

**ECU Board of Trustees
September 25, 2015
Murphy Center
East Carolina University**

MINUTES

The Board of Trustees met in regular session at the Murphy Center on the Athletics Campus of East Carolina University. Chair Steve Jones called the meeting to order and introduced Cadet Protonentis, a Junior from Raleigh majoring in Construction Management and Cadet Barker, a Junior from Waynesville, NC majoring in Criminal Justice, to lead the Pledge of Allegiance.

In compliance with the State Government Ethics Act, Mr. Jones read the conflict of interest statement and asked if anyone had a conflict of interest to disclose. No conflicts were identified.

Mr. Jones called on Secretary Bob Plybon to call the roll:

Members Present

Edwin Clark
Mark Copeland
Vern Davenport
Deboarh Davis
Leigh Fanning
Steve Jones
Max Joyner
Mark Matuelwicz
Kel Normann (via phone)
Bob Plybon
Danny Scott
Kieran Shanahan
Terry Yeargan

Members Absent

Leigh Fanning

APPROVAL OF MINUTES

Mr. Jones asked for a motion to approve the minutes from the July 17, 2015 meeting. Mr. Scott moved approval of the minutes and Mr. Joyner seconded the motion. The motion was approved with no negative votes.

INTRODUCTION OF ELITE PIRATES

Mr. Jones called on Vice Chancellor for Student Affairs, Virginia Hardy, to introduce several students from the Elite Pirates. Eliza Monroe, a member of the Elite Pirates, told the board what the mission and purpose is for the Elite Pirates.

UNIVERSITY REPORTS

CHANCELLOR'S REPORT

Dr. Ballard gave his remarks to the board. A full text version of the Chancellor's remarks is listed as "Attachment A."

BOARD OF GOVERNORS REPORT

Mr. Henry Hinton, ECU representative from the UNC Board of Governors gave an update from the BOG. Mr. Hinton spoke about the recently approved state budget, the state bond referendum and the Presidential search. He concluded his remarks by thanking Chancellor Ballard for his service to East Carolina University.

REPORT FROM FACULTY

Dr. John Stiller, chair of the Faculty Senate, delivered remarks from the faculty. He introduced the new officers of the Faculty Senate. Dr. Stiller recognized Chancellor Ballard for his

work at ECU, complimenting his commitment to shared governance and collaboration with the faculty. Dr. Stiller updated the board on the priorities of the faculty over the next year. These priorities include reviewing the entire faculty manual and reviewing the general foundations of education curriculum. A text version of Dr. Stiller's remarks are included in these minutes as "Attachment B."

REPORT FROM THE STAFF

Ms. Lisa Ormond, chair of the Staff Senate, brought an update from the staff. She said that the Staff Senate and their established committees are committed to continued efforts to strengthen the relationship between staff, faculty, students and administration. The Staff Senate is also committed to offering professional development opportunities and health and wellness initiatives for staff.

REPORT FROM THE STUDENT GOVERNMENT ASSOCIATION

Student Government Association President, Mark Matulewicz gave an update from the students. His remarks were focused on SGA priorities, which include an improved transit system, a new app that is in development to help students who have an emergency on campus, and created a student discount card. A text version of Mr. Matulewicz's remarks are included as "Attachment D."

REPORT FROM THE BOARD OF VISITORS

Mr. Mark Garner, vice chair of the Board of Visitors gave an update from the BOV. A text version of the report is listed in these minutes as "Attachment E."

COMMITTEE REPORTS

ATHLETICS AND ADVANCEMENT COMMITTEE

Committee Chair Edwin Clark gave the report from the Athletics and Advancement Committee. The full committee report is listed in these minutes as “Attachment F.”

There was one action that required board attention. Mr. Clark moved that the board approve the NCAA Presidential dashboard as presented in board materials. The motion was approved with no negative votes.

AUDIT COMMITTEE

Mr. Vern Davenport, vice chair of the Audit Committee, gave the report from the Audit Committee. The full committee report is listed in these minutes as “Attachment G.”

The Audit Committee did approve a committee motion to include the Conflict of Interest Evaluation letters from the State Ethics Commission in the board meeting minutes in order to satisfy the statutory requirement that all board members’ potential conflicts of interest be entered into the board minutes as often as necessary. State Ethics Commission Conflict of Interest Evaluation letters for Edwin Clark, Mark Copeland, Vern Davenport, Deborah Davis, Leigh Fanning, Steve Jones, Max Joyner, Kel Normann, Bob Plybon, Danny Scott and Kieran Shanahan are included in these minutes as “Attachment H.”

FINANCE AND FACILITIES COMMITTEE

Mr. Plybon, chair of the Finance and Facilities Committee gave the report from his committee. The full committee report is listed as “Attachment I.” There were several items that required board attention.

Mr. Plybon moved that the board approve a request granting an electrical easement to Brunswick Electric Membership Corporation at Brunswick County Dental Service Learning Center as presented in board materials. The motion passed with no negative votes. A summary of this item is listed as “Attachment J.”

Mr. Plybon moved that the board approve a request granting a waterline easement to Greenville Utilities Commission for Gateway Residence Hall as presented in board materials. The motion was passed unanimously. A summary of this item is listed as “Attachment K.”

Mr. Plybon moved that the board approve the building elevations for Clement Residence Hall as presented in board materials. The motion was unanimously approved. A summary of this item is listed as “Attachment L.”

Mr. Plybon moved that the board approve the designer selection recommendation for the Dowdy Ficklen Stadium press box as presented in board materials. The motion was approved with no negative votes. A summary of this item is listed as “Attachment M.”

HEALTH SCIENCES COMMITTEE

Committee chair Vern Davenport gave the report from the Health Sciences Committee. He said the first item of business was for him acknowledge his appointment on the Wake Med board and to avoid a conflict or appearance of conflict of interest. A summary of the Health Sciences Committee meeting is listed as “Attachment N.”

There was one item that needed board attention. Mr. Davenport moved that the board approve an initial appointment with permanent tenure for Dr. David Paquette in the School of Dental Medicine. The motion was approved with no negative votes. A summary of this item is listed as “Attachment O.”

UNIVERSITY AFFAIRS COMMITTEE

Mr. Kieran Shanahan, chair of the University Affairs Committee, gave the report. A summary of this meeting is listed as “Attachment P.” There were two items that required board attention.

Mr. Shanahan moved that the board approve the discontinuation of the centers for Health Systems Research and Development, the Center for Diversity and Inequality Research and the NC Center for Biodiversity and to continue the Center for Natural Hazards Research as a UNC Center. The motion was approved with no negative votes. A summary of this item is listed as “Attachment Q.”

CLOSED SESSION

Mr. Plybon moved that the board go into closed session to:

1. Prevent the disclosure of confidential information under:
 - a. The federal Family Educational Rights and Privacy Act;
 - b. N.C. G. S. 126-22 to 126-30 (personnel information).
2. To consider the qualifications, competence, performance, character, fitness, or conditions of appointment of one or more prospective and/or current employees and/or to hear or investigate a complaint, charge, or grievance by or against one or more employees;
3. To consult with our attorney and to preserve the attorney-client privilege and to consider and give instructions concerning claims, including pending, potential and/or threatened litigation.

That motion was seconded and approved unanimously.

MOTIONS FROM CLOSED SESSION

Upon resumption of open session, Mr. Jones called for any motions that were a result of closed session discussions. There were none.

UNFINISHED BUSINESS

There was no unfinished business.

NEW BUSINESS

Chairman Jones gave an update on the Chancellor Search process. He stated that the Chancellor Search Committee will be announced in the next few weeks. He wanted to reiterate that all board members will be critical in the search process. There will also be a working group identified in the coming weeks as well, which will be responsible for the development of the university leadership profile.

Chairman Jones also gave an update regarding the Heritage Hall debate from Thursday. He said that the board needs to put more structure in place regarding the transition of the name “Aycock” off the building. He said the board needs to get behind the transition to move this process forward.

Danny Scott moved that by December 1, the university will raise \$300,000 in pledges towards Heritage Hall and upon receipt of those pledges, the name “Aycock” will transition to a virtual Heritage Hall. Kieran Shanahan seconded the motion. Bob Plybon offered a friendly amendment that stipulates a three year limit on collecting pledge amounts. That amendment was seconded. The motion was approved by a vote of 11-1 (Mr. Joyner was the “no” vote. Leigh Fanning was not present). The board asked Vice Chancellor Dyba to update the board every 2 to 3 weeks regarding fundraising efforts. If \$300,000 is not raised by December 1, then the fundraising efforts should continue until the amount (in pledges) was committed. The name “Aycock” would

transition as soon as reasonably possible after the amount is secured. The amount was selected because it is consistent with the Naming Policy of a 60% commitment before a naming is proposed.

ADJOURNMENT

Hearing no other business, Mr. Jones adjourned the meeting at 11:45 a.m.

ADJOURN

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Respectfully Submitted,

Megan Ayers

Office of the Assistant Secretary to the Board of Trustees

BOT September 2015
BOT 092215

Thank you very much Mr. Jones.

Revenues

I'd like to begin today by discussing the progress ECU has made in diversifying our revenue streams, and thereby enhancing our long term sustainability and quality.

Our primary sources of revenue have all increased significantly:

Enrollment is at an all-time high and we appear to have reversed the six-year decline of graduate enrollments. We have 28,189 students this fall and record numbers of freshmen and transfers. We can do even better with transfer students and we will do just that. But, it's not just numbers that matter; this year, we will have the best freshman academic profile in our history! These successes will lead to increased enrollment funding from the state. For every

100 new students, we realize approximately \$1.5M in new revenues.

Our goal is to continue this growth until we reach about **30,000 students** in five years. A more aggressive approach to transfers, better academic quality, and greatly enhanced marketing will make this possible;

We set a record for **annual giving** this year, at just under \$40M and this success will be significant as we prepare for a major capital campaign; in the last two months, we have received a \$5 million gift for the College of Business and another \$5 million scholarship commitment to the College of Education.

As part of our annual giving, the **Pirate Club** set an all-time record with over \$7M in gifts this year. As we continue to

compete strongly in the American Conference, this success will be vital; and

In **research**, for the first time ever, we are the 3rd largest research university in the system and the infrastructure we've put in place...especially the new research space on the Health Sciences campus... will build that capacity even further. Our task force on growing research estimates that we can grow research by \$15M per year without any additional investments. The millennial campus designation has grown our industrial partnerships and will be a significant capacity builder moving forward.

And, we continue to implement the **Fiscal Sustainability** recommendations. Enrollment and Research are two of the recommendations that came from that committee. We continue to consolidate, share services, and reduce administrative expenditures. Every one of these actions...such as the elimination of one College super-

structure... will provide increased revenues over time which we can invest in priorities such as the Honors College and Engineering.

Regarding **State Appropriations**, three pieces of really good news:

As mentioned above, enrollment growth is funded, which will be a significant benefit for us;

For the Brody School, \$8 million in appropriations are included for both years of the biennium; Brody has a 14:1 return on investment according to the economic impact study done by the UNC System; and

Our # 1 capital project for the last 8 years, the bio-science building, is included in the bond package which we expect will be voted on next March.

The Value of College

Every year we are inundated by new rankings of universities, and I'm told that now there are over 75 different ranking systems. We try to pay the most attention to evaluations that speak to our mission of student success and impact on our state and ones that measure what happens to our students during their education here at ECU.

For the second time, the Washington Monthly has ranked us the # 1 "Bang for the Buck" among universities in the southeast.

Their latest ranking focused on graduation rates, the price of attendance, and the market value of jobs that our graduates find.

All of these factors are important elements of student success.

Along with the rankings from "Educate to Career"...which ranked us 4th out of 1200 universities... the Washington Monthly is an important testament to our success.

Also, a recent study from Georgetown University evaluated the benefit of a college education in finding a job. This study, headed by a very well-known researcher (A. Carnevale), found that 6.6 million new jobs have been created in the US since 2009. The authors categorized these jobs in three classes...from worst to best. The best jobs paid more, were full time, had much better benefits, and included retirement. So, of the 6.6 million new jobs, 44% were in the top category of jobs and of these jobs 97% went to college grads. College grads are half as likely to lose their jobs as are non-college graduates.

So, a college education is not a guarantee and it certainly matters what area your degree is in... Phyllis tells me Nursing is the best job of all. But let's be very clear: a college education is a huge benefit when trying to navigate the global economy and provide a sustainable career!

ACADEMIC PRIDE

We have dozens of examples of our faculty and programs that receive significant recognition and awards. I'd like to mention just a few:

Speaking of Nursing, we are very happy that for the 3rd time, the **College of Nursing** was designated a Center of Excellence by the National League for Nursing. We will be a Center of Excellence through the year 2020 and one of only 35 Nursing Schools so recognized.

