority as delegated by the Board by the Governors.

University of North Carolina Legal Structure

The University of North Carolina is a single, “public, multicampus university dedicated to the service of North Carolina and its people.”

UNC’s mission “is to discover, create, transmit, and apply knowledge to address the needs of individuals and society.” The mission is accomplished through:

- a. Instruction;
- b. Research, scholarship and creative activities; and
- c. Public Service

Teaching, or instruction, is the primary responsibility of each of the constituent institutions; the relative importance of research and public service varies among the constituent institutions, depending on their overall missions.

What is the Board of Trustees?

1. Each constituent institution shall have a board of trustees composed of 13 persons chosen as follows: (a) eight elected by the Board of Governors; (b) four appointed by the General Assembly, two of whom shall be appointed upon the recommendation of the President Pro Tempore of the Senate, and two of whom shall be appointed upon the recommendation of the Speaker of the House of Representatives; and (c) the president of the student government of the institution, ex-officio. [See G.S. 116-31(d)]

2. Each board of trustees shall
 - a) **promote the sound development of its institution within the functions prescribed for it, helping it to serve the people of the state in a way that will complement the activities of the other institutions and aiding it to perform at a high level of excellence in every area of endeavor.**

 - b) **serve as advisor to the Board of Governors on matters pertaining to its institution and shall also serve as advisor to the chancellor concerning the management and development of the institution. [See G.S. 116-33]**

How does this compare with the roles played by the UNC Board of Governors (abridged)?

1. The Board of Governors shall plan and develop a coordinated system of higher education in North Carolina.
2. The Board of Governors shall be responsible for the general determination, control, supervision, management, and governance of all affairs of the constituent institutions. For this purpose, the Board may adopt such policies and regulations as it may deem wise.
3. The Board of Governors shall determine the functions, educational activities, and academic programs of the constituent institutions. The Board shall also determine the type of degrees to be awarded by each constituent institution.

How does this compare with the roles played by the UNC Board of Governors (abridged)?

4. The Board of Governors shall approve the establishment of any new publicly supported institution above the community college level.
5. The Board of Governors shall set enrollment levels of the constituent institutions.
6. The Board of Governors shall collect and disseminate data concerning higher education in the state.
7. The Board of Governors, with the cooperation of other concerned organizations, shall establish, as a function of the Board, an Educational Opportunities Information Center to provide information and assistance to prospective college and university students and to the several institutions, both public and private, on matters regarding student admissions, transfers, and enrollments.

How does this compare with the roles played by the UNC Board of Governors (abridged)?

8. The Board of Governors shall give advice and recommendations concerning higher education to the governor, the General Assembly, the Advisory Budget Commission, and the boards of trustees of the constituent institutions.
9. The Board of Governors may delegate any part of its authority over the affairs of any constituent institution to the board of trustees or, through the president of the University, to the chancellor of the institution in any case where such delegation appears necessary or prudent to enable the institution to function in a proper and expeditious manner. Any delegation of authority may be rescinded by the Board at any time in whole or in part.
10. Authority for creation of new campus or new branch campus in consultation with Community College System.
11. The Board of Governors shall have such other powers and duties as may be prescribed by law or as may be set forth elsewhere in The Code.

How does this compare with the roles played by the UNC Board of Governors (abridged)?

8. The Board of Governors shall possess all powers not specifically given to institutional boards of trustees. [See G.S. 116-11(14)]

So how can we best describe the Board of Governors and Board of Trustees?

The Board of Governors is the governing board of the University of North Carolina and each of its constituent institutions, including East Carolina University.

The East Carolina University Board of Trustees is an essential advisory body to the Chancellor and Board of Governors, and exercises significant authority regarding the operations of ECU through those authorities delegated to it by the UNC Board of Governors.

What are those “delegations of duty and authority” to the Boards of Trustees?

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

The Board of Governors has delegated to the boards of trustees of the constituent institutions of the University of North Carolina the following:

1. Academic and Administrative Personnel.

1. ACTION-BOT Upon recommendation of the Chancellor, the ECU Board of Trustees appoints, promotes, and sets compensation for positions exempt from the State Human Resources Act (except for the Chancellor) consistent with the policies and salary ranges set by the Board of Governors and the regulations and guidelines established by the Office of the President.
2. BOT POLICY AUTHORITY ACTION-CHANCELLOR Discharge and suspension. All discharges or suspensions of faculty members and administrative personnel, other than those subject to the State Human Resources Act, shall be effected by the chancellor. A discharged or suspended employee shall have such rights of appeal from the action of the chancellor as may be prescribed by the University Code, policies of the Board of Governors, or regulations of the board of trustees.

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The Board of Governors has delegated to the boards of trustees of the constituent institutions of the University of North Carolina the following:

1. Academic and Administrative Personnel.

3. BOT POLICY AUTHORITY Personnel Policies The board of trustees may adopt personnel policies not otherwise prescribed by state law, the University Code, or policies of the Board of Governors, for personnel in all categories of university employment. *Policies adopted by a board of trustees regarding academic tenure and promotion shall be effective upon review by the senior vice president for academic affairs and the vice president and general counsel, and approved by the president.*

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

The Board of Governors has delegated to the boards of trustees of the constituent institutions of the University of North Carolina the following:

1. Academic and Administrative Personnel.

4. ACTION-BOT et al. Chancellor Selection

In the event of a vacancy in the chancellorship, the board of trustees shall establish, in consultation with the president, a search committee composed of representatives of the board of trustees, the faculty, the student body, staff, the alumni, the local community, and other campus constituencies as may be appropriate. Upon the establishment of the search committee, the chair of the board of trustees, in consultation with the president shall establish a budget and identify staff for the committee.

The search committee, through the chair of the board of trustees, shall make a preliminary report to the president when the committee is preparing a schedule of initial interviews. At the completion of the campus interview process, the search committee shall recommend an unranked slate of no fewer than two candidates to the trustees for consideration.

The board of trustees, following receipt of the report of the search committee, shall, subject to the direction of the president, recommend an unranked slate of no fewer than two candidates for consideration by the president in designating a nominee for the chancellorship for approval by the Board of Governors.

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

II. ACADEMIC PROGRAM

ACTION-BOT The board of trustees shall be responsible for ensuring the institution's compliance with the educational, research, and public service roles assigned to it by the Board of Governors, either by express directive or by promulgated long-range plans of the Board of Governors.

III. ACADEMIC DEGREES AND GRADING

ACTION-ECU Subject to authorization by the Board of Governors of the nature and general content of specific degree programs which may be offered by an institution, each institution shall determine whether an individual student shall be entitled to receipt of a particular degree. Each institution also shall determine what grade a student will be assigned in a particular course. No appeal from any of these decisions or any other academic determination is allowable to the president or to the Board of Governors.

IV. HONORARY DEGREES, AWARDS AND DISTINCTIONS

ACTION-BOT The board of trustees is responsible for approving the names of all individuals on whom it is proposed that an honorary degree or other honorary or memorial distinction be conferred by the institution.

V. BUDGET ADMINISTRATION

ADVISE-BOT The board of trustees shall advise the chancellor with respect to the development of budget estimates for the institution and with respect to the execution and administration of the budget of the constituent institution, as approved by the General Assembly and the Board of Governors.

VI. PROPERTY AND BUILDINGS

ACTION-BOT The board of trustees of a constituent institution shall be responsible, subject to policies of the Board of Governors and all legal requirements relative to the construction of state-owned buildings, for the following matters concerning campus capital construction projects which have been approved by the Board of Governors and authorized by the state of North Carolina: (1) the selection of architects or engineers for buildings and improvements requiring such professional services; (2) the approval of building sites; (3) the approval of plans and specifications; and (4) the final acceptance of all completed buildings and projects.¹¹

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

ACTION-BOT The board of trustees is responsible to the Board of Governors for preparing and maintaining a master plan for the physical development of the institution, consistent with the total academic and service mission of the institution as defined and approved by the Board of Governors.

ACTION-BOT Any proposal involving the acquisition or disposition by an institution of any interest in real property shall be recommended by the board of trustees to and shall be approved by the Board of Governors, provided, that:

If a proposal involves acquisition or disposition of any interest in real property other than a leasehold, the board of trustees may authorize such a transaction with a value less than \$500,000, and the president may authorize such a transaction with a value less than \$750,000, without obtaining approval of the Board of Governors; and

If a proposal involves acquisition or disposition of a leasehold interest in real property, the board of trustees may authorize such a transaction with an annual value less than \$500,000 and a term of not more than 10 years, and the president may authorize such a transaction with annual value less than \$750,000 and a term of not more than 10 years, without obtaining approval of the Board of Governors; and

If the president or a board of trustees of a constituent institution has been delegated additional authority by the Board of Governors to do so under Section 600.1.3 of the UNC Policy Manual, the president or board of trustees of a constituent institution may authorize acquisition or disposition of an interest in real property with a value greater than that listed in paragraphs (a) and (b), above, without obtaining approval of the Board of Governors. The Board of Governors, under circumstances which it considers appropriate and following notice from it to the board of trustees, may take action necessary to effect the acquisition or disposition of an interest in real property which is related to or which affects the institution, without receipt of a recommendation from the board of trustees.

All delegations of authority in this section are subject to any necessary authorizations and approvals from State officials and agencies.

VII. ENDOWMENTS AND TRUST FUNDS

ACTION-BOT Subject to applicable provisions of state law and to such terms and conditions as may be prescribed from time to time by the Board of Governors, each board of trustees is responsible for the preservation, maintenance, and management of all properties, both real and personal, funds and other things of value which, either separately or in combination, constitute all or any part of the authorized endowment or trust funds, either currently in existence or to be established in the future, for the benefit of the individual constituent institution. [See G.S. 116-11(2); 116-12; 116-36; 116-36. 1; 116-36. 2; 116-36. 3]

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

VIII. ADMISSIONS

ACTION-ECU Subject to such enrollment levels and minimum general criteria for admission as may be established for a constituent institution by the Board of Governors, each constituent institution of the University of North Carolina shall establish admissions policies and resolve individual admission questions for all schools and divisions within the institution. No appeal concerning an individual admission case shall lie beyond the institutional board of trustees.

IX. TUITION, FEES, AND DEPOSITS

A. General Authority of Boards of Trustees

ACTION-BOT Collection of tuition and fees The boards of trustees of the constituent institutions other than the board of the North Carolina School of Science and Mathematics shall cause to be collected from each student, at the beginning of each semester, quarter, or term, such tuition, fees, and other amounts necessary to pay other expenses for the term, as have been approved by the Board of Governors. [See G.S. 116-11(7) and G.S. 116-143]

B. Tuition and Fee Deposits

ACTION-BOT Require advance deposits. Each board of trustees shall require the payment of such advance deposits, at such times and under such conditions as it determines are appropriate or as may be required by state law or by the Board of Governors. [See G.S. 116-143]

C. Application Fee

ACTION-BOT Require payment of nonrefundable application fees. Each board of trustees shall require the payment of such nonrefundable application fees, in connection with each application for admission, as may be required by state law or by the Board of Governors. [See G.S. 116-143]

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

D. Acceptance of Obligations in Lieu of Cash

ACTION-BOT Subject to policies prescribed by the Board of Governors, the boards of trustees shall establish regulations concerning the acceptance of obligations of students, together with such collateral or security as may be deemed necessary or proper, in lieu of cash, in payment of tuition and fees. [See G.S. 116-143]

E. Fee Recommendations

ACTION-BOT Subject to policies prescribed by the Board of Governors, each board of trustees, in consultation with the chancellor, shall recommend to the president the amounts to be charged at the constituent institution for application, athletics, health services, student activities, educational and technology, retirement of debt incurred for capital improvements projects authorized by the General Assembly, course, and special fees. In carrying out this responsibility, each board of trustees and the chancellor shall ascertain that the benefits of the activity or service are commensurate with the recommended fee which is required to support the activity or service. Recommended fees should be consistent with the philosophy set forth in the North Carolina Constitution which states that the benefits of the University of North Carolina should be extended to the people of the state free of expense, as far as practicable.

X. STUDENT FINANCIAL AID

ACTION-ECU All scholarships and other forms of financial aid to students which are limited in their application to or are supported from sources generated by an individual campus shall be administered by the constituent institution pursuant to such regulations as may be prescribed by the board of trustees and subject to the terms of any applicable laws and to policies of the Board of Governors.

XI. STUDENT SERVICES

ACTION-BOT Each board of trustees, upon recommendation of the chancellor, shall determine the type, level, and extent of student services (such as health care, athletic programs, and counseling) to be maintained for the benefit of students at the institution, subject to general provisions concerning types and levels of student services as may be prescribed by the Board of Governors.

Appendix 1 - DELEGATIONS OF DUTY AND AUTHORITY TO BOARDS OF TRUSTEES (1(I)-16 (XVI))

XII. STUDENT ACTIVITIES AND GOVERNMENT

POLICY AUTHORITY-BOT ACTION-CHANCELLOR Under such policies as may be prescribed by the Board of Governors and the board of trustees, the chancellor shall be responsible for the regulation and approval of organized, institutionally recognized student activities, the definition of roles and functions of any institutionally recognized system of student self-government and student participation in the governance of any aspect of the institutional programs and services. No appeal concerning such activities are allowable to the president or to the Board of Governors.

XIII. INTERCOLLEGIATE ATHLETICS

BOT POLICY AUTHORITY-ACTION ECU CHANCELLOR Subject to such policies as may be prescribed by the Board of Governors and the board of trustees, the chancellor shall be responsible for the establishment and supervision of the institution's program of intercollegiate athletics.

XIV. TRAFFIC AND PARKING REGULATIONS BOT POLICY AUTHORITY

XV. CAMPUS SECURITY

BOT POLICY AUTHORITY- ACTION-CHANCELLOR Subject to applicable provisions of state law and such policies as may be adopted by the Board of Governors or the board of trustees, the chancellor shall be responsible for the maintenance of campus security.

XVI. AUXILIARY ENTERPRISES, UTILITIES AND MISCELLANEOUS FACILITIES

BOT POLICY-AUTHORITY Pursuant to applicable provisions of state law and policies of the Board of Governors, the boards of trustees of affected constituent institutions shall have authority and responsibility for the adoption of policies applicable to and the control and supervision of campus electric power plants and water and sewer systems, other utilities and facilities [G.S. 116-35], and child development centers [G.S. 116-38].

