

Advocacy Committee November 5, 2020

The Advocacy AdHoc Committee met during the regular scheduled Board of Trustees meeting on Nov. 5, 2020. Chair Scott Shook called the meeting to order and asked Megan Ayers to call the roll. All committee members were present: Leigh Fanning, Max Joyner, Vince Smith, Scott Shook and Angela Moss.

Mr. Shook read the conflict of interest statement and no conflicts were identified.

Mr. Shook asked for a motion to approve the minutes from the September 10, 2020 meeting. Ms. Moss motioned approval and Mr. Joyner seconded.

The committee proceeded with a roll call vote:

Leigh Fanning - yay
Max Joyner - yay
Scott Shook - yay
Vince Smith - yay
Angela Moss - yay

The committee identified key constituent groups and reviewed liaison assignments for 2020-2021:

NC General Assembly: Scott Shook, Leigh Fanning, Max Joyner
UNC Board of Governors: Angela Moss
Board of Governors: Max Joyner
Pirate Club Executive Board: Scott Shook
Alumni Association Board of Directors: Vince Smith
Medical & Health Sciences Foundation Board: Angela Moss
ECU Foundation Board: Vince Smith

The committee heard a state relations update from Michelle Brooks. President Hans directed campuses to submit policy agenda items for consideration of addition to the system's legislative agenda. ECU has submitted items to the system office. The Board of Governors committee will review all campus policy requests and vote to recommend approval of addition to the system's legislative agenda at the November Board of Governors meeting.

Hearing no further business to come before the board, Mr. Shook adjourned the meeting.

Health Sciences Committee
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- Minutes from the September 10, 2020 meeting were approved.
- Dr. Mark Stacy reviewed the Health Sciences Division metrics that were selected for the dashboard. For ECU Physicians' financial performance, overall volumes and patient gross charges continue to improve from the lows in April – July periods. The UPL payment that was budgeted to be received in Sept. was not received until Oct. If received in Sept., a budget surplus of \$400K would have been reflected in Sept FYTD. Outpatient Arrived visits are up FYTD 3.2%. ECUP revenue continues to improve. Personnel expenses are down 1.4% to budget (\$590K). Given the difficulties of operating in a pandemic environment, we continue to see the scores increase month over month, although just short of the goal for this period for patient experience scores. An upward trend of published materials should be reflected in the next report from the time when researchers/writers were spending time in lockdown.
- Dr. Mark Stacy provided a brief Health Sciences Division update. The Laupus Library was awarded a \$75K grant to provide laptops and health education instruction to middle and high school children of migrant farmworker families in Eastern NC. The College of Nursing received its 4th Center of Excellence designation. Dr. Anne Dickerson, professor in the Dept. of Occupational Therapy in the College of Allied Health Sciences, received the 2020 Governor's Award for Excellence in Public Service from Governor Roy Cooper. This is the highest award given to a state employee. The School of Dental Medicine clinics have provided oral health care for more than 75K patients from all 100 NC counties. A team in the Brody School of Medicine's Dept. of Psychiatry & Behavioral Medicine has received a \$1.2M federal grant to expand telehealth-based mental health services in NC. The first annual Interprofessional Case Competition was held this past Saturday as part of the division's focus on interprofessional education and collaboration. This new healthcare-centric interprofessional case competition bring together interprofessional teams of health professions students, across multiple professional schools and programs, to develop and present a proposed solution to a relevant issue in Eastern NC.
- Dr. Peter Schmidt, Vice Dean of the Brody School of Medicine (BSOM) provided an update on COVID related activities at the BSOM. Dr Schmidt discussed the rising incidence of COVID cases in Pitt County and in NC over the past several days. Dr. Schmidt also provided an overview of the COVID research underway at the school of medicine. The \$15M of CARES Act funding received from the NC General Assembly is focused toward developing better treatments, advancing vaccine research and minimizing COVID-19's impact in the region and state while contributing to the global body of knowledge about the novel coronavirus. Scientists at ECU can now safely study infectious agents or toxins through renovated labs and process and evaluate tissue samples infected with pathogens

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with new equipment purchased with these funds. The collective understanding gleaned from this research will continue to provide benefits for years to come.

