



East Carolina University | Board of Trustees Meeting Minutes

July 18, 2014

BOARD OF TRUSTEES

East Carolina University

July 18, 2014

The Board of Trustees met in regular session in the East Carolina Heart Institute on the campus of East Carolina University. Chair Robert Brinkley called the meeting to order and called on Assistant Secretary Steve Duncan to lead the Pledge of Allegiance.

Mr. Brinkley called on Pitt County Clerk of Court Sara Beth Fulford Rhodes to administer the oath of office to Student Government Association President Michael King. Following the administration of the oath, Mr. Brinkley welcomed Michael as a fellow board member.

Mr. Brinkley called on Mark Copeland, Chair of the Nominating Committee. Mr. Copeland gave a report from the Nominating Committee, which included the following proposed slate of officers: Chair – Robert Brinkley; Vice Chair – Steve Jones; and Secretary – Edwin Clark. The motion from the nominating committee was seconded and approved unanimously. Mr. Brinkley called on Dr. Duncan to conduct the election of officers. The proposed slate of officers was elected with no negative votes: Chair – Robert Brinkley; Vice Chair – Steve Jones; Secretary – Edwin Clark.

In compliance with the State Government Ethics Act, Mr. Brinkley asked if anyone has a conflict of interest. No conflicts were identified.

Mr. Brinkley called on Secretary Edwin Clark to call the roll:

Members Present

Robert Brinkley
Edwin Clark
Mark Copeland
Vern Davenport
Deborah Davis
Steve Jones
Max Joyner
Michael King
Carol Mabe
Bob Plybon
Danny Scott
Terry Yeargan

Members Absent

Bobby Owens

APPROVAL OF MINUTES

Mr. Brinkley asked for a motion to approve the minutes from the Board meeting on April 25 as well as the special called conference call meetings on June 9 and June 25, 2014. Mr. Jones moved approval of the minutes. Ms. Mabe seconded the motion. The motion passed with no negative votes.

UNIVERSITY REPORTS

CHANCELLOR'S REPORT

Dr. Ballard gave his remarks to the board. A full text version of the Chancellor's remarks is attached as "Attachment A."

CHAIR OF THE FACULTY REPORT

Dr. Andrew Morehead, Chair of the Faculty, brought the report from the Faculty. A summary of his remarks are included as "Attachment B."

STAFF SENATE REPORT

Mr. Brinkley introduced Ms. Mary Schiller, Chair of the Staff Senate. Mr. Brinkley said that the Staff Senate report will now be included in the University Reports to the Board. A summary of Ms. Schiller's remarks are included as "Attachment C."

REPORT FROM THE STUDENT GOVERNMENT ASSOCIATION

Michael King, SGA President brought the report from the SGA. Mr. King introduced the SGA Executive Team and Cabinet, who also attended the meeting. Mr. King said that one of his goals this year is to work closely with the Faculty Senate, serving on some of the Faculty Senate Committees. Mr. King said the SGA also wants to become more involved in local and state outreach, having SGA

representatives attending City Council meeting and participating in outreach events. Other goals of SGA this year will be increasing student organization awareness, creating a SGA House of Representatives, and helping create a safe campus.

REPORT FROM THE BOARD OF VISITORS

Mr. Brinkley and former BOV Chair and current board member, Terry Yeargan, introduced Angie Moss, newly elected Chair of the Board of Visitors. Ms. Moss communicated with the board the purpose, vision and mission of the Board of Visitors, outlining the three committees and their goals for the year. A full summary of Ms. Moss' report is included as "Attachment D."

COMMITTEE REPORTS

ATHLETICS AND ADVANCEMENT COMMITTEE

Mr. Edwin Clark, chair of the Athletics and Advancement Committee, gave the report. A full summary of the committee meeting is listed as "Attachment E." There was one item that needed board attention.

Mr. Clark moved that the board approve the eleven naming proposals as presented in board materials. The motion was approved with no negative votes. A summary of naming items is listed as "Attachment F."

AUDIT COMMITTEE

Mr. Mark Copeland, chair of the Audit Committee, gave the report. A full summary of the committee meeting is listed as "Attachment G."

FINANCE AND FACILITIES COMMITTEE

Mr. Terry Yeargan, chair of the Finance and Facilities Committee, gave the report. A full summary of committee meeting is listed as “Attachment H.” There was one item that needed board attention.

Mr. Yeargan moved that the board approve the request to purchase property at 908 Forbes Street as presented in board materials. The motion was approved with no negative votes. A summary of this item is listed as “Attachment I.”

HEALTH SCIENCES COMMITTEE

Mr. Steve Jones, chair of the Health Sciences Committee, gave the report. A full summary of the committee meeting is listed as “Attachment J.” There were no action items.

UNIVERSITY AFFAIRS COMMITTEE

Ms. Deborah Davis, chair of the University Affairs Committee, gave the report. A full summary of the committee meeting is listed as “Attachment K.” There were no action items.

EXECUTIVE COMMITTEE

Mr. Brinkley and Interim Provost Ron Mitchelson gave a brief summary of the Executive Committee lunch discussion, which focused on ECU’s strategic plan and fiscal sustainability. Dr. Rick Niswander also gave an update on the work of the University Fiscal Sustainability Committee and the work groups that will be tasked with reviewing the recommendations.

UNFINISHED BUSINESS

Max Joyner gave a brief update on the Board of Trustees' orientation program. Mr. Joyner updated the board on the survey that was distributed and said that there may be a few proposed recommendations ready for the next meeting.

NEW BUSINESS

The board addressed the issue of having a vacancy on the Endowment Fund Board. There are six members of the Endowment Fund Board. Lynn Lane's term expired on June 30, 2014, and there has been a recommendation to elect Ms. Angie Moss to fill that vacancy. Mr. Brinkley asked if there were any other nominees from the floor. Hearing none, the election was conducted and Ms. Moss was elected to a four year term on the Endowment Fund Board with no negative votes.

CLOSED SESSION

Mr. King made a motion to go into closed session in order to consult with legal counsel and to discuss personnel items. The motion was approved with no negative votes. Following closed session, the board returned to open session.

There was one item that required board action from closed session.

Ms. Davis moved that the board approve the conferral on tenure for Dr. William Downes, Dean, Harriot College of Arts and Sciences. The motion was approved with no negative votes.

ADJOURNMENT

Mr. Brinkley adjourned the meeting at 1:50 p.m.

Respectfully Submitted,

Megan Ayers
Office of the ECU Board of Trustees

BOARD OF TRUSTEES
JULY 18, 2014

Thank you Chairman Brinkley.

Each July meeting, I like to outline an agenda for the coming year. Before I do, I'd like to say a few words about the year just completed. This past year has been the most challenging of my time here because of the cumulative impact of reduced state appropriations. Dr. Niswander tells me that over the past 7 years, we have lost about \$100m in state appropriations; these monies fund our undergraduate programs and many professional programs, so the lost funding is huge.