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200.7 Duties, Responsibilities, and Expectations of Board Members

- III. Duties and Responsibilities. Board members are responsible for performing essential functions that are central to the governance of the University, as described in Chapter 116 of the North Carolina General Statutes, *The Code* of the University of North Carolina, the Policy Manual of the University, and the policies and by-laws of the constituent institutions. Board members shall adhere to the standards of conduct and fulfill duties and expectations set forth in this policy.
- A. Attendance. Board members shall attend board meetings. If a member of the Board of Governors is, for any reason other than ill health or service in the interest of the State or nation, absent for four (4) successive regular meetings of the Board, his or her place as a board member shall be deemed vacant. [\[1\]](#) If a member of a board of trustees of a constituent institution is, for any reason other than ill health or service in the interest of the State or nation, absent for three (3) successive regular meetings of a board of trustees, his or her place as a board member shall be deemed vacant. [\[2\]](#)
- B. Participation in Policy and Oversight Functions. Board members are expected to prepare for meetings; actively contribute to the work of the board; and act in accordance with the governance, oversight, and advisory functions allocated to the board by
1. Reviewing and inquiring about materials that involve the institution or University-affiliated organization, such as board minutes and annual reports;
 2. Understanding and participating appropriately in the oversight function allocated to the board with respect to the finances and effectiveness of the institution or University-affiliated organization
 3. Seeking information from and consulting appropriately with the chief executive officer of the institution or University-affiliated organization to gain additional context, make well-informed policy decisions, and carry out responsibilities for board-level oversight and monitoring of the affairs of the institution or University-affiliated organization
 4. Participating as requested in the preparation and revision of long-range plans for the institution or University-affiliated organization
 5. Serving on and contributing to the work of assigned committees;

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200.7 Duties, Responsibilities, and Expectations of Board Members

6. Listening to and considering differing opinions, and otherwise making reasonable efforts to conduct oneself in accordance with the practices and customs of formality and decorum articulated in Robert's Rules of Order

C. Scope of Authority

1. Referring matters of administration and management to the chief executive officer of the institution or University-affiliated organization for handling
2. Respecting and following executive leadership, management, and reporting lines when communicating with the University and the constituent institutions, and refraining from directing matters of administration or executive action except through the chief executive officer of the institution or University-affiliated organization; and
6. Recognizing that board members' authority is collective, not individual, and only arises from their participation with other members of the board when it is officially convened.
7. **Not undertake reviews, background checks, investigations, or any other assessments of University employees or candidates for University employment unless duly and explicitly directed to do so by the president, by the chief executive officer of the employing institution, or by the Board of Governors.**

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200.7 Duties, Responsibilities, and Expectations of Board Members

D. Ethical Conduct. Board members shall adhere to high standards of ethical conduct by complying with laws, regulations, and University policies applicable to their service as board members and public officials, which include the obligations to:

1. Exercise authority honestly and fairly, free from impropriety, threats, favoritism, and undue influence, as required by the State Ethics Act.
2. Keep confidential all information and records that are required by law to be kept confidential, including, but not limited to, personnel records and information, student records and information, attorney-client communications, and closed session deliberations and information;
3. Comply with North Carolina open meetings law by conducting hearings, deliberations, and actions of these bodies openly, except when permitted or required to a closed session
4. Comply with applicable public records laws by permitting open access to and inspection of public records in the member's custody, including records created, sent, or received by Board members entirely on non-University accounts or devices, as required by law;
5. Bring matters of concern, potential or real conflicts of interest, and reports of unlawful and/or noncompliant activity to the attention of the appropriate institutional or organizational officer, such as the president, chancellor, board chair, or committee chair;
6. Avoid any personal or business interest that may conflict with the member's responsibilities to the institution or University-affiliated organization;
7. Avoid even the appearance of impropriety when conducting the institution's or University-affiliated organization's business; and
8. Recuse oneself from consideration of matters during meetings when required.

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9. Conduct oneself at all times in accordance with the University's prohibition about all forms of illegal discrimination or harassment;

10. Not engage in acts of fraud or other violations of law inconsistent with the ethical expectations of a public official;

11. Not act as a registered lobbyist on behalf of any lobbyist principal in any matter or issue that is adverse to the interests of the UNC System, a constituent institution, or a University-affiliated organization; and

12. Not represent, as a practicing attorney, any party in a matter in which the party's interest is adverse to the interests of the UNC System, a constituent institution, or a University-affiliated organization. For purposes of this restriction, members are not generally prohibited from acting as criminal defense counsel to students and employees in criminal prosecution matters.

E. Fiduciary Responsibilities and Support for the Institution. Board members shall discharge their duties to the institution with care, skill, prudence, and diligence by:

1. Exercising the degree of diligence, care, and skill that a prudent individual familiar with such matters would use under similar circumstances in a like position;

2. Acting in good faith with the best interest of the institution or University-affiliated organization in mind;

3. Conducting oneself, at all times, in furtherance of the institution's or University-affiliated organization's goals and not the member's personal or business interests;

4. Providing oversight to ensure that the institution's or University-affiliated organization's resources are dedicated to the fulfillment of its mission; and

5. Becoming knowledgeable about issues that affect the University and seeking to understand the educational needs and desires of all the State's citizens, and their economic, geographic, political, racial, gender, and ethnic diversity.

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IV. Sanctions. A board member may be removed, recommended for removal, or subject to a lesser sanction for any material violation of the duties, responsibilities, and expectations of board members set forth in Section III of this policy. Any sanction shall require an affirmative vote of two-thirds (2/3) of the voting membership of the Board of Governors or board of trustees then in office.

A. Removal of a Member of a Board of Trustees or University-affiliated Organization. The Board of Governors may remove from the board of trustees of a constituent institution or from the board of a University-affiliated organization a board member who was elected by the Board of Governors. With respect to a member of a board of trustees who was appointed by a different appointing authority, the Board of Governors may vote to recommend to the appointing authority that the member be removed.

B. Removal of a Member of the Board of Governors. The Board of Governors may recommend to the State House of Representatives or State Senate, whichever chamber elected the member, that a member of the Board of Governors be removed.

C. Other Sanctions. A board member, regardless of their appointing authority, may be subject to other sanctions lesser than removal (ex: public censure, suspension of voting rights, removal of committee assignments).

D. Complaints.

1. Complaints against a board member may be made to the chair of the Committee on University Governance.

2. Upon receipt of a complaint, the chair of the Committee on University Governance shall determine whether, assuming all of the facts alleged are true, the complaint alleges a material violation of the duties, responsibilities, and expectations of board members. If the complaint does not meet this threshold, the chair of the Committee on University Governance may dismiss the complaint and shall report the dismissal to the Committee on University Governance.

3. If the complaint is against a member of a board of trustees or University-affiliated organization, then the Committee on University Governance may retain the complaint for review and adjudication by the Governance Committee under section F, or it may direct the complaint to the board of trustees with directions for appropriate action. The committee's determination should be based on the allegations of the complaint, the board of trustee's capacity to review the complaint, and all other relevant circumstances.

4. The chair of the committee may, after consultation with the membership of the Committee, refer the complaint to the State Ethics Commission.

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E. Interim Sanctions

1. A board member may be subject to an interim sanction(s) for an alleged material violation of the duties, responsibilities, and expectations of board members prior to review and adjudication of a complaint. Interim sanctions should be temporary in duration, and should balance the severity of the interim sanction against the severity of the alleged violation. Removal of a board member shall not be issued as an interim sanction.
2. The Committee on University Governance may recommend to the Board of Governors an interim sanction against a member of the Board of Governors that the committee deems appropriate. The Committee on University Governance may recommend interim sanction(s) against a member of a board of trustees or University-affiliated organization as part of the committee's referral of a complaint to a board of trustees with directions for appropriate action.
3. Any interim sanction shall require an affirmative vote of two-thirds (2/3) of the voting membership of the Board of Governors or board of trustees then in office.

F. Procedure for Sanctions; Specification of Cause; Notice and Opportunity to Respond.

1. The chair of the Committee on University Governance shall send the respondent a written specification of the complaint(s) against the board member. In the event that the chair of the Committee on University Governance is the subject of the board's consideration, the vice chair of the Committee on University Governance will temporarily serve in the chair's role. The notice shall state that the board member may submit a written response to the chair of the Committee on University Governance and the complaining board member within five (5) business days of receipt of the written notice.
2. If the board member submits no written response to the chair of the Committee on University Governance within the specified timeframe, the Committee on University Governance may proceed to consider the complaint.

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4. Following the deadline for the respondent's written response and the conclusion of any investigation, the Committee on University Governance shall conduct a hearing to consider the complaint against the board member. The chair of the Committee on University Governance shall preside over the hearing, which shall include a full and accurate presentation of all relevant facts. During this hearing, the respondent member shall be permitted to be heard.
5. At the conclusion of the hearing, the Committee on University Governance shall assess the evidence presented using a preponderance of the evidence standard and recommend to the Board of Governors findings and action that the committee deems appropriate.

Select Legal Requirements

Open Meetings

All meetings, including committee meetings, are subject to the open meeting laws. The Board (or committee) may go into closed session to discuss subjects permitted under North Carolina General Statutes.

All meetings of Board members, including standing and ad hoc committee meetings, are required to post public notice of these meetings at least 48 hours in advance of any session. If a majority of the board or a majority of any of its committees meet in anything other than a social setting, regardless of the topic of conversation, a meeting announcement must be completed.

Trustees are subject to personal liability for violation of the Open Meetings Act, if the violation is committed contrary to the advice of legal counsel.

Public Records

The General Statutes impose a duty on trustees and other university officials to preserve records related to their work for the university, **regardless of location**. This includes email and text received on personal/home accounts. (NCGS § 132-1, *et seq.*)

Members of the public have a right of access to all public records, unless some specific exception applies, such as laws making most personnel records and student records private and confidential.

Select Legal Requirements

Confidentiality and Privacy

Trustees have a duty to maintain the confidentiality of several groups of records:

- Students' "education records," which are very broadly defined, under the federal Family Educational Rights and Privacy Act ("FERPA"). (34 CFR 99.1, *et seq.*)
- Personnel records of applicants, current and former employees. (NCGS § 126-22, *et seq.*)
- Individually identifiable health information of patients under the Health Insurance Portability and Accountability Act. ("HIPAA")
- Personal information (e.g., social security numbers) under the N.C. Identity Theft Prevention Act.

Under the State Government Ethics Act ("Ethics Act") a trustee may not (a) improperly use or disclose any confidential information; or (b) use or disclose nonpublic information gained by reason of the trustee's official responsibilities in a way that would affect a personal financial interest of the trustee, a family member, or an associated person, governmental unit, or business. (NCGS § 138A-34.)

Select Legal Requirements

Conflict of Interest

The state-approved conflict of interest statement is read prior to each committee and board meeting. It is expected that each board member will assess his/her conflicts of interest and appearance of conflicts and disclose those at that time.

UNC Policy to be reviewed annually:

Expectations of Board Members, adopted April 2015

(<http://www.northcarolina.edu/apps/policy/index.php?pg=vs&id=17446&added=1>)

Dual Membership and Conflicts of Interest, UNC Policy Sec. 200.1

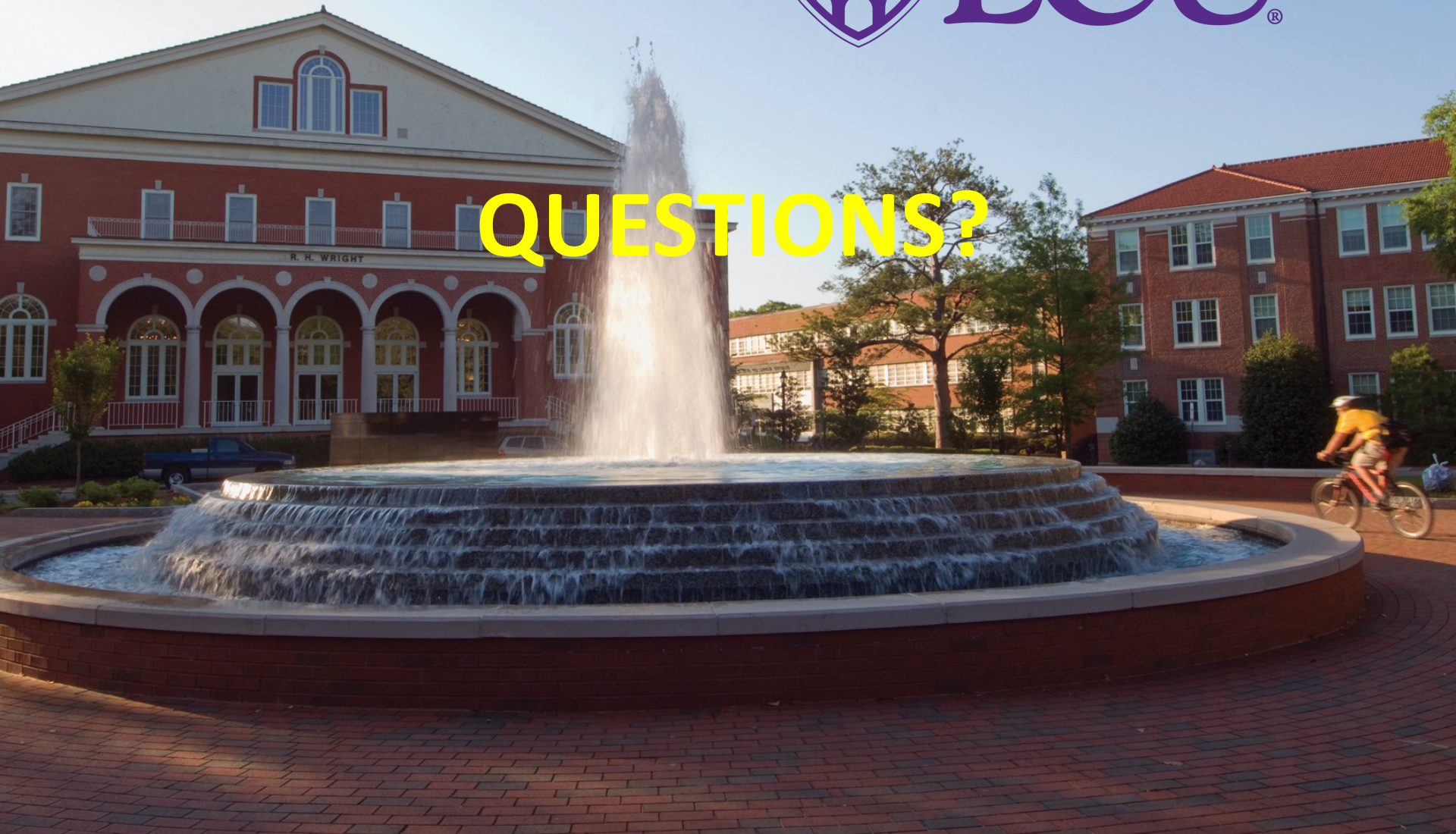
(<http://www.northcarolina.edu/apps/policy/index.php?pg=vs&id=252&added=1>)

Public officers and employees are generally prohibited from benefiting from public contracts. (NCGS §14-234) Gifts and favors may not be accepted from vendors or persons/companies seeking to do business with ECU. (NCGS § 133-32.)

The Ethics Act (NCGS § 138A-1, *et seq.*) imposes several requirements discussed in a separate training session. Notably, a trustee is prohibited from engaging in an official action that results in financial gain for the trustee or a member of his/her family, or an associated business/employer, or results in a direct pecuniary loss to a business competitor of the trustee.



QUESTIONS?



