



AGENDA
Finance & Facilities Committee
November 5, 2020

- I. Approval of Minutes – September 10, 2020 Action
- II. Review of Operational Metrics
- III. Academic Year 2021-2022 Fee Proposals Action
- IV. Informational Items
 - A. Comprehensive Budget Report
 - B. Report of Approved EHRA Employee Salary Adjustments
 - C. Pension Report
 - D. Employee Engagement Survey
 - E. Designer Selections since Last Board Meeting
 - F. Capital Projects Update
- V. Other



Finance and Facilities Committee

November 5, 2020

Agenda Item: I. Approval of Minutes – September 10, 2020

Committee Chair: Bob Plybon

Action Requested: Approval

Notes: N/A



**East Carolina University | Board of Trustees
Finance & Facilities | In Person & Virtual | September 10, 2020
Minutes**

The Finance & Facilities Committee of the ECU Board of Trustees met in person and virtually on September 10, 2020 at 2:00 pm. Committee members in attendance included Bob Plybon, Jason Poole, Van Isley, Max Joyner, Jr., and Angela Moss.

I. MINUTES

- A. The Committee approved the minutes from the July 9, 2020 meeting.

II. REVIEW OF OPERATIONAL METRICS

III. INFORMATION ITEMS

- A. Sara Thorndike, VC for Administration & Finance, provided the Comprehensive Budget Report.
- B. Sara Thorndike, VC for Administration & Finance, provided the Report of Approved EHRA Employee Salary Adjustments.
- C. Sara Thorndike, VC for Administration & Finance, provided the Pension Report.
- D. Bill Bagnell, Associate VC for Campus Operations, provided information on the Designer Selections since the last Board Meeting.
- E. Bill Bagnell, Associate VC for Campus Operations, updated the Committee on Capital Projects.
- F. Joshua Puckett, Parking Supervisor, provided a presentation on Parking.
- G. Captain Chris Sutton from the University Police Department provided a Campus Safety update.
- H. Chairman Plybon provided a short update on an upcoming debt refunding since the meeting was running late.

Meeting adjourned at 3:05 pm.



Finance and Facilities Committee

November 5, 2020

Agenda Item: II. Review of Operational Metrics

Responsible Person: Sara Thorndike, VC
Administration and Finance

Action Requested: Information

Notes: N/A



Finance and Facilities Committee

November 5, 2020

Agenda Item: III. Academic Year 2021-2022 Fee Proposals

Responsible Person: Sara Thorndike, VC
Administration and Finance

Action Requested: Action

Notes: N/A

**2021-2022 Fee Proposals
ECU Board of Trustees
November 2020**



ECU[®]

System Office Tuition & Fee Guidance

- No resident tuition increases for undergraduate or graduate students allowed
- Nonresident tuition increase requests allowed
 - ECU is not requesting any tuition increases
- Only mandatory fee increase that can be requested is Student Health Services for up to 3% of mandatory fees = \$78.48



Tuition & Fee Approvals

Tuition & Fees	BOT Recommendation or Approval	BOG Approval	BOG Information	Student Involvement Required
Tuition	X	X		X
Tuition Differentials	X	X		X
Mandatory Fees	X	X		X
Application Fees	X	X		X
Special Fees	X	X		X
Misc. Fees *	X		X	
Housing	X		X	
Dining	X		X	
Parking	X		X	

* Misc fees include graduation, cap & gown, transcripts, and transit

Tuition History

Tuition				
	2018-19	2019-20	2020-21	3 yr % increase
On Campus				
Undergraduate Tuition				
Resident	\$ 4,452	\$ 4,452	\$ 4,452	0%
Nonresident	\$ 20,729	\$ 20,729	\$ 20,729	0%
Graduate Tuition				
Resident	\$ 4,749	\$ 4,749	\$ 4,749	0%
Nonresident	\$ 17,898	\$ 17,898	\$ 17,898	0%
DE				
Undergraduate Tuition				
Resident	\$ 150.41	\$ 150.41	\$ 150.41	0%
Nonresident	\$ 700.30	\$ 700.30	\$ 700.30	0%
Graduate Tuition				
Resident	\$ 232.79	\$ 232.79	\$ 232.79	0%
Nonresident	\$ 877.35	\$ 877.35	\$ 877.35	0%

Last increase in tuition was from 2016-17 to 2017-18

Mandatory Fees History

	Approved Annual Rates for 2018-19	Approved Annual Rates for 2019-20	Approved Annual Rates for 2020-21	3 Year % Increase
General Fees				
SGA	\$ 27.50	\$ 27.50	\$ 27.50	0.00%
Media	30.00	30.00	30.00	0.00%
Fine Arts	8.00	8.00	8.00	0.00%
Campus Recreation and Wellness	271.00	271.00	271.00	0.00%
Minges Operations	6.00	6.00	6.00	0.00%
Student Activity Programs	71.50	71.50	71.50	0.00%
Student Centers Operations	280.00	288.00	288.00	2.86%
Athletics Fee	723.00	773.00	773.00	6.92%
Student Health Fee	263.00	263.00	263.00	0.00%
Education and Technology Fee	395.00	403.00	403.00	2.03%
Total General Fees	\$ 2,075.00	\$ 2,141.00	\$ 2,141.00	3.18%
Debt Service				
Student Recreation Center	\$ 15.00	\$ -	\$ -	-100.00%
Athletic Facility Debt	70.00	70.00	70.00	0.00%
Student Union Center	375.00	375.00	375.00	0.00%
Total Debt Service	\$ 460.00	\$ 445.00	\$ 445.00	0.00%
Special Fees				
Campus Safety	\$ 30.00	\$ 30.00	\$ 30.00	0.00%
TOTAL GENERAL STUDENT FEES & DEBT SERVICE	\$ 2,565.00	\$ 2,616.00	\$ 2,616.00	1.99%
Miscellaneous Charges				
Transit	\$ 158.00	\$ 158.00	\$ 158.00	0.00%
Graduation	12.00	12.00	12.00	0.00%
Association of Student Governments	1.00	1.00	1.00	0.00%
Total Miscellaneous Charges paid by all students	\$ 171.00	\$ 171.00	\$ 171.00	0.00%
Total Fees Paid by Each Student	\$ 2,736.00	\$ 2,787.00	\$ 2,787.00	1.86%

Cost of Attendance On Campus Residents

Costs	2018-2019	2019-2020	2020-2021
Tuition & Fees	7,188	7,239	7,239
Room & Board	10,354	10,030	10,136
Books & Supplies	1,432	1,490	1,524
Personal	1,262	1,430	1,462
Transportation	1,356	2,120	2,168
Loan Fee	80	80	80
Total	21,672	22,389	22,609

System Comparison

Academic Year 20-21

University	Student Activity Fee	Rank	Athletic Fee	Rank	Student Health Fee	Rank	Ed & Tech Fee	Rank	Safety Fee	Rank	Total General Fees	Rank	Debt Service Fee	Rank	Total General Fees and Debt Service	Rank	Transit Fee	Rank
Appalachian State University	659	9	783	6	325	6	576	3	30		2,373	4	724	1	3,097	1	150	5
UNC - Charlotte	650	10	824	5	247	13	622	2	30		2,373	4	720	2	3,093	2	155	4
North Carolina A & T State University	714	4	870	2	339	5	469	8	30		2,422	2	588	4	3,010	3	81	11
UNC - Asheville	792	1	855	3	368	4	527	7	30		2,572	1	394	10	2,966	4	77	12
UNC - Greensboro	577	12	780	8	310	9	461	9	30		2,158	11	707	3	2,865	5	100	8
Western Carolina University	642	11	782	7	314	7	544	4	30		2,312	6	523	7	2,835	6	118	7
North Carolina Central University	501	15	847	4	313	8	428	12	30		2,119	12	570	6	2,689	7	86	10
UNC - Wilmington	699	6	775	10	219	15	535	6	30		2,257	8	376	11	2,633	8	90	9
East Carolina University	702	5	773	11	263	12	403	14	30		2,171	10	445	8	2,616	9	158	3
UNC - Pembroke	698	7	772	12	205	16	544	5	30		2,249	9	240	13	2,489	10	-	
Winston Salem State University	546	14	780	8	267	10	416	13	30		2,039	13	423	9	2,462	11	55	13
UNC School of the Arts	748	2	-	16	882	1	754	1	30		2,414	3	-	15	2,414	12	121	6
North Carolina State University	679	8	232	15	407	2	439	11	30		1,788	15	572	5	2,360	13	205	2
Fayetteville State University	565	13	768	13	247	13	382	15	30		1,992	14	335	12	2,327	14	-	
Elizabeth City State University	738	3	899	1	265	11	326	16	30		2,259	7	-	15	2,259	15	-	
UNC - Chapel Hill	394	16	279	14	400	3	442	10	30		1,546	16	186	14	1,731	16	205	1

Student Health Services Fee

University	Student Health Fee	Rank
UNC School of the Arts	882.00	1
North Carolina State University	407.00	2
UNC - Chapel Hill	400.15	3
UNC - Asheville	368.00	4
North Carolina A & T State University	338.50	5
Appalachian State University	325.00	6
Western Carolina University	314.00	7
North Carolina Central University	312.66	8
UNC - Greensboro	310.00	9
Winston Salem State University	267.00	10
Elizabeth City State University	265.23	11
East Carolina University	263.00	12
Fayetteville State University	247.00	13
UNC - Charlotte	247.00	13
UNC - Wilmington	219.00	15
UNC - Pembroke	205.49	16

Student Health Services Fee Request

- Current Fee: \$131.50/ semester (\$263/ academic year)
- Proposed Increase: \$56
- New Proposed Fee: \$159.50 (\$319/ academic year)
- Estimated annual revenue \$1.1 million
- Justification:
 - Aging facility with many needed repairs (new HVAC system, new roof)
 - Open weekends
 - Replacement of equipment
 - COVID testing and treatment
 - Support mental health needs of students
 - Cost of medical supplies and software continue to rise
 - Security and privacy

Housing & Dining

Housing and Dining

	Approved Rates 2018-19	Approved Rates 2019-20	Approved Rates 2020-21	3 yr % Increase
HOUSING (double occupancy)				
Standard Rate	\$ 5,520	\$ 5,520	\$ 5,520	0%
College Hill Suites (CHS)	\$ 6,160	\$ 6,390	\$ 6,390	4%
Scott Hall	\$ 5,930	\$ 6,160	\$ 6,160	4%
Newly Renovated Residence Halls	\$ 5,770	\$ 5,980	\$ 5,980	4%
FOOD SERVICES				
Purple 20 with \$450 in Pirate Bucks	\$ 3,850	\$ 3,950	\$ 3,950	3%
Purple 40 with \$350 in Pirate Bucks	\$ 3,850	\$ 3,950	\$ 3,950	3%
Purple 60 with \$250 in Pirate Bucks	\$ 3,850	\$ 3,950	\$ 3,950	3%
40 commuter meal plan	\$ 1,260	\$ 1,300	\$ 1,300	3%
80 commuter meal plan	\$ 1,620	\$ 1,670	\$ 1,670	3%
120 commuter meal plan	\$ 1,990	\$ 2,050	\$ 2,050	3%