- Mr. Michael Denning, M.D. Candidate, discussed his experiences as a first-year student of the BSOM. Recognizing the uniqueness in the needs of the rural areas in Eastern NC and the mission of the BSOM is why he chose to attend ECU. Before attending medical school, he was able to gain experience through shadowing physician leaders at the BSOM and he was amazed at how the physician leadership were attentive and responsive to patients and him alike. ECU and the BSOM have provided Mr. Denning with a home and a foundation for learning and he thanked the leadership for their hard work and dedication.

ECU BOT Health Sciences Committee Action:

Action Items from closed session to be announced in open session:

Request – The Board approve the following motion:

I move that:

- The Board approve the appointment with tenure for Dr. Jason B. Hack in the Brody School of Medicine as presented in the board materials.
- The Board approve the appointment with tenure for Dr. Holly R. Ellis in the Brody School of Medicine as presented in the board materials.

Highlights
Athletics & Advancement Committee
November 5, 2020

Metrics for period ending October 31, 2020 look good. East campus numbers were \$9.5M. West campus fundraising is in the green and ahead for the year.

Gerald Prokopowicz is the chairperson for the ad hoc Naming committee. The committee has been pulled together. There will be extensive research done with the plan for the committee to report back in February. The intent is to have this review and finalization by the April board meeting. A standard will be established by the board that will guide the criteria for renaming or removing names.

October was a good month overall for the athletic annual fund; however, they may remain in the red for the remainder of the year. Nonannual fund figures trended better in October as well.

Athletics has a net loss of \$20M. Almost \$8M in reduction in expenses has occurred.

Basketball is set to start on November 25th. If/when the guidelines change for gatherings admission will be based on the priority point system.

Beginning in February every other sport (except football) will play and there will be costs associated with contests which includes COVID testing three times per week for basketball and possibly other indoor sports. Outside contests may require less testing. Staffing of these events will incur costs as well.

A special campaign will roll out in the next 48 hours to the Pirate Club donor base addressing the fragile time we are in and asking them to invest in ECU athletics. The campaign will run until the end of the year.

Mr. Gilbert notified the committee that ECU will not have a contest against Marshall on December 5th. There is still potential to add a game on December 5th but for now we must leave the date open in case a conference game needs to be rescheduled. If the rescheduling of a conference game is not required the Dec. 5th open date may become an option for another game. Marshall is having a celebration in memory of the crash that occurred in 1970. Former ECU players will attend and ECU will send flowers for the celebration.

There is one naming opportunity for full board approval.

Report of the Audit, Risk Management, Compliance, and Ethics Committee for November 5, 2020

Operational Metrics Review

The committee reviewed the operational metrics related to Internal Audit, Healthcare Compliance, Research Compliance, and Athletics Compliance. For the year to date, Internal Audit is ahead of schedule on audit plan completion, and above the metric for the auditor productivity rate. Management has completed or made satisfactory progress on 100% of the audit recommendations that Internal Audit followed up on during July and August.

Athletics Compliance and Healthcare Compliance are exceeding their metrics for the number of compliance education sessions. Healthcare compliance is also exceeding the metric for the number of provider documentation reviews that have been completed. For the year to date, healthcare providers have an 89.9% pass rate, slightly below the 90% benchmark.

Action Items

Mr. Wayne Poole presented changes to the University's annual audit plan. Two audits were added, and one audit was removed from the plan. The committee approved the changes as presented in the Board materials.

Informational Items

Enterprise Risk Management – Dr. Sara Thorndike

Dr. Thorndike briefed the committee on this year's changes to the Enterprise Risk identification and ranking process. This year's process included more involvement and collaboration of ERM Committee members and input from other University constituents. Dr. Thorndike shared the top risks list that was derived from this process and discussed the underlying impacts of the COVID-19 pandemic on the top risks. While the top risks existed prior to the pandemic, the impacts are magnified in the current environment. Dr. Thorndike advised us that each of the top 10 risks is assigned to a member of the Chancellor's cabinet for monitoring and mitigation.

Office of Internal Audit – Mr. Wayne Poole

Mr. Poole reminded the committee that the University's annual financial statement audit has been completed by the North Carolina State Auditor. The State Auditor's report was published earlier this week and the audit contained no findings.