Investing in Student Success

Board of Trustees Finance Orientation

July 2021



ECU[®]

Agenda

Current Challenges and Potential Solutions

Organization Structure and Governance

Financial Trends

Benchmarking

HEERF

S&P Global Credit Rating

Challenges

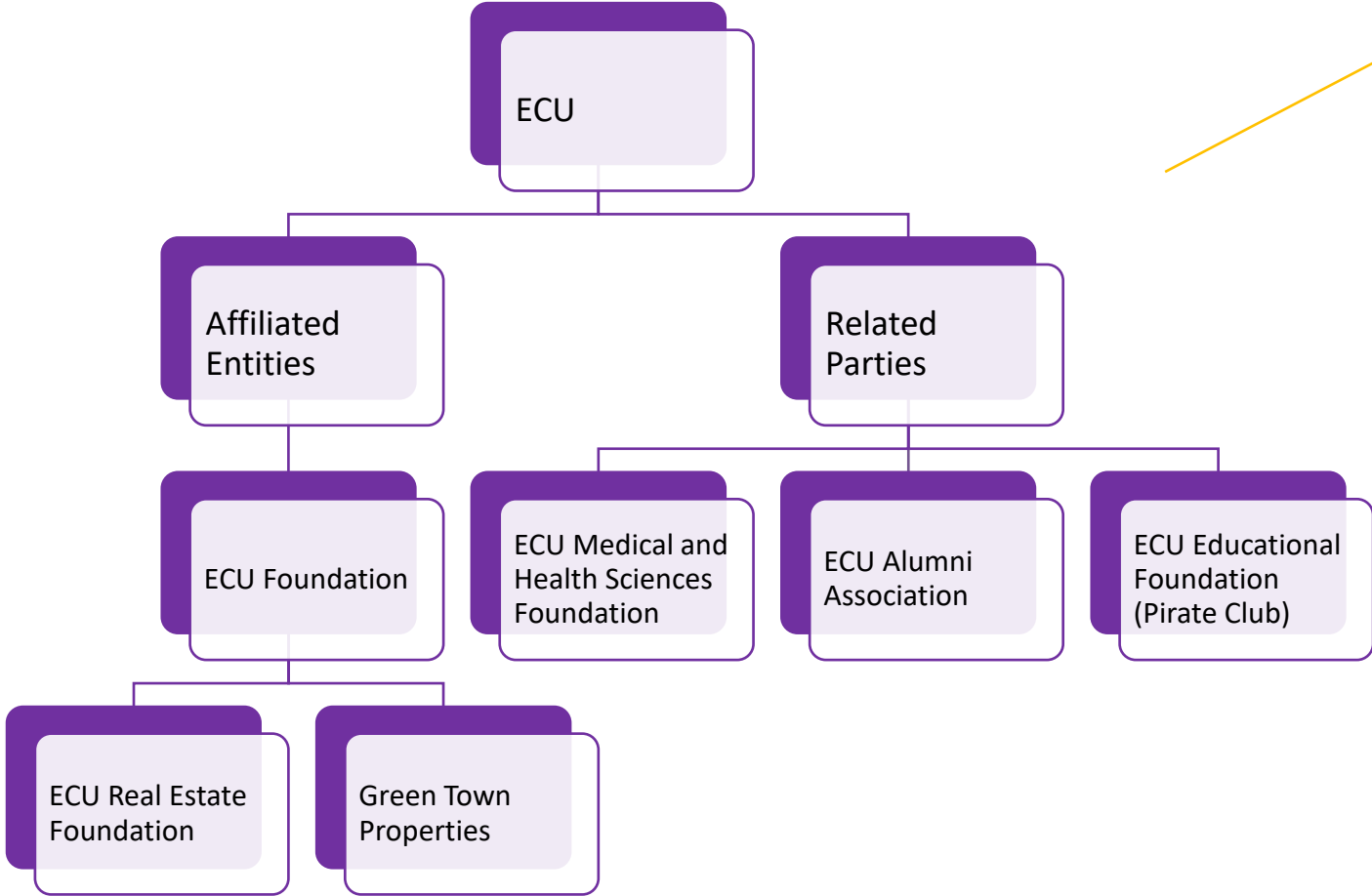
Emerging from the pandemic, higher education has a critical need to re-enroll students and connect students to the world of work.

- Enrollment challenges
- FY 2022 state funding investments expected to stay flat with few exceptions
- Workforce Challenges

Solutions

HEERF	Academic Portfolio	Admin Services	Reallocate	Transparency
<p>Maximize the use of federal HEERF funding to invest in long term solutions; subject to Federal spending guidelines</p>	<p>Continue to review its academic portfolio to ensure a sustainable mix of programs that respond to student and employer demand</p>	<p>Review its administrative services to reduce cost and maintain service levels</p>	<p>Reallocate existing resources toward growth opportunities</p>	<p>Increase the level of transparency and accountability at ECU through current success metrics and enhanced reporting.</p>

ECU Organization Structure



While ECU is “one University” within the UNC System, it is composed of several affiliates and legal entities that work together to support the ECU Mission

Accounting Fund Types

Legal Entity

General Fund

Generally restricted by statute and regulation

Sources:

- State appropriations
- Tuition

Uses:

- Instruction
- Financial aid
- Academic & institutional administration

Trust Funds

Generally restricted to the purpose of source

Sources:

- Sponsored programs
- Endowments
- User fees

Uses:

- Research
- Financial aid
- Scholarships
- Auxiliaries (housing + dining)

Legal Entities

Foundations + Associated Entities

Separate legal entities

Sources:

- Private gifts
- Endowments

Uses:

- Intended purpose of the foundation
- Designated purpose of the gift (ex: athletic scholarships)

Financial Governance



Audit

- Annual audit by the Office of the State Auditor
- Annual external audit of associated entities
- Internal Audit reviews financial processes throughout university



Regulatory

- Highly regulated by Office of State Budget Management (OSBM), Office of State Controller (OSC), and State Treasurer
- Reviewed by other outside entities: SACS and other accreditors, Office of State Human Resources (OSHR), NC Dept of Insurance, among others
- ECU adheres to UNC and Board of Governors policies



Accounting

- ECU follows Generally Accepted Accounting Principles (GAAP) and Governmental Accounting Standards Board (GASB)
- Fund accounting used to record transactions
- Fiscal year ends June 30
- Assessment of Internal Controls over Financial Reporting completed for OSC and UNC-SO

Financial Governance - Budget



Budget

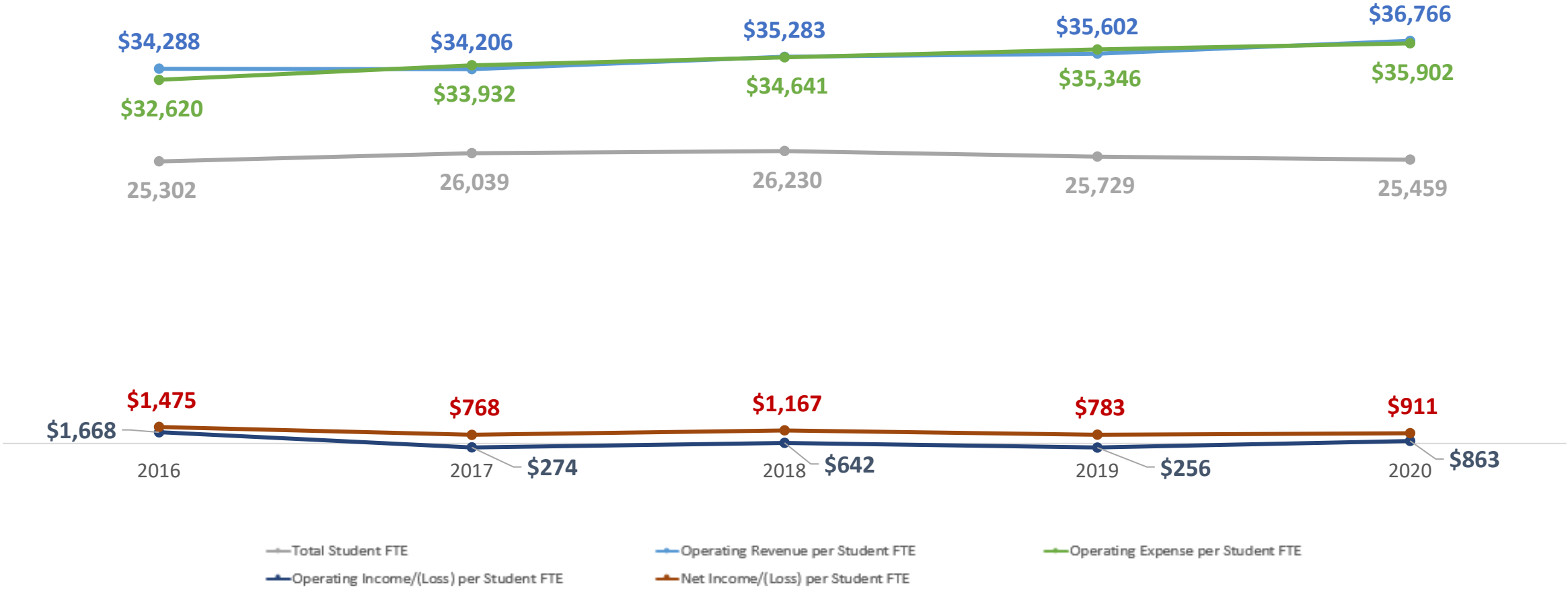
- Decentralized budgets prepared across University
 - Final budgets approved by Vice Chancellor Administration and Finance and Chancellor
- Auxiliary funds CAN be used for expenses eligible for state appropriated funding but NOT vice versa
- **NEW – Board Action Required for FY 22-23 Budget**
 - Board will approve unified budget in advance of submission to Board of Governors
 - *Timeframe for approval – Spring 2022*

Statement of Revenues and Expenses

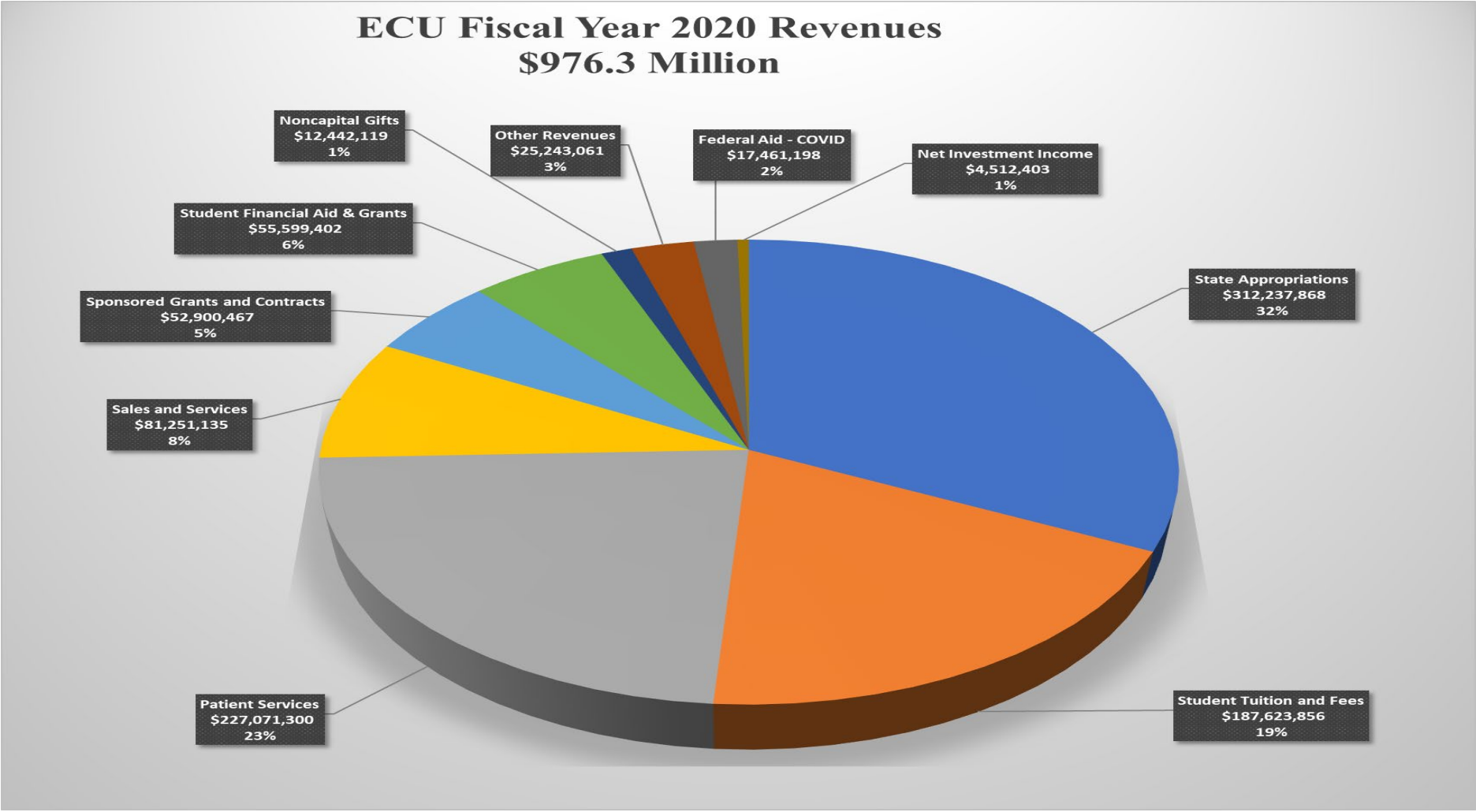
Fiscal Year Ending	2016	2017	2018	2019	2020
REVENUES					
Operating Revenues:					
State Appropriations	\$ 285,707,637	\$ 294,056,862	\$ 304,945,175	\$ 309,395,459	\$ 312,237,868
Student Tuition and Fees, Net	189,406,978	198,549,676	203,201,275	195,901,453	187,623,856
Patient Services, Net	204,523,305	207,488,958	222,351,903	213,295,932	227,071,300
Noncapital Grants - Student Financial Aid	51,942,056	51,225,087	55,080,999	57,159,583	55,599,402
Sales and Services, Net	92,667,409	94,321,280	95,885,674	90,597,428	81,251,135
Federal Grants and Contracts	16,473,521	17,647,016	18,138,969	22,131,090	25,198,599
State and Local Grants and Contracts	10,443,981	11,129,708	11,769,253	11,979,451	14,149,530
Nongovernmental Grants and Contracts	14,811,701	14,875,365	12,667,692	13,724,563	13,552,338
Other Operating Revenues	1,574,145	1,390,738	1,428,752	1,810,585	1,866,486
Federal/State Aid COVID 19					17,463,358
Total Operating Revenues	\$ 867,550,733	\$ 890,684,690	\$ 925,469,692	\$ 915,995,544	\$ 936,013,872
EXPENSES					
Operating Expenses:					
Salaries and Benefits	\$ 542,167,941	\$ 585,052,751	\$ 602,388,994	\$ 589,853,389	\$ 609,385,696
Supplies and Materials	86,829,639	93,916,961	94,621,580	95,051,740	84,868,231
Services	107,731,303	116,197,951	120,552,008	129,026,383	115,680,962
Scholarships and Fellowships	42,954,517	39,414,709	41,174,709	43,960,898	51,860,468
Utilities	17,455,582	17,762,975	18,678,638	18,467,201	17,225,052
Depreciation/ Amortization	28,203,746	31,197,553	31,227,100	33,054,228	35,018,746
Total Operating Expenses	\$ 825,342,728	\$ 883,542,900	\$ 908,643,029	\$ 909,413,839	\$ 914,039,155
Operating Income/(Loss)	\$ 42,208,005	\$ 7,141,790	\$ 16,826,663	\$ 6,581,705	\$ 21,974,717
Non-Operating Rev/Exp	\$ (4,899,667)	\$ 12,856,223	\$ 13,780,729	\$ 13,563,869	\$ 1,225,213
Net Income	\$ 37,308,338	\$ 19,998,013	\$ 30,607,392	\$ 20,145,574	\$ 23,199,930

Operating Revenue, Expenses and Net Income per Student FTE is Relatively Consistent Over Time