Because of the fiscal crisis, I am most proud of how our university has anticipated the future and found ways to respond. This year we completed a fiscal blueprint, created by a university-wide committee on Fiscal Sustainability. As you know this blueprint identifies several ways to increase revenues, but also 5 strategies

for reducing costs. We will continue to reduce expenditures on academic administration so that we can try to protect the vital faculty-student relationship. We will have fewer divisions, colleges and departments. We will centralize numerous services in order to realize economies of scale. Currently, we have formed a steering committee and are identifying numerous work groups so that every recommendation of the Fiscal Committee is either implemented or it is rejected because it is not worthy. Several recommendations will be implemented in the fall, but it will take most of this academic year to fully implement all 61 recommendations. Perhaps the primary result of this work will be to reallocate money to our biggest opportunities and priorities.

In addition to the fiscal blueprint, I'm proud that we've continued to build the university in significant ways. Our accomplishments include:

- Vital research space and clinical trial space has been completed in the East Carolina Heart Institute and has begun in the School of Dental Medicine. This is space that was shell space... in other words the state did not fund the completion of the 4th floors in either building. So we have saved and reallocated over \$18M in order to honor our commitment to improving research performance.
- As you know, we won BOG approval of our major student project ... to build two new student centers and a parking deck. Recently, the Governor signed the self-liquidating bill, allowing us to continue these key projects. We hope to begin the west campus student center by early 2015, and the east campus center should begin next spring. These projects will add vital new space for the campus and be a home to student government and student organizations.

- It is worth mentioning again our entrance into the American Conference. Although I don't personally care much for sports, we are in new company in the American and we expect to win championships...I think the pressure will definitely be on Ruff. In addition, the academic quality and comparison to such universities as Connecticut, Temple, Tulane, and Cincinnati will provide a long-term benefit to ECU.
- The year marked the creation of the College of Engineering and Technology. Within 5 years, this college will provide engineering degrees to 1000 undergraduates, almost all of whom stay in North Carolina to work. This is a significant response to the UNC Strategic Plan, calling for more degrees, more job-readiness, and more economic development;

- We brag about the Honors College and for good reason. We get better every year. This year, we will have 406 students in the College, and everyone will have financial support;
- We continue to build the School of the Coast because our faculty are so good and they enhance our research expertise every day. We are a substantial partner with the Coastal Resources Institute and we expect to be a major ...perhaps the major university... in the east in terms of coastal studies and coastal research.

AGENDA FOR THE YEAR

Making significant progress on the projects just mentioned, if not completing many of them, will occupy lots of time and energy in the coming year. We will be asking for Board support for several and your help with reversing the fiscal situation in North Carolina.

In addition, our priorities will include:

1. The Brody School of Medicine, a marquee program of ECU, remains our # 1 priority. The practice plan lost over 9 million this past year, nearly all of it caused by changes in state legislation that prevents us from efficient debt collection and from collecting our full share of federal reimbursements. My primary goal with the Board of Governors this year will be to seek permanent support for this successful educational program and vital support to eastern North Carolina;
2. We have made huge progress in the College of Business under Dean Stan Eakin's leadership. Ok, the previous Dean was pretty good too. The college's leadership development program is second to none and departments of Accounting, Finance, and Marketing are getting recognized. It is time to move this College to be among the top 50 nationwide;

3. We move intentionally and successfully toward a School of Public Health, which could add as many as 500 new students to health sciences and be a vital workforce development program for our students; The challenge with this school is that it will be self-funded from existing programs within ECU;
4. I probably should list the School of Dental Medicine, except that it is rolling along so well that it would probably be a mistake for me to do anything to it. Thank you Dr. Chadwick and I look forward to the first commencement exercise for our new dentists this coming May;
5. It seems as though I mention this every year, but retaining our talent may be our single biggest threat and challenge this year. Without money for compensation, we will surely lose the best and the brightest.

6. And, last but not least, we must make progress on state appropriations for repairs and renovations. As one of the older campuses, many of our buildings will either be renovated or lost. We have well over \$400 million in R&R needs, and \$70 million in urgent needs. Unfortunately, the state has only provided about \$5 million in R&R funds for the coming year.

I'm sure many additional priorities will emerge. The ones mentioned will all help to secure our future and continue our unabated journey towards success and accomplishing our mission.

Happy to answer questions.

Remarks to the ECU Board of Trustees
Andrew T. Morehead, Jr., Chair of the Faculty
July 18, 2014

While they could not be attendance today, I would like to thank our outgoing Chair of the Faculty Mark Sprague and Secretary Cheryl McFadden for their work on behalf of the East Carolina University. My name is Andrew Morehead, and I am the new Chair of the Faculty. I am a professor in the Department of Chemistry, and my teaching and research interests are in organic chemistry.

The other new officers elected this April by the faculty senate (who I plan to introduce in person at the first fall meeting of the Board) are Vice-Chair of the Faculty John Given, who is a professor in the Department of Foreign Languages and Literatures and is the Director of Classical Studies, and Secretary Kylie Dotson-Blake, a professor and Director of Counselor Education in the College of Education.

During this meeting, you have been reviewing a draft of the new ECU Strategic Plan. The faculty are absolutely committed to maximizing student success, serving the public and leading the transformation of our region. ECU has a long tradition of service to the region and its people, much of that faculty led. We advise, teach, mentor and supervise internships and research for our students, along with pushing forward aggressive research agendas. As required by our accrediting body, the Southern Association of Colleges and Schools, the primary responsibility for the content, quality, and effectiveness of the curriculum lies with its faculty, and we stand ready to evaluate our current curriculum and make changes where necessary and appropriate. In addition, a priority I intend to vigorously pursue is whether we currently use the best methods for evaluating teaching effectiveness across our entire institution.

There are many promising strategies contained within the report of the University Committee on Fiscal Sustainability. In this time of shrinking state support, the faculty again stand ready to participate in the process of identifying and implementing efficiency measures and additional funding streams that support our mission of providing the best quality education to our students and serving our community. Faculty recruitment and retention are critical pieces of that

discussion. The Faculty Senate will be happy to identify faculty participants with the appropriate expertise as the implementation workgroups are formed and to refer other strategies to the appropriate senate committees for their advice.

The faculty are also concerned with the shrinking graduate enrollments in some of our programs. Besides the shrinking revenue stream, graduate students play a pivotal role in faculty research programs, our economic development as a region, and not least as the teaching assistants that often provide critical instruction for our undergraduate students. Again, we are happy to help identify and implement strategies that will address this situation.

Finally, in another role, I am a member of the General Education Council of the UNC General Administration. That group is working on system wide assessments of learning in the areas of critical thinking and written communication. While this process is still in the formative stage, some preliminary student testing will occur in collaboration with the Educational Testing Service in February 2015. I have many concerns with this process: costs to students and institutions for the exam materials, the educational value of the results, and critically, how such results might be used in a performance based funding model. As this proceeds, I will keep ECU and the Board informed and will do my best to represent our position.