Mr. Poole briefed the committee on the results of two recently completed internal audits – an audit of the Canvas Learning Management System and an audit of the University's IT Incident Response Process.

Healthcare Compliance Update – Ms. Michelle DeVille

Ms. DeVille briefed the committee on the highest priority healthcare compliance topics this fall. She advised us that numerous temporary federal regulatory changes have occurred as a result of the pandemic, related to patient billing and HIPAA security. Her team is monitoring the continuing changes. Some are expected to become permanent and some of the relaxation of regulatory requirements will likely end. Ms. DeVille also briefed us on her office's expanding review of controlled substance prescriptions written by ECU Physicians and School of Dental Medicine providers.

Mr. Chairman, that concludes my report.

University Affairs Meeting – Board of Trustees – Talking Points

■ Metrics Review

- **Academic Affairs** – Grant Hayes shared the applications number for new freshman - 14,642 to date (just two behind next year). Our deposits are trailing just behind, but that's not unexpected due to the pandemic. Transfer applications are trending ahead – we have more than 900 spring applications and that's up by 200 over last year. We are trending positive on transfer deposits too.
- **Research** – Mike Van Scott – The research awards and sponsored awards are tracking well and we are on target to hit our targeted goals. This is pretty remarkable during these times and we are very proud of the work being done.
- **Student Affairs** – Virginia Hardy – The housing contract numbers for spring - . we have about 1,800 students that have signed up to stay in the halls in January – For counseling – students are down just 15 from our target number and 45 below last year as the students are acclimating to the tele-therapy process quite well. Number of students are down but the number of appointments is up, which means we are serving them well.

■ Academic Affairs – Grant Hayes

- **College of Business Grad School Proposal** – The College of Business is working to elevate the status of graduate programs to a College of Business Graduate School and open the possibility of a naming of the school. Grant Hayes presented the proposal.
 - Dr. John Rausch shared the concept of the proposal. NC State and Univ of Florida have similar type schools. There is an alumnus interested in naming this school. This would allow us to recruit better and work with local industries for student projects and curriculum enhancement.
 - **MOTION – Tucker Robbins made the motion and it was seconded by Max Joyner – the motion was approved without dissent.**
- **Conferral of Degrees** – On December 4, 2020, the 112th Fall Commencement will be held – asked for conferral of degrees.
 - **MOTION – Tucker Robbins made the motion and it was seconded by Max Joyner – the motion was approved without dissent.**

■ Informational Items

- **Student Affairs – Virginia Hardy**
 - COVID Testing – Dr. Hardy shared the current and future plans related to COVID Testing. She shared that we have two entire residence halls for Q/I space for the spring semester.
 - Dr. Lanika Wright, director of Student Health, shared some of the numbers from June 8 – Oct. 31. The numbers include students on campus, some off-campus and student athletes. Since the three-week surge in Aug-Sept, the average number of positives are way down. There are three main

reasons we are testing – treat, identify, and contain. Dr. Wright explained the three different types of tests – Antigen, PCR/Molecular Tests – Antibody Tests. The PCR/Molecular test is the gold standard and one we use at Student Health for our students. We are hoping to be able to add saliva testing, partnering with Brody, in the spring.

- She shared the fall testing plan for the 800 students living in the hall. All students staying in the halls were tested when they went in and then 25% of the population is tested weekly. The drive-thru testing has been well received by our students. And we are offering exit testing to our on-campus students before they leave for break.
 - Dr. Wright shared the plan for spring testing for on-campus students – they will have to have a negative test before they can move into the halls. About two weeks after move-in in the fall, we saw a big spike in cases so we will add mass testing two weeks after the spring starts to make sure we are aware of our current situations.
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- **College of Engineering and Technology Spotlight** – Harry Ploehn, Dean of the College of Engineering and Technology (CET), shared a spotlight on the college. He shared the priorities tied to Maximizing Student Success in CET including student engagement, student groups and CET research with students and faculty. New efforts include a marketing, outreach and recruiting plan; academic advising staffing, first year and transition program for coaching; and experiential learning programs; investing in space in Rawl and Bate; space in the Bio Life Sciences Building and more. He shared some of the investment initiatives being planned in the Export Leaf Building to creating a Manufacturing Pathways Program.