Housing & Dining

- Inflation of 3.5% since last housing increase
- Consumer food price index increase of 3.5% since last dining increase
- Operating costs have increased, including utilities
- New COVID related expenditures
- New beverage contract annual cost increase expected
- Projected combined loss for 20-21 is currently estimated to be \$17.3M, which would completely deplete fund balances of both housing and dining and put them in a deficit position
- Annual housing debt service is \$12.9M (40% of normal revenues) and must be paid regardless
- Housing would default on debt so university must assist financially
- University reserves are stretched very thin to cover losses across institution and will be depleted at the end of this fiscal year
- Possibility housing may still be de-densified in Fall 2021

Housing Request

HOUSING					
Doubles & Singles					
	Current Annual Rate (20-21)	Proposed Annual Rate (21-22)	Proposed Increase for 21-22 (\$)	Proposed Increase for 21-22 (%)	
College Hill Suites	\$ 6,390.00	\$ 6,520.00	\$ 130.00	2.03%	
College Hill Suites Single (4 person)	\$ 7,990.00	\$ 8,120.00	\$ 130.00	1.63%	
College Hill Suites Double (2 person)	\$ 6,790.00	\$ 6,920.00	\$ 130.00	1.91%	
College Hill Suites Single (2 person)	\$ 8,390.00	\$ 8,520.00	\$ 130.00	1.55%	
Scott Hall/Gateway Suites	\$ 6,160.00	\$ 6,285.00	\$ 125.00	2.03%	
Scott/Gateway Suites Double (Private Bath)	\$ 6,560.00	\$ 6,685.00	\$ 125.00	1.91%	
Scott/Gateway Suites Single	\$ 7,760.00	\$ 7,885.00	\$ 125.00	1.61%	
Scott/Gateway Suites Single (Private Bath)	\$ 8,160.00	\$ 8,285.00	\$ 125.00	1.53%	
Scott/Gateway Suites Designated Singles	\$ 7,360.00	\$ 7,485.00	\$ 125.00	1.70%	
Renovated Residence Halls*	\$ 5,980.00	\$ 6,100.00	\$ 120.00	2.01%	
Renovated Residence Hall Single	\$ 7,580.00	\$ 7,700.00	\$ 120.00	1.58%	
Gateway Residence Hall 5th Floor	\$ 6,054.00	\$ 6,174.00	\$ 120.00	1.98%	
Standard Residence Halls**	\$ 5,520.00	\$ 5,630.00	\$ 110.00	1.99%	
Standard Residence Hall - Single	\$ 7,120.00	\$ 7,230.00	\$ 110.00	1.54%	Avg Increase %
CFJ Designated Singles	\$ 6,720.00	\$ 6,830.00	\$ 110.00	1.64%	1.78%

*Renovated Residence Halls include Fletcher, Tyler, Gateway (Traditional Rooms), White, Clement, Cotten & Greene

**Standard Residence Halls include Legacy, Jones, Fleming, Jarvis, Umstead & Garrett

Housing Request

Necessary if housing remains de-densified in 21-22

Singles Only			
	Current Annual Rate for Doubles (20-21)	Proposed Annual Rate for Singles (21-22)	Proposed Annual Increase for 21-22 Singles (\$)
Scott Hall/Gateway Suites	\$ 6,160.00	\$ 6,960.00	\$ 800.00
Scott/Gateway Suites - Private Bath	\$ 6,560.00	\$ 7,360.00	\$ 800.00
Renovated Residence Halls*	\$ 5,980.00	\$ 6,780.00	\$ 800.00
Cotten/Gateway Larger Specialty Rooms	\$ 6,054.00	\$ 6,854.00	\$ 800.00
Standard Residence Halls**	\$ 5,520.00	\$ 6,320.00	\$ 800.00

*Renovated Residence Halls include Fletcher, Tyler, Gateway (Traditional Rooms), White, Clement, Cotten & Greene

**Standard Residence Halls include Legacy, Jones, Fleming, Jarvis & Umstead

Dining Request

DINING					
	Current Annual Rate (20-21)	Proposed Annual Rate (21-22)	Proposed Increase for 21-22 (\$)	Proposed Increase for 21-22 (%)	
Purple 60 (\$250 Pirate Bucks)	\$ 3,950.00	\$ 4,060.00	\$ 110.00	2.78%	
Purple 40 (\$350 Pirate Bucks)	\$ 3,950.00	\$ 4,060.00	\$ 110.00	2.78%	
Purple 20 (\$450 Pirate Bucks)	\$ 3,950.00	\$ 4,060.00	\$ 110.00	2.78%	
Purple Flex (\$550 Pirate Bucks)	\$ 3,950.00	\$ 4,060.00	\$ 110.00	2.78%	
Purple 160 (\$400 Pirate Bucks)	\$ 3,950.00	\$ 4,060.00	\$ 110.00	2.78%	
Gold 120	\$ 1,100.00	\$ 1,120.00	\$ 20.00	1.82%	
Gold 80	\$ 900.00	\$ 920.00	\$ 20.00	2.22%	
Gold 40	\$ 700.00	\$ 720.00	\$ 20.00	2.86%	Avg Increase %
Gold 450	\$ 450.00	\$ 450.00	\$ -	0.00%	2.31%

Recommendations

- SGA and Students on Tuition & Fee Committee supported:
 - Student Health Services Fee request
 - Dining request
- SGA and Students on Tuition & Fee Committee did not support:
 - Housing requests
- Chancellor, Cabinet, and Non-Student Tuition and Fee Committee participants recommended approval of all requested increases given university's financial situation

Next Steps

- Board of Governors (BOG) Committee on Budget and Finance: Special Session on Student Fees November 17
- Mandatory Fee (Student Health Services) BOT recommendation to BOG in November for consideration in early 2021
- Housing and Dining are sent to BOG for information only, BOT is final approval



Action Items

1. Student Health Services Fee increase of \$56
2. Dining – 2.31% average increase
3. Housing – 1.78% average increase
4. Housing – Fall 2021 “Singles Only” request





THE UNIVERSITY OF NORTH CAROLINA SYSTEM

JENNIFER HAYGOOD

SENIOR VICE PRESIDENT FOR FINANCE & ADMINISTRATION AND CHIEF FINANCIAL OFFICER

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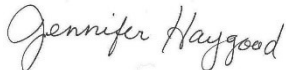
(919) 962-4600 office

jhhaygood@northcarolina.edu

September 18, 2020

MEMORANDUM

TO: Chancellors
Chiefs of Staff
Chief Academic Officers
Chief Financial Officers

FROM: Jennifer Haygood 

SUBJECT: Proposals for 2021-22 Campus-Initiated Tuition and Fee Adjustments

President Hans and the Board of Governors are committed to promoting accessibility and affordability of higher education for all North Carolinians. In light of this commitment and the financial strain the COVID-19 pandemic has placed on many students and families, the Board will only consider 2021-22 campus-initiated tuition and fee increases in the limited situations outlined below.

Attached are guidelines for your use in submitting requests for changes in tuition and fee rates, effective for the Fall Term 2021. Campus proposals will be reviewed by the president and his staff and presented to the Board in January and will be considered for approval at their February meeting. The guidance outlined in this memo is based on recommendations of the president and the Board, the UNC policy 1000.1.1 – *Establishing Tuition and Fees* (Attachment 1), and a special provision enacted by the 2016 General Assembly related to fees.

Undergraduate Tuition

The Board is recommending the following for undergraduate students:

- **No tuition increases are allowed for resident students.** Combined tuition and fee rates for undergraduate residents shall continue to remain in the bottom quartile of an institution's public peers.
- **Increases are allowed for nonresident students.** Campuses should continue to follow the traditional process for recommending campus-initiated tuition increases for nonresident undergraduate students. Combined tuition and fee rates for these students should be market driven and reflect the full cost of providing a quality education. In addition, a goal of each campus should be setting nonresident rates at or above the third quartile of each institution's public peers.

The System Office has been working with the campuses on revising their peer institutions. The new peers will be considered for approval by the Board at their November meeting. For the 2021-22 tuition and fee process, campuses should use the new peers for the comparisons.

Graduate and Professional School Tuition

The Board will not consider increases for **resident** graduate students; however, campuses can request to establish tuition rates for any new graduate program that will enroll students (residents and nonresidents) for the first time in Fall 2021. The Board will consider school-based and campus-initiated increases for **nonresident** tuition for graduate and professional programs that have been approved by the Board of Governors. Institutions must use the Board's tuition and fee policy as a guideline in developing recommendations for establishing nonresident graduate and professional school tuition rates.

The tuition and fee policy states, "Graduate and professional schools shall continue to establish rates consistent with each program's unique market and academic requirements." Tuition for nonresident graduate and professional students will be set with an emphasis on maintaining and increasing the excellence of the institution's graduate and professional programs, as well as ensuring access. Please do not submit requests for tuition increases for graduate programs that have not been previously approved by the Board of Governors.

NC Promise Institutions (ECSU, UNCP, and WCU)

The 2016 General Assembly set up a tuition buy-down program for ECSU, UNCP, and WCU that began with the 2018-19 academic year. The 2021-22 tuition for undergraduate residents will be \$500 per semester and \$2,500 per semester for undergraduate nonresidents. The cost of the foregone tuition receipts will be offset by an appropriation to increase the UNC budget, subject to funding availability.

ALL TUITION INCREASE PROPOSALS MUST BE ACCOMPANIED BY A DETAILED JUSTIFICATION ON THE USE OF THE INCREASED FUNDS.

Mandatory Fees (including debt service fees)

In accordance with UNC Board policy, the Board of Governors is responsible for establishing fees at the constituent institutions of the UNC System consistent with the philosophy set forth in the North Carolina Constitution. Fees will be charged only for limited, dedicated purposes and shall not be used to defray the cost of general academic and administrative operations of campuses, including academic programs and faculty and administrative salaries and benefits.

For 2021-22, the Board of Governors will consider increases to the health services fee. All increase proposals must be accompanied by an expenditure plan showing how the additional revenues are critically important for the fee-supported activity, including a description of why alternative sources or expenditure reductions are insufficient for addressing the needs of the fee-supported activity. Where applicable, this description should include information about expiring CARES Act funding utilized to support expanded health services in FY20.