Thanks for your attention, and I would be happy to answer any questions.

BOT Remarks

Good morning. My name is Mary Schiller, Chair of the ECU Staff Senate. I would like to begin by saying thank you for this opportunity to speak to you today. I am honored and humbled to be the first Staff Senate Chair invited to speak at a Board of Trustees meeting.

The ECU Staff Senate is a representative body of SPA, CSS and Non-Faculty EPA employees. Each Division is allotted one senator seat per 100 employees and 2 alternate seats. Elections are held annually and senators are elected to two year terms and alternates to a 1-year term. Reporting to Chancellor Ballard, our mission is to promote communication between staff and ECU administration. In addition, we also:

1. Serve as a liaison between the Chancellor, this board, Faculty Senate, SGA, and UNC Staff Assembly.
2. We make recommendations on policies, rules, and regulations regarding the interest and concerns of staff.
3. We promote “service” through support and participation of University activities and community service projects and we encourage a sense of community among all University employees.

We began our new year in June so I thought I would take this time to highlight a few of the goals we hope to accomplish this year:

We administer two scholarships: The Children of SPA and CSS Employees Scholarship, which we just awarded to 2 outstanding students, and the Gail Jordan Memorial Scholarship. They are both endowed and we will be holding fund raisers to raise enough money to award a scholarship from our Gail Jordan Scholarship. We also want to increase the awards for the Children of SPA Employees Scholarship(s). The Gail Jordan scholarship is new and the endowment is still working to earn enough interest so we can begin awarding the scholarship on an ongoing basis.

We will be organizing a Fitness Walk and Vendor Fair on the Health Sciences campus this fall. This event is open to all faculty and staff and has been growing the past couple of years. We will also be organizing activities to celebrate Staff Appreciation Week in the spring.

One challenge we are working to address revolves around a recent change to the state personnel system that specifically affects SPA employees. The Office of State Human resources now has a 2 year probation for all new SPA employees, even if the new SPA employee is changing jobs and was previously an EPA or CSS employee. We plan to request that part III of House Bill 834 be ratified to include language for all “career status” state employees to be exempt from re-serving the 2 year probationary period (as written, only SPA employees are currently provided this exemption).

And lastly, we plan to collaborate with the Faculty Senate and Student Government Association to globally address some of the common challenges each group is facing.

It was my pleasure to speak with you today. I look forward to telling you more about the Staff Senate, some our accomplishments and challenges, and hearing your comments and suggestions.

I will be happy to answer any questions.

ECU BOV Report to BOT

My Background

Purpose – to assist the Board of Trustees and the Chancellor to promote the development of the university. Members will help ensure university effectiveness; convey its mission, programs and accomplishments; advise and assist with developmental activities, as well as serve as ambassadors for the university.

The majority of our efforts are carried out through 3 committees:

- 1) **Engagement Committee** – seeks to “engage” the membership by continuously seeking ways to get members involved. This should help us be more effective in our role. Some initiatives include “buddy/mentor system” for new members, onboarding procedures for new members, member expectations, social activities, outreach programs into the broader community (Kinston meeting), etc.
 - 2) **Fundraising and Development** – funds donated by board members go to funding **Access Scholarships**. We currently support 3 scholarships with the goal of supporting 4.
 - 3) **Legislative Advocacy Committee** – seeks to support the UNC Board of Governors, the ECU Board of Trustees, and the Office of the Chancellor in promoting the university's budget and policy agenda before the North Carolina General Assembly and other governing bodies. Some of the current topics being addressed are the **State Budget, UPL, and SODCA**.
- 70 Board members (increased by 10 this past year)
 - 4 year term, members can serve 2 consecutive terms
 - New members and reappointments for second terms are approved by the Board of Trustees
 - 3 formal meetings per year. Committee meetings are outside of this.

Executive Committee this year:

- Angie Moss, Chair
- Reid Tyler, Vice Chair and Chair of the Legislative Committee
- Mark Garner, Secretary
- Doug Strickland, Chair of the Engagement Committee
- Sabrina Bengal and Brenda Myrick are co-chairs of the Fundraising Committee

Please let us know how we can support you, the Board of Trustees. Please consider us a resource to you.

I look forward to working with you this year!

Athletics & Advancement Committee

April 24, 2014

Talking Points

Committee members present were Vern Davenport and Max Joyner. Other board members present included Robert Brinkley, Deborah Davis, Steve Jones, Michael King and Danny Scott.

University Advancement

University Advancement Presentation

Vice Chancellor Chris Dyba presented the committee with an Advancement Update. The preliminary fundraising numbers reported were \$37M; however, actual dollars raised is closer to \$39M for fiscal year 2013-2014 which is indicative of a good spring of fundraising.

Vice Chancellor Dyba shared the University Advancement is searching for key positions in the Medical and Health Sciences Foundation and in Alumni Relations. Also with the announcement of Bill Clark's (President of the ECU Foundation) retirement Dyba is looking at less duplication in leadership roles and more focus on fundraising.

Short-term goals of Advancement include building the annual fund, increasing contacts, hiring staff and of course continuing to raise money. In the long-term a campaign is on the horizon but at this time we are in the organizing, assessing and planning stage which is all necessary before the public phase of the campaign is launched.

Naming Proposals

Dyba also presented 11 naming proposals that were included in your board material (nine were athletics and two were academic). All proposals had been approved by the Chancellor and are in compliance with the revised naming policy. I'll have a motion at the end of my report asked for board approval.

Review of Building Names

Robert Brinkley introduced this topic by saying that ECU has received inquiries about conducting a review of the names of buildings and spaces on campus. Since the Board of Trustees has authority over all namings on campus, the discussion centered on what approach the Board of Trustees should use on behalf of ECU. Dr. Ballard indicated that there will be two opportunities in the coming weeks to get guidance and direction from either the Board of Governors or General Administration, however, the process may be delegated to the campuses. If that is the case, the trustees should be proactive in developing our next steps.

Dr. Hardy informed the board that there is an administrative task force that has been formed to research the inventory of building names, including the history of the building, how and why they were named, and whether they were named based on a gift given to the university or named in honor of someone.

Several trustees were in attendance for this discussion, however, if there was anyone who wasn't in our committee meeting that would like to offer any comments, we'd welcome them.

The committee recommends that we wait for guidance and direction from General Administration and/or the Board of Governors. In the interim, the committee asked the task force to continue its fact-finding research and be prepared to report back.

Athletics

Coach's Report

Jeff Compher introduced ECU Baseball Coach Cliff Godwin. Coach Godwin told us a little about his background, from growing up in Snow Hill as the son of a basketball coach and nurse, to working in the tobacco fields for his grandfather. He says that is where he developed his work ethic and mastered the art of making things work with limited resources.