Rachel Roper, Professor of Microbiology and Immunology in the Brody School of Medicine, was just awarded one of the most prestigious research grants in the nation...an "RO-1" from the National Institutes of Health. This will be a 5-year, \$2.5 M grant to study and will focus on the human-

virus interaction. The study could well lead to improved vaccines and better treatments for auto-immune diseases.

Our **student athletes** continue to excel in the classroom.

Last year, all 19 teams exceeded the 930 APR threshold and over half of the teams had a perfect APR of 1000 points.

199 student athletes made academic all-American Honors.

12 teams had a GPA of 2.9 or higher. I'm very proud that we are the only Division 1 program with an extensive living learning community for student athletes.

Both AD Compher and Vice Chancellor Hardy have recently been recognized for their leadership. Jeff was invited to participate in the Senior Leader Engagement Program by the Secretary of Defense. He was one of 30 people accepted of over 400 nominees. Dr. Hardy was recognized by the President of the National Association of Student Personnel Administrators for our participation in the

LEAD initiative... a network of 80 universities who excel in civic learning and democratic engagement.

Two additional research grants point to our emergence as a research university. The College of Nursing received a \$2.5 million grant from Health and Human Services for research on Geriatrics, and Dr. McClung in the Heart Institute was awarded over \$500,000 from the National Institutes of Health to study cardiovascular disease.

Thank you and I'll be happy to address any questions.

**EAST CAROLINA UNIVERSITY
BOARD OF VISITORS
REPORT TO BOARD OF TRUSTEES
SEPTEMBER 25, 2015**

Good morning!

I bring you greetings from Chairman Reid Tyler who was unable to attend today. I am Mark Garner, Vice-Chair of the BOV.

The East Carolina University BOV is comprised of up to 70 members appointed by the BOT with a mission to support university initiatives and carry the ECU message throughout the state and nation. We meet three times each academic year. The initial, or orientation, meeting is typically held on campus in August or September as is a mid-winter meeting. We attempt to schedule the third meeting in Raleigh during April timed around the legislative session.

The BOV met here in the Murphy Center on August 28, 2015, at which time the 2015/2016 officers were seated and we welcomed a new class of BOV members representing a broad cross-section of alumni, including a general, surgeon and author, each of whom will help the Board accomplish its mission. A pre-meeting orientation was held with newly appointed members to further inform on expectations and the program of work. We extend our thank you to the BOT members who helped sponsor the distinguished incoming class of 2019.

New committee chairs and vice-chairs have been appointed, bringing new energy, fresh ideas and enthusiasm to the mission of the BOV. New committee Chairs and Vice-Chairs include Linda Thomas as Chair of the Engagement Committee and Linda Hoffler as Vice-Chair. Ms. Thomas is a former executive with Duke Energy in Charlotte and is also a member of the ECU Alumni Association Board of Directors. Ms. Hoffler is currently a nursing executive with Vidant Medical Center in Greenville. The engagement committee is working on several initiatives to empower BOV members to educate, inform and engage supporters across the region, state and nation on East Carolina's mission, achievements and contributions to the state.

One completed initiative insures that members of the BOV are linked to email lists containing information with ECU news and news links to effectively assist spread the ECU story across the state and nation. Another initiative currently being formulated seeks to effectively engage BOV members on campus and elsewhere based on geography, interests, skills and expertise. The Engagement Committee is also considering networking social opportunities for BOV members next spring as another mechanism for enlightenment, empowerment and spreading the ECU message.

Will Litchfield was appointed Chair of the Legislative Affairs Committee and Chris Johnson appointed Vice-Chair. Mr. Litchfield is with Raymond James & Associates in Greenville and Mr. Johnson is the Economic Development Director for Johnston County. Through the Legislative Committee, the BOV communicated with Senators Barefoot, Jackson, Alexander and others in the legislature to lobby for ECU issues such as the recently approved \$8.0 million in new appropriations for the Brody School of Medicine. We look forward to continue working with the legislature to help secure and finalize bond funding for the proposed new science building. All legislative efforts are coordinated through the Chancellor's office.

We are striving to have as many of our members as possible meet individually with their respective legislative delegation to personally thank them for supporting the university system in general and ECU's mission in particular.

With strong efforts from Sabrina Bengel of New Bern and Brenda Myrick of Greenville, the BOV continues to fund four academic access scholarships. At the August meeting, our members contributed nearly \$6,000 to the BOV Treasure Chest in support of these Access Scholarships.

If there are specific ways in which the BOV can better serve the BOT, or if there are specific projects or tasks for which our Board may assist the BOT, we welcome the opportunity.

Thank you for the opportunity to present this BOV report to you today. If there should be any questions, I'll try to provide a satisfactory response.

Respectfully Submitted By:
Mark Garner, BOV Vice-Chair
For:
Reid Tyler, BOV Chair

**Board of Trustees
Athletics & Advancement Committee
September 24, 2015
Murphy Center, ECU
MINUTES**

The Athletics and Advancement Committee met on Thursday, September 24, 2015. Members present included Vern Davenport, Deborah Davis, Mark Matulewicz, Kel Normann, Kieran Shanahan, Edwin Clark as chair.

Chairman Clark read the conflict of interest statement and asked for a motion to approve the minutes from April 23, 2015. The minutes were approved unanimously.

Athletics

Jamie Johnson, Director of Athletic Compliance, gave a compliance update.

Jeff Compher, Director of ECU Athletics presented the 2015-16 annual goals for ECU Athletics. The are:

1. Increase single year GPA (combined fall/spring GPA) by a minimum of 10 teams.
2. Implement an efficient process to enhance counseling services for student-athletes.
3. Design and initiate a capital campaign for south side renovation of Dowdy-Ficklen Stadium.
4. Complete the athletics facilities master plan.
5. Negotiate new Nike apparel contract or change to another vendor.
6. Increase season tickets sold for all sports.
7. Expand brand knowledge and awareness of ECU on regional and national levels.
8. Emphasize and improve customer service in all areas.
9. Win at least three American Athletic Conference Championships with all teams finishing in top half of conference standing.

Nick Floyd, Senior Associate Director of Athletics, presented the Athletics financial report, the NCAA Presidential Dashboard for board review and the season ticket sales. No action was required for these items. In addition, Jeff Compher gave an update regarding athletic facilities.

University Advancement

Vice Chancellor Dyba gave a fundraising update. Highlights include ending the 2014-15 FY with fundraising totals over 39M with a breakdown of 19M from the ECU Foundation, 7M from the Medical Foundation and 13M from Athletics. He explained that the total encompasses cash and commitments. Comparatively: 2 years ago (2012-13) the fundraising total was 25M and last fiscal year (2013-14) was 33M.

Vice Chancellor Dyba also gave an update on campaign feasibility. University Advancement recently worked with Target Analytics to begin the process of campaign readiness, evaluate previous trends, review alumnae geographics and determine best prospects based on our current data. They returned data stating ECU has:

500 prospects for gifts over 250K
2K prospects for gifts of 2K to 250K
22K prospects with gift capacity of less than 1K
Over 12K prospects for potential planned gifts

VC Dyba said this data will help Advancement begin to make a systematic and concerted effort as the University moves forward with its next campaign. He shared that at this time a campaign goal has not been established. It was noted that our last campaign goal was set at 200M and 220M was raised. It was noted that this goal was set based on some scientific data but not as in-depth as what Advancement will be using for the next campaign.

He did remind the committee that if the goal is set at 500M that would require 60M annually for 8 years. He also shared that by the time our campaign is announced publically we should have reached about half of our goal.

There were no other items presented to the committee. The meeting adjourned at 2:20 p.m.

Respectfully Submitted,

Megan Ayers

**Report of ECU Board of Trustees Audit Committee
September 24, 2015**

Attachment G

Action Items:

1. Approval of April 23, 2015 minutes
2. Approval of a motion to propose to the full Board that the *Conflict of Interest Evaluation* letters from the State Ethics Commission be included with the Board minutes in order to satisfy the statutory requirement that all board members' potential conflicts of interest be entered into the Board minutes "as often as necessary".
3. Approval of the Internal Audit Annual Engagement Plan for FY 2015-16
4. Approval of the Audit Committee Certification Letter that is required to be submitted annually to UNC-GA
5. Received the required annual presentation of UNC Policy 200.1, *Dual Memberships and Conflicts of Interest*, from University Counsel.

Conflict of Interest Disclosure Data

- Dr. Hiromi Sanders, Office of Research Integrity and Compliance, presented a report on the conflict of interest reporting for faculty and non-faculty EPA employees for the 2014-15 year. (Annual disclosure is required by UNC system and ECU regulations.)
- 56% of the University's research is federally funded, so a culture of compliance is critical
- 96% of full time and 93% of part time EPA employees submitted the required disclosures on time
 - The outliers are required to report by Sept 30; the Chancellor's Executive Council is engaged on this

Division of Health Sciences Office of Institutional Integrity

- Dr. Ken DeVille presented the newly implemented Division of Health Sciences Code of Conduct, which includes elements of a general compliance and ethics nature, as well as elements specific to patient care. The code was distributed to all Health Sciences employees and is to be signed and returned this month.
- Dr. DeVille presented an overview of the recently implemented physician benchmark outlier analysis. This is an effort to better analyze billing data and identify physicians whose billing numbers are inconsistent with state and national Medicare benchmarks. This effort is undertaken in addition to the 4,400 patient charts that are reviewed each year for accuracy of billing documentation. While the historical sampling methods are consistent with industry standards and payer requirements, they result in a small sample and may not identify issues that require attention.

Update on State Ethics Matter and UNC Policy 200.1

- Ms. Donna Payne presented information related to board members' required filings and disclosures, and presented information on UNC Policy 200.1. (See action items #2 and #5 above.)

Internal Audit Report – Ms. Stacie Tronto

- Ms. Tronto presented the 2015-16 annual engagement plan, which the committee approved. The engagement plan is based on the University's risks, and is developed in coordination with the ERM office and the various compliance officers across campus. It had previously been reviewed by the Executive Council and approved by the Chancellor.
- Ms. Tronto presented an overview of Internal Audit's data analytics efforts. The office is implementing new tools and techniques to make auditors' review of data more efficient and effective, and to provide better insights to University management regarding business risks and controls.
- Ms. Tronto notified the committee of new proposed policies at the UNC GA level, which will formalize the Chief Audit Officers' reporting relationship to UNC-GA. Ms. Tronto and Chancellor Ballard both agreed that this is not a significant change and is already the current practice.

ERM Report – Mr. Tim Wiseman

- Mr. Wiseman presented an overview of ERM activity. There is increased interest from the UNC GA and BOG in this area.
- Mr. Wiseman advised the committee that this is an "off year" in the ERM planning cycle and this year's activities will include a re-validation of the University's top risk areas and interviews and presentations with management across campus.
- Mr. Wiseman continues to coordinate the University's efforts related to mitigating the risks associated with youth/minor programs on campus. The Provost and the VC for Student Affairs have been assigned to make decisions on behalf of the University in this area. The work of an oversight committee towards establishing and implementing regulations and training is continuing.



STATE ETHICS COMMISSION

1324 MAIL SERVICE CENTER
 RALEIGH, NC 27699-1324
 WWW.ETHICSCOMMISSION.NC.GOV

ROBERT L. FARMER
 CHAIRMAN

PERRY Y. NEWSON
 EXECUTIVE DIRECTOR

September 12, 2011

The Honorable Beverly Perdue
 Governor of North Carolina
 20301 Mail Service Center
 Raleigh, NC 27699-0301

Via email

Re: Evaluation of Statement of Economic Interest Filed By Mr. Edwin L. Clark, Jr.
Prospective Appointee – East Carolina University Board of Trustees

Dear Governor Perdue:

Our office is in receipt of Mr. Edwin L. Clark, Jr.'s, 2011 Statement of Economic Interest as a prospective appointee to the **East Carolina University Board of Trustees** ("the Board"). We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S.") also known as the State Government Ethics Act ("SGEA" or "the Act").

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. G.S. 138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. G.S. 138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client, a member of the public servant's extended family, or a business or non-profit with which the public servant is associated would receive a reasonably foreseeable financial benefit.

Mr. Clark will fill the role of an At-Large member on the Board. He and his spouse own threshold amounts of stock in numerous publicly-owned companies whose products or services could be marketed to East Carolina University ("the University"), including Bank of America and BB&T. In addition, he and members of his immediate family are directors, members, managers or employees of multiple LLCs and other privately-held companies. In light of these interests, Mr. Clark should exercise appropriate caution in the performance of his public duties should any entity in which he has a financial interest come before the Board for official action or otherwise seek to conduct business with the University. This would include recusing himself to the extent that those interests would influence or could reasonably appear to influence his actions.