For all other mandatory fees (including debt service), any proposed increase must be offset by a commensurate decrease to another fee, resulting in no net increase in the total of all mandatory fees, excluding health services. All increase proposals must be accompanied by an expenditure plan showing how the additional revenues are critically important for the fee-supported activity, and off-setting decrease proposals must describe the impacts of the reduced revenues.

In approving proposed fee increases submitted by the institutions, the Board will make every effort to keep fees for students as low as possible while providing revenues needed to support the purposes for which the fees are charged. Consistent with the statutory requirements enacted by the 2016 General Assembly, the total maximum allowable percentage increase is 3% for mandatory student fees that are charged to all students and approved by the Board.

Special Fees

Special fees are only applicable to students engaged in particular activities or courses of study. These fees may not be used to supplement general academic revenues that will be provided from campus-initiated tuition increases. No special fee increases will be allowed for 2021-22. New special fees will only be considered for new programs of study previously approved by the Board of Governors.

Application Fees

Application fees shall remain at the established rates for each institution.

Student Involvement and Use of Funds

Tuition and fee proposals must be accompanied by the *Student Involvement in Tuition and Fee Setting Process* form (Attachment 2). Additional information from your institution supporting your student involvement may also be transmitted with your tuition and fee package.

Attachments

Please see below for a list of attachments needed for completion of the tuition and fee cycle for the 2021-22 academic year.

Completion Date

We appreciate your efforts in providing the requested information. Tuition and fee packages are due by **Friday, December 4, 2020**. In addition to any correspondence sent to the UNC System Office, please email the Excel workbook of your tuition and fee package to Karen Russell (ktr@northcarolina.edu). Campus summary information must also be entered into the NCHED web-based system.

Please contact Karen Russell at 919-962-4606 if you have any questions about the process.

MEMO: 2021-22 Tuition and Fee Proposals

September 18, 2020

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Thank you for your assistance.

Att. 1: BOG Policy Establishing Tuition and Fees – UNC Policy Manual 1000.1.1

Att. 2: Student Involvement in the Tuition and Fee Setting Process

Other Attachments: Excel Workbook Supporting Tuition and Fee Requests

NCHED Tuition and Fees User Manual (for data entry into web-based system)

(emailed separately to the Budget Officers)

cc: President Peter Hans

Chief Operating Officer Jonathan Pruitt

Chief of Staff Norma Houston

Senior Vice President Kim van Noort

Vice President Lindsay McCollum Farling

Establishing Tuition and Fees

The General Assembly shall provide that the benefits of The University of North Carolina and other public institutions of higher education, as far as practicable, be extended to the people of the State free of expense. – North Carolina Constitution, Article IX, Section 9

I. Establishing Tuition

This citation from the North Carolina Constitution sets the parameters for establishing resident tuition rates at the constituent institutions of The University of North Carolina. The constitutional provisions for setting tuition are codified in General Statute 116-11(7), which states, in part, *"The Board (of Governors) shall set tuition and required fees at the institutions, not inconsistent with actions of the General Assembly."* This statute governed the setting of tuition rates for both resident and nonresident students from 1971 through 1999 during which time the Board of Governors recommended no tuition increases except as required by statute. This policy outlines the framework to be followed by the Board in establishing tuition levels for constituent institutions,¹ commencing with academic year 2003-2004. Tuition is charged to students enrolled in academic programs during regular terms, summer sessions or through off-campus distance instruction and is used to partially defray the costs of general academic and administrative operations of campuses, including academic programs and faculty and administrative salaries and benefits.

1. Board-initiated Tuition Rates

A. Undergraduate Tuition – General Policy

The appropriate tuition policy at the undergraduate level encourages students to pursue academic and intellectual interests without regard to program costs. Accordingly, no difference in tuition between undergraduate programs will occur within an institution, and there will be only minimal differences in undergraduate tuition among campuses in similar institutional categories as defined by the Board to reflect both varying missions and contrasting costs of education. Deviation in undergraduate tuition among campuses in different institutional categories will be based upon institutional offerings and will be reasonable.

B. Graduate and Professional Tuition – General Policy

The Board will attempt to extend the principle that tuition be set as low as practicable to graduate and professional students as well as those at the undergraduate level. The financial structure and educational purposes of graduate and professional education, however, are sufficiently different from undergraduate education that distinct tuition policies at the graduate and professional level will be permitted. The application of what is "practicable" varies by level of instruction for a number of reasons, and those differences will be reflected in the tuition policies associated with each.

The Board will apply Article IX, Section 9 of the North Carolina Constitution to graduate and professional level students but with the realization that the costs, sources of funds and purposes of graduate and professional education are materially different from undergraduate education. Tuition for graduate and professional students will be set with an

¹Consistent with G.S. 116-143, no tuition or fees may be charged to students in the high school program at the North Carolina School of Science and Mathematics. Service charges may be established consistent with Section II.2. below.

understanding that tuition revenues may be needed to maintain and increase the excellence of the University's graduate and professional programs. In setting tuition rates, the Board will consider the need to provide access to these programs for students irrespective of their financial capacity as well as the desire to attract and retain the best students to serve North Carolina's needs in each field.

C. Tuition for Nonresident Students - General Policy

Under General Statute 116-144, the Board of Governors is required to set tuition rates for nonresident students at levels "*...higher than the rates charged residents of North Carolina and comparable to the rates charged nonresident students by comparable public institutions nationwide. . . .*" In complying with the statute, the Board will set tuition for nonresident students after considering the results of a review of rates set by comparable public institutions nationwide. The Board will further consider the need for tuition remissions for nonresident graduate students when setting tuition rates and tuition remission policies.

D. Process for Setting Board-initiated Tuition Rates

i. Proposed increases in general tuition rates are to be recommended by the President for consideration by the Board. The President will seek counsel from University Chancellors and a committee of campus representatives appointed by each chancellor, before making the recommendations for tuition changes. The committee of campus representatives appointed by each chancellor will include students.

ii. The President, the chancellors and the committee of campus representatives will consider a number of factors in deciding whether to recommend changes to general tuition rates in any given year. After the President recommends any action to the Board of Governors, the Board will also consider those factors, which include:

a. Availability of State general fund revenue to maintain quality and access within the campuses of the University of North Carolina;

b. Evidence of institutional efforts to manage costs through increases in productivity, budget flexibility, and/or efficiency improvements;

c. Analysis of the impact of tuition and fee charges on student access to the campuses of the University of North Carolina as measured by the college-going rate and other metrics so as not to limit access to the University;

d. Changes in various price and income indices (e.g., North Carolina per capita personal income, Consumer Price Index, Higher Education Price Index);

e. The current level of student charges (tuition, fees, room and board) at UNC institutions and whether campuses have proposed campus or program tuition differentials for the budget period that would be in addition to general increases in tuition;

f. Analysis of student indebtedness levels within the University, viewed in the context of student attrition rates;

g. Availability of financial aid and tuition remission and amount of unmet need. Financial aid should be reviewed in the context of the different missions of the institutions, the diverse capacities of the institutions to provide financial assistance and the contrasting needs of students attending the institutions.

iii. In academic years ending in odd numbers (e.g., June 30, 2003, the long session of the General Assembly), the Board will act by October of the preceding year or when it adopts its biennial budget request to establish the University's general tuition rates for the next academic year. This timing allows Board action on tuition to be incorporated into the University's budget request as part of its overall financing plan. In academic years ending in even numbers when the Board prepares a supplemental budget request, the Board will set Board-initiated tuition rates in conjunction with its establishment of campus-initiated tuition rates.

2. Campus-initiated Tuition Rates

A. Campuses may request increases in tuition to provide revenue for specific purposes and programs. Revenue generated from a campus-initiated change in tuition rates will be accounted for in the budget of the originating campus and transferred within the institution by the chancellor in accordance with the priorities identified in the approved campus proposal.

B. Undergraduate Tuition

The Board recognizes that campuses may experience circumstances that suggest that an across-the-board change in undergraduate tuition may be needed at one or more institutions. In the event that circumstances lead a campus or campuses to the conclusion that a change in undergraduate tuition rates is needed, campuses are permitted to bring proposals for undergraduate tuition changes before the Board for its consideration. Campuses wishing to submit requests for undergraduate tuition changes will conduct a process that includes consultation with participation by students. A campus will consider the following factors when creating an undergraduate tuition proposal.

i. Availability of State general fund revenue to maintain quality and access within the campuses of the University of North Carolina;

ii. Evidence of institutional efforts to manage costs through increases in productivity, budget flexibility, and/or efficiency improvements;

iii. Analysis of the impact of tuition and fee charges on student access to the campuses of the University of North Carolina as measured by the college-going rate and other metrics so as not to limit access to the University;

iv. Changes in various price and income indices (e.g., North Carolina per capita personal income, Consumer Price Index, Higher Education Price Index);

v. The current level of student charges (tuition, fees, room and board) at UNC institutions and whether campuses have proposed campus or program tuition differentials for the budget period that would be in addition to general increases in tuition;

- vi. Analysis of student indebtedness levels within the University, viewed in the context of student attrition rates;
- vii. Availability of financial aid and tuition remission and amount of unmet need. Financial aid should be reviewed in the context of the different missions of the institutions, the diverse capacities of the institutions to provide financial assistance and the contrasting needs of students attending the institutions.
- viii. A plan for the intended use of additional tuition receipts (e.g., needed improvements to the educational program, funding for competitive salary increases, financial aid, etc.)

3. Graduate and Professional Tuition

The Board of Governors will permit individual campuses to initiate requests for Board approval of different base or program tuition rates at the graduate and professional level. If a campus explores the possibility of developing such a request, it will present evidence to ensure that students in the affected graduate and/or professional programs have been consulted. Tuition for graduate and professional students will be set with an emphasis on maintaining and increasing the excellence of the campus' graduate and professional programs as well as ensuring access. To the extent possible, there should be full tuition remission for graduate assistants to improve a campus' competitiveness in recruiting and retaining highly qualified nonresident graduate students.

In reviewing potential criteria to recommend as a basis for deciding when specific graduate or professional tuition differentials may be appropriate at a particular institution, a flexible policy framework that allows judgments to be reached based on a number of factors is preferable either to cost-based formulas or to discipline or program typologies that treat all academic or professional programs the same. In particular, a flexible approach based on the unique factors associated with specific programs is desirable because of the potential mix of graduate and professional programs that one may find within any given school or college; e.g., a professional school may offer a Ph.D. program in addition to one or more professional degree programs. Therefore, the campuses will consider the following factors in developing graduate and professional school tuition proposals.