Coach Godwin has high goals for ECU Baseball. His number one goal is to ultimately get ECU to Omaha, but also has goals for ECU to host the regionals and super regionals, stimulating the excitement of ECU Baseball fans. But his goals are not only focused on athletics. He has high academic goals as well. He was an academic standout in both high school and college and expects his players to perform well academically. Other goals include having a team GPA of 3.0, improving the team graduation rate, and having them graduate on time, and finally, getting the baseball players back out into the community by reaching out to Little Leagues and other community organizations.

Coach Godwin concluded his remarks with a quick recruiting update, saying that he has had three player commitments in the couple of weeks.

Athletics Update

Jeff Compher announced that ECU is now an official member of the American Athletic Conference. ECU hosted Commissioner Mike Aresco a few weeks ago and he was blown away with the atmosphere and excitement at ECU.

Jeff Compher reported that athletes are performing exceptionally well academically. Currently, 12 out of 17 teams have 3.0 GPAs and he expects that number to grow. Academic standards continue to be raised across the country, at the NCAA level and at the institutional level. ECU consistently meets and exceeds these standards. In addition, the athletes are visible in the community. ECU Athletes have performed over 7500 hours of community service last year.

Jeff gave a quick update from the Pirate Club. A separate committee of the Pirate Club reviewed the giving levels and recommended essentially a 10% increase across all giving levels. The committee also recommended a reseating rotation of three years (instead of the traditional five).

Camp update – as you know the Board approved a resolution a few months ago supporting the privatization of sports camps. That transition is going well. There have been 28 different camps on campus and they have all been successful. There may be a few changes that will need to be reviewed, but overall, everyone is pleased with the process.

A couple of facility updates – they are replacing the video and audio capabilities in Minges and are also working on the lighting and aesthetic improvements. Athletics has also initiated a feasibility study for the tennis facility.

At the next meeting, Jeff and Nick will have the Athletics budget for the committee to review.

Motions:

I move to approve the 11 naming proposals as presented in board materials.

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Christopher Buddo

Name of Originator

College of Fine Arts & Communication

252-328-1283

buddoj@ecu.edu

Department/Division

Phone

Email

Proposed Name(s) to Honor: E. Daniel Singleton

5337 Pridgen Road Elm City, NC 27822

Address: City State Zip

Telephone: 252-237-5709 N/A

Home

Business

Relationship to the University: Former part-time faculty member (Sociology) and Donor

Proposed Object for Naming: E. Daniel Shingleton Rehearsal Hall

Purpose for recommendation: Service Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

Gift in support of the choral music program.

If related to a gift:

Purpose of Gift: Annual Capital Endowment

Date of Original Commitment:

Amount of the Total Commitment: \$50,000.00

Amount Paid: \$30,000.00

Current Pledge Balance: \$20,000.00

Status of Payments: annual/ongoing

Was the gift part of a campaign: Yes No Define: _____

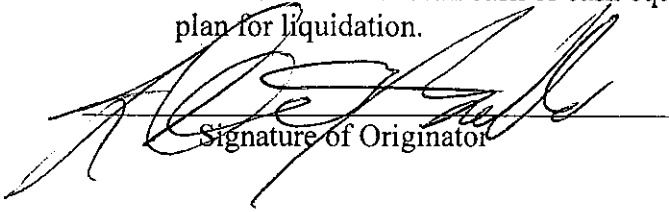
Was the project pre-approved: Yes No

Directed to: ECU Foundation Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.


Signature of Originator

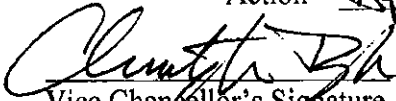
5-27-14
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 10, 2014
Action Approved / June 10, 2014
Date
Forwarded or returned June 11, 2014
Date


Vice Chancellor's Signature

Comments _____

Chancellor's Office:

Received _____
Action _____ / 6-18-14
Date
Forwarded or returned 6-20-14
Date


Chancellor's Signature

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date

Chair's Signature

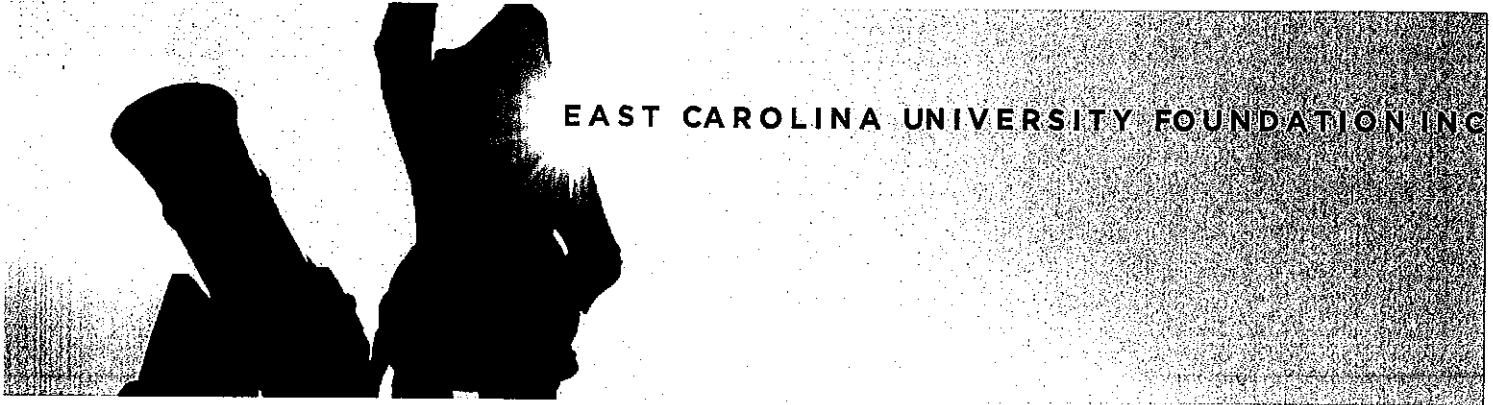
Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date

Chair's Signature

Comments _____



EAST CAROLINA UNIVERSITY FOUNDATION INC

Name			Class
E. Daniel Shingleton			
Street Address		City	State
5337 Pridgen Road		Elm City	NC
Phone (home)		Zip	
252-237-5709		27822	
Email		Fax	
dshingleton@gmail.com			
Donor's Name			Class

GIFT COMMITMENT

As an investment in East Carolina University, I/we will commit a gift to the East Carolina University Foundation Inc. in the sum of \$ 20,000.00 to be paid in cash, securities, and/or other property of equivalent value.