Operating Revenue/Expense

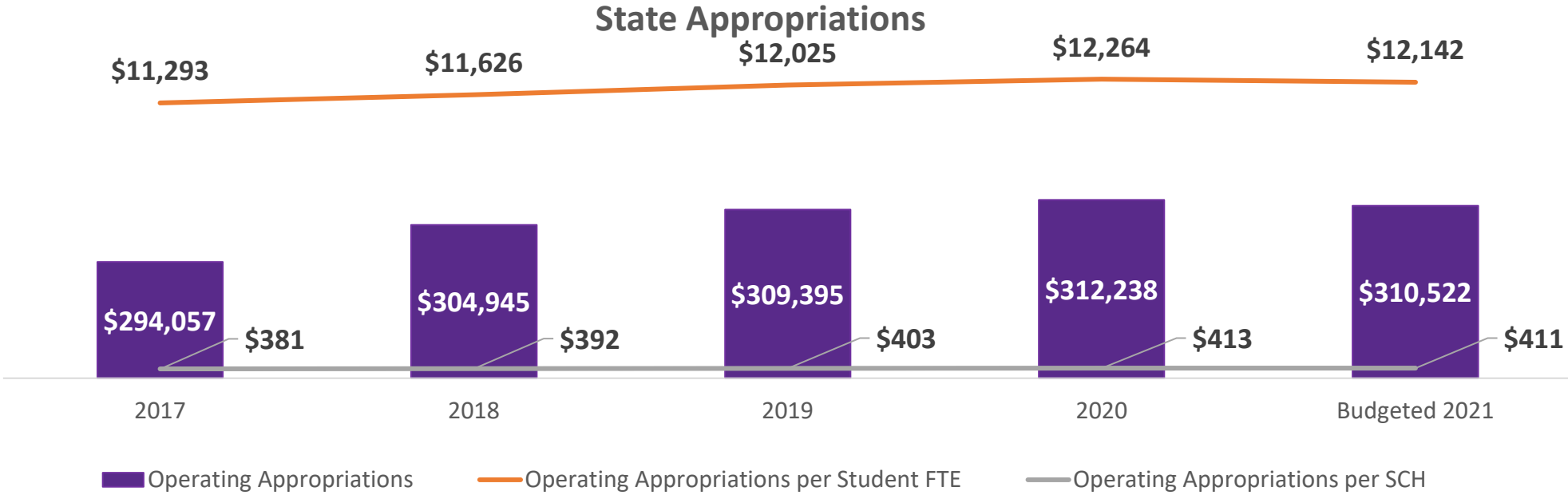


State Appropriations, Patient Services, and Student Tuition and Fees Account for 74% of Total Revenue



NOTE: Includes both operating and non-operating revenues

State Appropriation Driven by Student Activity



- In thousands for Operating Appropriations, not per student FTE
- Funded based on student credit hours (SCHs) weighted for undergraduate, masters, doctoral and course “intensity”
- Tuition is deducted from state appropriation calculation, so ECU receives same amount for in-state and out-of-state students (appropriations + tuition)
- Based on actual enrollment and calendar year

Sources of Revenue

State Appropriations: \$312M (32%)

- Start with prior year state budget and increase when state approves legislative salary increases/benefits and other legislative priorities
- Adjusted up/down for enrollment based on prior year actuals

Student Tuition and Fees: \$187M (19%)

- Board approved with student involvement
- Annual Campus Initiated Tuition Increase (CITI) process conducted using parameters from System Office
- Undergraduate resident tuition has been “frozen” for 4 years and is locked in for 4 years for each student
- Graduate/nonresident/professional school tuition can be increased
- Last several years fee increase limited to 3% by policy, FY22 2% increase

Sources of Revenue - Continued

Patient Service: \$277M (23%)

- Revenues generated by ECU Physicians and School of Dental Medicine

Sales and Services: \$81M (8%)

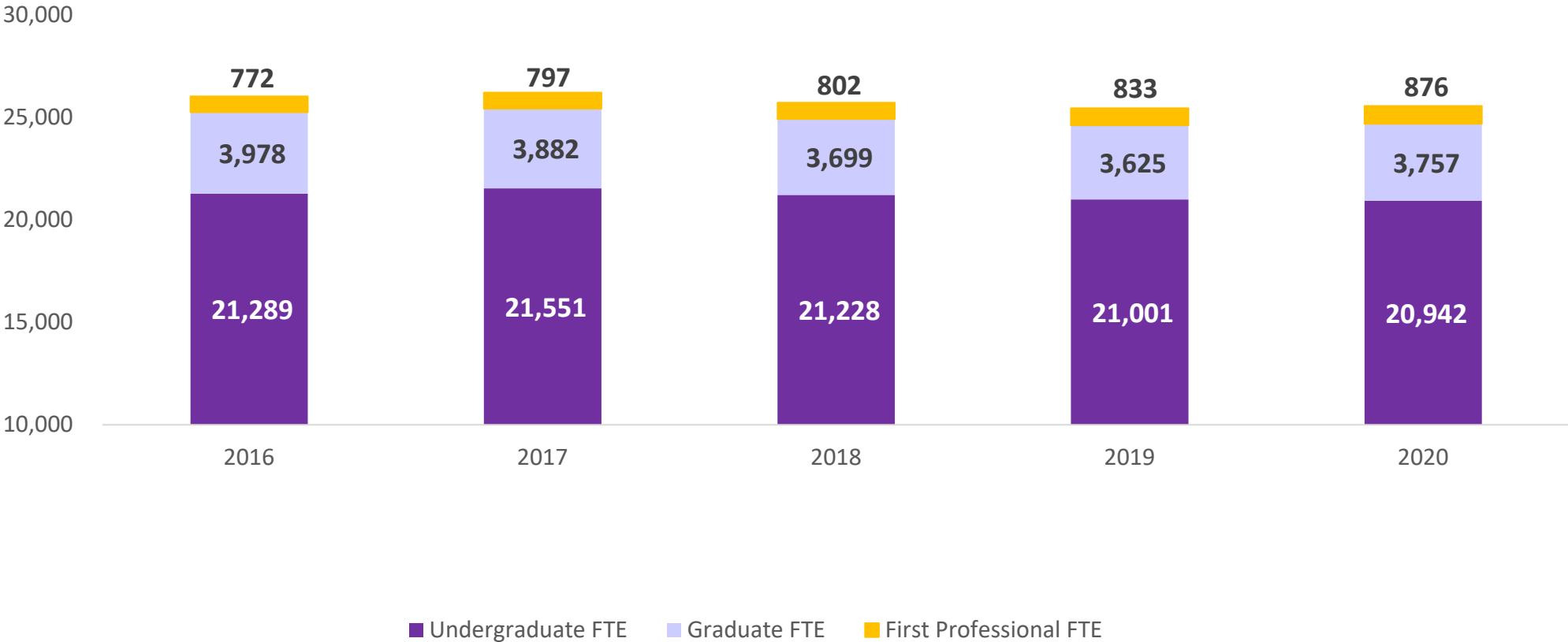
- Auxiliaries such as housing, dining, parking, bookstores, etc

Other Revenues: (18%)

- Gifts, grants, contracts, student financial aid, federal aid, investment income, other revenue

Undergraduate Enrollment Declined 2.8% Since 2017; Professional FTE Increasing

Full-Time Equivalents



Tuition Held Flat; Fees Driving Increases

Undergraduate Resident Tuition & Fees



Graduate Resident Tuition & Fees



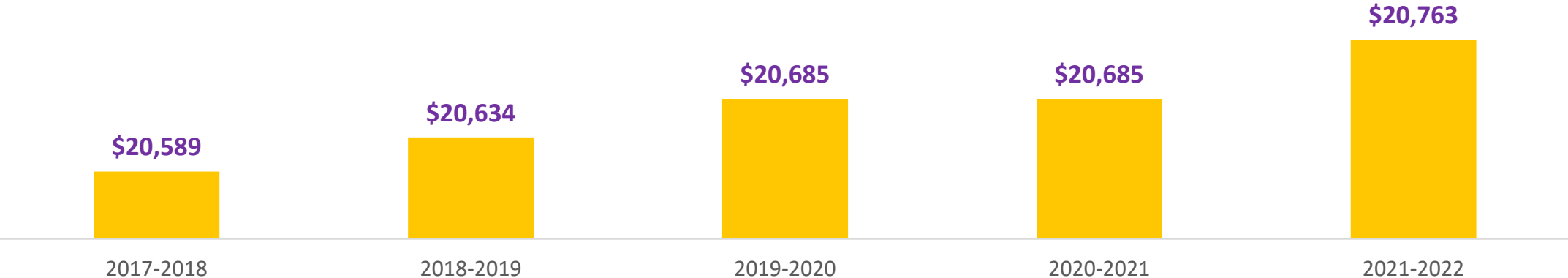
*Excludes Medical and Dental Tuition & Fees

Non-Resident Tuition and Fees Increase <1% Since 2017

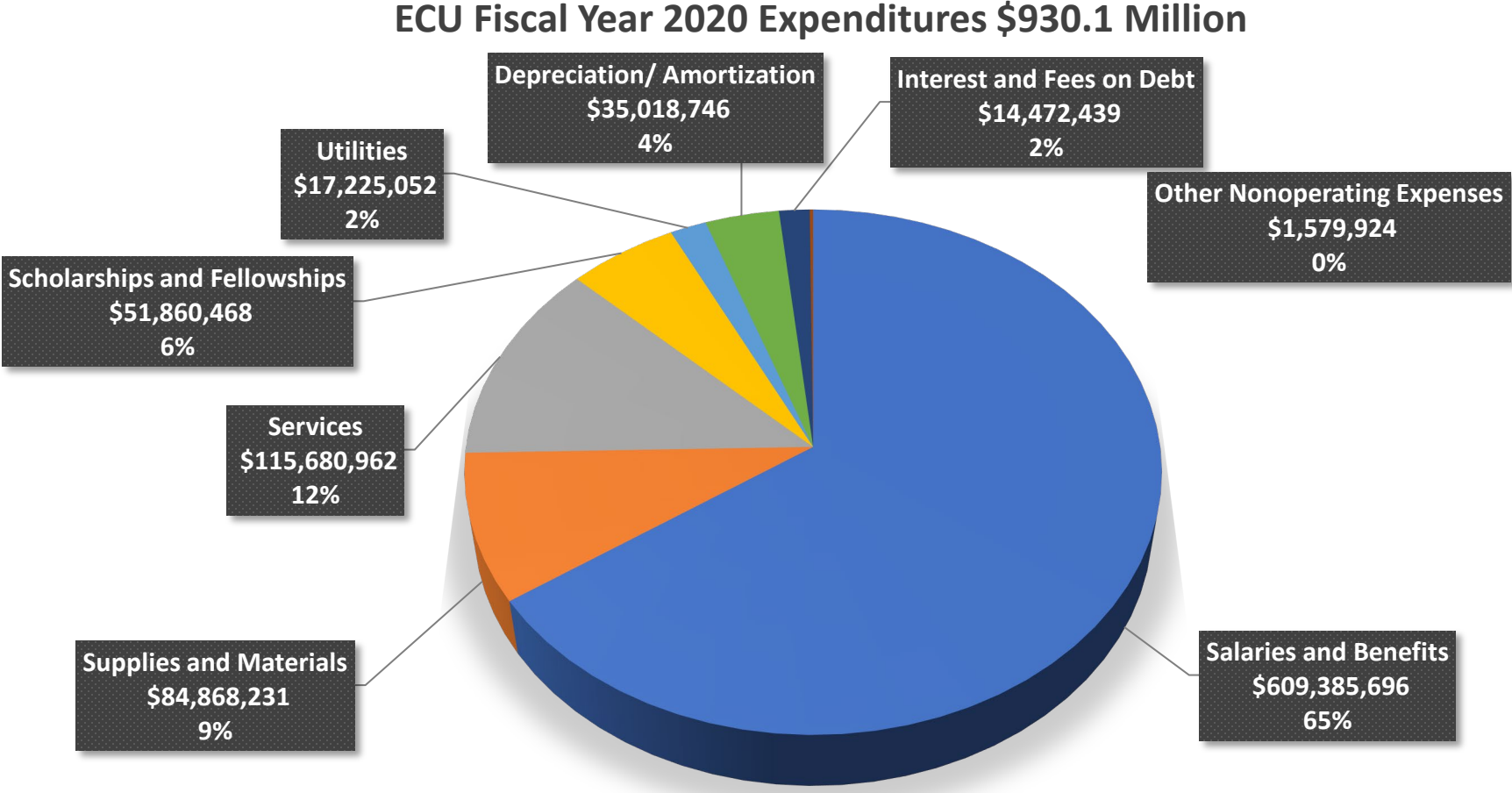
Undergraduate Non-Resident Tuition & Fees



Graduate Non-Resident Tuition & Fees

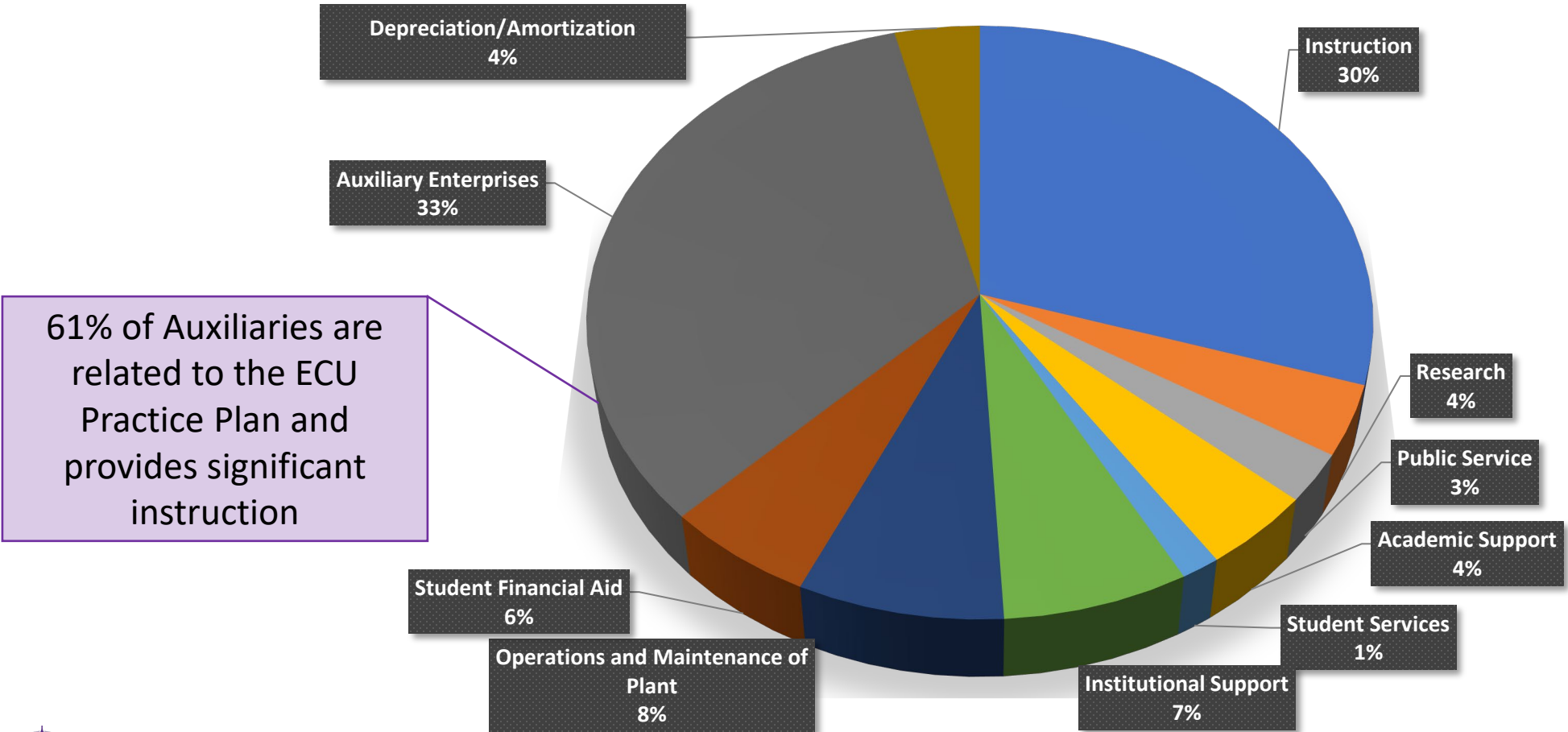


Salaries and Benefits Make Up 65% of Expenses



>60% Functional Expense in Instruction and Auxiliary Enterprises

ECU Fiscal Year 2020 Functional Expenses



61% of Auxiliaries are related to the ECU Practice Plan and provides significant instruction