- A. The anticipated impact of a proposed change on program quality;
- B. The projected impact of a proposed change in tuition on access for North Carolina residents;
- C. The availability of student financial aid for students with economic need and of tuition remission;
- D. The extent to which current and prospective students can afford possible increases in tuition;
- E. The relationship of projected tuition revenue to institutional and/or program costs;
- F. Tuition and fees, net of remissions and waivers, charged by peer institutions or programs, as compared to tuition and fees, net of remissions, at the UNC institution or program (the public subsidy received by students at public institutions or programs in the peer set,

including the UNC institution or program in question, will also be identified as part of the comparison);

G. A plan for the intended use of additional tuition receipts (e.g., needed improvements to the educational program, funding for competitive salary increases, financial aid, etc.); and

H. Assistantships or grant support for graduate students;

I. Analysis of student indebtedness levels within the University.

4. Timing and Review of Board Action

The Board will act by February of each year, or as soon as possible thereafter, to establish the University's campus-initiated tuition rates for the next academic year. Setting campus-initiated tuition rates by February will permit students and their families to know in early spring what their tuition charges for the fall semester will be, assuming consistency between the actions of the Board of Governors and the General Assembly. Moreover, an institution is required to submit a one-time report at the end of the first full biennium following an approved campus-initiated tuition rate increase in order to confirm that the additional revenues were used as the Board intended in approving the campus request. This will ensure the accountability of a campus for its tuition proposals as well as enable the Board to maintain the University's accountability to the State.

5. Tuition Requests in Context of Long Range Plans

All proposals for campus-based tuition increases will include the campus' plan for other tuition increases for a prospective period of five years, including the year of the current application.

6. Individual Consideration of Campus Request

The Board will review each campus-based tuition request on an individual basis, within the context of the University's long range plan, the need for Board-initiated tuition increases, the state's economic environment, and the financial impact on students. The Board is obligated to exercise its discretion in granting, modifying or denying a campus request.

II. Establishing Fees.

The Board of Governors is responsible for establishing fees at the constituent institutions of the University consistent with the philosophy set forth in the North Carolina constitution. Fees will be charged only for limited, dedicated purposes and shall not be used to defray the costs of general academic and administrative operations of campuses, including academic programs and faculty and administrative salaries and benefits. Consistent with the above citation, the Board will make every effort to keep fees for students as low as possible while providing the revenues needed to support the purposes for which the fees are charged.

Each year, the Board establishes the fees listed below. All fees established shall be based upon the recommendation of the chancellor, the institutional Board of Trustees, and following his or her review, the President. Excluding the application fee charged to prospective students, all fees set by the Board are annual fees. Once an annual fee has been established, semester rates, summer rates, and part-time rates shall be established by the President. It is the policy of the Board to act no later than February of each year to establish fees for the following fall semester.

1. Although the General Assembly provides for most of the instructional costs of institutions through State appropriations, institutions traditionally rely entirely on student fees to finance a number of activities, services, and facilities. Institutional Boards of Trustees are required to weight the benefits of the activity, facility or service against the fee required to provide financial support. Orientation sessions for the Boards of Trustees will regularly include discussions of the process followed when establishing student fees.

A. Application Fee. An application fee shall be established for each institution. Specific programs within an institution may require an application fee different from the fee charged for most students and the Board may set different fees according to program needs.

B. General Fees. Fees generally applicable to all students shall be established by the Board of Governors. Four general fees are authorized: athletic fees, health services fees, student activity fees, and educational and technology fees.

C. Fees Related to the Retirement of Debt Incurred for Capital Projects. Fees generally applicable to all students that provide revenues for the retirement of debt shall be fixed by the Board of Governors at the time of the borrowing. Indebtedness fees may not include components for operations and maintenance but shall reflect the cost of servicing the debt at the coverage levels required in Board resolutions and other documents authorizing the debt. Changes in fees required subsequent to the issuance of the debt may be approved by the President upon the request of the chancellor. Indebtedness fees expire when the related debt is retired.

D. Special Fees. Fees applicable only to students engaged in particular activities or courses of study shall be established by the Board of Governors when needed. These fees will not be used to provide general academic revenues that will be provided for from campus-initiated tuition increases.

2. Each chancellor is authorized to establish miscellaneous service charges for such items as transcripts, diplomas, caps & gowns, special examinations, late registrations, and replacement of I.D. cards. A schedule of such charges shall be filed with the President prior to the beginning of each school year.²

3. The process for establishing fees shall be as follows:

A. In academic years ending in odd numbers (e.g., June 30, 2003, prior to the short session of the General Assembly in 2004), the process shall be initiated at the beginning of the fall semester and contain the following steps.

i. The Vice President for Finance shall issue instructions to the campus chancellors calling for them to initiate a review of fees.

ii. Each chancellor shall establish a fee review committee with representatives of all aspects of campus life, including, but not limited to, representatives from Business Affairs, Student Affairs, the Financial Aid Office, and the student body. The Committee shall conduct a complete review of student fees from a zero-based budgeting

²The Chancellor of the North Carolina School of Science and Mathematics shall transmit by July 1 of each year a schedule of service charges at that institution for approval by the President.

perspective and shall make recommendations to the chancellor for establishing fees effective with the upcoming fall semester. The review will include an examination of alternative resources, including available institutional reserves, to determine if other funding is available to provide the services in lieu of establishing the fee. The review will include a reassessment of the existing operating methods to ensure that operations are performed in a cost-effective manner. If the committee determines that an increase in a fee is needed, the committee shall attempt to decrease another fee so that the total cost of education for students does not increase. In order to ensure that all students are able to meet the increased cost of education, the university's financial aid officer, working with the committee, shall determine that sufficient financial aid is available, from whatever sources are possible.

iii. The chancellor shall review the recommendations of the Committee and present recommendations to the Board of Trustees for review and approval. Before a chancellor makes recommendations to the Board of Trustees, the recommendations of the fee review committee will be shared with student government leaders so that students may inform the chancellor of their perspectives on the proposed changes.

iv. The recommendations of the Board of Trustees will be forwarded to the President for review.

v. When the review is completed, the President will present fee recommendations to the Budget and Finance Committee for consideration by the Board of Governors.

Each step in the process shall be an iterative and comprehensive review of the previous step, resulting in changes to the fee recommendations as deemed appropriate.

B. In academic years ending in even numbers (e.g., June 30, 2004, prior to the long session of the General Assembly in 2005), fee increase proposals submitted by the institutional Board of Trustees to the President may be approved by the President if the increase provides only for the following:

i. Additional revenues equal to the amount required for funding compensation increases for fee-supported employees at a level equivalent to the previous years' compensation increases authorized by the General Assembly.

ii. Additional revenues for nonpersonnel items at a level equivalent to increases in the consumer price index.

If a campus requires other changes in fees in academic years ending in even numbers, the process that shall be followed is identical to that followed in academic years ending in odd numbers.

Student Involvement in Tuition and Fee Setting Process

Campus Name: _____

Date: _____

Campus Administrator Name: _____

Campus Administrator Title: _____

Campus Administrator Signature: _____

Student Body President Name: _____

Student Body President Signature: _____

Collaboration

- ___ Tuition and fee committee(s) established.
- ___ Students were represented on the committee(s).
- ___ Student representatives were appointed by the Chancellor in consultation with the Student Body President.
- ___ Committees were co-chaired by the Chief Academic Officer and/or Chief Student Affairs Officer or their designee along with the Student Body President and/or Student Senate President.

Inclusiveness

- ___ Students on the tuition and fee committees were representative of student constituencies: (for example, in-state, out-of-state, undergraduate, graduate, professional school, distance education, etc.).
- ___ Student involvement throughout the entire tuition and fee setting process.
- ___ Student forums were conducted (at least two, one mid-day and one in the evening).

Transparency

- ___ Utilization of social media to reach out to students.
- ___ Utilization of university listserv(s) and website.

Timeliness

- ___ Process initiated and completed consistent with the UNC Policy. (September 1st through December 1st)

Accountability

- ___ Inclusion of student involvement form in the institution's tuition and fee request packet submitted to the UNC System Office.

Additional Information:

STUDENT ASSEMBLY OF THE EAST CAROLINA UNIVERSITY
STUDENT GOVERNMENT ASSOCIATION

5th SESSION, 2020-2021

ASSEMBLY RESOLUTION 5-03

A RESOLUTION

To Express The Position Of The Student
Government Association Of East Carolina
University On The 2021-2022 Proposed Tuition
And Fee Increases

Primary Sponsor: Treasurer Fried

Cosponsor(s): President Robbins, Vice President Hayes, Secretary Maurice, Attorney General
Stamper

First Reading: _____

Referred to: _____

Second Reading: _____

1 *Be it resolved by the Student Assembly of the Student Government Association of East Carolina*
2 *University,*

3
4 **SECTION 1. SHORT TITLE**

5
6 *This Resolution may be cited as the “2021-2022 Tuition and Fee Resolution”*

7
8 **SECTION 2. STUDENT HEALTH SERVICES FEE INCREASE**

9
10 **WHEREAS,** Student Health Services (SHS) is vital to the function of East Carolina University,
11 so that they can help identify problems and treat our students, and;

12
13 **WHEREAS,** the increase in fees that they are requesting are to aid in maintaining and replacing
14 equipment that is outdated and/or in need of replacement (HVAC system, leaky
15 roof), and;

16
17 **WHEREAS,** according to SHS, Campus Facilities has stated they can no longer defer the
18 replacement of these vital machines, and;

19
20 **WHEREAS,** SHS needs to replace other equipment due to age (CBC machine and Computer in
21 the operating rooms), and;

22
23 **WHEREAS,** this equipment is also vital to maintain and keep accreditation as an authorized
24 medical center, and;

25
26 **WHEREAS,** SHS will also use this increase in funding to increase security in the building, and;

27
28 **WHEREAS**, protecting the privacy of students who use the services of the SHS is vital, and;
29

30 **WHEREAS**, there is now a need for SHS to be open on the weekends to provide greater access
31 to students in need of services.
32

33 *Now, therefore, be it,*
34

35 **RESOLVED**, The Student Government Association of East Carolina University **supports** the
36 increase of the Student Health Services fee in the amount of **\$56**.
37

38 **SECTION 3. CAMPUS LIVING FEE INCREASE** 39

40 **WHEREAS**, The total average fee increase to Campus Living is roughly 1.78% for all
41 dormitories, and;
42

43 **WHEREAS**, students already pay a significant price to live on-campus, and;
44

45 **WHEREAS**, raising prices to live on-campus makes the experience even more expensive and
46 unattainable to many students who may wish to otherwise, and;
47

48 **WHEREAS**, ECU places a heavy emphasis on the advantages of living on-campus and requires
49 that freshman live on-campus while continually raising their prices.
50

51 *Now, therefore, be it,*
52

53 **RESOLVED**, The Student Government Association of East Carolina University **opposes** the
54 increase in fees for Campus Living as presented.
55

56 **SECTION 4. CAMPUS LIVING SINGLE FEE INCREASE** 57

58 **WHEREAS**, Students who chose to remain on-campus for Fall 2020 after the university moved
59 to fully online classes were transitioned into single rooms to promote social
60 distancing and prevent further COVID-19 exposure and infection, and;
61

62 **WHEREAS**, Campus Living is only offering single rooms for the Spring 2021 semester, and;
63

64 **WHEREAS**, the East Carolina University Board of Trustees at their October 7, 2020 meeting
65 adopted that students who choose to live on campus for the Spring 2021 semester
66 will not have to pay any additional charge over the current double room rate to
67 live in a single room, and;
68

69 **WHEREAS**, In the case that the university is forced to transition to fully online for Fall 2021
70 and Spring 2022, Campus Living has proposed that students who choose to stay in
71 the dormitories will have to pay the single room rate, and;
72

73 **WHEREAS**, Precedent should prevail, and an affordable option should be offered.