DESIGNATION OF GIFT:

E. Daniel Shingleton Chamber Singers Fund and naming of the choral music room, (Room 136, Fletcher Building, School of Music)

METHOD OF PAYMENT

- Check enclosed for the amount of \$ _____, made payable to the East Carolina University Foundation Inc.
- Credit Card: American Express MasterCard Visa Amount \$ _____

Card Number	Expiration Date	Signature

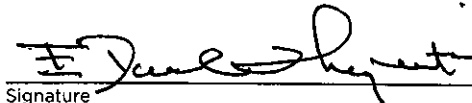
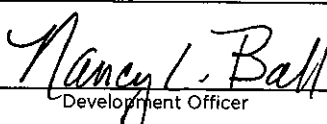
PAYMENT SCHEDULE

Balance to be paid as follows:

Total Commitment	\$ _____	Month _____	Year _____	Amount \$ _____
Amount Paid	\$ _____	Month _____	Year _____	Amount \$ _____
Balance Due	\$ _____	Month _____	Year _____	Amount \$ _____
		Month _____	Year _____	Amount \$ _____
		Month _____	Year _____	Amount \$ _____

Please send reminders one month before each installment due date.

I/we work for the following matching-gift company: _____

	4.21.14		4/11/2014
Signature	Date	Development Officer	Date

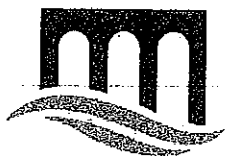
Dan Shingleton Giving Record for Music
January 1, 2008 – April 28, 2014

Date	Fund Number and Name	Amount
03/31/2014	2058000 Choral Music Excellence Fund	\$2,000.00
03/10/2014	2042000 E Daniel Shingleton Choral Music Fund	\$3,000.00
02/12/2014	2042000 E Daniel Shingleton Choral Music Fund	\$5,000.00
11/13/2013	2042000 E Daniel Shingleton Choral Music Fund	\$1,000.00
07/03/2013	2058000 Choral Music Excellence Fund	\$1,000.00
03/18/2013	2058000 Choral Music Excellence Fund	\$3,000.00
02/20/2013	2042000 E Daniel Shingleton Choral Music Fund	\$9,000.00
02/20/2013	1454000 Shingleton Organ Sch	\$1,000.00
06/12/2012	1533000 Choral Music	\$500.00
06/12/2012	1417000 School of Music Sch Pool	\$2,000.00
04/24/2012	1533000 Choral Music	\$2,500.00
09/17/2009	1533000 Choral Music	\$200.00
09/12/2008	1533000 Choral Music	\$500.00
	TOTAL	\$30,700.00

(60% of \$50,000 for naming rights to the Choral Music Practice Room = \$30,000)

April 28, 2014

Fund Title:
Fund Number:



East Carolina University

FOUNDATION INC.

FUND AGREEMENT

FOR THE E. DANIEL SHINGLETON CHAMBER SINGERS FUND

I. ESTABLISHMENT

- A. THIS AGREEMENT is made effective as of the date of the last signature hereto affixed between Edward D. Shingleton (Donor) and the East Carolina University Foundation, Inc. (Foundation).
- B. The Donor and the Foundation agree to establish this as a **temporarily restricted fund** in the ECU Foundation known as the E. Daniel Shingleton Chamber Singers Fund (Fund). The fund manager will be the Director of the School of Music (Administrator).
- C. This fund agreement replaces the E. Daniel Shingleton Choral Music Fund agreement that was established in February of 2013.
- D. A minimum amount of \$5,000 in gifts must be given to this Fund within 12 months from the date of this agreement. If the gifts to the fund fail to reach \$5,000, there is only a small balance in the Fund, or there has been no activity in the fund in the recent twelve-month period, the Foundation may close the Fund and move the remaining money to an appropriate fund in the academic area.

II. STATEMENT OF DONOR INTENT

The donor wishes to create this fund to provide scholarship support for members of the ECU Chamber Singers, to commission new works, and to provide general support of the ECU Chamber Singers.

III. SOURCE OF FUNDS

- A. The Fund shall be initially established with the following gifts and shall be consistent with the Foundation's Gift Acceptance Policy:
 1. The fund was established in February of 2013 as the E. Daniel Shingleton Choral Music Fund (ET3296). The current balance in the E. Daniel Shingleton Chamber Singers Fund (ET3296) is \$8,533.52.

Fund Title:

Fund Number:

IV. PURPOSE AND USE OF FUNDS

- A. This Fund shall be used to provide annual scholarship support for a music major who is an active member of the ECU Chamber Singers, commission of new works, and general support of the ECU Chamber Singers as needed. All of the money in the fund is spendable at any time. The amount and terms of each undergraduate scholarship award and the recipient thereof shall be determined by the School of Music Scholarship Selection Committee with the approval of the Director of the School of Music and the Director of Choral Activities and shall be in accordance with College and University guidelines and procedures then in effect. Any award given to a graduate student will also require the approval of the School of Music Graduate Committee.

The Administrator shall be responsible for administering the distributions from the Fund and applying such distributions in accordance with Foundation and University policies and procedures with the following criteria:

1. Student must be an active member of the ECU Chamber Singers.
2. Student must be in good academic standing.
3. Any other criteria determined appropriate by the Committee in accordance with the University and Foundation's established policies and procedures, which may be modified from time to time.
4. Any exceptions to these stipulations must be approved by the Director of Choral Activities and Director of the School of Music.


V. FUND ADMINISTRATION

This Fund shall be administered in accordance with the Foundation's policies and procedures and shall be consistent with the University's policies, as follows:

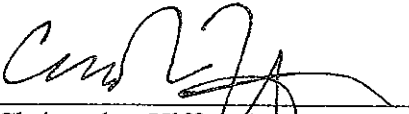
- A. The Fund shall be used only for a qualified charitable purpose consistent with the laws of the State of North Carolina and section 501(c)(3) of the Internal Revenue Code.
- B. With the consent of the Foundation, other parties may also make gifts to the Fund, but they may not change the purpose of the Fund.
- C. Fees: A one-time fee is charged to all new gifts to the Fund. Gifts made to the Fund via telefund or annual fund solicitations are subject to an annual fund fee. These fee amounts are determined annually by the Foundation Board of Directors and will be in accordance with the Foundation Policy.
- D. If at some future time, it becomes impractical for the Foundation to achieve the designated purpose of the Fund, circumstances change, or it becomes unlawful or wasteful to expend the earnings from the Fund in the manner stated above, then, at the discretion of the Foundation Board of Directors, the Fund shall be used to further the objectives and purposes of East Carolina University, bearing in mind the desires of the Donor as expressed in this agreement.

Fund Title:
Fund Number:


IN WITNESS WHEREOF, these parties execute this Agreement.

By: 
Edward D. Shingleton, Donor

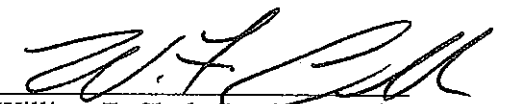
Date: 6.4.14

By: 
Christopher Uffers, Director
School of Music

Date: 6/2/14

By: 
J. Christopher Buddo, Dean
College of Fine Arts and Communication

Date: 5.28.14

By: 
William F. Clark, President and CEO
East Carolina University Foundation, Inc.