September 12, 2011
Page Two

In addition to the conflicts standards noted above, G.S. 138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gift rule are set out in G.S. 138A-32(e).

Pursuant to G. S. 138A-15 (c): When an actual or potential conflict of interest is cited by the Commission under G.S. 138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with this Chapter.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Teresa H. Pell
SEI Attorney

THP:bc

cc: Mr. Edwin L. Clark, Jr.

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

1324 MAIL SERVICE CENTER
RALEIGH, NC 27699-1324
WWW.ETHICSCOMMISSION.NC.GOV

ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

October 11, 2013

Mr. Peter D. Hans, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Mr. Mark F. Copeland**
East Carolina University Board of Trustees

Dear Chairman Hans:

Our office is in receipt of **Mr. Mark F. Copeland's** 2013 Statement of Economic Interest as a member of **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

The Honorable Peter D. Hans, Chair
October 11, 2013
Page 2 of 2

Mr. Copeland is the Managing Partner of Ernst & Young, LLP in Charlotte, NC. He is also on the Board of Directors for the ECU Foundation. In light of these interests, Mr. Copeland should exercise appropriate caution in the performance of his public duties should Ernst and Young or the ECU Foundation come before the Board for official action or otherwise seek to conduct business with the University.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. §138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Pamela B. Cashwell
SEI Unit

cc: Mr. Mark F. Copeland
Mr. Kenneth Deville, Ethics Liaison
Chair of the Board of Trustees

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

1324 MAIL SERVICE CENTER
RALEIGH, NC 27699-1324
WWW.ETHICSCOMMISSION.NC.GOV

ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

July 15, 2013

The Honorable Patrick L. McCrory
Governor of North Carolina
20301 Mail Service Center
Raleigh, NC 27699-0301

Via email

**Re: Evaluation of Statement of Economic Interest Filed By Mr. Roger LaVern Davenport
East Carolina University Board of Trustees**

Dear Governor McCrory:

Our office is in receipt of **Mr. Roger LaVern Davenport's** 2013 Statement of Economic Interest as a prospective appointee to the **East Carolina University Board of Trustees** ("the Board"). We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest or the potential for a conflict of interest.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

The Honorable Patrick L. McCrory
July 15, 2013
Page 2 of 2

Mr. Davenport will fill the role of an At-Large member on the Board.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. 138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. 138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,

A handwritten signature in black ink that reads "Teresa H. Pell". The signature is written in a cursive style with a horizontal line above the first name.

Teresa H. Pell
SEI Attorney

cc: Mr. Roger LaVern Davenport

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION
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ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

December 6, 2011

Ms. Hannah D. Gage, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Ms. Deborah W. Davis**

Dear Chairman Gage:

Our office is in receipt of **Ms. Deborah W. Davis'** 2011 Statement of Economic Interest as a member of the **East Carolina University Board of Trustees** ("the Board"). We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S.") also known as the State Government Ethics Act ("SGEA" or "the Act").

We did not find an actual conflict of interest or the potential for a conflict of interest.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client, a member of the public servant's extended family, or a business or non-profit with which the public servant is associated would receive a reasonably foreseeable financial benefit.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Ms. Hannah D. Gage, Chair
December 6, 2011
Page 2 of 2

Pursuant to N.C.G.S. §138A-15 (c): When an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with this Chapter.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,

A handwritten signature in cursive script that reads "Teresa H. Pell".

Teresa H. Pell
SEI Attorney

THP:abb

cc: Ms. Deborah W. Davis
Mr. Kenneth Deville, Ethics Liaison
Chairman of the Board of Trustees

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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GEORGE L. WAINWRIGHT, JR.
 CHAIRMAN

PERRY Y. NEWSON
 EXECUTIVE DIRECTOR

July 17, 2015

Mr. John C. Fennebresque, Chair
 UNC Board of Governors
 P. O. Box 2688
 Chapel Hill, NC 27515

Via Email

Re: Evaluation of Statement of Economic Interest Filed By Ms. Leigh J. Fanning
East Carolina University Board of Trustees

Dear Chairman Fennebresque:

Our office is in receipt of **Ms. Leigh J. Fanning's** 2015 Statement of Economic Interest as an appointee to the **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

Ms. Fanning fills the role of an At-Large member on the Board. She is the vice president of R. A. Jeffreys Distributing, a beverage distribution company. She and her spouse own threshold amounts of interest in multiple real estate-related LLCs. As such, she has the potential for a conflict of interest and should exercise appropriate caution in the performance of her public duties should any entity in which she or her spouse has a financial interest come before the Board for official action or otherwise seek to conduct business with East Carolina University.

July 17, 2015
Page 2 of 2

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. 138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. 138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Beth Carpenter
SEI Unit

cc: Ms. Leigh J. Fanning
Mr. Kenneth DeVille, Ethics Liaison
Mr. Steven W. Jones, Board Chair

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

November 19, 2012

Mr. Peter D. Hans, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Mr. Steven W. Jones**
East Carolina University Board of Trustees

Dear Chairman Hans:

Our office is in receipt of **Mr. Steven W. Jones'** 2012 Statement of Economic Interest as a member of **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

Mr. Jones is CEO of Vantage South Bank. He has disclosed that he owns a threshold amount of stock in Piedmont Community Bank. Mr. Jones should exercise appropriate caution in the performance of his public duties should issues regarding Vantage South Bank or Piedmont Community Bank come before

The Honorable Peter D. Hans, Chair
November 19, 2012
Page 2 of 2

East Carolina University Board of Trustees for official action or otherwise seek to conduct business with the University. This would include recusing himself to the extent that those interests would influence and could reasonably appear to influence his actions.

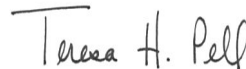
In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. §138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Teresa H. Pell
Attorney, SEI Unit

THP:ab

cc: Mr. Steven W. Jones
Mr. Kenneth Deville, Ethics Liaison
Chair of the Board of Trustees

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

July 15, 2013

The Honorable Patrick L. McCrory
Governor of North Carolina
20301 Mail Service Center
Raleigh, NC 27699-0301

Via email

**Re: Evaluation of Statement of Economic Interest Filed By Mr. Max R. Joyner, Jr.
East Carolina University Board of Trustees**

Dear Governor McCrory:

Our office is in receipt of **Mr. Max R. Joyner, Jr.'s** 2013 Statement of Economic Interest as a prospective appointee to the **East Carolina University Board of Trustees** ("the Board"). We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

The Honorable Patrick L. McCrory
July 15, 2013
Page 2 of 2

Mr. Joyner will fill the role of an At-Large member on the Board. He is a salesman with The Clement Companies, an insurance and employee benefits agency. He and his spouse own a threshold amount of interest in, and Mr. Joyner is a partner with, Glenn Arthur LLC and Cronos LLC which are rental real estate companies. In light of these interests, Mr. Joyner should exercise appropriate caution in the performance of his public duties should any entity in which he has a financial interest come before the Board or otherwise seek to conduct business with East Carolina University.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. 138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. 138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Teresa H. Pell
SEI Attorney

cc: Mr. Max R. Joyner, Jr.

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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GEORGE L. WAINWRIGHT, JR.
 CHAIRMAN

PERRY Y. NEWSON
 EXECUTIVE DIRECTOR

July 17, 2015

Mr. John C. Fennebresque, Chair
 UNC Board of Governors
 P. O. Box 2688
 Chapel Hill, NC 27515

Via Email

Re: Evaluation of Statement of Economic Interest Filed By Mr. William K. Normann
East Carolina University Board of Trustees

Dear Chairman Fennebresque:

Our office is in receipt of **Mr. William K. Normann's** 2015 Statement of Economic Interest as an appointee to the **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

Mr. Normann fills the role of an At-Large member on the Board. He is a financial advisor and managing director of investments with Wells Fargo Advisors, LLC. He owns threshold amounts of interest in numerous publicly-traded companies and real estate-related LLCs. As such, he has the potential for a conflict of interest and should exercise appropriate caution in the performance of his public duties should any entity in which he has a financial interest come before the Board for official action or otherwise seek to conduct business with East Carolina University.

Mr. John C. Fennebresque
July 17, 2015
Page 2 of 2

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. 138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. 138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Beth Carpenter
SEI Unit

cc: Mr. William K. Normann
Mr. Kenneth DeVille, Ethics Liaison
Mr. Steven W. Jones, Board Chair

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

October 11, 2013

Mr. Peter D. Hans, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Mr. Robert B. Plybon**
East Carolina University Board of Trustees

Dear Chairman Hans:

Our office is in receipt of **Mr. Robert B. Plybon's** 2013 Statement of Economic Interest as a member of **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

Mr. Plybon is the CEO of Plybon & Associates. He has disclosed that he is on the Board of Directors for the ECU Foundation and that his wife owns a threshold amount of stock in numerous banks. Mr. Plybon should exercise appropriate caution in the performance of his public duties should issues regarding the

The Honorable Peter D. Hans, Chair
October 11, 2013
Page 2 of 2

ECU Foundation or entities in which he or his wife have a financial interest, come before the Board for official action or otherwise seek to conduct business with the University.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. §138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Pamela B. Cashwell
SEI Unit

cc: Mr. Robert B. Plybon
Mr. Kenneth Deville, Ethics Liaison
Chair of the Board of Trustees

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

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ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

December 6, 2011

Ms. Hannah D. Gage, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Mr. Danny R. Scott**

Dear Chairman Gage:

Our office is in receipt of **Mr. Danny R. Scott's** 2011 Statement of Economic Interest as a member of the **East Carolina University Board of Trustees** ("the Board"). We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S.") also known as the State Government Ethics Act ("SGEA" or "the Act").

We did not find an actual conflict of interest or the potential for a conflict of interest.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client, a member of the public servant's extended family, or a business or non-profit with which the public servant is associated would receive a reasonably foreseeable financial benefit.

In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Ms. Hannah D. Gage, Chair
December 6, 2011
Page 2 of 2

Pursuant to N.C.G.S. §138A-15 (c): When an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with this Chapter.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,

A handwritten signature in cursive script that reads "Teresa H. Pell".

Teresa H. Pell
SEI Attorney

THP:abb

cc: Mr. Danny R. Scott
Mr. Kenneth Deville, Ethics Liaison
Chairman of the Board of Trustees

Attachment: Ethics Education Flyer



STATE ETHICS COMMISSION

1324 MAIL SERVICE CENTER
RALEIGH, NC 27699-1324
WWW.ETHICSCOMMISSION.NC.GOV

ROBERT L. FARMER
CHAIRMAN

PERRY Y. NEWSON
EXECUTIVE DIRECTOR

November 19, 2012

Mr. Peter D. Hans, Chair
UNC Board of Governors
P.O. Box 2688
Chapel Hill, NC 27515

Via email

Re: Evaluation of Statement of Economic Interest Filed By **Mr. Kieran J. Shanahan**
East Carolina University Board of Trustees

Dear Chairman Hans:

Our office is in receipt of **Mr. Kieran J. Shanahan's** 2012 Statement of Economic Interest as a member of **East Carolina University Board of Trustees ("the Board")**. We have reviewed it for actual and potential conflicts of interest pursuant to Chapter 138A of the North Carolina General Statutes ("N.C.G.S."), also known as the State Government Ethics Act.

We did not find an actual conflict of interest, but found the potential for a conflict of interest. The potential conflict identified does not prohibit service on this entity.

N.C.G.S. Chapter 116 provides for the development of a coordinated system of higher education known as the University of North Carolina, a public, multi-campus university governed by the Board of Governors ("the Board"). Each constituent institution of the University has a board of trustees that advises the chancellor of that institution and the Board. In addition, the Board has delegated to each board of trustees ("Trustees") the power to adopt personnel policies and appoint, promote, and establish the compensation of the institution's employees; establish and supervise the institution's athletic program; determine student admission and graduation standards; approve campus capital construction projects, including the selection of architects and the approval of building sites; approve or recommend the acquisition and disposition of real property interests according to the property's value; and manage the institution's endowment and trust funds.

The State Government Ethics Act establishes ethical standards for certain public servants, including conflict of interest standards. N.C.G.S. §138A-31 prohibits public servants from using their positions for their financial benefit or for the benefit of a member of their extended family or a business with which they are associated. N.C.G.S. §138A-36(a) prohibits public servants from participating in certain official actions from which the public servant, his or her client(s), a member of the public servant's extended family, or a business or non-profit with which the public servant or a member of the public servant's immediate family is associated may receive a reasonably foreseeable financial benefit.

Mr. Shanahan has disclosed that his wife is a registered lobbyist for Parkridge Health and 21st Century Oncology. Further, he has disclosed that he and/or his wife own a threshold amount of stock in numerous public and private companies that may provide services or market products to East Carolina University.