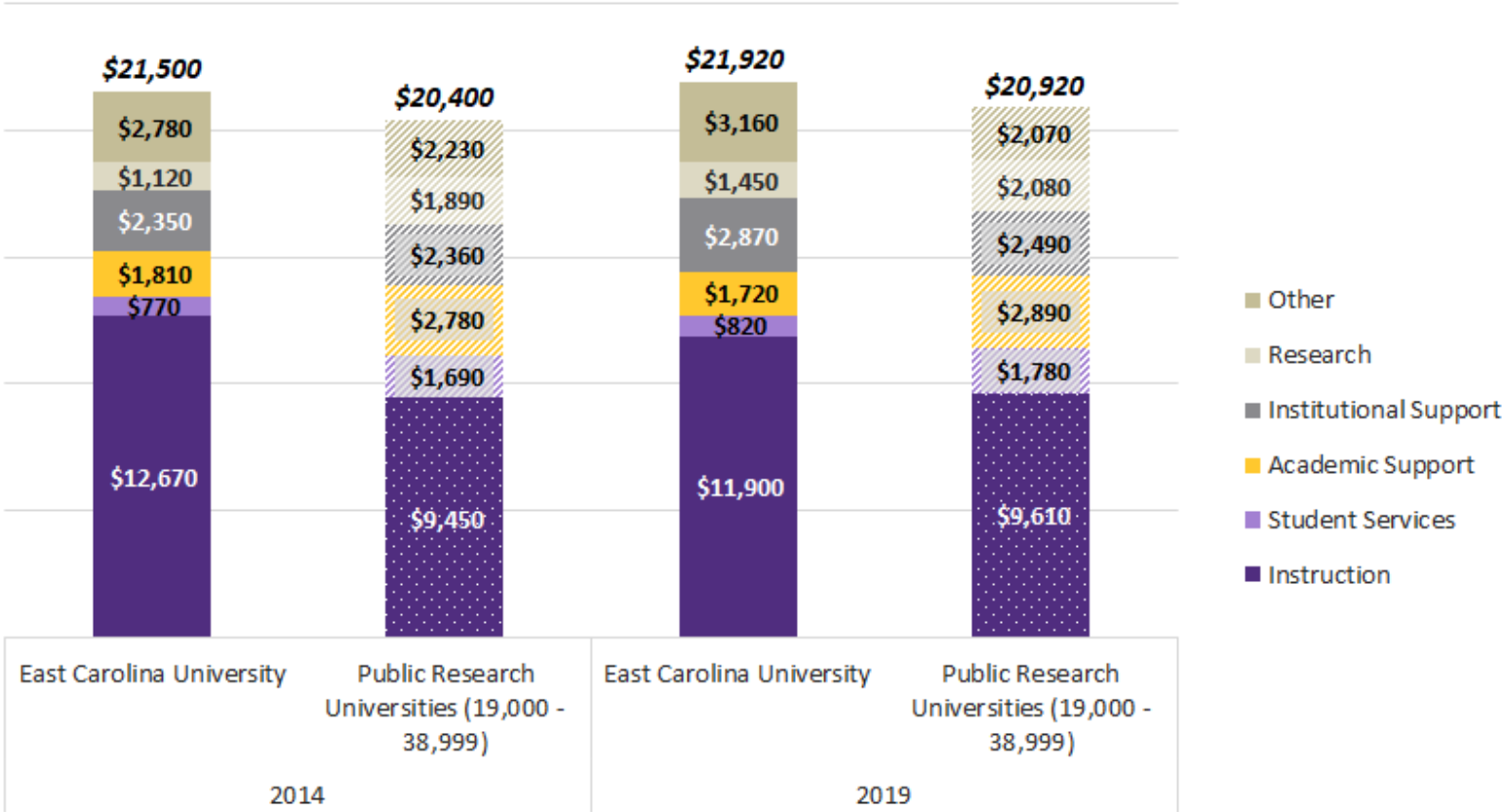


NOTE: 61% of Auxiliaries are related to the ECU Practice Plan

E&G Spending Rose Modestly but Remains Near Average

- ECU spent \$1,000 more per student in FY19 compared to the benchmark group. Per student spending on instruction and student services was 10% higher than the benchmark group average.
- ECU’s per-student spending increased 2% from FY14 to FY19 as enrollment and spending both increased modestly; average spending per student in the benchmark group rose at a similar rate.

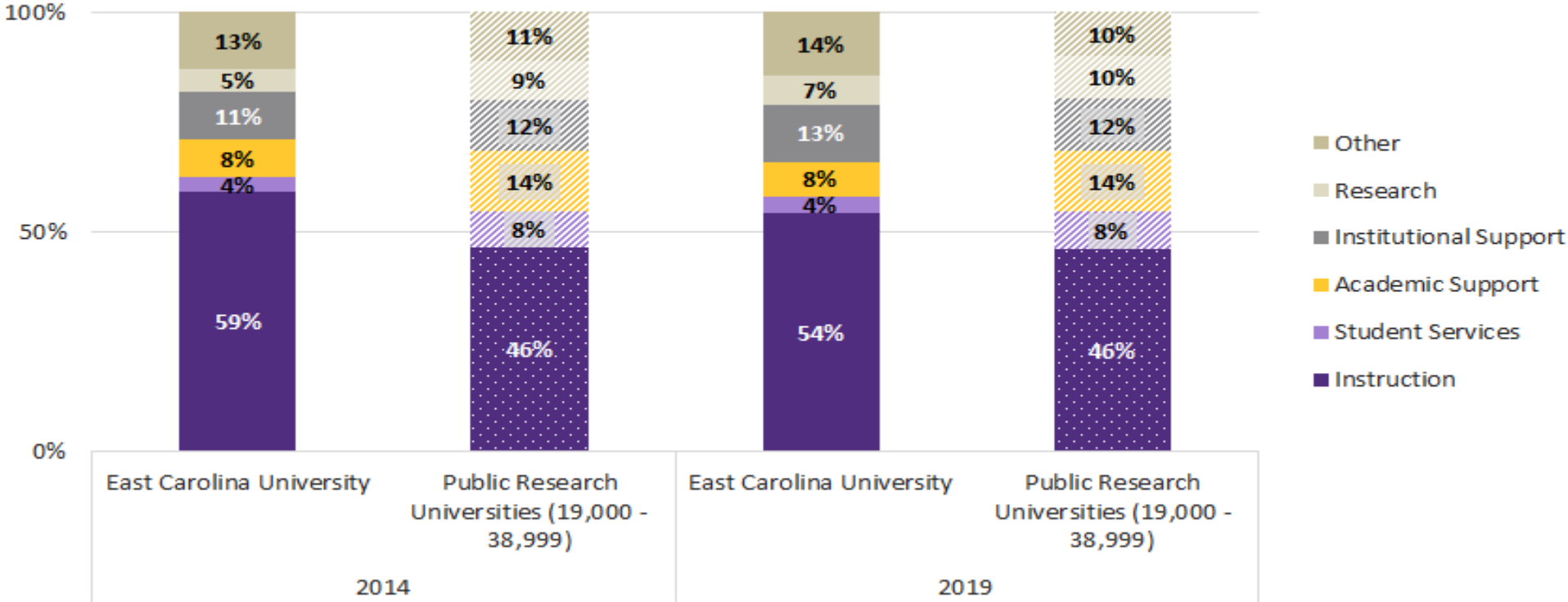
Education and General Spending per FTE Student, by Component, FY2014 and FY2019 (in 2019 dollars)



More than Half of Spending Directly Benefits Students

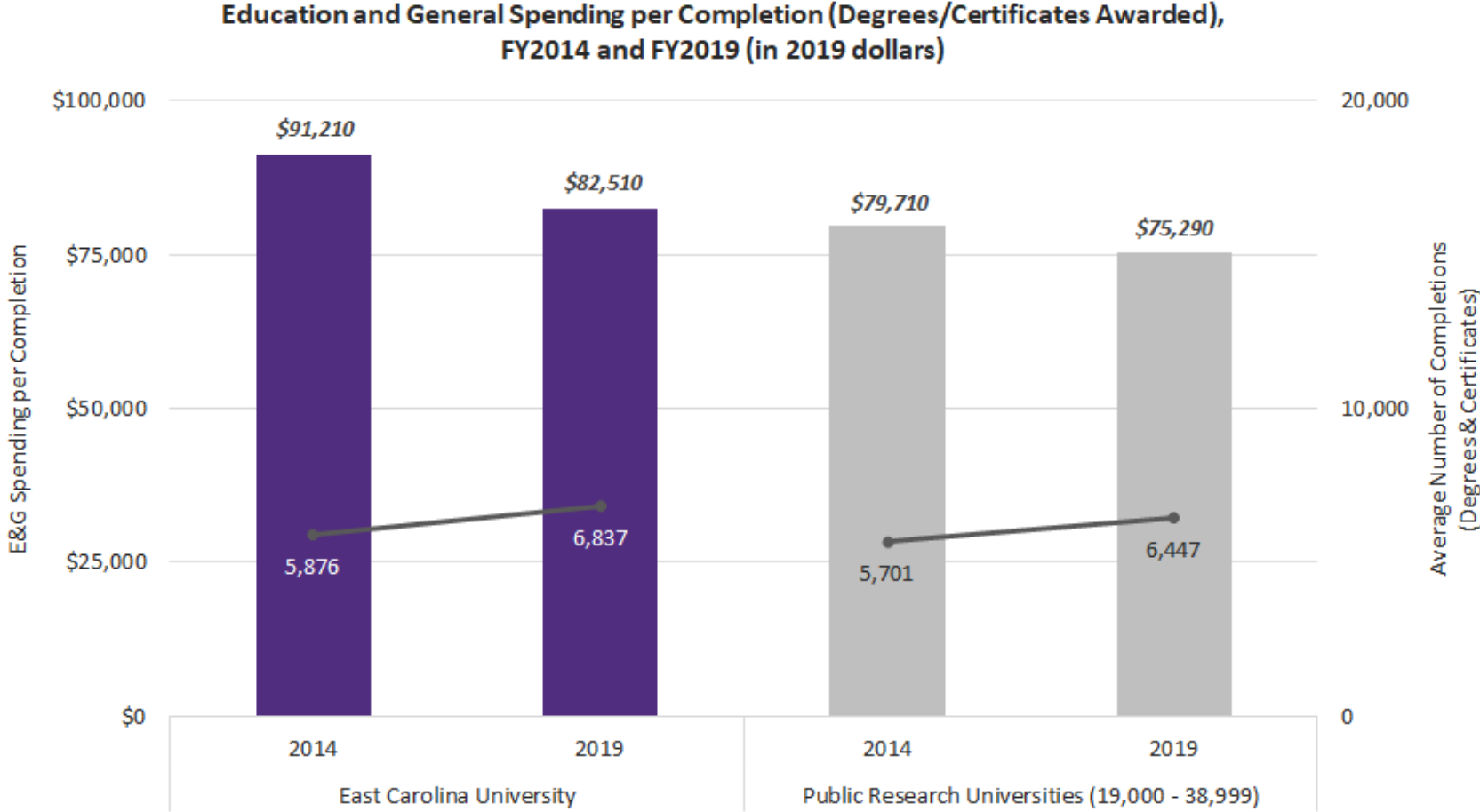
- ECU directs 58% of total E&G spending to instruction and student services, which is above the benchmark college average (54%).
- ECU made small changes in the allocation of its resources between FY14 and FY19, and redirected resources away from instruction.

Distribution of Education and General Spending per FTE Student, by Component, FY2014 and FY2019



Cost per Completion Decreased, Alongside the Benchmark Average

- ECU’s education and general spending per completion (degrees and certificates awarded) was 10% higher than the benchmark average in FY19.
- The number of degrees and certificates awarded by ECU increased 16% between FY14 and FY19, which reduced the cost per completion 10% amid modestly rising spending levels. The benchmark group had a 13% increase in degree productivity, which offset spending increases and reduced the cost per completion by 6%.



Definitions of Net Position Variables

Restricted-Nonexpendable

- Generally, the principal of a donor endowment, which must be retained in perpetuity

Restricted-Expendable

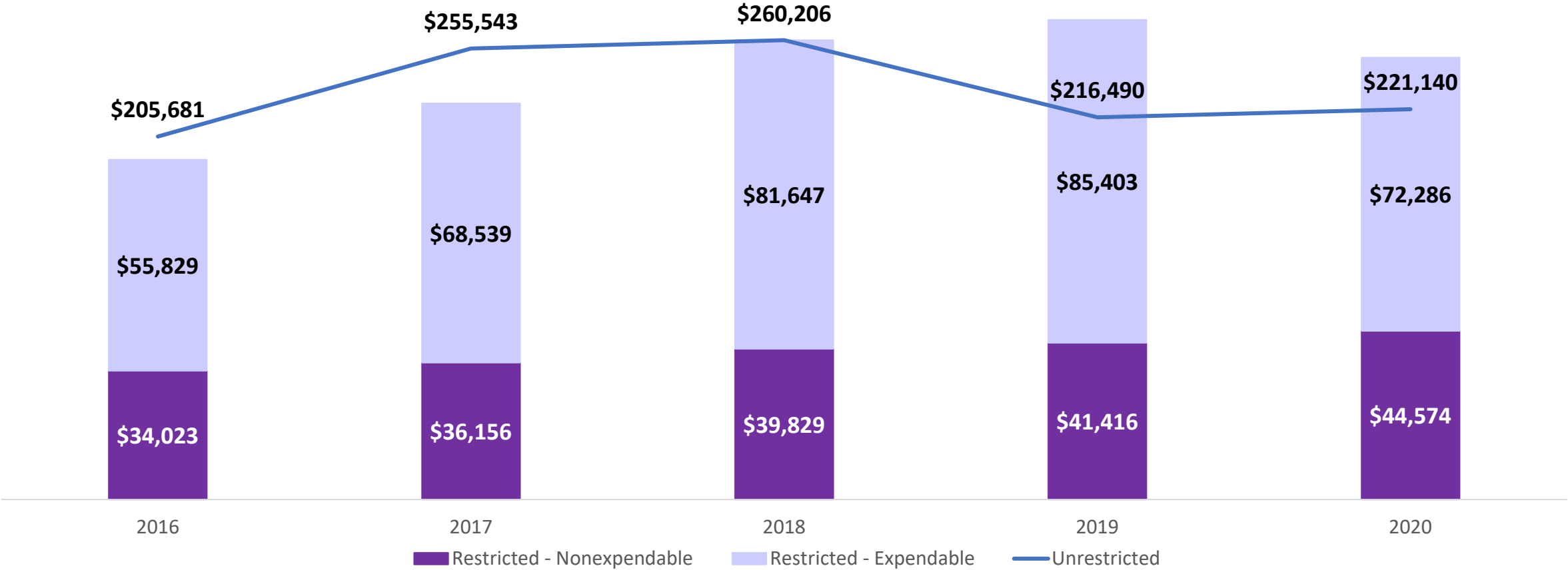
- Generally restricted by grantor or donors, includes market return on restricted endowments

Unrestricted

- Not externally restricted by creditor, grantor, donor or law, but may be designated by ECU for specific use;
- Internal Guideline – Budget will not drive net position decrease by more than 5%; Sustainable going forward?