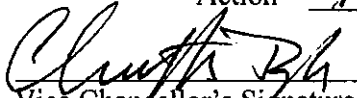
Date: 6/10/14

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 10, 2014
Action Approved / June 10, 2014

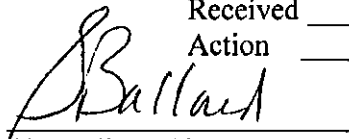

Vice Chancellor's Signature

Forwarded or returned June 11, 2014
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / 6-18-14


Chancellor's Signature

Forwarded or returned 6-20-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____

Chair's Signature

Forwarded or returned _____
Date

Comments _____

June 5, 2014

Questions: please contact Dwain Teague at Joyner Library – teagued@ecu.edu or 328-0252.

Wording for plaque as proposed by donor Ann Rhem Schwarzmnn. A plaque will be placed on the wall outside of the room and a dedication ceremony will be held to honor Mrs. Schwarzmnn. \$50,000 has been given to name the Enhancing Teachers' Classrooms Production Room within the Joyner Library Teaching Resources Center.

Per Ann Schwarzmnn's request, the text below is what she would like on the plaque:

The Ann Rhem Schwarzmnn Enhancing Teachers' Classrooms Production Room

Ann Rhem Schwarzmnn was a compassionate and dedicated elementary teacher. She believed an attractive classroom enhances learning. A wide assortment of materials may encourage teachers to make interesting and specific displays for their students.



Gift has been paid in full

Ann Rhem Schwarzmann '57 and '58
 Name Class
 1507 Muirfield Dr. Greenville NC 27858
 Street Address City State Zip
 252-551-5832 n/a n/a
 Phone (home) Phone (office) Phone (cell)
 aschwarz@suddenlink.net
 E-mail Fax
 n/a n/a
 Spouse's Name Class

GIFT COMMITMENT

As an investment in East Carolina University, I/we will commit a gift to the East Carolina University Foundation Inc. in the sum of \$ 50,000 to be paid in cash, securities, and/or other property of equivalent value.

DESIGNATION OF GIFT:

To name the Enhancing Teachers' Classrooms Production Room within the Joyner Library Teaching Resources Center.
 Dedication of the space will take place once formally approved by the BOT. The full \$50,000 has already been paid in full via stock transfer.

METHOD OF PAYMENT:

- Check enclosed for the amount of \$ _____, made payable to the East Carolina University Foundation Inc.
 Credit Card: American Express Master Card Visa Amount \$ 50,000

Card Number Expiration Date Signature

- I/we intend to request our donor advised fund to make the following payments to the Foundation.

**Gifts from donor advised funds cannot be used to satisfy personal pledges (thereby converting this into an intent).*

PAYMENT SCHEDULE:

Total Commitment \$ 50,000
 Amount Paid \$ 50,000
 Balance Due \$ 0

Balance to be paid as follows:

Month _____ Year _____ Amount \$ _____
 Month _____ Year _____ Amount \$ _____
 Month _____ Year _____ Amount \$ _____
 Month _____ Year _____ Amount \$ _____
 Month _____ Year _____ Amount \$ _____

- Please send reminders one month before each installment due date.

- I/we work for the following matching-gift company: _____

Ann Rhem Schwarzmann 06/05/14 Ann Rosey Taylor 06/05/14
 Donor Signature Date Gift Officer Signature Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator _____

ECU Educational Foundation

252-737-4540

battj@ecu.edu

Department/Division

Phone

Email

Proposed Name(s) to Honor: The Harold H. Bate Foundation

PO Box 14298 New Bern NC 28561

Address: City State Zip

Telephone: _____ (252) 638-1998

Home

Business

Relationship to the University: _____

Proposed Object for Naming: Men's Basketball Team Lounge/Locker Room

Purpose for recommendation: Service Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual Capital Endowment

Date of Original Commitment: Nov. 10, 2011 / May 3, 2013 (increase)

Amount of the Total Commitment: \$250,000

Amount Paid: \$200,000

Current Pledge Balance: \$50,000

Status of Payments: Current

Was the gift part of a campaign: Yes No Define: _____

Was the project pre-approved: Yes No

Directed to: ECU Foundation Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

J. Batt
Signature of Originator

6/23/14
Date

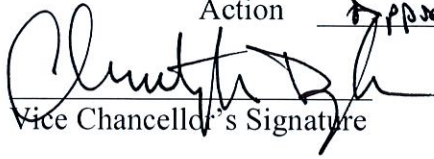
PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014

Action Approved / June 24, 2014
Date

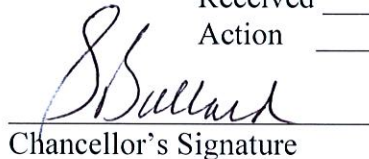

Vice Chancellor's Signature

Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date


Chancellor's Signature

Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Proposed Name(s) to Honor: The Harold H. Bate Foundation



The Harold H. Bate Foundation, Inc.

November 10, 2011

Mr. Dennis A. Young
Associate Director of Athletics/Major Gifts
304 Ward Sports Medicine Building
East Carolina University
Greenville, NC 27858-4353

RE: Grant #10-021

PC#
104546

Dear Dennis:

At its recent meeting the Board of Directors of the Foundation approved an extension of the original commitment made for the new Basketball/Volleyball Facility. The original commitment has been increased from \$1,000,000 to \$1,200,000. One payment of \$200,000 has been made for the current year and the rest will be paid out over the next five years at \$200,000 per year.

We are proud to be a part of the East Carolina University Family. We also value the relationships that have been cultivated over the past decade and hope to continue them for many years to come.

As always, if you have any questions please do not hesitate to contact me.

Sincerely

Donald K. Brinkley
President

Will increase
2nd Century Commitment
to \$1,200,000
with the last \$200,000
annual payment
in 2016

2016 - 2CC
pledge
\$200,000

(PMS)

104546



STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name HAROLD A. BATE FOUNDATION

Street Address _____

City NEW BERN State N.C. Zip 28562

Phone 252-638-1998 Fax () _____

E-mail _____

Naming Opportunity(ies) MEN'S TEAM LOUNGE AND LUXER ROOM

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 50,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 17,000 in 2014 (Year)
- \$ 17,000 in 2015 (Year)
- \$ 16,000 in 2016 (Year)
- \$ _____ in 20__ (Year)
- \$ _____ in 20__ (Year)

Increase gift

() Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature *Paul K. Smith, President*

Signature _____

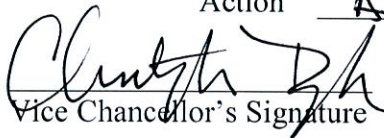
Date 5/3/13

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date

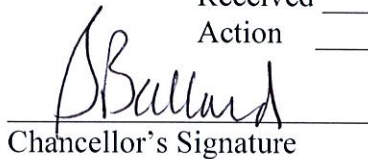

Vice Chancellor's Signature

Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date


Chancellor's Signature

Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Proposed Name(s) to Honor: The Harold H. Bate Foundation

5032

AD 104546



The Harold H. Bate Foundation, Inc.