The Honorable Peter D. Hans, Chair
November 19, 2012
Page 2 of 2

Mr. Shanahan should exercise appropriate caution in the performance of his public duties should issues regarding the companies for which his wife lobbies and/or the companies in which he has a financial interest is come before East Carolina University Board of Trustees for official action or otherwise seek to conduct business with the University. This would include recusing himself to the extent that those interests would influence and could reasonably appear to influence his actions.

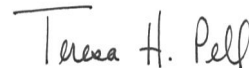
In addition to the conflicts standards noted above, N.C.G.S. §138A-32 prohibits public servants from accepting gifts, directly or indirectly (1) from anyone in return for being influenced in the discharge of their official responsibilities, (2) from a lobbyist or lobbyist principal, or (3) from a person or entity which is doing or seeking to do business with the public servant's agency, is regulated or controlled by the public servant's agency, or has particular financial interests that may be affected by the public servant's official actions. Exceptions to the gifts restrictions are set out in N.C.G.S. §138A-32(e).

Pursuant to N.C.G.S. §138A-15(c), when an actual or potential conflict of interest is cited by the Commission under N.C.G.S. §138A-24(e) with regard to a public servant sitting on a board, the conflict shall be recorded in the minutes of the applicable board and duly brought to the attention of the membership by the board's chair as often as necessary to remind all members of the conflict and to help ensure compliance with the State Government Ethics Act.

Finally, the State Government Ethics Act mandates that all public servants attend an ethics and lobbying education presentation. Please review the attached document for additional information concerning this requirement.

Please contact our office if you have any questions concerning our evaluation or the ethical standards governing public servants under the State Government Ethics Act.

Sincerely,



Teresa H. Pell
Attorney, SEI Unit

THP:ab

cc: Mr. Kieran W. Shanahan
Mr. Kenneth Deville, Ethics Liaison
Chair of the Board of Trustees

Attachment: Ethics Education Flyer

East Carolina University | Board of Trustees

Finance & Facilities | Murphy Center | Sept. 25, 2015

Highlights

Finance and Facilities Committee Met on September 24, 2015.

II. ACTION AND INFORMATION ITEMS

- A. The Committee reviewed a Request to approve granting an Electrical Easement to Brunswick Electric Membership Corporation at Brunswick County Dental Service Learning Center. Scott Buck, Associate Vice Chancellor for Business Services, was available for questions. The Committee approved a motion to recommend that the full Board approve the easement.
- B. The Committee reviewed a Request to approve granting a Waterline Easement to Greenville Utilities Commission for Gateway Residence Hall Project. Scott Buck, Associate Vice Chancellor for Business Services, was available for questions. The Committee approved a motion to recommend that the full Board approve the easement.
- C. The Committee reviewed the Request to approve the Building Elevations for Clement Residence Hall renovation. Bill Bagnell, Associate Vice Chancellor for Campus Operations, was available for questions. The Committee approved a motion to recommend that the full Board approve the request.
- D. The Committee reviewed the Request to approve the Designer Selection Recommendation for the Dowdy Ficklen Stadium Pressbox. The request was discussed and Bill Bagnell, Associate Vice Chancellor for Campus Operations, was available for questions. The Committee approved a motion to recommend that the full Board approve the request.

INFORMATION

- E. Don Sweet, Associate VC and Chief Information Officer, shared the ITCS - Annual Year in Review Report. He highlighted certain sections and answered questions.
- F. Bill Bagnell, Associate Vice Chancellor for Campus Operations, gave an update on the Designer Selection Projects since April 2015.
- G. Bill Bagnell, Associate Vice Chancellor for Campus Operations, gave an update on Capital Projects. Bill Koch, Associate Vice Chancellor for Environmental Health, updated the committee on Campus Parking and Campus Safety.

Meeting adjourned at 2:42pm.



MEMORANDUM

TO: Rick Niswander

FROM: Scott Buck *Scott*

DATE: August 26, 2015

SUBJECT: Request ECU Board of Trustees Approval to Grant an Electrical Easement to Brunswick Electric Membership Corporation at Brunswick County Dental Service Learning Center

Request ECU Board of Trustees approval to grant Brunswick Electric Membership Corporation a ten (10) foot wide electrical easement at the Brunswick County Dental Service Learning Center, located on U.S. 17 in Bolivia adjacent to the Novant Health Brunswick Medical Center for the sum of \$1.00 per the attached survey and written metes and bounds.

Subsequent to ECU Board of Trustees approval, easement is contingent on approvals from UNC General Administration and Council of State.

Attachments (2)

cc: B. Bagnell
B. Chatfield
J. Fields
W. Johnson
G. Shoemaker
T. Walton

Hanover Design Services, P.A.



Land Surveyors, Engineers, Land Planners


April 9, 2015

Re: BEMC Utility Easement

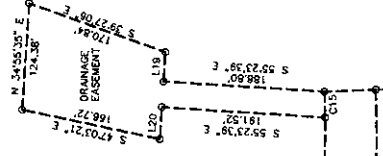
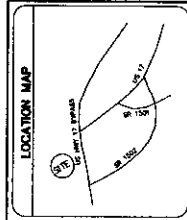
A certain tract or parcel of land lying and being in Lockwood Folly Township, Brunswick County, North Carolina and being a 10 ft. Utility Easement over a portion of Tract B-1 shown on a map recorded at map cabinet 82 page 72, records of Brunswick County, North Carolina and being more particularly described as follows:

Beginning at a point on the eastern right of way of Brunswick Medical Center Parkway (private access, utility and drainage easement map cabinet 70 page 19), said point beginning located N 14-49-52 E 151.85 ft. from the southwestern corner of said Tract B-1: Proceed from said point of beginning and with said eastern right of way and with a curve to the right having a radius of 570.00 ft. and a chord N 23-38-03 E 10.01 ft. thence leaving said right of way S 68-52-46 E 75.21 ft., thence with a curve to the right having a radius of 105.00 ft. a chord S 47-59-34 E 74.87 ft., thence S 70-49-51 W 10.11 ft., thence with a curve to the left having a radius of 95.00 ft. a chord N 48-24-49 W 66.43 ft. thence N 68-52-46 W 75.65 ft. to the point of beginning and containing 1,376 square feet.

All bearings are NC Grid (map book 70 page 19)


Jonathan L. Wayne PLS 3391





STATE OF NORTH CAROLINA
COUNTY OF BRUNSWICK

REVIEW OFFICER _____

DATE _____

CERTIFICATE OF REGISTRATION BY REGISTER OF DEEDS
NORTH CAROLINA, BRUNSWICK COUNTY
FILED FOR REGISTRATION THIS _____ 2014, AT _____
O'CLOCK _____ AND DULY RECORDED IN MAP BOOK _____ PAGE _____

BY: _____

LINE	BEARING	DISTANCE
L1	N 48°47'15" E	32.00
L2	N 48°47'15" E	76.77
L3	N 41°17'45" W	60.00
L4	S 05°47'45" W	31.50
L5	S 34°08'15" E	31.50
L6	S 51°42'00" E	59.04
L7	N 31°27'20" E	2.45
L8	S 32°42'20" E	17.00
L9	S 14°42'57" E	81.15
L10	S 05°47'45" E	50.44
L11	S 01°57'35" E	14.27
L12	N 74°49'31" E	49.88
L13	N 68°52'48" W	75.45
L14	N 48°47'15" E	27.89
L15	N 48°47'15" E	55.90
L16	S 34°16'11" W	37.21
L17	N 68°52'48" W	75.65
L18	S 05°47'45" E	50.44
L19	N 34°51'21" E	124.38
L20	S 55°23'35" E	188.60
L21	S 55°23'35" E	181.55
L22	N 27°28'55" W	16.46
L23	N 27°28'55" W	11.85

FUNSTON PARTNERS & CAMERON
PROPERTIES, L.P.
DB 2614 PG 989
PB 48 PG 34

BRUCE B. CAMERON, IV, ALLEN JEFFERSON EARP
SCOTT C. SULLIVAN, JAME V. HARDEE
CAMERON PROPERTIES LIMITED PARTNERSHIP
MAP CABINET 48 PAGE 33
BOOK 2898 PAGE 808
TRACT B

BRUNSWICK MEDICAL
CENTER PARKWAY
PRIVATE ACCESS, UTILITY &
DRAINAGE EASEMENT
PB 70 PG 19

TRACT B-1
2.32 Acres
100874 Sq. Feet
45' PRIVATE ACCESS
E-2,224,568.29
(WB 89 PG 68)
REFERENCE M.B. 82 PAGE 72

FUNSTON PARTNERS & CAMERON
PROPERTIES, L.P.
DB 2614 PG 989
PB 48 PG 34

ROUTE MICHIGAN LLC
DB 2614 PG 989
PB 31 PG 331

COBLE FURNACE SERVICE INC
DB 380 PG 925

BRUCE B. CAMERON, IV, ALLEN JEFFERSON EARP
SCOTT C. SULLIVAN, JAME V. HARDEE
CAMERON PROPERTIES LIMITED PARTNERSHIP
MAP CABINET 48 PAGE 33
BOOK 2898 PAGE 808
TRACT B

SIGNAGE LANDSCAPE
EASEMENT
PB 48 PG 33

BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
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BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

U.S. HIGHWAY 17
PUBLIC RIGHT OF WAY

BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

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BOOK 2751 PAGE 708
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BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

CERTIFICATE OF OWNERSHIP, DEDICATION, AND JURISDICTION
I (WE) HEREBY CERTIFY THAT I (AM) (WE ARE) THE OWNER(S) OF THE PROPERTY
SHOWN AND DESCRIBED HEREON AND THAT I (WE) HEREBY ADOPT THIS PLAN
OF REVISION WITH MY (OUR) FREE CONSENT, ESTABLISH THE MINIMUM
CONSERVATION SPACES, DESIGN OTHERS, TRAILS, WALKS, PARKS,
RECREATION AREAS, AND OTHER FEATURES AS SHOWN AND AS NOTED
HEREON. I (WE) CERTIFY THE LAND AS SHOWN HEREON IS LOCATED WITHIN
THE SUBDIVISION JURISDICTION OF BRUNSWICK COUNTY.

DATE _____ SIGNATURE _____

DATE _____ SIGNATURE _____

NOTE: THE PURPOSE OF THIS MAP IS TO DEDICATE A
TO BDMC UTILITY EASEMENT



HANOVER DESIGN SERVICES, P.A.
LAND SURVEYORS, ENGINEERS & LAND PLANNERS



1123 BUREAU PARKWAY
WILMINGTON, N.C. 28403
PHONE: (910) 343-0022
FAX: (910) 343-0023
PRIN: (910) 343-0027

CURVE	RADIUS	ARC LENGTH	CHORD LENGTH	CHORD BEARING	DELTA ANGLE	TANGENT
C1	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C2	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C3	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C4	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C5	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C6	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C7	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C8	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C9	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C10	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C11	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C12	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C13	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C14	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C15	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C16	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C17	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86
C18	230.00	344.41	356.28	S 67°44'01" W	41°19'34"	21.86

CERTIFICATE OF ACCURACY AND MAPPING

I, JONATHAN L. WAYNE, CERTIFY THAT THIS PLAN WAS DRAWN UNDER MY SUPERVISION
FROM AN ACTUAL SURVEY MADE UNDER MY SUPERVISION (DEED DESCRIPTION, RECORDED
BOOK NUMBER, PAGE NUMBER, AND COUNTY) AND THAT THE CLEARANCES INDICATED
AS DRAWN FROM INFORMATION PROVIDED TO ME BY THE CLIENT. THIS PLAN IS A
REVISION OF PLAN NO. _____ AS CALCULATED IS: 20.0000". THAT THIS PLAN WAS PREPARED IN ACCORDANCE WITH
G.S. 47-30 AS AMENDED, WITNESS MY ORIGINAL SIGNATURE, LICENSE NUMBER
AND SEAL THIS _____ DAY OF _____ 2015, A.D.

JONATHAN L. WAYNE PLS L-3391

THIS PLAN IS OF AN EXISTING PARCEL OR PARCELS OF LAND AND DOES NOT
CREATE A NEW STREET OR CHANGE AN EXISTING STREET.

WITNESS MY ORIGINAL SIGNATURE, REGISTRATION NUMBER AND SEAL THIS _____ DAY OF _____ 2014, A.D.

JONATHAN L. WAYNE PLS L-3391

BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

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BRUNSWICK COUNTY HOSPITAL, LLC
BOOK 2751 PAGE 708
TRACT A

NOTES:
- THIS SET OF ALL PROPERTY CORNERS, UNLESS NOTED OTHERWISE.
- FOR SPERDANCE EASEMENTS.
- AREA COMPUTED BY COORDINATE METHOD.
- SURVEYED JULY 2013 - FEB. 2014
- ALL DISTANCES ARE HORIZONTAL.