74

75 *Now, therefore, be it,*

76

77 **RESOLVED**, The Student Government Association of East Carolina University **opposes** the
78 increase in fees for Campus Living Single Rooms as presented, and;

79

80 **SECTION 5. CAMPUS DINING FEE INCREASE**

81

82 **WHEREAS**, The total average increase to Campus Dining is roughly 2.25%, and;

83

84 **WHEREAS**, during this time, the consumer food price index shows a 3.5% increase from
85 August 2019 to August 2020, and;

86

87 **WHEREAS**, the previous beverage contract with Coca-Cola is expiring this year and will need
88 to be replaced with a new 10-year contract, and;

89

90 **WHEREAS**, the estimates for the new beverage contract are roughly \$500,000 more than the
91 previous contract per year, and;

92

93 **WHEREAS**, the previous beverage contract was priced at a discounted rate, and;

94

95 **WHEREAS**, due to COVID-19, operational changes have increased the amount of labor needed
96 to manage dining halls and other retail locations on campus, and;

97

98 **WHEREAS**, Campus Dining's proposal is also reasonable as it is below the rate of inflation.

99

100 *Now, therefore, be it,*

101

102 **RESOLVED**, The Student Government Association of East Carolina University **supports** the
103 increase in fees for Campus Dining as presented, and;

104

105 *Be it, further,*

106

107 **RESOLVED**, A copy of this resolution will be sent to Interim Chancellor Mitchelson and the
108 members of the East Carolina University Board of Trustees.

109

110 **SECTION 6. DATE EFFECTIVE.**

111

112 This Resolution shall be effective upon its passage by a majority affirmative vote
113 of the Student Assembly and its signing by the Student Body President.

114

115

116

117

118

Final Vote: 22 Yea, 2 Nay, 3 Abstain

I hereby certify that this Resolution was read and adopted in the Student Assembly of the East Carolina University Student Government Association,

X Matthew Miller
Matthew Miller, Speaker of the Student Assembly

WITNESSED:

X Danielle Maurice
Danielle Maurice, Student Body Secretary

Action Taken by the President: ENACTED (VETOED)

I hereby signify my approval of this Resolution as adopted by the Student Assembly of the East Carolina University Student Government Association, this.

X Tucker Robbins
Tucker Robbins, Student Body President



Finance and Facilities Committee

November 5, 2020

Agenda Item: IV. A. Comprehensive Budget Report

Responsible Person: Sara Thorndike, VC
Administration and Finance

Action Requested: Information

Notes: N/A

Comprehensive University Operating Budget
 FYMG810
 For SEPTEMBER FY 2021

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
State Funds						
Tuition Annual Revenues 16065						
On Campus	122,424,711	0	122,424,711	54,350,664	63,761,523	(9,410,859)
Distance Education	36,439,149	0	36,439,149	23,182,469	13,905,102	9,277,367
Summer Face-to-Face	4,307,087	0	4,307,087	69,912	1,524,758	(1,454,846)
**Total Tuition Annual Revenues 16065	163,170,947	0	163,170,947	77,603,045	79,191,383	(1,588,338)
Tuition Annual Revenues 16066						
School of Dental Medicine	5,738,279	0	5,738,279	3,227,963	2,086,098	1,141,865
Brody School of Medicine	6,541,667	0	6,541,667	3,498,533	3,303,608	194,925
**Total Tuition Annual Revenues 16066	12,279,946	0	12,279,946	6,726,496	5,389,706	1,336,790
Continuing Education Revenues	794,857	5,158,505	5,953,362	3,289,080	4,037,002	(747,922)
Appropriations 16065	237,050,230	(332,975)	236,717,255	30,000,000	21,000,000	9,000,000
Appropriations 16066	78,885,988	0	78,885,988	17,000,000	18,500,000	(1,500,000)
Other Miscellaneous Revenues	10,718,192	16,317,802	27,035,994	17,668,164	12,581,859	5,086,305
**Total State Funded Revenues	502,900,160	21,143,332	524,043,492	152,286,785	140,699,950	11,586,835
Total 16065 Operating Expenses	411,423,483	12,009,348	423,432,831	95,700,635	100,515,726	(4,815,091)
Total 16066 Operating Expenses	91,476,677	9,133,984	100,610,661	23,726,183	21,026,390	2,699,793
**Total State Funded Expenses	502,900,160	21,143,332	524,043,492	119,426,818	121,542,116	(2,115,298)

Comprehensive University Operating Budget
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 For SEPTEMBER FY 2021

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Non-State Funds						
Academic Affairs						
College of Engineering Fee						
Beginning Fund Balance				517,306	818,442	(301,136)
Total Annual Revenues	800,000	0	800,000	396,013	418,871	(22,858)
Total Annual Expenses	1,239,048	0	1,239,048	202,268	127,312	74,956
Annual Operating Results	(439,048)	0	(439,048)	193,745	291,559	(97,814)
Net Transfers	(15,811)	0	(15,811)	0	(470)	470
Annual Operating Results Net Transfers	(454,859)	0	(454,859)	193,745	291,089	(97,344)
Ending Fund Balance				711,051	1,109,531	(398,480)
College of Business Professional Program						
Beginning Fund Balance				532,877	521,542	11,335
Total Annual Revenues	60,000	0	60,000	2,500	4,450	(1,950)
Total Annual Expenses	154,871	0	154,871	4	531	(527)
Annual Operating Results	(94,871)	0	(94,871)	2,496	3,919	(1,423)
Net Transfers	(461)	0	(461)	0	(36)	36
Annual Operating Results Net Transfers	(95,332)	0	(95,332)	2,496	3,883	(1,387)
Ending Fund Balance				535,373	525,425	9,948
Admissions						
Beginning Fund Balance				1,730,476	1,599,961	130,515
Total Annual Revenues	1,100,000	0	1,100,000	122,539	124,305	(1,766)
Total Annual Expenses	2,021,022	0	2,021,022	630,405	509,927	120,478
Annual Operating Results	(921,022)	0	(921,022)	(507,866)	(385,622)	(122,244)
Net Transfers	(19,118)	0	(19,118)	0	(125)	125
Annual Operating Results Net Transfers	(940,140)	0	(940,140)	(507,866)	(385,747)	(122,119)
Ending Fund Balance				1,222,610	1,214,214	8,396

Comprehensive University Operating Budget
 FYMG810
 For SEPTEMBER FY 2021

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Performing Arts						
Beginning Fund Balance				353,708	378,072	(24,364)
Total Annual Revenues	170,300	0	170,300	(344)	34,497	(34,841)
Total Annual Expenses	235,195	0	235,195	5,390	44,000	(38,610)
Annual Operating Results	(64,895)	0	(64,895)	(5,734)	(9,503)	3,769
Net Transfers	44,967	0	44,967	0	(4)	4
Annual Operating Results Net Transfers	(19,928)	0	(19,928)	(5,734)	(9,507)	3,773
Ending Fund Balance				347,974	368,565	(20,591)
Administration and Finance						
1Card						
Beginning Fund Balance				579,593	568,918	10,675
Total Annual Revenues	154,000	0	154,000	10,700	21,920	(11,220)
Total Annual Expenses	153,133	0	153,133	8,696	23,221	(14,525)
Annual Operating Results	867	0	867	2,004	(1,301)	3,305
Net Transfers	(800)	0	(800)	0	(59)	59
Annual Operating Results Net Transfers	67	0	67	2,004	(1,360)	3,364
Ending Fund Balance				581,597	567,558	14,039
Campus Safety & Police						
Beginning Fund Balance				1,731,382	1,645,561	85,821
Total Annual Revenues	673,500	0	673,500	309,826	312,062	(2,236)
Total Annual Expenses	1,614,638	43,120	1,657,758	352,349	327,197	25,152
Annual Operating Results	(941,138)	(43,120)	(984,258)	(42,523)	(15,135)	(27,388)
Net Transfers	930,202	43,120	973,322	294,432	(1,601)	296,033
Annual Operating Results Net Transfers	(10,936)	0	(10,936)	251,909	(16,736)	268,645
Ending Fund Balance				1,983,291	1,628,825	354,466

Comprehensive University Operating Budget
 FYMG810
 For SEPTEMBER FY 2021

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
ECU Purchasing Card						
Beginning Fund Balance				774,238	462,648	311,590
Total Annual Revenues	400,000	0	400,000	0	0	0
Total Annual Expenses	76,178	0	76,178	18,249	18,127	122
Annual Operating Results	323,822	0	323,822	(18,249)	(18,127)	(122)
Net Transfers	(1,405)	0	(1,405)	0	(46)	46
Annual Operating Results Net Transfers	322,417	0	322,417	(18,249)	(18,173)	(76)
Ending Fund Balance				755,989	444,475	311,514
Ed & Tech						
Beginning Fund Balance				4,579,462	3,569,311	1,010,151
Total Annual Revenues	9,996,819	0	9,996,819	4,934,690	4,923,884	10,806
Total Annual Expenses	9,771,790	0	9,771,790	3,380,684	4,509,346	(1,128,662)
Annual Operating Results	225,029	0	225,029	1,554,006	414,538	1,139,468
Net Transfers	(189,223)	0	(189,223)	0	(4,106)	4,106
Annual Operating Results Net Transfers	35,806	0	35,806	1,554,006	410,432	1,143,574
Ending Fund Balance				6,133,468	3,979,743	2,153,725
Minges						
Beginning Fund Balance				140,710	126,043	14,667
Total Annual Revenues	123,000	0	123,000	54,433	63,122	(8,689)
Total Annual Expenses	122,940	0	122,940	32,689	36,200	(3,511)
Annual Operating Results	60	0	60	21,744	26,922	(5,178)
Net Transfers	(60)	0	(60)	0	(59)	59
Annual Operating Results Net Transfers	0	0	0	21,744	26,863	(5,119)
Ending Fund Balance				162,454	152,906	9,548