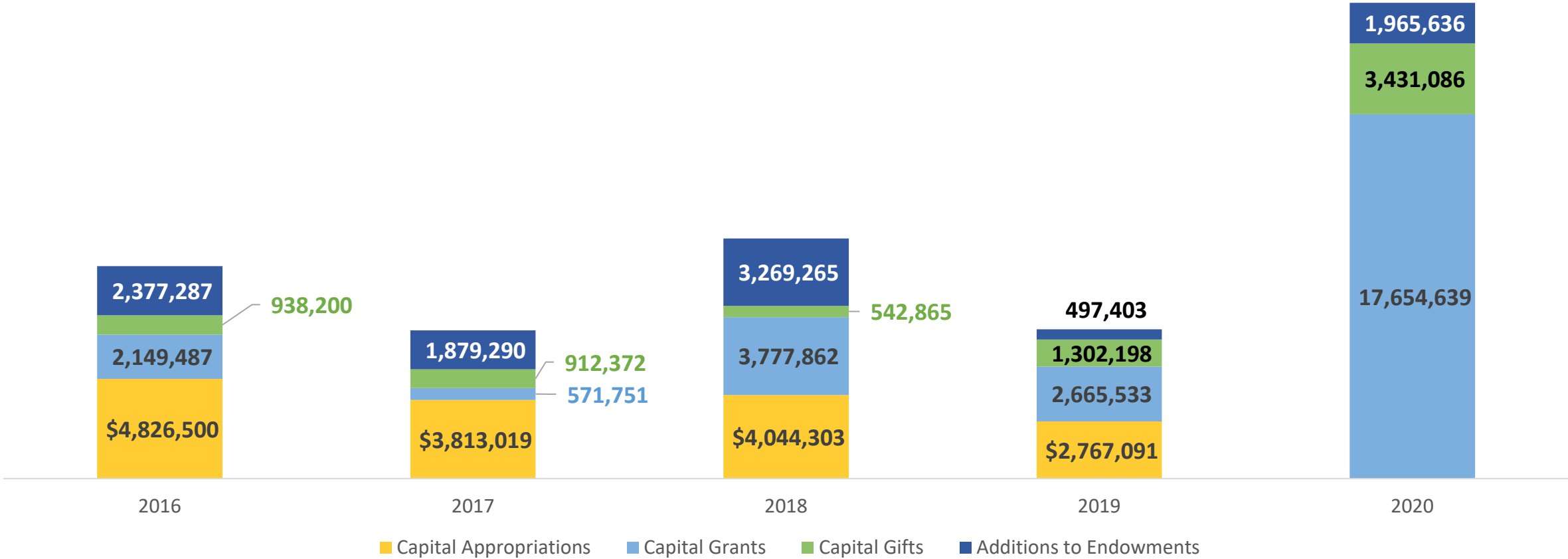
Unrestricted Net Position Decreased 17% in 2019

Unrestricted and Restricted Net Position (In Thousands)



Capital Appropriations and Grants are Based on Projects Approved by Legislature

Capital Funding



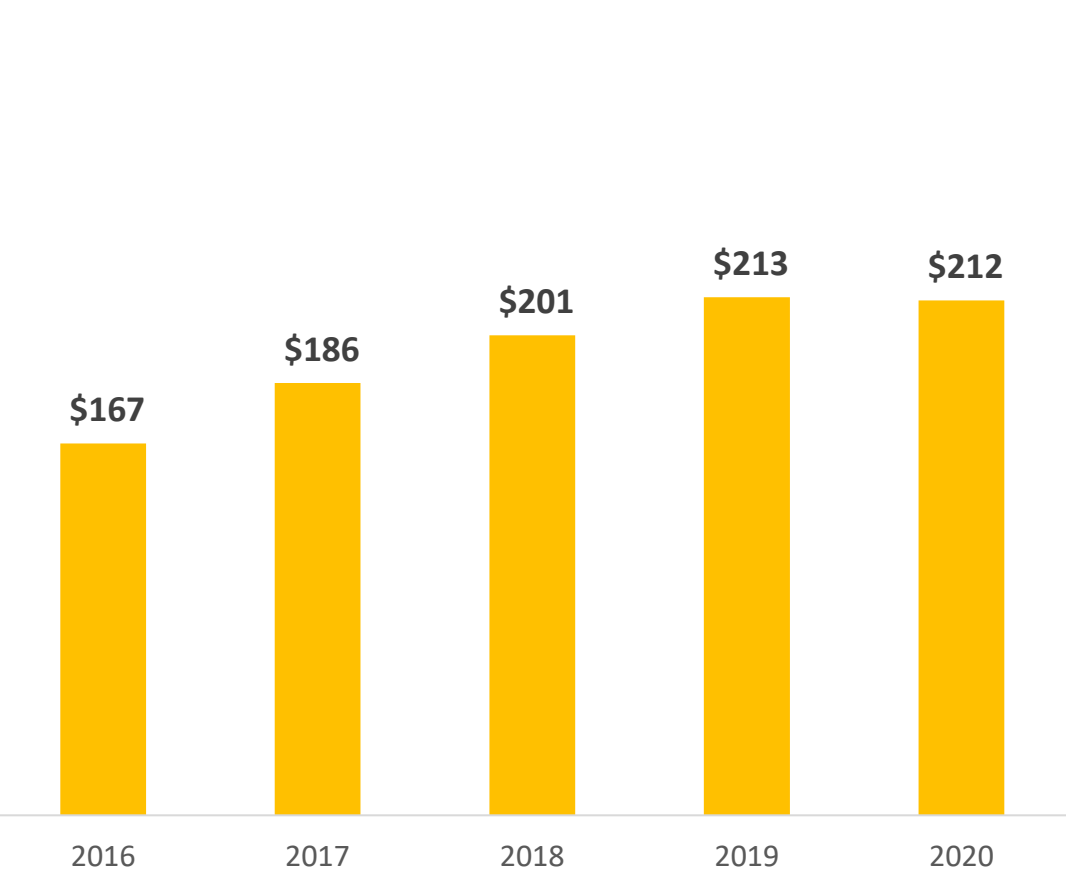
Debt Increase in 2016 for Main Campus Student Ctr

Long-Term Debt (In Thousands)

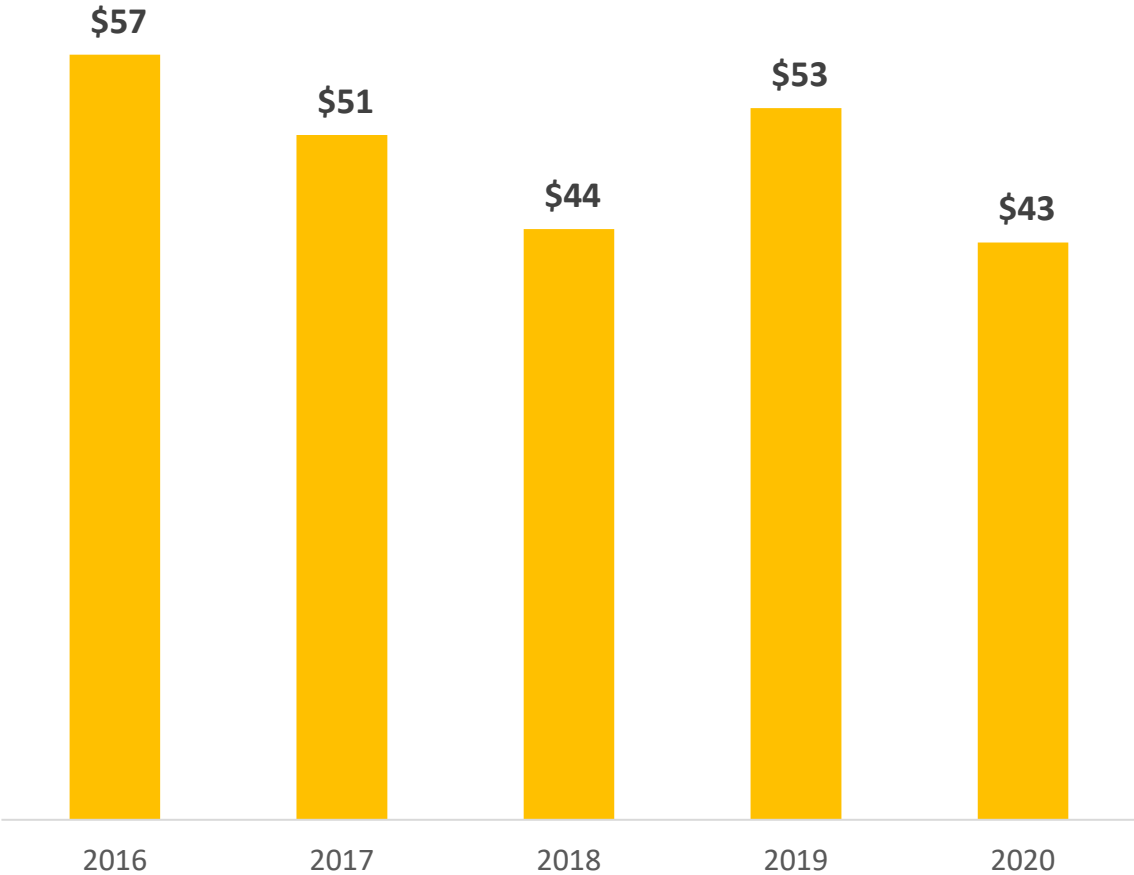


Endowment Value Remains Stable Fundraising Drops to Lowest Level in 5 years

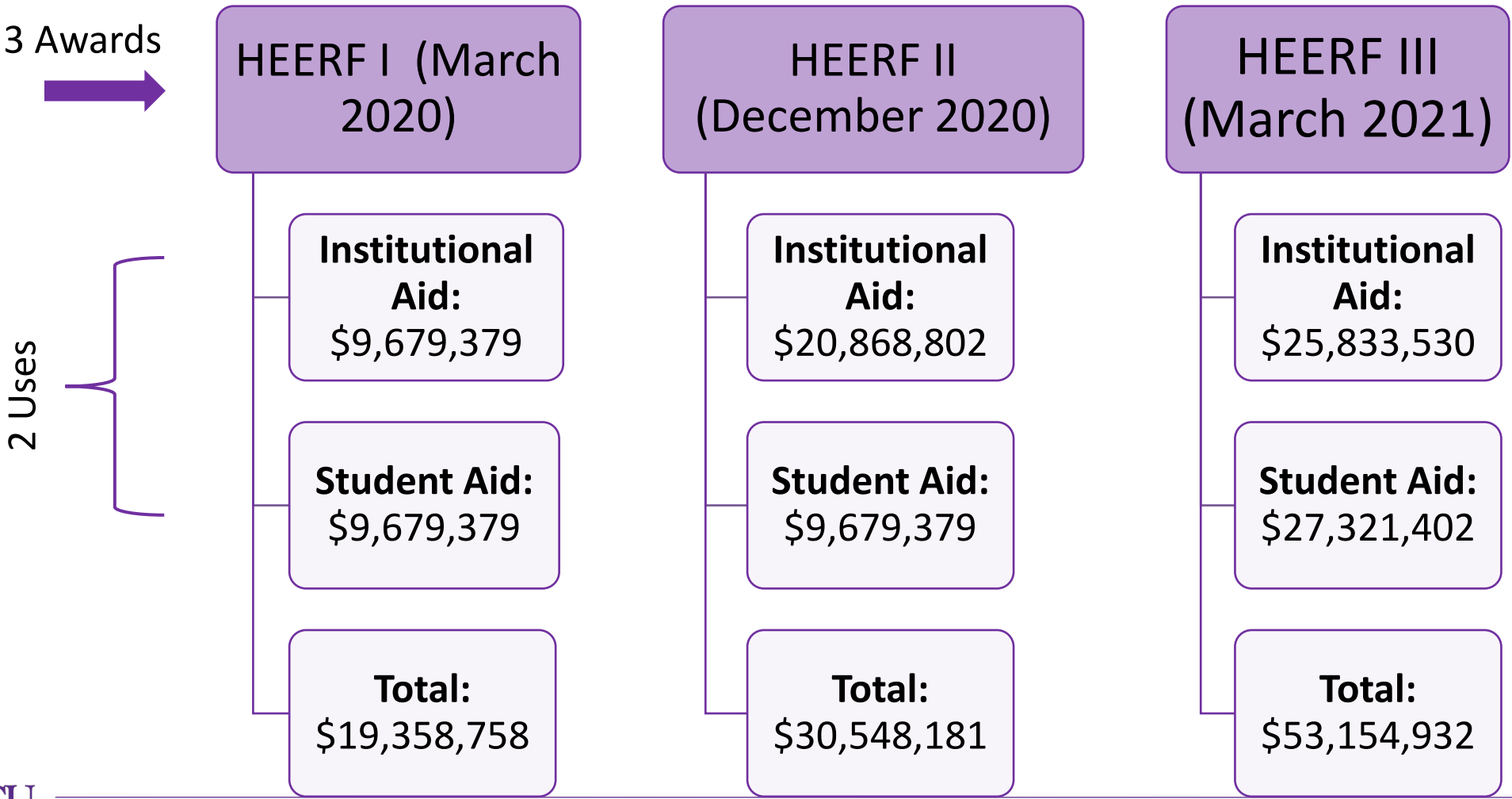
Market Value of Endowments (\$ Millions)



Annual Fundraising Totals (\$ Millions)



HEERF = Higher Education Emergency Relief Funds



HEERF I and II Spending

HEERF I
\$19,358,758



Institutional Use: \$9,679,379
Reimburse university for Housing and Dining refunds for Spring 2020 and Fall 2020



Student Aid: \$9,679,379
Awarded to Pell eligible students in the form of block grants based solely on Pell eligibility; awarded to students based on their appeal/request received in the COVID-19 grants mailbox

HEERF II
\$30,548,181



Institutional Use: \$20,868,802
(1) Reimburse university for Housing and Dining refunds for Fall 2020 (2) Cover COVID testing expenses; (3) Cover additional revenue losses



Student Aid: \$9,679,379
Completion, Emergency, Retention, and Technology Grants

HEERF III – UNC System Priorities

\$25,833,530



PUBLIC HEALTH:

CONTINUED COVID MITIGATION



AFFORDABILITY:

MINIMIZING INCREASES TO THE COST OF ATTENDANCE



STUDENT SUCCESS:

SUMMER ENROLLMENT TO ACCELERATE DEGREE COMPLETION



IT SECURITY:

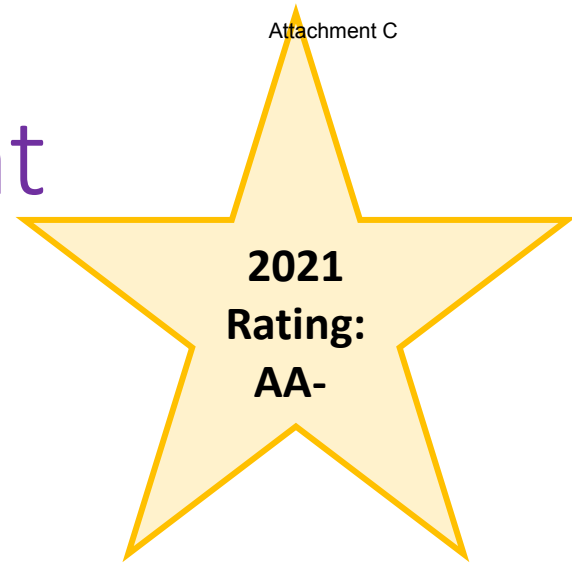
STRENGTHENING CAMPUS CYBERSECURITY



ACCESS:

ENROLLING AND RETAINING LOW-INCOME, RURAL AND UNDERREPRESENTED STUDENTS

S&P Global Credit Rating Improvement



- **Enterprise profile: Very Strong**
 - Stable enrollment
 - Solid retention
 - Strategic initiatives that have grown programmatic and online offerings
- **Financial profile: Very Strong**
 - History of full-accrual operating surpluses
 - Manageable maximum annual debt service

Improvement from Fall 2020 S&P rating of negative

Potential Rating Scenarios

Downside Scenario: Lower rating to negative due to the following

- Decrease in available resources
- Weaker demand metrics
- Change in healthy operating margins
- Take on additional debt without proportional resource growth

Upside Scenario: Revise rating to positive due to the following

- Improve enterprise-demand metrics (selectivity + matriculation)
- Continue to maintain full-accrual operating surpluses
- Material increase in available resources
- Additional debt remains supported by proportionate resource growth



Questions/Feedback

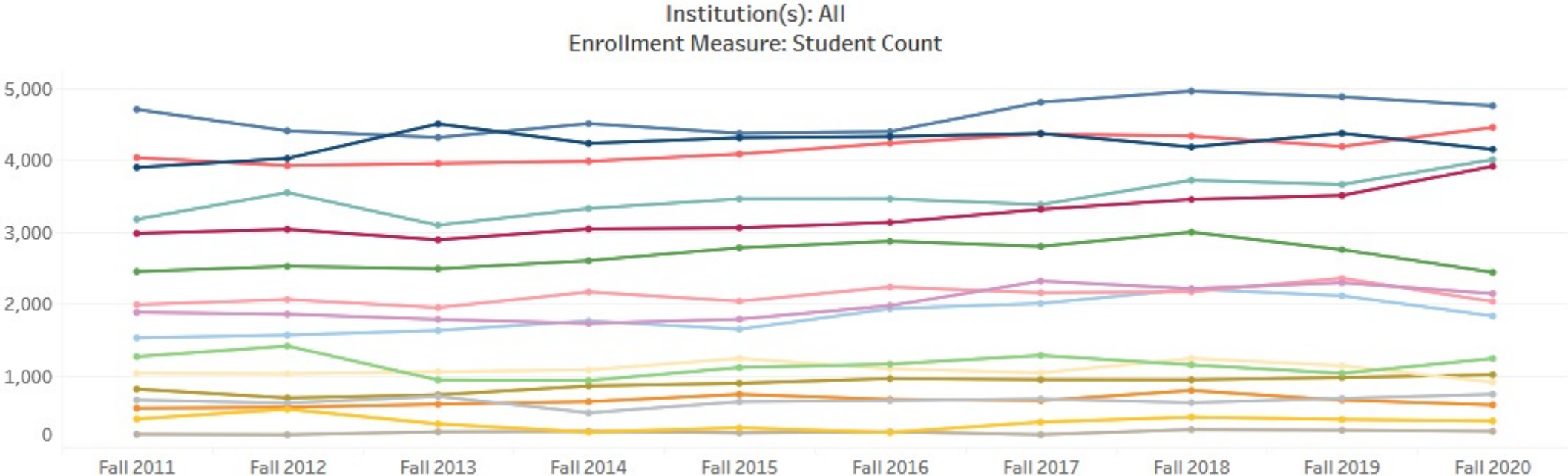


Undergraduate Student Recruitment

Stephanie Whaley

Assistant Vice Chancellor & Director for Admissions

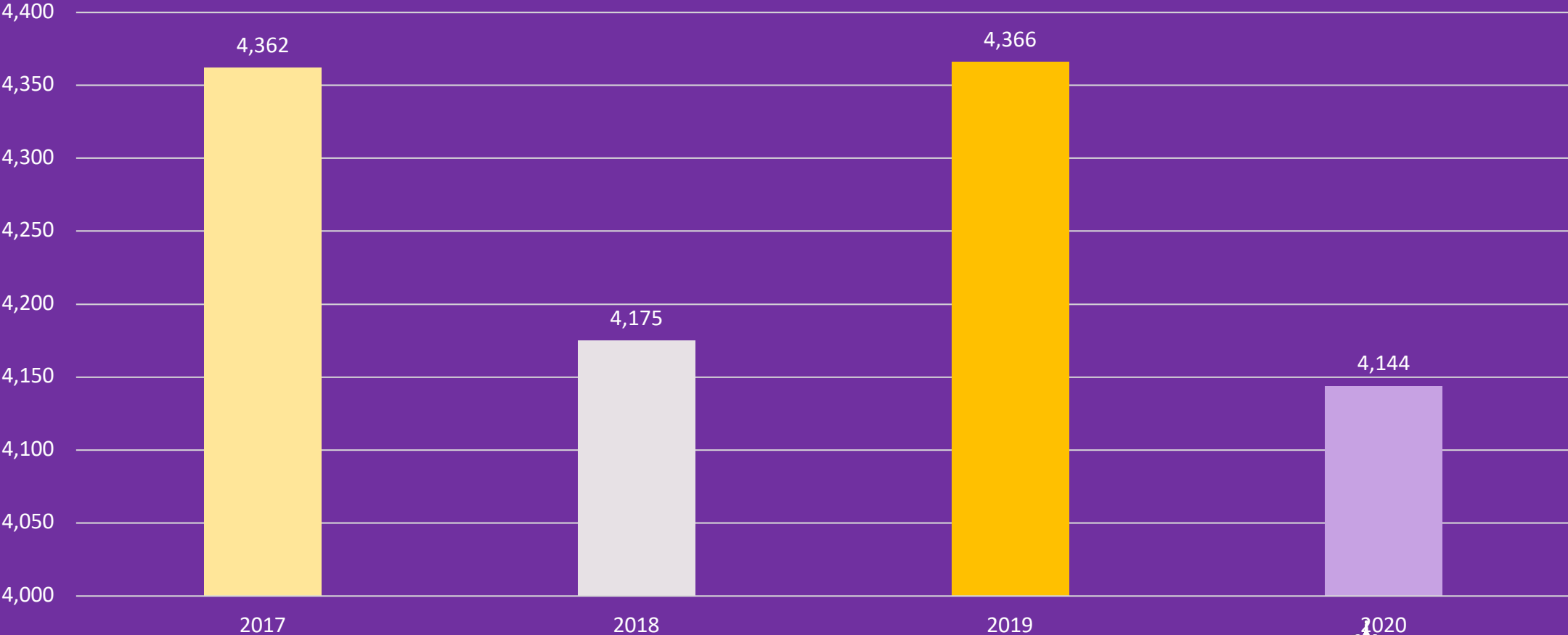
Undergraduate, First-time New Students UNC System Schools



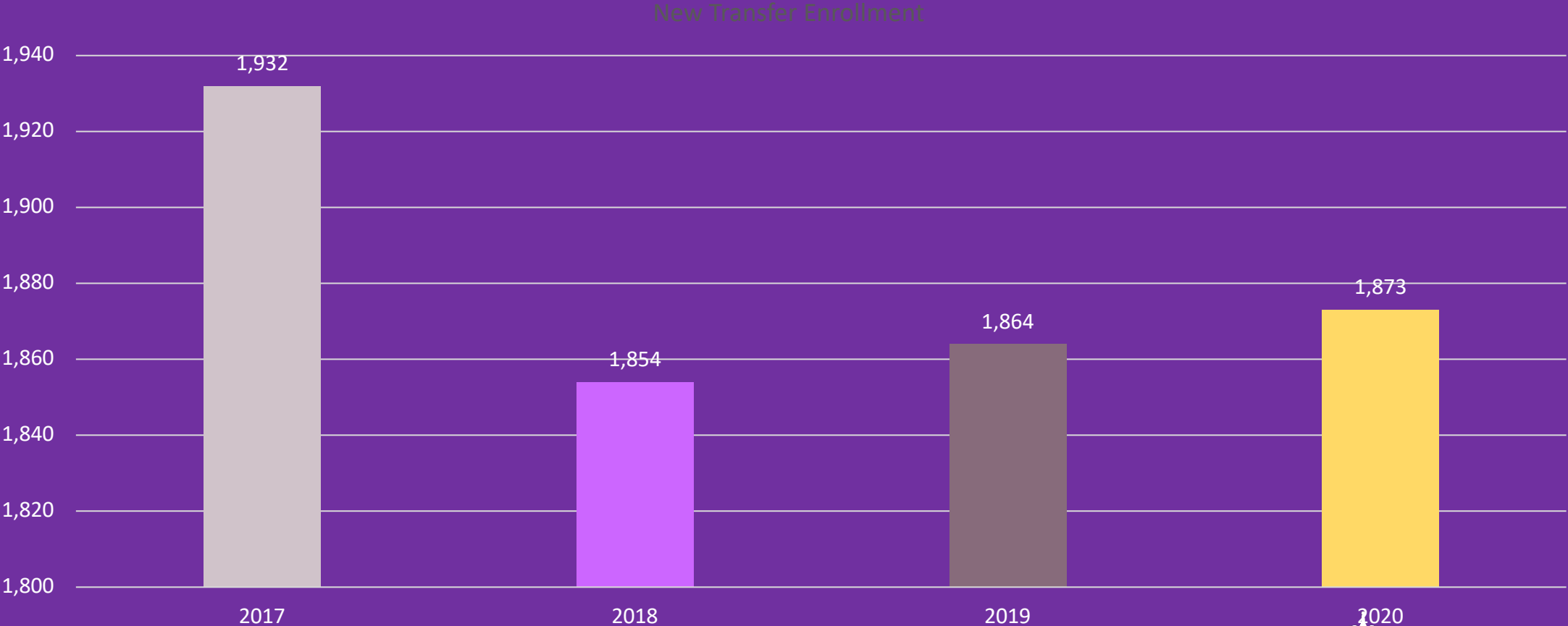
Source: UNC System Data Dashboard



New Freshman Enrollment



New Transfer Enrollment



The Admissions Funnel

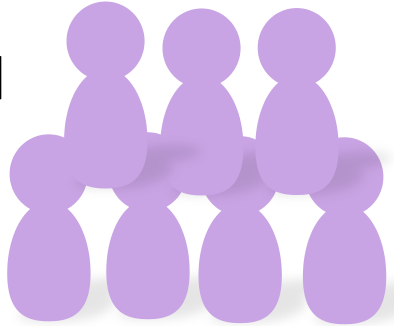
Purchased Names

Inquiries

Applicants

Admits

Enrolled





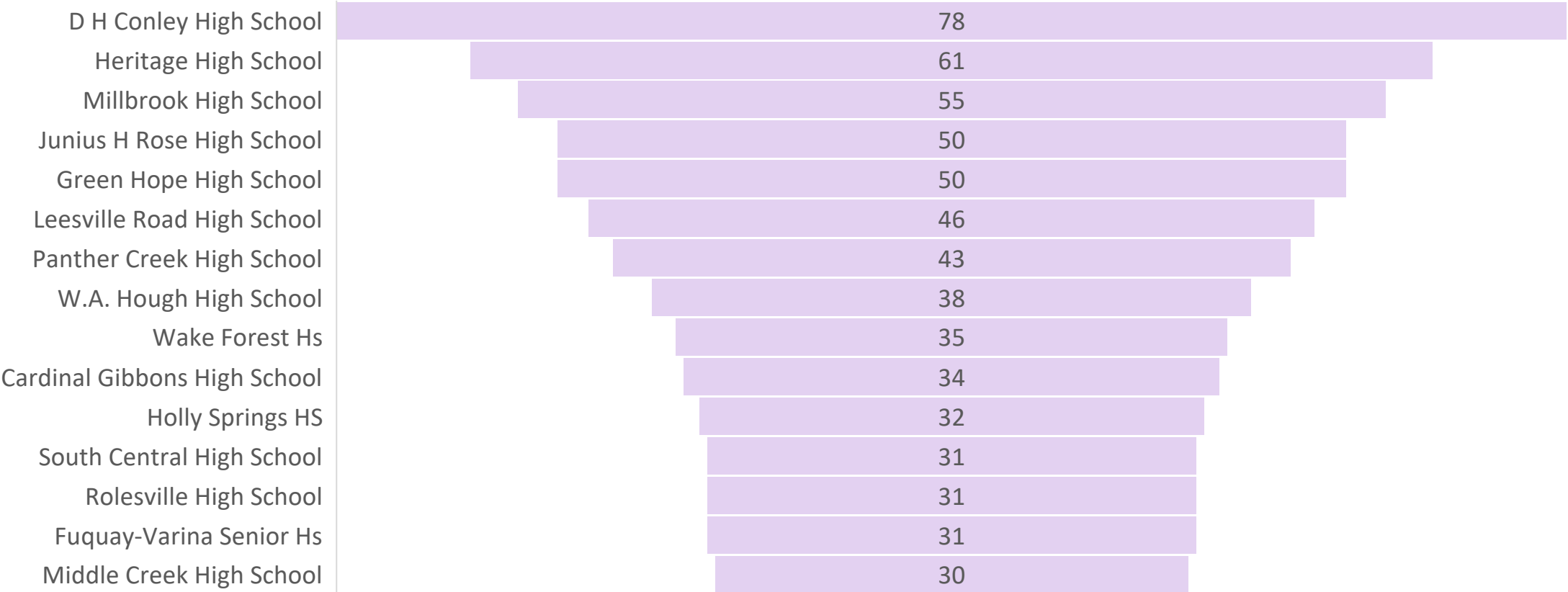
Fall 2020 Freshman Class Stats

- 87% In-State
- Average SAT 1094
- Average ACT 22.1
- Average unweighted high school GPA 3.33
 - 1% increase in Hispanic enrollment

Academic Program	Headcount
BSN-Nursing	717
BS-Biology	389
Undeclared	344
BS-Exercise Physiology	221
BSBA-Management	183
BA-Psychology	174
BSBA-Marketing	131
BS-Criminal Justice	129
BS-Engineering	128
BS-Computer Science	104
BS-Elementary Education	104
BS-Construction Management	100
BFA-Art	94
BS-Public Health	93
BS-Communication	86

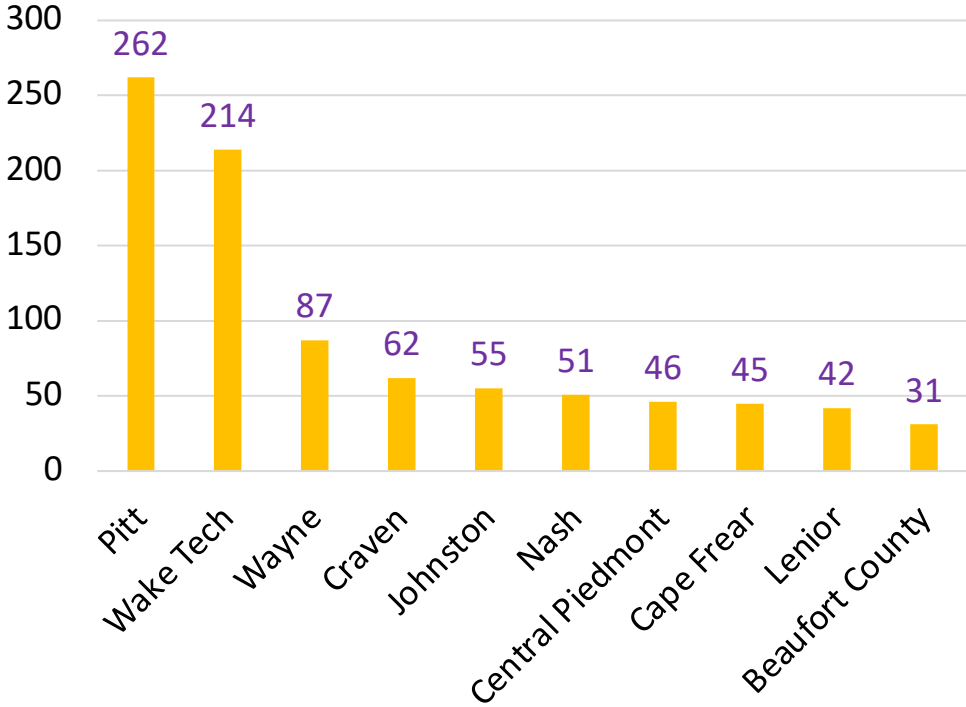
Top 15 Majors

Top 15 High Schools



Transfer Student Profile Fall 2020

- 72% of transfers come from NC Community Colleges.
- The average transfer GPA is: 3.09.