F:\M\PROJECT FOLDERS\5372 HWY 17 AS SOUTH\VEU FACILITY\5372 FINAL MAP.dwg

MEMORANDUM

TO: Rick Niswander

FROM: Scott Buck 

DATE: August 26, 2015

SUBJECT: Request ECU Board of Trustees Approval to Grant Waterline Easement to Greenville Utilities Commission for Belk Residence Hall Project

Request ECU Board of Trustees approval to grant Greenville Utilities Commission a waterline easement per the attached survey and written metes and bounds for the sum of \$1.00. This easement will be for the Belk Residence Hall project.

Subsequent to ECU Board of Trustees approval, this easement is contingent on approvals from UNC General Administration and Council of State.

Attachments (2)

cc: B. Bagnell
J. Fields
W. Johnson
G. Shoemaker
T. Walton

Legal Description: Belk Residence Hall Waterline Easement

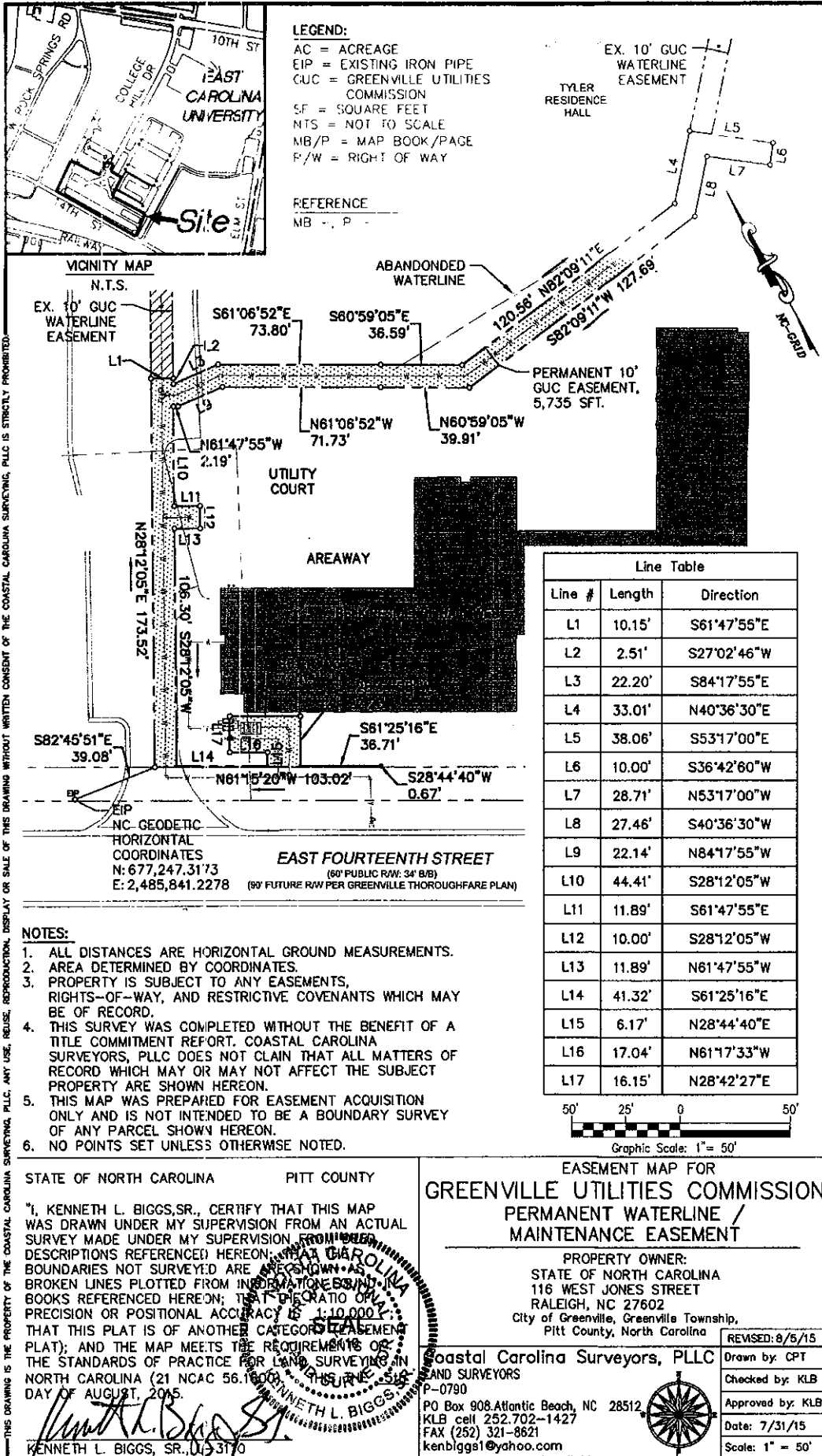
This certain tract or parcel of land situated, lying and being in the City of Greenville, Greenville Township, Pitt County, North Carolina, located on the campus of East Carolina University and being a Permanent Greenville Utilities Commission Waterline and Maintenance Easement centered over an eight (8") inch water main having a total width of ten (10') feet and being more particularly described as follows:

Beginning at a point in the western easement line and the ninety (90') foot wide future northern right of way of 14th Street, and being further located from an existing iron pipe, N 83 43 36 W – 39.24 feet to the point of beginning, being the southwestern boundary corner, in said right of way, having N.C. Geodetic Horizontal coordinates N- 677,247.3173 feet, E-2,485,841.2278 feet, thence from said point of beginning, leaving said right of way N 28 12 05 E – 173.52 feet to a point, thence S 61 47 55 E – 10.15 feet to a point, thence S 27 02 46 W – 2.51 feet to a point, thence S 84 17 55 E – 22.20 feet to a point, thence S 61 06 52 E – 73.80 feet to a point, thence S 60 59 05 E – 36.59 feet to a point, thence N 82 09 11 E – 120.56 feet to a point, thence N 40 36 30 E – 33.01 feet to a point, thence S 53 17 00 E – 38.06 feet to a point, thence S 36 43 00 W – 10.00 feet to a point, thence N 53 17 00 W – 28.71 feet to a point, thence S 40 36 30 W – 27.46 feet to a point, thence S 82 09 11 W – 127.69 feet to a point, thence N 60 59 05 W – 39.91 feet to a point, thence N 61 06 52 W – 71.73 feet to a point, thence N 84 17 55 W – 22.14 feet to a point, thence N 61 47 55 W – 2.20 feet to a point, thence S 28 12 05 W – 44.41 feet to a point, thence S 61 47 55 E – 11.89 feet to a point, thence S 28 12 05 W – 10.00 feet to a point, thence N 61 47 55 W – 11.89 feet to a point, thence S 28 12 05 W – 106.30 feet to a point, thence S 61 25 16 E 41.32 feet to a point, thence N 28 44 40 E – 6.17 feet to a point, thence N 61 17 33W – 17.04 feet to a point, thence N 28 42 27 E – 16.15 feet to a point, thence S 61 12 03 E – 32.05 feet to a point, thence S 28 49 08 E – 22.23 feet to a point, thence S 61 25 16 E – 36.71 feet to a point, thence S 28 44 40 W – 0.67 feet to a point in the 90 foot proposed northern right of way of 14th Street, thence with said right of way N 61 15 20 W 103.02 feet to the place and point of beginning containing 5,735 square feet approximately, being a portion of the lands of East Carolina University.

Kenneth L. Biggs, Sr. 8/5/15

Kenneth L. Biggs, Sr., P.L.S. L-3110





Clement Residence Hall Renovation

Proposed Building Elevations

BACKGROUND

Clement, White, Greene and Fletcher are high rise residence halls to be renovated over the next several years. The scopes for Clement, White and Greene are nearly the same and include interior and exterior renovations. Interior renovations include ADA improvements, renovations required to meet the 2012 North Carolina High Rise Building Code, modernization of living spaces, bathrooms, lounges and study areas, removal of all asbestos and remediation of PCB caulking. Exterior renovations include removal and replacement of each building's exterior skin and site improvements to improve accessibility to each building.

Removal and replacement of each building's exterior skin is required due to water infiltration through exterior walls and to create a more energy efficient building envelope. All existing exterior walls are constructed of solid masonry veneer with solid block backup with no air gap, insulation nor wall flashings. These walls adsorb rainwater during storms and develop significant interior damage as a result. This requires constant repairs and maintenance and is a significant source of student dissatisfaction. Additionally, the lack of proper wall insulation, flashings and air gap in the walls results in higher energy costs for cooling and heating.

CLEMENT RESIDENCE HALL

Clement Renovation will take place in two (2) construction phases. Phase 1 is scheduled for the summer of 2016 and will include renovations to bathrooms, perimeter circulation, vertical shafts, the ground floor, mechanical systems and bring the building into compliance with high rise building code. Phase 2 is scheduled for December 2016 through July 2017 and will renovate student rooms and remove and replace the building envelope.

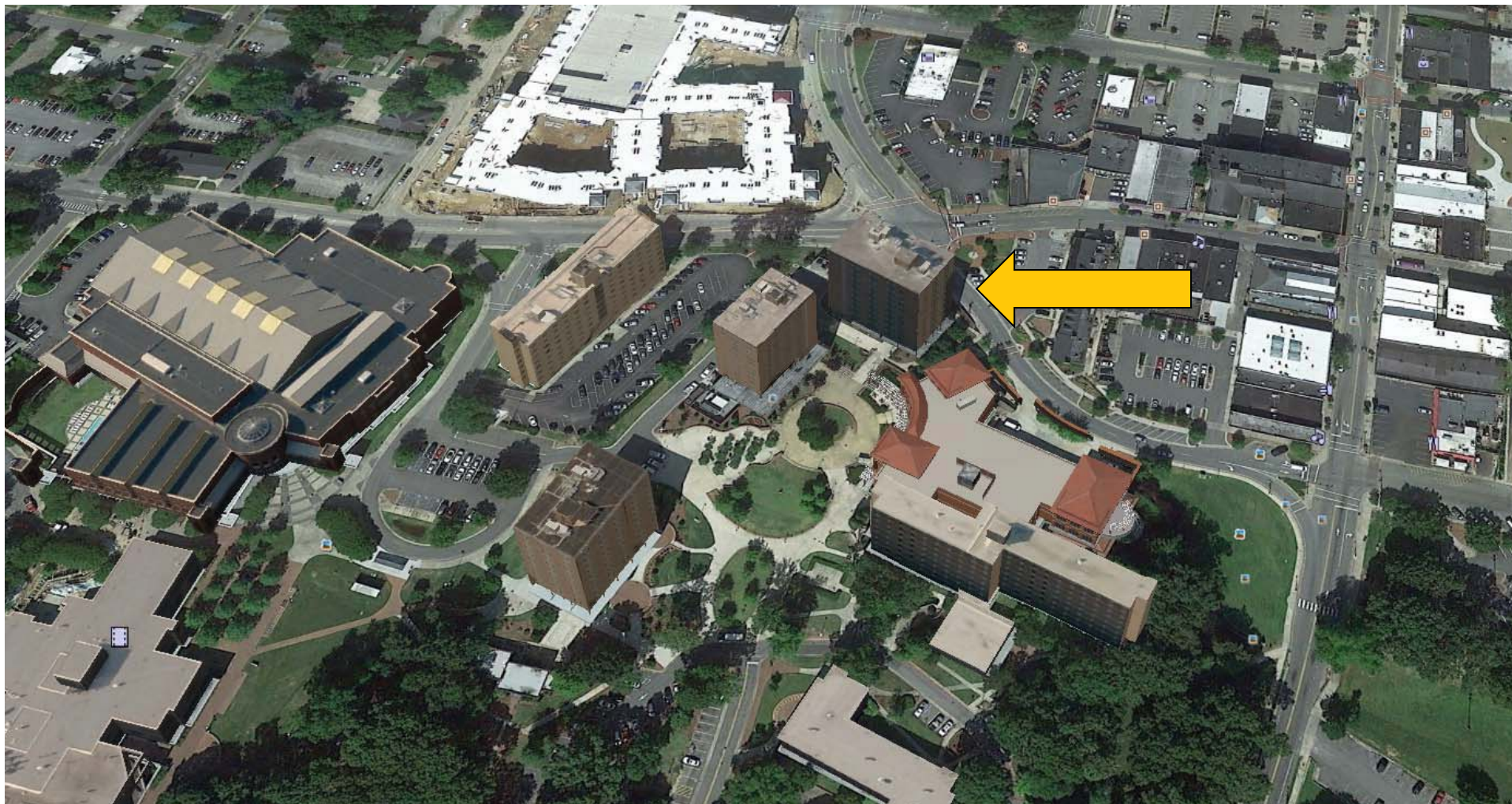
The proposed changes to the building exterior are significant from a Campus Planning perspective and must be accomplished within the context and requirements of the ECU Master Plan and this precinct of campus.