Comprehensive University Operating Budget
 FYMG810
 For SEPTEMBER FY 2021

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Parking and Transportation						
Beginning Fund Balance				11,008,859	9,889,715	1,119,144
Total Annual Revenues	4,332,384	0	4,332,384	1,573,119	2,907,126	(1,334,007)
Total Annual Expenses	2,713,496	0	2,713,496	506,177	678,360	(172,183)
Annual Operating Results	1,618,888	0	1,618,888	1,066,942	2,228,766	(1,161,824)
Net Transfers	(639,018)	0	(639,018)	(251,312)	(1,669)	(249,643)
Annual Operating Results Net Transfers	979,870	0	979,870	815,630	2,227,097	(1,411,467)
Ending Fund Balance				11,824,489	12,116,812	(292,323)
Printing and Graphics						
Beginning Fund Balance				1,300,252	1,635,847	(335,595)
Total Annual Revenues	2,643,188	0	2,643,188	383,923	473,099	(89,176)
Total Annual Expenses	2,687,841	0	2,687,841	361,082	533,317	(172,235)
Annual Operating Results	(44,653)	0	(44,653)	22,841	(60,218)	83,059
Net Transfers	(33,222)	0	(33,222)	0	(1,575)	1,575
Annual Operating Results Net Transfers	(77,875)	0	(77,875)	22,841	(61,793)	84,634
Ending Fund Balance				1,323,093	1,574,054	(250,961)
Student Stores						
Beginning Fund Balance				5,344,615	6,097,822	(753,207)
Total Annual Revenues	7,734,967	0	7,734,967	2,731,141	4,685,831	(1,954,690)
Total Annual Expenses	7,494,968	0	7,494,968	3,014,900	5,055,592	(2,040,692)
Annual Operating Results	239,999	0	239,999	(283,759)	(369,761)	86,002
Net Transfers	(240,000)	0	(240,000)	0	(2,264)	2,264
Annual Operating Results Net Transfers	(1)	0	(1)	(283,759)	(372,025)	88,266
Ending Fund Balance				5,060,856	5,725,797	(664,941)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Vending						
Beginning Fund Balance				434,748	158,895	275,853
Total Annual Revenues	197,500	0	197,500	9,078	21,698	(12,620)
Total Annual Expenses	40,000	0	40,000	0	4,904	(4,904)
Annual Operating Results	157,500	0	157,500	9,078	16,794	(7,716)
Net Transfers	(365,000)	0	(365,000)	0	(56)	56
Annual Operating Results Net Transfers	(207,500)	0	(207,500)	9,078	16,738	(7,660)
Ending Fund Balance				443,826	175,633	268,193
Warehouse & Storerooms						
Beginning Fund Balance				932,517	1,627,953	(695,436)
Total Annual Revenues	1,185,696	0	1,185,696	466,191	338,404	127,787
Total Annual Expenses	1,201,526	0	1,201,526	420,544	357,512	63,032
Annual Operating Results	(15,830)	0	(15,830)	45,647	(19,108)	64,755
Net Transfers	(14,064)	0	(14,064)	0	(167)	167
Annual Operating Results Net Transfers	(29,894)	0	(29,894)	45,647	(19,275)	64,922
Ending Fund Balance				978,164	1,608,678	(630,514)
IT Maintenance and Infrastructure						
Beginning Fund Balance				3,993,547	4,555,303	(561,756)
Total Annual Revenues	560,417	0	560,417	47,909	66,676	(18,767)
Total Annual Expenses	1,397,092	0	1,397,092	109,671	86,761	22,910
Annual Operating Results	(836,675)	0	(836,675)	(61,762)	(20,085)	(41,677)
Net Transfers	198,477	0	198,477	0	(334)	334
Annual Operating Results Net Transfers	(638,198)	0	(638,198)	(61,762)	(20,419)	(41,343)
Ending Fund Balance				3,931,785	4,534,884	(603,099)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Storm Damage						
Beginning Fund Balance				607,326	523,139	84,187
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	0	0
Annual Operating Results	0	0	0	0	0	0
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	0	0	0
Ending Fund Balance				607,326	523,139	84,187
Millennial Campus						
Beginning Fund Balance				862,895	919,730	(56,835)
Total Annual Revenues	0	0	0	1,781	1,781	0
Total Annual Expenses	0	0	0	7,900	450	7,450
Annual Operating Results	0	0	0	(6,119)	1,331	(7,450)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	(6,119)	1,331	(7,450)
Ending Fund Balance				856,776	921,061	(64,285)
Athletics						
Athletics Operating						
Beginning Fund Balance				0	0	0
Total Annual Revenues	31,334,143	0	31,334,143	7,543,320	12,175,094	(4,631,774)
Total Annual Expenses	42,638,735	0	42,638,735	9,971,479	12,975,703	(3,004,224)
Annual Operating Results	(11,304,592)	0	(11,304,592)	(2,428,159)	(800,609)	(1,627,550)
Net Transfers	11,304,592	0	11,304,592	0	(26,679)	26,679
Annual Operating Results Net Transfers	0	0	0	(2,428,159)	(827,288)	(1,600,871)
Ending Fund Balance				(2,428,159)	(827,288)	(1,600,871)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Marching Pirates						
Beginning Fund Balance				210,501	212,139	(1,638)
Total Annual Revenues	82,000	0	82,000	36,289	42,081	(5,792)
Total Annual Expenses	82,000	0	82,000	5,402	15,955	(10,553)
Annual Operating Results	0	0	0	30,887	26,126	4,761
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	30,887	26,126	4,761
Ending Fund Balance				241,388	238,265	3,123
Southside Stadium						
Beginning Fund Balance				0	79,800	(79,800)
Total Annual Revenues	0	0	0	212,765	415,187	(202,422)
Total Annual Expenses	0	0	0	2,054,548	1,881,760	172,788
Annual Operating Results	0	0	0	(1,841,783)	(1,466,573)	(375,210)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	(1,841,783)	(1,466,573)	(375,210)
Ending Fund Balance				(1,841,783)	(1,386,773)	(455,010)
ESPN Media Rights						
Beginning Fund Balance				615,206	0	615,206
Total Annual Revenues	0	0	0	904,545	0	904,545
Total Annual Expenses	0	0	0	196,940	0	196,940
Annual Operating Results	0	0	0	707,605	0	707,605
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	707,605	0	707,605
Ending Fund Balance				1,322,811	0	1,322,811

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Chancellor						
Chancellors Discretionary						
Beginning Fund Balance				833,091	949,688	(116,597)
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	247,683	0	247,683	14,508	41,604	(27,096)
Annual Operating Results	(247,683)	0	(247,683)	(14,508)	(41,604)	27,096
Net Transfers	(2,317)	0	(2,317)	0	(3)	3
Annual Operating Results Net Transfers	(250,000)	0	(250,000)	(14,508)	(41,607)	27,099
Ending Fund Balance				818,583	908,081	(89,498)
Health Sciences						
ECU Physicians						
Beginning Fund Balance				83,213,650	87,835,625	(4,621,975)
Total Annual Revenues	230,352,578	0	230,352,578	49,441,563	53,905,359	(4,463,796)
Total Annual Expenses	225,989,728	0	225,989,728	54,237,864	52,924,916	1,312,948
Annual Operating Results	4,362,850	0	4,362,850	(4,796,301)	980,443	(5,776,744)
Net Transfers	(6,938,931)	0	(6,938,931)	(1,356,536)	(1,224,441)	(132,095)
Annual Operating Results Net Transfers	(2,576,081)	0	(2,576,081)	(6,152,837)	(243,998)	(5,908,839)
Ending Fund Balance				77,060,813	87,591,627	(10,530,814)
School of Dental Medicine						
Beginning Fund Balance				8,480,664	8,688,876	(208,212)
Total Annual Revenues	18,800,342	0	18,800,342	5,897,853	4,413,835	1,484,018
Total Annual Expenses	21,463,786	0	21,463,786	4,483,783	5,117,141	(633,358)
Annual Operating Results	(2,663,444)	0	(2,663,444)	1,414,070	(703,306)	2,117,376
Net Transfers	(190,480)	0	(190,480)	0	(19,430)	19,430
Annual Operating Results Net Transfers	(2,853,924)	0	(2,853,924)	1,414,070	(722,736)	2,136,806
Ending Fund Balance				9,894,734	7,966,140	1,928,594