June 23, 2010

CAP-2CC - \$ 200,000.00 - 2011

200,000.00 - 2012

200,000.00 - 2013

200,000.00 - 2014

200,000.00 - 2015

Mr. Bill Clark, President
East Carolina University Foundation
2200 South Charles Blvd., Suite 1100
Greenville, NC 27858

RE: Grant #10-021

Adjustment

Dear Bill:

Batch # 4142

Transaction Date: 6/30/10

At its recent meetings the Board of Directors of the Foundation approved a grant of \$1,000,000 for your new Basketball/Volleyball Facility as described in your application. To accept this grant, sign and date the acceptance statement (the second page of the enclosed copy of this letter) and then return the copy of the letter with the attached, signed acceptance statement within 30 days.

Grant funds will be paid as soon after January 15, 2011 as administratively feasible or later if you are not yet ready to utilize them as indicated on the enclosed acceptance agreement. Specific terms include \$200,000 in 2011 then \$200,000 each year for four years. Dennis Young will work with our BOD to determine naming options. Please check one space at the top of the second page of the enclosed copy of this letter, indicating when you wish to receive the funds. Grant awards are valid for one year from the date of this letter.

At a later time during your grant, we will require your organization to complete program/project and expenditure reports. The information needed for these reports will be based on the information presented in your application. Appropriate report forms will be sent to you prior to the report due date.

You may publicize the grant in any manner you feel appropriate. Please incorporate the enclosed information about the Foundation in your publicity. We would appreciate receiving a copy of any releases you distribute.

Your grant number is 10-021. Please refer to this number in all communication regarding this award.

The Board of Directors is pleased to participate in this important program/project and wish you and your organization much success.

Sincerely,

Joyce H. Hendricks
Director of Operations

\$ 1,000,000.00

CAP-2CC Pledge

Enclosures

Report in FY 2010

Thanks!
Shari

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: **Minges Bottling Group**

PO Box 520, 128 Pepsi Way

Address:

Ayden

City

NC

State

28513

Zip

Telephone:

Home

(252) 758-2113

Business

Relationship to the University:

Proposed Object for Naming: **Track Infield**

Purpose for recommendation:

Service

Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual

Capital

Endowment

Date of Original Commitment:

11/11/2010

Amount of the Total Commitment:

\$500,000

Amount Paid:

\$350,000

Current Pledge Balance:

\$150,000

Status of Payments:

Current

Was the gift part of a campaign:

Yes

No Define: _____

Was the project pre-approved:

Yes

No

Directed to:

ECU Foundation

Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

34. Attach a copy of the signed Letter of Intent.

35. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.

36. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.


Signature of Originator

6/23/11
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014

Action Approved / June 24, 2014
Date

Christy D. H.
Vice Chancellor's Signature

Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date

A. Ballard
Chancellor's Signature

Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date

_____ Forwarded or returned _____
Chair's Signature Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date

_____ Forwarded or returned _____
Chair's Signature Date

Comments _____

Proposed Name(s) to Honor: Minges Bottling Group



PC# 7292

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Minges Bottling Group

Street Address 128 Pepsi Way

City Ayden State NC Zip 28513

Phone (252) 758-²¹¹³5566 Fax (252) 758-5566

E-mail _____

Naming Opportunity(ies) Track Infield

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 500,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 50,000 in 20 11 (Year)
- \$ 75,000 in 20 12 (Year)
- \$ 100,000 in 20 13 (Year)
- \$ 125,000 in 20 14 (Year)
- \$ 150,000 in 20 15 (Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature [Handwritten Signature]

Signature [Handwritten Signature]

Date Nov. 11, 2010

[Handwritten mark]

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: **Alliance One International, Inc.**

PO Box 166

Address:

Farmville

City

NC

State

27828-0166

Zip

Telephone:

Home

(252) 753-8000

Business

Relationship to the University:

Proposed Object for Naming: **Concession Stand - 2nd concourse level – Williams Arena at Minges Coliseum**

Purpose for recommendation:

Service

Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual

Capital

Endowment

Date of Original Commitment:

1/3/2012

Amount of the Total Commitment:

\$100,000

Amount Paid:

\$60,000

Current Pledge Balance:

\$40,000

Status of Payments:

Current

Was the gift part of a campaign:

Yes

No Define:

Was the project pre-approved:

Yes

No

Directed to:

ECU Foundation

Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

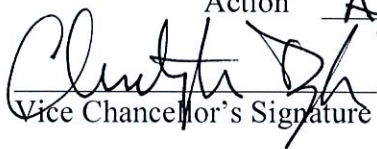

Signature of Originator

6/23/14
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

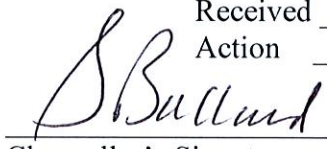
ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date

Vice Chancellor's Signature Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date

Chancellor's Signature Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature _____
Date

Comments _____

Proposed Name(s) to Honor: Alliance One International Inc.



PC#
13924

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Alliance One International, Inc.

Street Address 8800 West Marlboro Road

City Farmville State NC Zip 27828

Phone (252) 753-8000 Fax (252) 753-8907 8406

E-mail dwilder@aointl.com

Naming Opportunity(ies) Concession Stand - 2nd Concourse Level (Murphy Center side)

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 100,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 20,000 in 20 12 Year)
- \$ 20,000 in 20 13 Year)
- \$ 20,000 in 20 14 Year)
- \$ 20,000 in 20 15 Year)
- \$ 20,000 in 20 16 Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature Dan L. Wilder for Alliance One International Inc.

Signature _____

Date 1/3/12

AMJ

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: **Wells Fargo**

c/o Carrie Gray

150 Fayetteville Street, 7th Floor MAC D0182-072 Raleigh NC 27601

Address:

City State Zip

Telephone: **(919) 881-7338**

Home

Business

Relationship to the University:

Proposed Object for Naming: **Concession Stand in Williams Arena at Minges Coliseum**

Purpose for recommendation:

Service

Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual

Capital

Endowment

Date of Original Commitment:

12/2/2010

Amount of the Total Commitment:

\$100,000

Amount Paid:

\$100,000

Current Pledge Balance:

\$0

Status of Payments:

Paid

Was the gift part of a campaign:

Yes

No Define:

Was the project pre-approved:

Yes

No

Directed to:

ECU Foundation

Educational Foundation

Medical Foundation

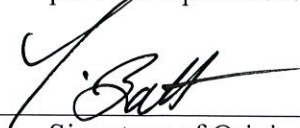
Other

If the Gift was more than \$25,000:

55. Attach a copy of the signed Letter of Intent.

56. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.

57. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.