As such, the project team has developed the attached exterior building elevations to review with the Board of Trustees. These elevations are being submitted for review and consideration for approval as appropriate. Approval is requested.

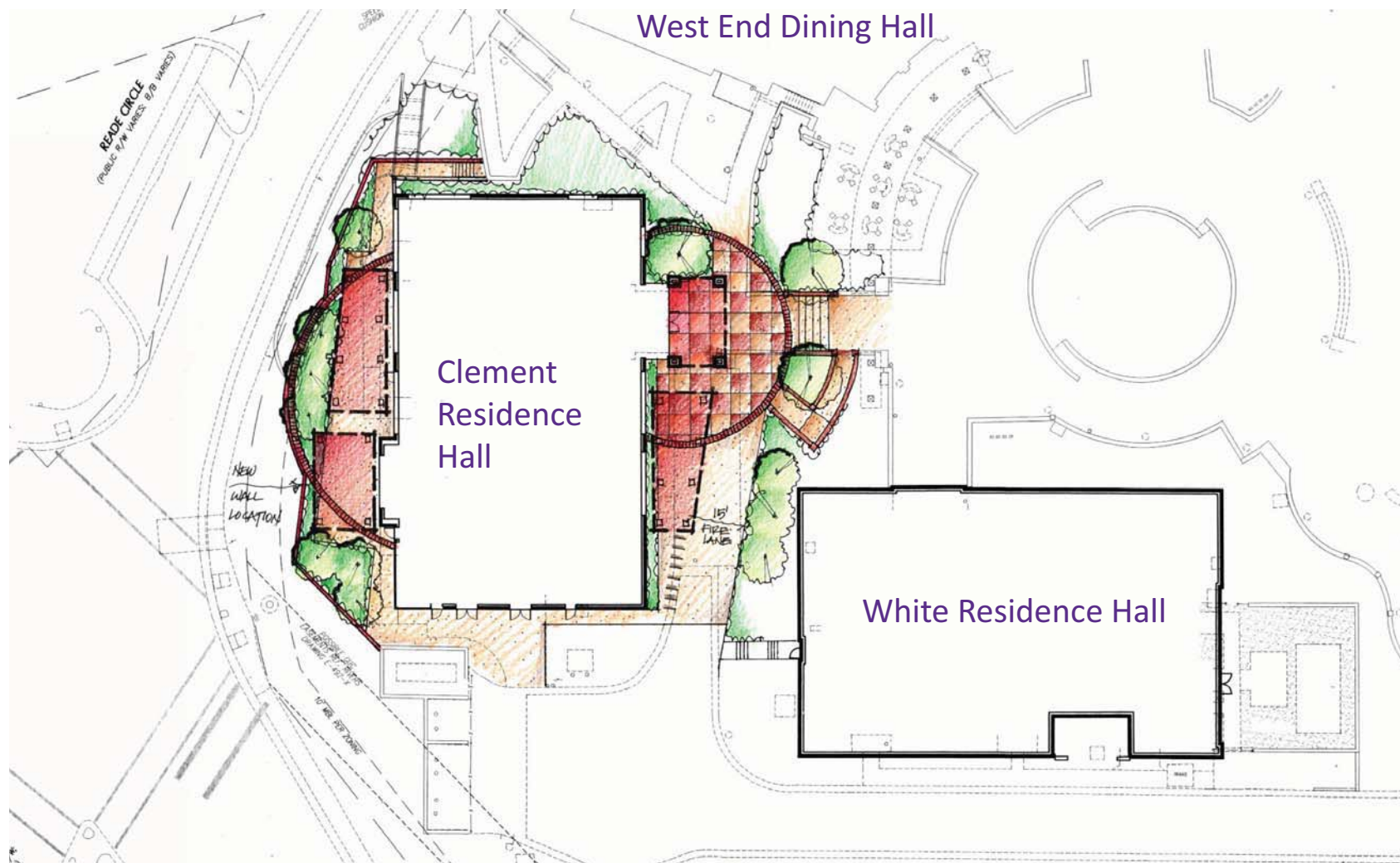
Exterior Renovation Goals:

- Promote the West End Neighborhood vision
- Create a coherent and connected campus
- Provide an efficient building envelope





Clement Residence Hall Aerial Location



Proposed Site Plan



West End Dining - Contextual Image



White Residence Hall (MHA Works) Rendering



Proposed East Elevation with White Residence Hall shown for context



Proposed East (Plaza) Elevation



Proposed West (Downtown) Elevation



MEMORANDUM

TO: Dr. Rick Niswander, Vice Chancellor
Administration and Finance

FROM: John G. Fields, PE, Director

DATE: September 2, 2015

SUBJ: Designer Selection Recommendation
Dowdy Ficklen Stadium Press Box Renovation and Expansion
Code: 41436 Item: 306

On September 1, 2015 the designer pre-selection committee for the above referenced project conducted interviews with three design firms who were shortlisted based on a qualifications based selection criteria required by the State Building Commission.

The committee consisted of Jeff Compher, J. Batt, Nick Floyd, JJ McLamb, David Bond and Carl Rodgers of Athletics; Bill Bagnell, Ricky Hill, Robert Brown and John Fields from Campus Operations; Bob Plybon and Terry Yeargan of the Board of Trustees.

Based on these interviews and the requirements of this project the committee recommends the following firms in prioritized order with LS3P / AECOM being the committee's top recommended firm.

LS3P (with AECOM)	Wilmington, NC
Corley Redfoot Architects (with HOK)	Chapel Hill, NC
MHA works (with POPULUS)	Greenville, NC

To the best of our knowledge and belief, all steps in the selection process were conducted in accordance with requirements of the State Building Commission as they apply to the institutions of the University of North Carolina.

Board of Trustees approval of the top recommended firm, LS3P, is requested.

If you have any questions or need additional information, please do not hesitate to call.

JGF/jf

Enclosure: Designer Advertisement Memo

cc: William Bagnell, via email
Designer Pre-selection Committee Members, via email



**Facilities Engineering
and Architectural
Services**


Campus Operations
1001 East Fourth Street
East Carolina University
Greenville, NC 27858-4353

252-328-6858 office

252-328-4259 fax

MEMORANDUM

TO: Interested Designers

FROM: John G. Fields, PE 
Director

DATE: July 7, 2015

SUBJECT: Dowdy Ficklen Stadium Press Box Renovation and Expansion

East Carolina University is seeking the architectural, engineering and planning services required for the completion of an advanced planning feasibility study. The desired services shall comprehensively study developmental options, cost and schedules for the renovation and potential expansion of the football stadium's south side. Athletics desires for the future project to include new press box facilities, donor suites and supporting structure that will house Pirate Club offices, ticket office and event space.

Pending the results of the study, the selected firm may be retained through design and construction at the University's sole discretion.

Interested firms should submit six (6) copies of their current SF330, a portfolio of relevant work and a letter of interest.

To be considered for this project, ensure that your submission is received at the following address before 5:00 p.m. on July 31, 2015.

Robert M. Brown, AIA
East Carolina University
1001 East Fourth Street
Greenville, NC 27858

In accordance with our qualifications-based selection system, designers are expected to make no contact with our University staff, faculty or trustees at this stage of the selection process. All questions should be directed to this office, attention Mr. Robert M. Brown, AIA. The use of email is highly recommended (brownro@ecu.edu). East Carolina University encourages participation by MWBE firms and supports the UNC system's policy of ensuring and promoting opportunities for Historically Underutilized Businesses.

Thank you for your interest in East Carolina University

**Health Sciences Committee
September 24, 2015**

Attachment N

The conflict of Interest statement was read by Chair Davenport. Mr. Davenport acknowledged his concurrent service on the Wake Med Board of Trustees and his commitment to avoid any Conflicts of Interests in the execution of his trustee duties. Minutes from the April 23, 2015 meeting were approved.

Dr. Mike Waldrum, CEO of Vidant Health, gave an assessment of his first few months in his role. He has learned that there are unique strengths in this setting, reflected on where we are and the healthcare system that has been built in eastern NC. He feels that all strategies from both BSOM and Vidant Health have to rely on a shared model to be successful. His goal is to build a shared governance and accountability. Dr. Waldrum has been working with leadership to revise their mission and vision to promote education and to signify the collaborative efforts of Vidant Health and ECU/BSOM & Health Sciences.

Dr. Brown presented an update on the College of Nursing including its recent accomplishments, student leadership roles, enrollment trends and many other noteworthy achievements. The College of Nursing received its CCNE accreditation earlier this year for the maximum number of years allowed for each program. The CON was recognized as a National Hartford Center of Gerontological Nursing Excellence and a National League for Nursing Center of Excellence. They recently graduated the largest class of RN/BSN students ever and continued high licensing exam pass rates.

Dr. Niswander reviewed the financial year-to-date information as of the end of June 2015. The net income before non-recurring items was approximately \$2.4M. Over a 12-month period, the cash reserves had increased from 75 days to 83.2 days. The total operating revenues were improved and the total operating expenses had decreased. There are a lot of positive changes in the ECU Physicians Practice Management Indicators with a 9.4% improvement in Pro-Fee Collections and a 7.5% improvement in total Emergency Med and Med Direct visits.

Mr. Sweat presented an overview of the Coastal Plains Network & Medicare Shared Savings Program ACO, in which BSOM recently became a participant in collaboration with Vidant. Some of the guiding principles for ACO's include putting the beneficiary and family at the center, remembering patients over place and time and attending carefully to care transitions. The benefits of the Coastal Plains Network would be to help ECUP focus on patient centeredness, ambulatory quality performance improvement, care transformation, allow them to participate in a premier PACT collaborative and obtain the software, CareEvolutions, for data reporting.

Action Items:

The Health Sciences Committee reviewed one initial appointment with permanent tenure in the SoDM for Dr. David Paquette. A motion was made to approve the initial appointment with permanent tenure as approved by the Chancellor and as presented in the Board materials. Motion passed unanimously.

BOT Attendees:

Vern Davenport (Committee Chair)
Deborah Davis (Committee Vice Chair)
Edwin Clark (Committee Member)
Mark Copeland (Committee Member)
Max Joyner (Committee Member)
Danny Scott (Committee Member)
Leigh Fanning
Bob Plybon
Mark Matulewicz
Terry Yeargan

Office of the
Vice Chancellor

Health Sciences Division

Phyllis N. Horns, RN, DSN, FAAN

AD-48 Brody Medical
Sciences Building

600 Moye Boulevard

Mail Stop 600

East Carolina University

Greenville, NC 27834-4354

252-744-2984 office

252-744-9003 fax

September 1, 2015

Chancellor Steve Ballard
East Carolina University
Greenville, NC 27858

Dear Chancellor Ballard:

I would appreciate your consideration of the enclosed recommendation for the initial appointment of Professor with permanent tenure for David W. Paquette, DMD, MPH, DMSc.

Dr. David Paquette is scheduled to join East Carolina University on October 1, 2015 as Chair of the Department of Surgical Sciences in the School of Dental Medicine with a tenured faculty appointment as Professor. He is currently a tenured professor at the Stony Brook University School of Dental Medicine.

Dr. Paquette is a graduate of both the Harvard School of Dental Medicine and the Harvard School of Public Health. He completed his dental surgery degree (DMD) and a masters of public health (MPH) in 1990. In 1994, he completed a doctorate in medical sciences, as well as earned a certificate in Periodontology.

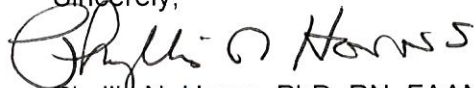
His scholarly activity includes 69 refereed journal articles, 71 abstracts, 8 book chapters and 3 text books, as well as numerous presentations. He is an accomplished researcher securing funding for several grants, contracts and patents.

For your convenience, attached are the following documents:

- Dr. David W. Paquette's curriculum vitae
- ECU Personnel Action Summary Form for the Board of Trustees

If you approve, we will make arrangements for this recommendation to be placed on the agenda for the September 2015 ECU Board of Trustees meeting.

Sincerely,



Phyllis N. Horns, PhD, RN, FAAN
Vice Chancellor for Health Sciences Division

Enclosures

 X Approve

 Do Not Approve



Steve Ballard, Chancellor

 9-3-15

Date

EAST CAROLINA UNIVERSITY PERSONNEL ACTION SUMMARY FORM
(Administrator completes Section I. and faculty member (appointee) completes Section II.)

Section I. To be completed by the appropriate administrator(s).