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Comparative Medicine						
Beginning Fund Balance				351,520	755,138	(403,618)
Total Annual Revenues	900,000	0	900,000	167,821	233,792	(65,971)
Total Annual Expenses	890,321	0	890,321	197,038	249,315	(52,277)
Annual Operating Results	9,679	0	9,679	(29,217)	(15,523)	(13,694)
Net Transfers	(16,073)	0	(16,073)	0	(402)	402
Annual Operating Results Net Transfers	(6,394)	0	(6,394)	(29,217)	(15,925)	(13,292)
Ending Fund Balance				322,303	739,213	(416,910)
Research						
F&A						
Beginning Fund Balance				3,390,643	5,763,670	(2,373,027)
Total Annual Revenues	5,905,460	0	5,905,460	1,775,550	1,661,869	113,681
Total Annual Expenses	8,350,050	0	8,350,050	1,221,298	1,294,399	(73,101)
Annual Operating Results	(2,444,590)	0	(2,444,590)	554,252	367,470	186,782
Net Transfers	(904,006)	0	(904,006)	(151,450)	(154,166)	2,716
Annual Operating Results Net Transfers	(3,348,596)	0	(3,348,596)	402,802	213,304	189,498
Ending Fund Balance				3,793,445	5,976,974	(2,183,529)
Student Affairs						
Campus Recreation						
Beginning Fund Balance				6,198,921	6,749,843	(550,922)
Total Annual Revenues	6,182,250	0	6,182,250	2,465,355	3,120,832	(655,477)
Total Annual Expenses	6,387,173	0	6,387,173	1,229,367	1,784,058	(554,691)
Annual Operating Results	(204,923)	0	(204,923)	1,235,988	1,336,774	(100,786)
Net Transfers	(409,847)	0	(409,847)	(293,109)	(276,935)	(16,174)
Annual Operating Results Net Transfers	(614,770)	0	(614,770)	942,879	1,059,839	(116,960)
Ending Fund Balance				7,141,800	7,809,682	(667,882)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Dining						
Beginning Fund Balance				1,616,193	987,353	628,840
Total Annual Revenues	30,463,417	0	30,463,417	5,415,724	14,868,279	(9,452,555)
Total Annual Expenses	28,877,370	0	28,877,370	6,493,152	5,807,026	686,126
Annual Operating Results	1,586,047	0	1,586,047	(1,077,428)	9,061,253	(10,138,681)
Net Transfers	(566,200)	0	(566,200)	(385,000)	(332,425)	(52,575)
Annual Operating Results Net Transfers	1,019,847	0	1,019,847	(1,462,428)	8,728,828	(10,191,256)
Ending Fund Balance				153,765	9,716,181	(9,562,416)
Housing						
Beginning Fund Balance				13,846,977	17,667,983	(3,821,006)
Total Annual Revenues	32,573,729	0	32,573,729	4,836,592	16,732,892	(11,896,300)
Total Annual Expenses	31,409,705	0	31,409,705	12,402,452	14,004,464	(1,602,012)
Annual Operating Results	1,164,024	0	1,164,024	(7,565,860)	2,728,428	(10,294,288)
Net Transfers	(3,195,219)	0	(3,195,219)	(1,773,969)	(955,309)	(818,660)
Annual Operating Results Net Transfers	(2,031,195)	0	(2,031,195)	(9,339,829)	1,773,119	(11,112,948)
Ending Fund Balance				4,507,148	19,441,102	(14,933,954)
Student Health						
Beginning Fund Balance				5,118,184	5,485,520	(367,336)
Total Annual Revenues	7,110,957	0	7,110,957	2,629,159	2,904,023	(274,864)
Total Annual Expenses	7,182,092	0	7,182,092	1,752,022	1,634,669	117,353
Annual Operating Results	(71,135)	0	(71,135)	877,137	1,269,354	(392,217)
Net Transfers	(373,054)	0	(373,054)	(293,109)	(278,838)	(14,271)
Annual Operating Results Net Transfers	(444,189)	0	(444,189)	584,028	990,516	(406,488)
Ending Fund Balance				5,702,212	6,476,036	(773,824)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Unions						
Beginning Fund Balance				6,175,977	6,249,171	(73,194)
Total Annual Revenues	6,033,706	0	6,033,706	2,611,329	3,056,135	(444,806)
Total Annual Expenses	5,984,033	0	5,984,033	1,138,705	1,596,424	(457,719)
Annual Operating Results	49,673	0	49,673	1,472,624	1,459,711	12,913
Net Transfers	(408,271)	0	(408,271)	(293,109)	50,249	(343,358)
Annual Operating Results Net Transfers	(358,598)	0	(358,598)	1,179,515	1,509,960	(330,445)
Ending Fund Balance				7,355,492	7,759,131	(403,639)
Transit						
Beginning Fund Balance				957,473	1,042,918	(85,445)
Total Annual Revenues	4,815,400	0	4,815,400	1,616,036	2,275,792	(659,756)
Total Annual Expenses	5,211,545	0	5,211,545	893,223	1,383,685	(490,462)
Annual Operating Results	(396,145)	0	(396,145)	722,813	892,107	(169,294)
Net Transfers	390,098	0	390,098	(15,585)	(21,663)	6,078
Annual Operating Results Net Transfers	(6,047)	0	(6,047)	707,228	870,444	(163,216)
Ending Fund Balance				1,664,701	1,913,362	(248,661)
Student Activities Board						
Beginning Fund Balance				1,060,654	1,005,213	55,441
Total Annual Revenues	517,250	0	517,250	222,269	259,748	(37,479)
Total Annual Expenses	516,992	0	516,992	54,439	67,794	(13,355)
Annual Operating Results	258	0	258	167,830	191,954	(24,124)
Net Transfers	(258)	0	(258)	0	(98)	98
Annual Operating Results Net Transfers	0	0	0	167,830	191,856	(24,026)
Ending Fund Balance				1,228,484	1,197,069	31,415

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Government Association						
Beginning Fund Balance				975,776	798,440	177,336
Total Annual Revenues	564,750	0	564,750	249,486	291,309	(41,823)
Total Annual Expenses	516,477	0	516,477	51,414	62,913	(11,499)
Annual Operating Results	48,273	0	48,273	198,072	228,396	(30,324)
Net Transfers	(48,273)	0	(48,273)	0	(255)	255
Annual Operating Results Net Transfers	0	0	0	198,072	228,141	(30,069)
Ending Fund Balance				1,173,848	1,026,581	147,267
Fine Arts Funding Board						
Beginning Fund Balance				269,468	265,459	4,009
Total Annual Revenues	164,000	0	164,000	72,578	84,163	(11,585)
Total Annual Expenses	164,000	0	164,000	4,456	10,446	(5,990)
Annual Operating Results	0	0	0	68,122	73,717	(5,595)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	68,122	73,717	(5,595)
Ending Fund Balance				337,590	339,176	(1,586)
Career Programs						
Beginning Fund Balance				627,552	635,266	(7,714)
Total Annual Revenues	250,000	0	250,000	44,635	144,433	(99,798)
Total Annual Expenses	244,163	0	244,163	38,241	67,018	(28,777)
Annual Operating Results	5,837	0	5,837	6,394	77,415	(71,021)
Net Transfers	(5,837)	0	(5,837)	0	(243)	243
Annual Operating Results Net Transfers	0	0	0	6,394	77,172	(70,778)
Ending Fund Balance				633,946	712,438	(78,492)

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Orientation						
Beginning Fund Balance				560,002	653,236	(93,234)
Total Annual Revenues	510,250	0	510,250	11,120	462,372	(451,252)
Total Annual Expenses	475,054	0	475,054	65,051	469,628	(404,577)
Annual Operating Results	35,196	0	35,196	(53,931)	(7,256)	(46,675)
Net Transfers	(500)	0	(500)	0	(413)	413
Annual Operating Results Net Transfers	34,696	0	34,696	(53,931)	(7,669)	(46,262)
Ending Fund Balance				506,071	645,567	(139,496)
Student Engagement						
Beginning Fund Balance				1,205,581	1,003,423	202,158
Total Annual Revenues	671,580	0	671,580	297,206	347,646	(50,440)
Total Annual Expenses	626,419	0	626,419	28,379	121,625	(93,246)
Annual Operating Results	45,161	0	45,161	268,827	226,021	42,806
Net Transfers	(45,161)	0	(45,161)	0	(152)	152
Annual Operating Results Net Transfers	0	0	0	268,827	225,869	42,958
Ending Fund Balance				1,474,408	1,229,292	245,116
Mendenhall Student Center Renovations						
Beginning Fund Balance				7,500,000	7,500,000	0
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	0	0
Annual Operating Results	0	0	0	0	0	0
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	0	0	0	0	0	0
Ending Fund Balance				7,500,000	7,500,000	0

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Student Unions Construction						
Beginning Fund Balance				3,066,530	8,692,624	(5,626,094)
Total Annual Revenues	0	0	0	0	0	0
Total Annual Expenses	0	0	0	0	0	0
Annual Operating Results	0	0	0	0	0	0
Net Transfers	(490,000)	0	(490,000)	(8,016)	(3,170,947)	3,162,931
Annual Operating Results Net Transfers	(490,000)	0	(490,000)	(8,016)	(3,170,947)	3,162,931
Ending Fund Balance				3,058,514	5,521,677	(2,463,163)
Student Media						
Beginning Fund Balance				1,024,819	1,092,523	(67,704)
Total Annual Revenues	704,500	0	704,500	269,889	312,276	(42,387)
Total Annual Expenses	751,349	0	751,349	146,912	165,863	(18,951)
Annual Operating Results	(46,849)	0	(46,849)	122,977	146,413	(23,436)
Net Transfers	(1,145)	0	(1,145)	0	(838)	838
Annual Operating Results Net Transfers	(47,994)	0	(47,994)	122,977	145,575	(22,598)
Ending Fund Balance				1,147,796	1,238,098	(90,302)
University						
Auxiliary Overhead						
Beginning Fund Balance				35,401,918	6,973,520	28,428,398
Total Annual Revenues	2,090,000	0	2,090,000	301,604	596,153	(294,549)
Total Annual Expenses	6,235,408	0	6,235,408	8,696	155,307	(146,611)
Annual Operating Results	(4,145,408)	0	(4,145,408)	292,908	440,846	(147,938)
Net Transfers	(4,270,521)	0	(4,270,521)	53,070	62,130	(9,060)
Annual Operating Results Net Transfers	(8,415,929)	0	(8,415,929)	345,978	502,976	(156,998)
Ending Fund Balance				35,747,896	7,476,496	28,271,400

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	Original Budget	Budget Changes	Current Budget	YTD Actual Results	Prior YTD Actual Results	YTD Actual Current vs Prior
Debt Service						
Beginning Fund Balance				15,872,103	14,959,654	912,449
Total Annual Revenues	9,406,000	0	9,406,000	4,064,023	4,735,772	(671,749)
Total Annual Expenses	8,366,719	0	8,366,719	6,045,025	5,969,217	75,808
Annual Operating Results	1,039,281	0	1,039,281	(1,981,002)	(1,233,445)	(747,557)
Net Transfers	0	0	0	0	0	0
Annual Operating Results Net Transfers	1,039,281	0	1,039,281	(1,981,002)	(1,233,445)	(747,557)
Ending Fund Balance				13,891,101	13,726,209	164,892



Finance and Facilities Committee


November 5, 2020

Agenda Item:	IV. B. Report of Approved EHRA Employee Salary Adjustments
Responsible Person:	Sara Thorndike, VC Administration and Finance
Action Requested:	Information
Notes:	N/A



MEMORANDUM

TO: ECU Board of Trustees

FROM: Ms. Kitty H. Wetherington 
Associate Vice Chancellor for Human Resources and
Chief Human Resources Officer

DATE: October 16, 2020

RE: Report of Approved EHRA Employee Salary Adjustments
Pursuant to 9/30/16 Expanded Authority (August 1, 2020 –
September 30, 2020)

The attached informational report is provided to you in accordance with the *Resolution to Delegate Expanded Authority to the Chancellor of East Carolina University for Certain Salary Actions for Employees Exempt from the State Human Resources Act*, as approved by the Board of Trustees on September 30, 2016. Please note that the attached spreadsheet contains confidential personnel information in accordance with N.C. GEN. STAT. §126-22, *et seq.*

Attachment

cc: Dr. Ronald L. Mitchelson
Interim Chancellor

Dr. Sara Thorndike
Vice Chancellor for Administration and Finance

Dr. B. Grant Hayes
Acting Provost and Senior Vice Chancellor for Academic Affairs

Dr. Mark Stacy
Vice Chancellor for Health Sciences and
Dean of the Brody School of Medicine

**Department of
Human Resources**

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**Classification and
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**Learning and Organizational
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Finance and Facilities Committee

November 5, 2020

Agenda Item:	IV. C. Pension Report
Responsible Person:	Sara Thorndike, VC Administration and Finance
Action Requested:	Information
Notes:	N/A