Ongoing Freshmen Recruitment Strategies

- High school visits
- College fairs
- Comprehensive communication plans at all stages of the enrollment funnel
 - Email
 - Phone calls
 - Social Media
 - Targeted digital ads
 - Texting
- Personalized follow-up from counselors
- Campus tours
- On and off campus events



New Freshmen Initiatives



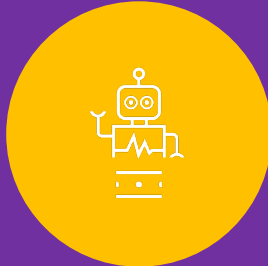
Growth Of Regional
Admissions
Counselors



Increased Strategic
Outreach To Younger
Students



Expansion of off-
campus events to rural
communities



Pee Dee the chatbot

Transfer Recruitment Strategies

Traditional

- Community College Programing
- College fairs
- Personalized follow up with prospects
- Comprehensive communication plans at all stages of the enrollment funnel
 - Email
 - Phone calls
 - Social Media
 - Targeted digital ads
 - Texting

New

- Expansion into out-of-state markets
- Pirate Promise Partnership Expansion



One university. A world of opportunity.

A doctor in a rural North Carolina community recently posted about a patient in the ER. In the same ER, after the Women's Health Center, they have been for many weeks. What do these people and places have in common? They are both students of East Carolina University, and they are both doctors.

From coastal medicine to maritime studies, ECU offers 175 degree programs that challenge students in both ways. Being a learning center. Whether it's making the most of summer, being a student or working to bring our future on busy hospital floors, ECU students are discovering great potential — on campus and around the globe.

www.ecu.edu

TRANSFER STUDENTS

Your path to Pirate Nation

APPLY

Create an admissions portal account at transfer.ecu.edu.
Apply through your admissions portal account.
Request official transcripts for each of your post-secondary institutions to be sent to the ECU Office of Undergraduate Admissions.
Once your application is complete (including transcripts received), an admissions decision will be mailed and posted on your portal account within 2 weeks.
Submitted transcript(s) will be evaluated for credit.

ENROLL

Enrollment Deposit
Secure your spot by submitting your \$100 deposit.
Follow the Admitted Student Checklist at www.ecu.edu/admissions/transfer/admitted.

Financial Aid and Scholarships
Submit your FAFSA application at www.fafsa.gov beginning October 1st. Apply for university scholarships by January 15th at www.ecu.edu/universityscholarship.

Transfer Orientation
Register for a transfer orientation session on your Pirate Post. Get essential information at your orientation.
Once completed, you will get access to academic advising and your class registration.

Academic Advising and Registration
Meet with academic advising to review your degree plan. Contact your advising center to schedule an advising appointment at ecu.edu/advising/contacts. Register for classes.

ECU
Start here > transfer.ecu.edu

Office of Undergraduate Admissions • transfer@ecu.edu

One university. Many paths to success.

EAST CAROLINA UNIVERSITY

ecu.edu



Attachment D

ECU THE ADVISOR ADVANCE

THE OFFICIAL COMMUNITY COLLEGE PARTNER OF EAST CAROLINA UNIVERSITY

IMPORTANT DATES

MAIN CAMPUS STUDENT CENTER NOW OPEN

The new 220,000-square-foot equivalent of four and a half football fields Student Center at ECU's newest feature of the LED-verified facility include:

- Six dining options including Panda Express, Starbucks, a Creamery, Au Bon Pain, and Rising Crane's Diner
- 250-seat black box theater and the Central Ticket Office
- 10,000-square-foot commons area
- Quadly Student Store
- Multiple lounges, study rooms, an online gaming center and an outdoor patio
- ECU sporting events projected on the 24 x 42 foot Pirate Vision outdoor digital display
- A 200-space parking deck with hourly parking in addition to our free visitor pass parking

The Student Center will be the beginning point for campus tours, becoming the first place the parents and prospective students visit. Dr. Virginia Neely, vice chancellor for student affairs said, "This building will change the culture of our campus." The new building replaces Mandelhall Student Center, which opened in 1976.

APPLY FOR TRANSFER AS SOON AS POSSIBLE

The time is now to complete your Summer and Fall Applications! Our Summer and Fall 2019 classes are filling up quickly, so students should get their application in as soon as possible. A student isn't sure of a major? That's OK! Students can apply as undecided and will be assisted by a specifically trained advisor.

ECU CAPTURE YOUR HORIZON

Check your official credit evaluation for the status of your transferable credits.

[LEARN MORE](#)

Review your Credit Evaluation

%%firstname%%, we know one of the biggest questions you may have right now is the status of your transferable credits. Once the Registrar completes the review of your transcript(s), you will be able to access your official credit evaluation by following these steps:

1. Log into PiratePort using your PirateID and password
2. Select "Banner Self Service" file or use the search bar
3. Select "Student," then "Student Records," and then "Academic Transcript"
4. Click "Submit"

Credit evaluations are typically complete about 2-3 weeks after you receive notice of admission.

Once you get your evaluation, please review it thoroughly. If you have any questions about how we reviewed your credits or why a particular course was not accepted, please email your name, Banner ID and the course(s) in question to creditval@ecu.edu.

ECU PIRATES IN YOUR TOWN

Join us in Wilmington

%%firstname%%, the fall of your senior year can be a busy time, so in order to make sure you have the opportunity to learn about East Carolina University, we're coming to you.

Join us next **Tuesday, October 1** at 7:00 p.m. at the Hotel Ballast in downtown Wilmington for an evening of information about academics, the Honors College, and life at ECU. You will also meet the admissions staff responsible for your region, who will discuss the application and admissions process.

We hope you and your family can join us to discuss the many different academic opportunities available to you at East Carolina University. Come learn about a variety of programs while getting all of your admissions questions answered. We look forward to seeing you soon!

[Register to Attend >](#)

ECU Undergraduate Admissions
%%Member_State%% | %%Member_Addr%%
%%Member_City%%, %%Member_State%%, %%Member_PostalCode%%
admissions.ecu.edu | admissions@ecu.edu

Pirate Promise

Guaranteed ECU[®] admission for qualified students attending a partner community college

Prepare for your future.

Join Pirate Nation™ with Pirate Promise. ECU extends an invitation to participate from your community college before you graduate and transfer. Pirate Promise is the starting point for community college students to attend special events, get advising and have support as you transfer to ECU.

Get these benefits:

- Guaranteed admission to ECU upon completion of an associates degree
- Waived ECU transfer application fee
- Joint academic advising
- Joint financial aid counseling and RaiseMe micro-scholarship opportunities
- Eligible to receive an ECU 1 Card
- Access to ECU Libraries
- Participate in select campus activities including "invitation only" Pirate Promise events at ECU
- And much, much, more!

Students must be enrolled in an associate degree program at one of the Pirate Promise partner community colleges. To maintain eligibility students must have a cumulative GPA of 2.5. A list of eligible associate programs can be found in the NC Comprehensive Articulation Agreement. The Pirate Promise program does not guarantee admission to a specific major or program.

Questions?

Contact us at transfer@ecu.edu or 252-328-6640, or at PiratePromise.ecu.edu.

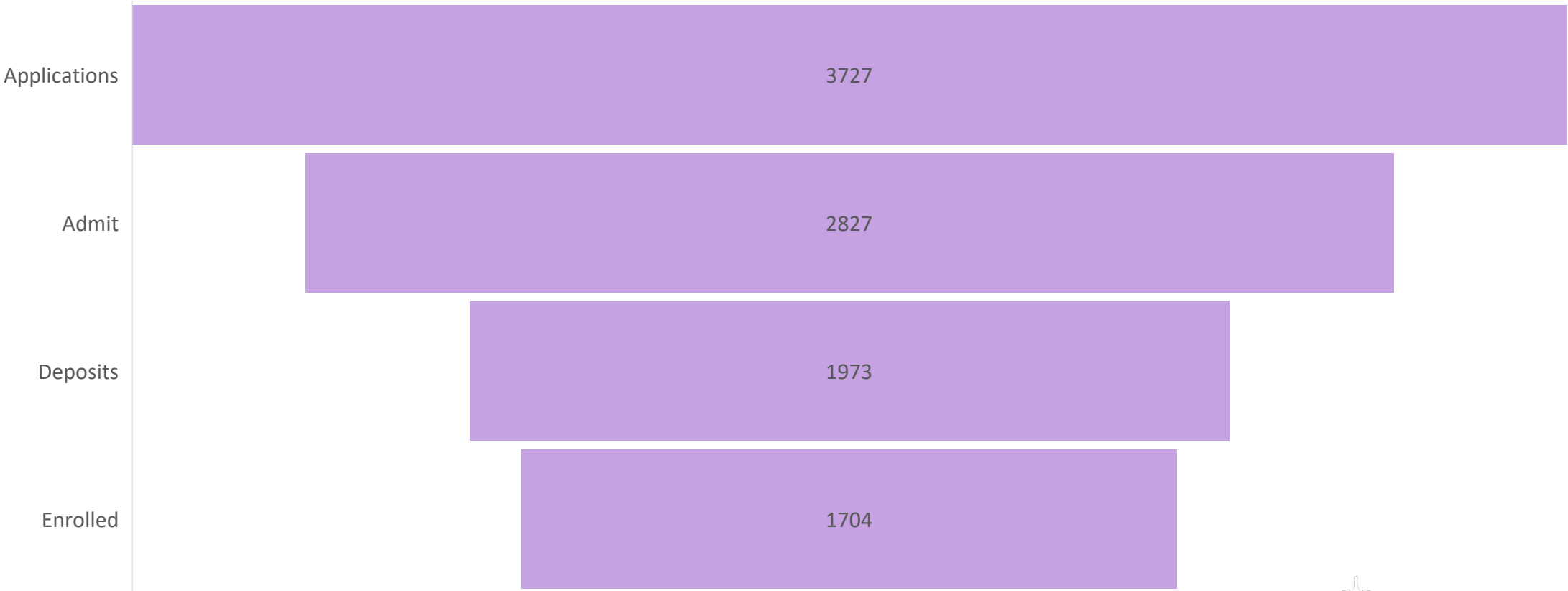
Find more information and resources for transfer students like you:

Office of Undergraduate Admissions
www.ecu.edu/transfer

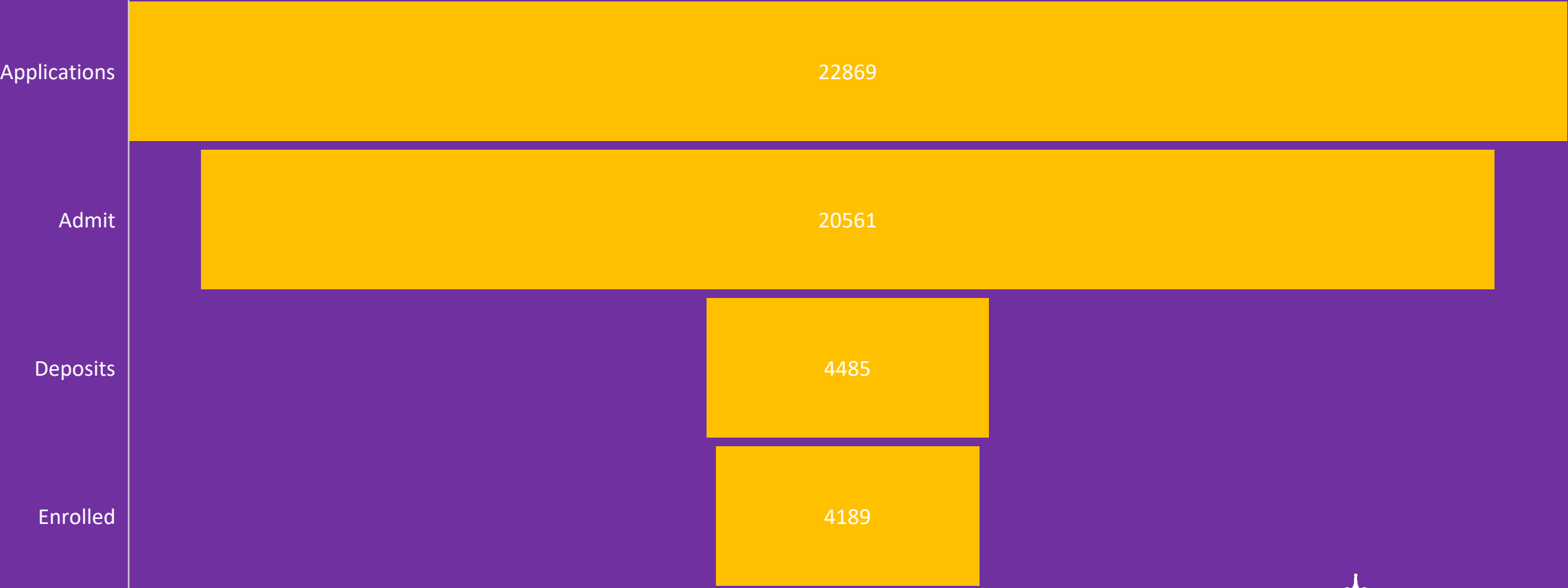
Collaboration with Marketing



Fall 2021 Transfer Snapshot



Fall 2021 Freshmen Snapshot



Questions



Contact Information

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