Name of Appointee: David Wayne Paquette, DMD, MPH, DMSc

Department: Surgical Sciences

School/College: SoDM, ECU

Current Rank or Title: *(if applicable)* Proposed Rank or Title: Chair, Department of Surgical Sciences

Indicate Type of Action: *(select all that apply)*

Administrative Appointment:

New Faculty Appointment:

Promotion:

Conferral of Tenure:

Other:

(describe)

Distinguished Professorship:

(provide name of professorship)

Effective Date of Action: October 1, 2015

Contract Period: Administrative Appointment: 9 mo. 12 mo.
 Faculty Appointment: 9 mo. 12 mo.

Salary: \$230,000

Source(s): State Funds: \$230,000

*Non-State Funds: \$0

**Indicate Sources of non-state funds generically (i.e., grants, receipts, trust funds, endowments, medical faculty practice plan, etc.):*

Prior Recommendations/Personnel Actions:
(please check appropriate responses)

Source	Recommended	Not Recommended	Not Applicable
Appropriate Unit Personnel Committee	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chair/Unit Head	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Director	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Dean	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Provost/Vice Chancellor	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chancellor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Board of Trustees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

1. Education Background: *(indicate degree, date earned and institution, note additional study & training)*

Boston College, Chestnut Hill, MA, BS - Biology-1985

Harvard School of Dental Medicine, Boston, MA - MPH, Health Policy & Management-
1990

Harvard School of Dental Medicine, Boston, MA - DMD, Dentistry- 1990

Harvard School of Dental Medicine, Boston, MA - Periodontology Certificate-1994

Harvard School of Dental Medicine, Boston, MA - DMSc, Oral Biology-1994

2. Teaching and other professional experience: *(Show inclusive dates, rank and/or title, institution or agency, and indicate first appointment at current institution with rank and any changes to date)*Stony Brook University School of Dental Medicine

Professor with Tenure, Department of Dental Medicine 2010-present

Associate Dean for Education 2010-present

University of North Carolina at Chapel Hill School of Dentistry

Professor with Tenure, Department of Periodontology 2009-2010

Interim Chair, Department of Periodontology 2009-2010

Assistant Dean for Graduate/Advanced Dental Education 2008-2010

Associate Professor with Tenure, Department of Periodontology 2004-2009

Graduate Program Director, Periodontology 2000-2010

Assistant Professor, Tenure-Track, Department of Periodontology 1997-2004

Research Assistant Professor, Department of Periodontology 1995-1997

Visiting Research Assistant Professor, Department of Periodontology 1994-1995

Harvard School of Dental Medicine, Department of Periodontology

Postdoctoral Research Fellow 1990-1994

University of North Carolina Hospitals, Chapel Hill, NC

Hospital Appointment 1994-2010

Private Dental Practice

Stony Brook Dental Associates, Periodontist 2011- present

Universtiy of North Carolina at Chapel Hill, Periodontist 1994-2010

Harvard School of Dental Medicine, Generalist 1989-1994

3. Scholarly & Creative Activities:

Attachment O

<i>Type</i>	<i>Number</i>
<i>Book</i>	<u>3</u>
<i>Edited Book</i>	
<i>Chapter</i>	<u>8</u>
<i>Refereed Journal Article</i>	<u>69</u>
<i>Other Journal Article</i>	
<i>Juried Performance/Show</i>	
<i>Non-Juried</i>	
<i>Performance/Show</i>	
<i>Other: Abstracts</i>	<u>71</u>
<i>Other: Grants, Contracts &</i>	
<i>Patents</i>	<u>47</u>
<i>Other: Presentations</i>	<u>152</u>

4. Membership in professional organizations:

2010 - Present	New York State Dental Association
2010 - Present	Suffolk County Dental Society
2010 - Present	Northeast Society of Periodontists
2000 - Present	International Academy of Periodontology
2000 - Present	Omicron Kappa Upsilon
2000 - Present	American Dental Education Association
1996 - 1998	North Carolina Public Health Association
1996 - 2010	North Carolina Dental Society
1994 - 2010	Southern Academy of Periodontology
1994 - 2010	North Carolina Society of Periodontists
1990 - Present	International Association for Dental Research
1990 - Present	American Academy of Periodontology
1988 - Present	American Dental Association
1984 - Present	Alpha Epsilon Delta

5. Professional service on campus:

NA

6. Professional service off campus:

COMMITTEE APPOINTMENTS & CONSULTANT POSITIONS

Suffolk County Dental Society

2012 - Present Board of Directors

Southern Academy of Periodontology

1997 - 2004 Graduate Student Liaison Committee

American Academy of Periodontology

2014 - Present Task Force to Update the Classification of Periodontal Diseases and Conditions

2014 Task Force to Identify Ways to Update the Classification of Diseases

American Academy of Periodontology

2010 - 2013 Task Force on Revised Accreditation Standards

2008 - 2011 Chair, Education Committee

2005 - 2008 Chair, Organization of Postdoctoral Program Directors

2005 – 2008; 2011 - 2014 Education Committee

2005 - 2007 Task Force to Review Accreditation Standards

2002 - 2009 Chair, Committee Research Submissions

2000 - 2004; 2008 – 2010 Organization of Postdoctoral Program Directors

1999 – 2002; 2009 - 2012 Committee on Research Submissions

American Board of Periodontology

2007 - Present Board Examiner

American Dental Association

2010 - 2011 Member, Task Force on Advanced Dental Admission Test

American Dental Education Association

2014 - Present Annual Session Program Committee

2011 - 2012	Chair, Council on Hospitals and Advanced Education Programs
2010 - 2011	Secretary, Council on Hospitals and Advanced Education Programs
2009 - 2010	Member-at-Large, Council on Hospitals and Advanced Education Programs
2008 - 2009	Representative, House of Delegates and Council on Hospitals and Advanced Education Programs

American Association for Dental Research

1999 - 2000	President, North Carolina Chapter
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American Association for Dental Research

1998 - 1999	Secretary, North Carolina Chapter
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Commission on Dental Accreditation

2008 – 2010	Consultant, Task Force on Specialty Standards
2004-2008; 2011-2014	Review Committee on Periodontics Education
2002 - Present	Consultant, Advanced Specialty Education Programs in Periodontics

International Association for Dental Research

2002 - 2004	Constitution Committee
2002 - 2003	President, Periodontal Research Group
2001 - 2002	President-Elect, Periodontal Research Group
2000 – 2001	Secretary, Periodontal Research Group

National Institutes of Health

2009 - 2010	Member, Oral, Dental and Craniofacial Sciences Study Section, Musculoskeletal, Oral and Skin Sciences Integrated Review Group, Center for Scientific Review
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SERVICE ON EDITORIAL BOARDS OF JOURNALS

Attachment O

2010 - Present	Associate Editor, <i>Journal of Periodontology</i>
2005 - 2009	<i>Journal of Periodontology</i>
2005 - 2007	<i>Grand Rounds in Oral-Systemic Medicine</i>
2003 - 2004	<i>Dimensions in Dental Hygiene</i>
2001 - Present	<i>Journal of Clinical Periodontology</i>
1998 - 2000 (www.thejcdp.com)	<i>Journal of Contemporary Dental Practice</i>
1998 - 2003	<i>Journal of the International Academy of Periodontology</i>
1996 - 2001	Group Program Chair Periodontal Research: Diagnosis and Epidemiology International Association for Dental Research
1995 - 1996; 2003 - 2005	Abstract Reviewer, Periodontal Research Group International Association for Dental Research

REVIEWER

American Journal of Cardiology, Compendium of Continuing Dental Education, International Dental Journal, Journal of the American Dental Association, Journal of Dental Education, Journal of Dental Hygiene, Journal of Dental Research, Journal of the International Academy of Periodontology

CORPORATE BOARDS & CONSULTANT POSITIONS

2014 - Present	OralDNA Labs, Eden Prairie, MN
2010 - 2013	MIS Implant Technologies, Inc., Jerusalem, Israel
2007 - Present	Izun Pharmaceuticals, Jerusalem, Israel
2006 - 2009	The Natural Dentist, Medford, MA
2006 - 2008	Sunstar Americas, Inc., Chicago, IL
2006 - 2009	GeneEx, Inc., Hialeah, FL
2003 - Present	United States and International Boards of Key Opinion Leaders, Colgate-Palmolive Company, New York, NY

2001 - 2008	Oral Health Advisory Board, The Johnson & Johnson Company, Skillman, NJ
1997 - 1998	Closure Medical Corporation, Raleigh, NC
1997 - 1998	Noven Pharmaceuticals, Inc., Miami, FL
1996 – 2008	Scientific Advisory Board, OraPharma, Inc., Warminster, PA
1994 - 2000	Dental Resource Network Advisory Board, The Procter & Gamble Company, Cincinnati, OH

Minutes of the Meeting of the
University Affairs Committee
East Carolina University Board of Trustees
 September 24, 2015 at 10:30am
 Harvey Hall – Murphy Center

Board Members Attending: Keiren Shanahan (chair), Max Joyner, Edwin Clark, Mark Matulewicz, Danny Scott, Leigh Fanning, Deborah Davis

Others in Attendance: Ron Mitchelson, Virginia Hardy, and Michael Van Scott

Meeting began 10:30am

Mr. Shanahan opened the meeting by reading the conflict of interest statement as well as the Jurisdiction Review for University Affairs.

The minutes from the previous meeting were approved.

Research and Graduate Studies - Michael Van Scott

- The list of centers and institutes for review were sent to ECU in March. He explained the different types of centers. One recommendation was the discontinuing of the Center of Biodiversity. Dr. Van Scott talked about what the center does and also how the pieces that are important aren't lost, but yet we can be compliant. There are two others centers that were also recommended for discontinuation: Health Systems Research and Development and the Center for Diversity and Inequality Research. Many of these key people involved in the Health Systems center have moved into the implementation of Public Health. The Center for Diversity and Inequality Research don't have a significant system wide impacts, so it will be reconfigured to no longer serve a system wide center. Dr. Van Scott made the recommendation that the board supports the continuation of the Center for Natural Hazards Research due to the success of the center and it is financially sustainable in recent years. He said that this center is crucial to help us establish a School of the Coast. It's important to note that many of the key initiatives within the centers being discontinued will continue, but are no longer in the same capacity as a Center.
 - **Motion – Mr. Joyner made the following motion “I move that the board approve the discontinuation of the centers for Health Systems Research and Development, the Center for Diversity and Inequality Research and the NC Center for Biodiversity. I also move to support the recommendation that the Center for Natural Hazards Research continued as a UNC Center.” It was seconded by Ms. Davis and approved without dissent.**

Academic Affairs - Ron Mitchelson

- **Annualized Schedule of Metrics** - Dr. Mitchelson introduced Dr. Ying Zhou to discuss the updates for the Key Performance Indicators schedule. For this meeting, the data being shared included Enrollment data specific to fall enrollment, profile of the first time freshman cohort, applications and admissions and first year retention rates. She previewed the topics being discussed during the November, February, and April meetings. These presentations include dashboards with significant data and success stories as well as complete reports. These reports will be open and viewable to the public. Dr. Zhou said we could use this data to compare ourselves against our peer schools. Sometimes the data will be staggered due to the reporting dates not always being consistent from school to school. It was suggested by board members that we do a better job of telling the success stories with this data now that we know this is validated data and information.
 - **Motion – Mr. Joyner made a motion that the University Affairs Committee approves the annualized schedule for metrics presentation as approved in the board materials with the inclusion of student athletes. Mr. Matulewicz seconded the motion. It was passed without dissent.**
- **Enrollment Update - John Fletcher – Paul Gemperline – Jayne Geissler**
 - **Fletcher** -This fall the university has the highest fall enrollment ever – 28,289. The factors that drive our undergraduate enrollment are new freshman, new transfers and reenrollment of students. There were more than 16,000 completed freshman applications. We enrolled 4,302 freshmen in fall 2015. These numbers are not approved until BOG and GA accepts them. We are at 85% in-state students

and 15% out of state. We are required to enroll less than 18% of out of state students. We have had our highest SAT scores for entering freshmen this fall (1061). According to peer institutions, many other schools are trending at about the same rate. At the national and state levels the SAT scores declined. We are committed to growing our institutional enrollment to 30,000 by 2019. This is ECU's target goal, not GA. On average for every 100 new students there is about \$1.8 million more coming in. The weighted GPA is the highest as well (3.76). ECU has 1,738 new transfer students from community colleges and four-year school transfers. The top transfer students come from Pitt and Wake Tech community colleges. The goal is 2,000 transfer students for fall 2016.