North Carolina Total Retirement Plans



Dale R. Folwell, CPA
STATE TREASURER OF NORTH CAROLINA
DALE R. FOLWELL, CPA

9/17/2020

20300 - EAST CAROLINA UNIVERSITY
ATTN: CHIEF FINANCIAL OFFICER OR BUDGET ADMINISTRATOR
210 EAST FIRST STREET
GREENVILLE, NC 27858

Dear 20300 - EAST CAROLINA UNIVERSITY:

During the 2014 General Assembly session, contribution-based benefit cap legislation was enacted effective January 1, 2015. This legislation was created to control the practice of “pension spiking,” in which a member’s compensation substantially increases, resulting in a monthly retirement benefit that is significantly greater than the member and employer contributions would fund. The Contribution-Based Benefit Cap (CBBC) approach was created to protect each system for current and future retirees and to prevent all employers in the Retirement Systems from absorbing the additional liabilities caused by compensation decisions made by other employers. This legislation applies to members who retire on and after January 1, 2015, with an average final compensation of \$100,000 or higher (adjusted annually for inflation), and will directly impact only a small number of those individuals. It requires the member’s last employer to pay the additional contribution required to fund the member’s benefit in excess of the cap. [G.S. 135-5(a3); 135-4(jj); 128-27(a3); and 128-26(y)]

In order to assist employing agencies with planning and budgeting to comply with the CBBC provisions, we are required to report monthly to each employer a list of those members for whom the employer made a contribution to the Retirement System in the preceding month that are most likely to require an additional employer contribution should they elect to retire in the following 12 months. This letter and the attached report serve as our required monthly notification to your agency under this provision. [G.S. 135-8(f)(2)(f) and G.S.128-30(g)(2)(b)]

The chief financial officer of your agency is required to provide a copy of the attached report to the chief executive of your agency, as well as to the governing body, including any board which exercises financial oversight. Additionally, the chief financial officer of a public school system is required to provide a copy of the report to the local board of education and notify the board of county commissioners of the county in which the local administrative unit is located that the report was received and how many employees were listed in the report. [G.S. 115C-436(c); 135-8(j); and 128-30(j)]

For the purpose of determining the employees of your agency that are likely to require an additional employer contribution should they elect to retire in the following 12 months, the Retirement System modified the criteria used in the CBBC calculation. This allows for a broad list of potential employees, including those whose compensation average may approach the threshold and attempts to provide your agency with prior notification of a potential cost. The attached report

lists employees of your agency who may be eligible to retire in the next 13 months (at either a reduced or unreduced benefit), whose salary is \$95,000 or greater, and whose estimated monthly retirement benefit exceeds the CBBC based on information in the employee's most recent annual benefits statement. In addition, a lower CBBC Factor (i.e., TSERS is 4.2 and LGERS is 4.4) is applied.

This list is not exhaustive, and members included on this list may or may not exceed the CBBC upon retirement, depending on a number of factors such as the member's average final compensation, the member's age at retirement, and membership service. This is merely a notification of a potential cost that your agency may be required to pay, in the form of a lump-sum payment, due after the member retires.

For those employees hired on or after January 1, 2015, the employer is not required to pay the additional contribution to fund the member's benefit in excess of the Contribution-Based Benefit Cap. The employer has the option to pay all or part of the contribution required in excess of the CBBC; the employee also has the option to pay all or part of the contribution. However, should neither of you choose to pay this additional contribution, the employee's retirement benefit will be capped.

You can calculate the likelihood of whether the retirement benefit of a member listed on the attached report will exceed the CBBC with information available on our website at <https://www.myncretirement.com/employers/employer-training/pension-spiking>.

If you have any questions or need assistance in calculating the likelihood of a potential CBBC liability, please contact us at the address or telephone number listed below.

Sincerely,

Retirement Systems Division
N.C. Department of State Treasurer

623_PENSPK



Finance and Facilities Committee

November 5, 2020

Agenda Item: IV. D. Employee Engagement Survey Results

Responsible Person: Sara Thorndike, VC
Administration and Finance

Action Requested: Information

Notes: N/A



MEMORANDUM

TO: ECU Board of Trustees

FROM: Ms. Kitty H. Wetherington
Associate Vice Chancellor for Human Resources and
Chief Human Resources Officer

Mr. Justin Yeaman
Director, Learning & Organizational Development

DATE: October 21, 2020

RE: Employee Engagement Survey

Earlier this year, ECU faculty and staff were given the opportunity to participate in the [UNC System Employee Engagement Survey](#), which is part of a five-year project designed to provide metrics for measuring our progress in implementing the [UNC System Strategic Plan](#). The survey was administered through an outside vendor, [ModernThink](#), which is responsible for the Chronicle of Higher Education's "Great Colleges to Work For" survey. The 2020 survey was the second time the survey has been administered, with the first occurring in 2018, and the third scheduled for 2022.

ECU's response rate increased by 13% (from 38% in 2018 to 51% in 2020), which was the third largest response rate increase among UNC System institutions. Once the data was processed by [ModernThink](#) and reviewed by the ECU Engagement Project Management Team, a survey results communication plan was developed, and data dissemination began with a presentation to the Chancellor's Executive Council. A 2020/2018 Comparison Scorecard for ECU is linked below for your reference.

On October 21, 2020, the UNC Board of Governors Committee on Personnel and Tenure received an Employee Engagement Survey Results 2020 presentation by UNC System Office Human Resources. The [Employee Engagement at ECU](#) website will be updated to reflect 2020 survey results with broader campus-wide dissemination beginning in early November 2020.

The following links are provided for your ease of reference and for further information:

- [UNC System Employee Engagement Survey](#)
- [UNC Strategic Plan](#)
- [Employee Engagement at ECU](#)
- [ECU's 2020 Overall Benchmark Scorecard](#)
- [ECU's 2020 Topline Survey Results](#)

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- [ECU's 2020/2018 Comparison Scorecard](#)
- [UNC Board of Governors Committee on Personnel and Tenure Employee Engagement Survey Results 2020 Presentation](#)

Thank you for your interest in employee engagement at ECU. We look forward to answering questions you may have at the November Board of Trustee meeting.



Finance and Facilities Committee

November 5, 2020

Agenda Item:	IV. E. Designer Selections since Last Board Meeting
Responsible Person:	Sara Thorndike, VC Administration and Finance
Action Requested:	Information
Notes:	N/A

Finance and Facilities Committee
Facilities Engineering and Architectural
Services

Designer Selection Approval Summary
November 5, 2020



Informal Designer Selection Approval Summary

FOR INFORMATION - INFORMAL PROJECTS

Below are designers selected for repair and renovation projects, with a cost less than than \$500,000.

1 Direct Select Approvals - September 18, 2020

Project

Bate 3013 Office Suite Renovation
Wright Auditorium House Lighting Replacement

Designer

JKF Architecture
Clark Nexsen

2 Direct Select Approval - September 23, 2020

Project

Bate Elevator

Designer

JKF Architecture

3 Direct Select Approvals - October 16, 2020

Project

Main Campus Building Signs
Central Chiller Plant 2 (Minges) Roof Replacement

Designer

MHA Works
Atlas Engineering



Formal Designer Selection Approval Summary

FOR INFORMATION - FORMAL PROJECTS

Below are designers selected for repair and renovation projects, with a cost greater than \$500,000.

College Hill Drive Steam and Condensate Phase 2 (Jones to Scott)

July 14, 2020

Advertisement

September 14, 2020

Pre-Selection Committee Recommendation

September 15, 2020

Approval of Committee Recommendation

FIRM SELECTED:

Dewberry Engineers, Inc., Raleigh, NC





Finance and Facilities Committee

November 5, 2020

Agenda Item: IV. F. Capital Projects Update

Responsible Person: Sara Thorndike, VC
Administration and Finance

Action Requested: Information

Notes: N/A

Finance and Facilities Committee

Facilities Engineering and Architectural Services

**Capital Projects Update
November 5, 2020**



Life Sciences and Biotechnology Center



- Status: In Construction
- Budget: \$90,000,000
- Designer: Lord, Aeck & Sargent, Inc.
- Estimated Construction: 07/15/19 - 07/30/21
- Next quarter: Window install completing. Brick and other veneers install continue. Building to be completely dried in and HVAC systems partially energized



Mendenhall Renovation Phase I



- Status: Design
- Budget: \$6,000,000
- Designer: Davis Kane, Architects, P.A.
- Estimated Construction: 01/16/21 – 10/15/21
- Next Quarter: Advertise and Bid



ECU Innovation Hub



- Status: Contract Review
- Budget: \$4,900,000
- Designer: Clark Nexsen
- Estimated Construction: 10/27/20 – 07/24/21
- Next quarter: Demolition is tentatively scheduled to begin



Main Campus – College Hill Drive Replace Steam – Phase 1



- Status: Construction
- Budget: \$4,030,400
- Designer: Dewberry Engineers, Inc.
- Contractor: Mid-Atlantic Infrastructure Systems, Inc.
- Estimated Construction: 12/16/19 – 10/16/20
- Next quarter: Make New Steam Line Operational & Complete Surface Restoration



Main Campus Steam Plant Fuel Tank Farm



- Status: Construction
- Budget: \$2,552,532
- Designer: RMF Engineers, Inc.
- Contractor: Garrett Construction Services, Inc.
- Estimated Construction: 11/21/2019-12/15/2020
- Next quarter: Finish site work and landscaping



Brody – High Rise Code Compliance Stair Addition



- Status: Construction
- Budget: \$1,961,873
- Consultants: MHA works
- Estimated Construction: 01/02/20 – 11/03/20
- Next quarter: Project Completion



Warren Life Sciences BSL-3 Lab COVID-19 Emergency Project



- Status: Construction
- Budget: \$1,500,000
- Lab Consultants: Lord Aeck Sargent/AEI
- Estimated Construction: 06/15/20 – 09/29/20
- Labs completed for Occupancy
- Generator Replacement – 10/21/20
- Next quarter: Completed



Major Capital Projects Schedule

FINANCE AND FACILITIES COMMITTEE Major Capital Projects Schedule

PROJECT	BOT MEMBER	2019												2020												2021												2022-23
		JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	
Warren Life Science BSL-3 Lab																																						
Life Sciences and Biotechnology Building	Fanning																																					
Mendenhall Renovation Phase I																																						
Main Campus - College Hill Drive - Steam Replacement Phase 1																																						
Main Campus Steam Plant Fuel Tank Farm																																						
Main Campus ECU Innovation Hub																																						
Brody High Rise Code Compliance Stair Addition																																						
KEY:		Project Approval/ Designer Selection						Programming						Design						Advertise, Bid & Award						Construction						Occupancy						





Finance and Facilities Committee

November 5, 2020

Agenda Item:	V. Other
Responsible Person:	Sara Thorndike, VC Administration and Finance
Action Requested:	Information
Notes:	N/A