- **Gemperline** – ECU experienced an 11% increase to graduate enrollment this fall (1,858 grad students). The average age of grad students are 31 years old and are more mature and are applying to specific programs with specific interests/intentions. There are 78 masters programs and 16 doctoral programs and the Grad School manages those admissions activities and provides support to each of the 94 graduate programs. Applications increased to more than 4,800 this fall and the number of offers has increased. One issue is that some programs are capped with how many they can offer. For instance the physicians assistant program has 35 seats, but 600+ applicants. The selectivity is not as strict in other areas such as Education. Graduate retention and graduation rates continue to be strong. There is a real focus on increasing customer satisfaction as well as a stronger presence in online marketing. The Graduate School is using a real-time tool that has guided their communication to prospective students, marketing of programs and outreach efforts. There is also a new team based approach with the Graduate School, financial aid, cashier, career services and colleges and faculty and this produced increases in applications, offers of admission and new graduate students. The goal is to have 20% of our enrollment be graduate students; we are currently at about 18%. The majority of our graduate enrollment is In-state. One of the challenges remains the lack of tuition remissions available for offer. UNC-Chapel Hill has about 79% of the tuition remission in the UNC System.
- **Geissler** – For first time full time students going to ECU going to the second year counts as our retention numbers. Overall our females are more successful than males. The total number for retention is 80.2% for 2014. Our six-year graduation rates are increasing too and females continue to outperform males. High school GPAs appear to be a good indicator of success in retention and graduation rates. Females have a higher HS GPA than males and it appears to continue into college. SAT numbers are higher for some male groups, but that is not translating into college. Compared to our peers, we are rated as moderately selective and are in the top 3rd of our category. Dr. Hardy shared that there is a Male Success Symposium in October to learn the challenges and develop a plan to address these challenges.
- **Intercollegiate Athletics Survey** – John Fletcher
 - The reporting of the survey is a requirement to the board. Dr. Fletcher shared some of the highlights from the survey.
- **History Tradition Update** –
 - Dr. Prokopovich discussed the ongoing plans for discussing and sharing ECU's history with students. Creating a new credit course was deemed not to be effective. The plan will be to connect this education to our students in manners that they are interested and address our history by themes each year. The themes include the creation of the university, athletics, SGA, historical events/moments. They can be implemented through Wellness Passport events, Voyages of Discovery and other student involvement events/activities. Mr. Joyner said he was under the impression this would be a mandatory credit awarding class for all. Dr. Prokopovich shared that we needed to find a mix of important education to be shared but also in a manner where students want this information vs. forcing to be attended. Ms. Davis said she didn't believe the Board should mandate a new program, but suggested that we embed the history into the orientation program. She also liked the concept of a new theme rollout each year for students.

Note! The Student Affairs report was held until the November BOT meeting due to time constraints.

Meeting Ends at 12:15pm

Respectfully submitted by Christopher Stansbury



**Division of Research
& Graduate Studies**

2200 South Charles Blvd
Mail Stop 157
Greenville, NC 27858-4353

Michael R. Van Scott, PhD
Institutional Official &
Chief Research Officer;
Associate Vice Chancellor, Interim
252-328-9471
252-328-2769 fax
vanscottmi@ecu.edu

Memorandum

To: ECU Board of Trustees
From: Michael R. Van Scott *MRS*
Date: August 11, 2015
Subject: BOG mandated review of centers and institutes

In a memorandum from Kevin FitzGerald and Christopher Brown to Chancellor Ballard dated March 25, 2015, UNCGA mandated that ECU consider discontinuation of the centers for **Health Systems Research and Development, Natural Hazards Research, Center for Diversity and Inequality Research, and the NC Center for Biodiversity**. Subsequent to review and campus-wide discussions, the centers for Biodiversity, Health Systems Research and Development, and the Center for Diversity and Inequality Research have requested discontinuation as UNC centers and taken steps to reorganize as departmental entities. The requests have been approved by the chairs and deans of the respective departments and colleges, the ECU Centers and Institutes Review Committee, and Chancellor Ballard. The requests are attached to this memorandum for consideration of approval by the Board of Trustees.

Chancellor's Executive Council has also reviewed the Center for Natural Hazards Research (CNHR) and recommends maintaining its status as a UNC center. The recommendation is based on a favorable return on investment, the role of CNHR in integrating activities across disciplines and departments, continuing education activities, and the importance of the center's work in the proposed School of the Coast.

ECU invests \$130k annually in CNHR to support operations, and generated \$560k in extramural grants and contracts in FY2015. The return on investment peaked in 2011 at 10.8:1 and fell to a low in FY2015 to 4.4:1. While the return on investment has decreased in recent years, it is still positive; the director has been tasked with developing a plan for strengthening the leadership within CNHR and returning the center to the higher levels realized in recent years.

Funding to CNHR was received by affiliated faculty members with administrative homes in the departments of Economics, Geology, Geography, Anthropology, and Biology; and the projects required collaboration and integration of activities with the Institute of Coastal Science and Policy and the UNC Coastal Studies Institute. Integrating the different disciplines from within a department would be difficult.

The center serves an important training function for students and adult learners. In FY2015, CNHR awarded 250 continuing education training credits for emergency managers and provided courses for almost 1,000 undergraduate and graduate students.

The activities of CNHR are expected to be an important component of the proposed joint Ph.D. program with UNC Wilmington and the proposed School of the Coast being considered at ECU. The Board of Governors has tasked UNCGA with reviewing coastal programs across the State, and progress on the ECU coastal initiatives will be impacted by that process. It is rational to forego decisions on discontinuing and restructuring CNHR until the fate of the coastal program at ECU is decided.

It is therefore recommended that CNHR continue as a UNC center.

From: Downs, William M
Date: July 7, 2015
To: Michael Van Scott, Chair, Centers and Institutes Review Committee
Subject: Discontinuation of North Carolina Center for Biodiversity

Approval for discontinuation has been received from Dean William Downs relative to the North Carolina Center for Biodiversity (NCCB).

See attached memo.

ECU Centers and Institutes Review Committee (CIRC) recommendation:

Approve Disapprove Other

Michael Van Scott 8/3/15
CIRC Chair Date

Academic Council recommendation:

Approve Disapprove Other

Ron Mitchelson 8/3/15
Ron Mitchelson Date

Phyllis Horns 8/3/15
Phyllis Horns Date

Michael R. Van Scott 8/3/15
Michael R. Van Scott Date

Chancellor's Executive Council recommendation:

Approve Disapprove Other

Billard 8/11/15
Chancellor Date

Department of Biology
Thomas Harriot College
of Arts and Sciences

Howell Science Complex
East Carolina University
Greenville, NC 27858-4353

252-328-6718 office
252-328-4178 fax
www.biology.ecu.edu

June 18, 2015

Dear Dean Downs:

In accordance with the Board of Governors' and UNC General Administration's recommendations, the Department of Biology hereby requests that the North Carolina Center for Biodiversity be discontinued as a University of North Carolina authorized Center. The activities currently conducted within the Center are integral to the teaching, service, and research missions of the Department. To insure continuation of these critical functions, the faculty, students, and external partners that constitute the Center will be organized into a collaborative called the East Carolina Biodiversity Initiative. The Initiative will be administered by Drs. David Chalcraft and Heather Vance-Chalcraft from within the Department of Biology. We anticipate that funding for the East Carolina Biodiversity Initiative will be provided through the Department, with supplemental support from the College as available and from external funding agencies contingent upon successful submissions.

Thank you for considering this request.

Sincerely,



Jeffrey S. McKinnon, Chair
mckinnonj@ecu.edu

Approved.
Wes Jones
6/20/15



From: Downs, William M
Date: July 7, 2015
To: Michael Van Scott, Chair, Centers and Institutes Review Committee
Subject: Center for Diversity and Inequality Research Discontinuation

Approval for discontinuation has been received from Dean William Downs relative to the Center for Diversity and Inequality Research (CDIR).

See attached memo.

ECU Centers and Institutes Review Committee (CIRC) recommendation:

Approve Disapprove Other

Michael R. Van Scott 8/3/15
CIRC Chair Date

Academic Council recommendation:

Approve Disapprove Other

Ron Mitchelson 8/3/15
Ron Mitchelson Date
Phyllis Horns 8/3/15
Phyllis Horns Date
Michael R. Van Scott 8/3/15
Michael R. Van Scott Date

Chancellor's Executive Council recommendation:

Approve Disapprove Other

Ballard 8/11/15
Chancellor Date

MAY 29 2015

RECEIVED



Department of Sociology
Thomas Harriot College of Arts and Sciences
East Carolina University
A-416 Brewster Greenville, NC 27858-4353
252-328-6883 office 252-328-4837 fax

May 29, 2015

Dr. William Downs
Dean
Thomas Harriot College of Arts and Sciences
East Carolina University



Dean Downs:


The recommendations resulting from the Board of Governors review of UNC-system Centers this past academic year increased the reporting and review requirements, and the expectations for extramural support, for all UNC-authorized centers. The Board of Governors also specifically recommended that ECU review the **Center for Diversity and Inequality Research** for possible discontinuation. Upon review of the Center's activities and the resources available to conduct those activities, the Department of Sociology in consultation with the Interim Associate Vice Chancellor for Research, Dr. Van Scott, have determined that research on social inequality is important to the University's mission and the region, and that these activities should continue, but that the activities can be conducted more efficiently as a collaborative administered by and accountable to the Department of Sociology. We therefore request that the Center for Diversity and Inequality Research (CDIR) be discontinued as a University of North Carolina authorized Center and continue operations as the Collaborative for Diversity and Inequality Research.


CDIR was established in 2008 to conduct applied research, public service, and education on sources and impacts of inequality in eastern NC as a region characterized by persistent poverty. Twenty faculty and 160 students from 10 departments across campus participate in the center's activities. The Center is financially supported by the Harriot College of Arts and Sciences and the Department of Sociology. Thomas Harriot College of Arts and Sciences provides a stipend for the director and a small operating budget (\$3,200 annually) that is used in part to seed research projects. The Department of Sociology supports CDIR by providing its administrative support and course releases for the Director. Upon its opening two endowment gifts totaling \$466,000 were promised to the Center as part of estate plans by Dr. Jesse Peele.


The Department of Sociology remains committed to addressing diversity and inequality issues in our region, but at the present time, we feel that the activities of CDIR would be more efficiently administered at the department level as an interdisciplinary collaborative of faculty and students who are actively working on diversity and the sources and impacts of inequality, as opposed to the current structure that requires board of trustee-level oversight. To insure continuation of the important work conducted by the Center, we request that the funds currently budgeted to support

CDIR operations continue to flow to the Department of Sociology. With regard to the promised endowments, the Department will work with the donor who has promised the estate funds to insure that the gifts will be available to support activities in the future.

Thank you for considering this request,


Marieke Van Willigen, PhD
Associate Professor and Interim Chair of Sociology


James P. Mitchell, PhD
Professor of Sociology and Director of the Center for Diversity and Inequality Research


Bob Edwards, PhD
Professor and Incoming Chair (July 1, 2015)

Approved.
W. Lee Jones
6/30/15

cc. Michael Van Scott, Interim Associate Vice Chancellor for Research

From: Paul R. G. Cunningham
Date: May 11, 2015
To: Michael Van Scott, Chair, Centers and Institutes Review Committee
Subject: Discontinuation of the Center for Health Systems Research and Development

Approval for discontinuation has been received from Dean Paul R. G. Cunningham relative to the Center for Health Systems Research and Development (CHSRD).

See attached memo.

ECU Centers and Institutes Review Committee (CIRC) recommendation:

Approve Disapprove Other

Michael R. Van Scott 5/3/15
CIRC Chair Date

Academic Council recommendation:

Approve Disapprove Other

Ron Mitchelson 8/3/15
Ron Mitchelson Date

Phyllis Horns 8/3/15
Phyllis Horns Date

Michael R. Van Scott _____
Michael R. Van Scott Date

Chancellor's Executive Council recommendation:

Approve Disapprove Other

J. Ballard 8/11/15
Chancellor Date

**Department of
Public Health**

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May 11, 2015

Paul R. G. Cunningham, MD
Dean, Brody School of Medicine
Senior Associate Vice Chancellor for Medical Affairs

Dean Cunningham:

The Department of Public Health hereby requests that the Center for Health Systems Research and Development be discontinued as a University of North Carolina authorized Center. This Center was established in 1979 to inform the development of health care delivery systems in eastern North Carolina subsequent to the opening of the Brody School of Medicine. Since the creation of the Department of Public Health in 2008, the faculty, staff, and functions of the Center have become an integral part of the graduate program and research within this department, and its capacities are expected to be very important as we move toward establishment of a School of Public Health. We therefore request that the Center be discontinued as a UNC authorized Center and that its resources be fully integrated into the Department of Public Health and focused on the development of this program.

Thank you for considering this request,



Maria Clay, PhD
Professor and Interim Chair of Public Health



Chris Mansfield, PhD
Professor of Public Health and Director of the Center for Health Systems
Research and Development



Paul R. G. Cunningham, MD
Dean, Brody School of Medicine
Senior Associate Vice Chancellor for Medical Affairs