Signature of Originator

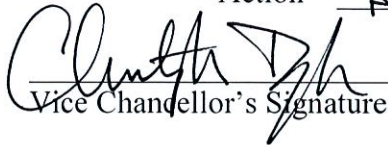
6/23/14
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date

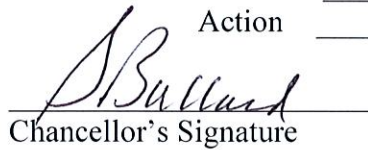

Vice Chancellor's Signature

Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date


Chancellor's Signature

Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature

Forwarded or returned _____
Date

Comments _____

Proposed Name(s) to Honor: Wells Fargo



WACHOVIA

December 2, 2010

Mr. Dennis Young
Associate Director of Athletics-Major Gifts
East Carolina University Educational Foundation, Inc.
Ward Sports Medicine Building, Ste. 304
Greenville, NC 27858-4353

Dear Mr. Young:

We are pleased to enclose a contribution of \$100,000.00 from Wachovia to East Carolina University Educational Foundation, Inc. in support of Courting Great Achievement: Construction of a Practice Facility for Men's and Women's Basketball at East Carolina University.

Any acknowledgement of this gift in announcements or publications should be given to Wachovia, A Wells Fargo Company.

Our mission at Wachovia is to build strong and vibrant communities, improve the quality of life, and make a positive difference where we work and live. Your organization is vital in accomplishing these goals and many others.

We are proud to support your organization's commitment to the community.

Sincerely,

Juan Austin
Community Affairs Manager
100 North Main Street 6th Floor
Winston-Salem, NC 27101
juan.austin@wachovia.com

Enclosure: 1002758056

Request ID#: 4782635

PC#
34933
CK#
1002758056
\$ 100,000



WACHOVIA

DONOR ACKNOWLEDGMENT

THE RECIPIENT ORGANIZATION SHOULD COMPLETE THIS FORM WITHIN TEN (10) DAYS OF RECEIPT AND RETURN VIA MAIL OR FAX TO:

WACHOVIA, A WELLS FARGO COMPANY
ATTN: LATRICIA BURLEY
SOCIAL RESPONSIBILITY GROUP
301 SOUTH COLLEGE ST.
CHARLOTTE, NC 28288-0143
FAX 704-374-2484

Payee Name: East Carolina University Educational Foundation, Inc.

Request ID: 4782635

Check Amount: \$100,000.00

Check Number: 1002758056

Date of Check: 11/30/10

Purpose: Courting Great Achievement: Construction of a Practice Facility for Men's and Women's Basketball at East Carolina University

Date contribution received: December 6, 2010

Please check one item below:

- 1. No value* received by donor in exchange for gift.
- 2. Value* received by donor in exchange for gift.

If you checked # 2, please list total value received by donor: \$ _____.

Description:

Authorized signature: [Signature]
Title: Associate Director of Athletics / Major Gifts
Date: December 6, 2010

* Value is defined as your organization providing goods or services to Wachovia in consideration, in whole or in part, for any of the cash or other property provided to your organization by Wachovia.

Penalties may be imposed by the IRS on charities that fail to make the required disclosures. The penalties apply for failure to make the disclosure or for making a disclosure that is incomplete or inaccurate. Wachovia/Wells Fargo will not consider future funding requests from organizations that fail to complete this Donor Acknowledgement.

Warehouse / Wells Fargo
2010 2nd Century \$100,000 contribution

Posted to Patron No. 34933

CR correct
from

Correct deposit as follows:


+0
↓

Patron No. 13863

\$50,000

Patron No. 7079

\$50,000


3/3/11

entered in batch 5365
12/7/10

Company	Invoice Number	Invoice Date	Voucher ID	Gross Amount	Discount Available	Paid Amount
<i>COURTING GREAT ACHIVEMENT CAMPAIGN/CONSTRUCTION OF PRACTICE FACILITY</i>						
WFB-C193	4782635	11/23/2010	00002298	100,000.00	0.00	100,000.00

34933
 PC# ~~70000000~~

Vendor Number	Name		Total Discounts
0000810106	EAST CAROLINA UNIVERSITY		\$0.00

Check Number	Date	Total Amount	Discounts Taken	Total Paid Amount
1002758056	11/30/2010	\$100,000.00	\$0.00	\$100,000.00

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: **Kristi Rogers, by parents Mike and Janet Rogers**

305 Champions Point Way

Address:

Cary

City

NC

State

27513

Zip

Telephone: **(919) 467-0627**

Home

(919) 801-6701

Business

Relationship to the University: _____

Proposed Object for Naming: **Men's Basketball Staff Conference Room**

Purpose for recommendation: Service Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual Capital Endowment

Date of Original Commitment: **9/13/2013**

Amount of the Total Commitment: **\$75,000**

Amount Paid: **\$50,000**

Current Pledge Balance: **\$25,000**

Status of Payments: **Current**

Was the gift part of a campaign: Yes No Define: _____

Was the project pre-approved: Yes No

Directed to: ECU Foundation Educational Foundation

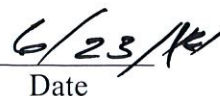
Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.



Signature of Originator




Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

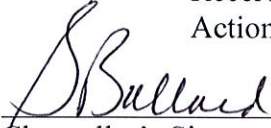
ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approval / June 24, 2014
Date
Forwarded or returned _____
Date

Vice Chancellor's Signature

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date
Forwarded or returned 6-25-14
Date

Chancellor's Signature

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature

Comments _____

Proposed Name(s) to Honor: Kristi Rogers, by parents Mike and Janet Rogers



Increased Commitment

Pct# 124116

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name **Mike and Janet Rogers**

Street Address **305 Champions Point Way**

City **Cary** State **NC** Zip **27513**

Phone (919) **467-0627** Fax ()

E-mail **wmrogers57@gmail.com**

Naming Opportunity(ies) **TBD - Men's Staff Conference Room - Smith Williams Center**

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ **75,000** to be paid in either cash, securities or other property of equivalent market value. **\$25,000**

I/we desire to have this financial support distributed in the following manner:

- \$ **15,000** in 20 **13** (Year)
- \$ **15,000** in 20 **14** (Year)
- \$ **15,000** in 20 **15** (Year)
- \$ **15,000** in 20 **16** (Year)
- \$ **15,000** in 20 **17** (Year)

\$25,000
on
June 1, 2013
\$75,000 on
9-12-2013
\$100,000
\$25,000
Kristi Rogie

() Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature **Mike & Janet Rogers**

Signature

Date **9-13-2013**

*** Increased from earlier commitment of \$175,000 to \$250,000.**





PG# 12416

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Mike and Janet Rogers in Honor of Daughter, Kristi

Street Address 305 Champions Point Way

City Cary State NC Zip 27513

Phone (919) 467-0627 Fax () _____

E-mail wmr57@bellsouth.net

Naming Opportunity(ies) TBD

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 25,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 25,000 in 2012 (Year)
- \$ _____ in 20__ (Year)
- \$ _____ in 20__ (Year)
- \$ _____ in 20__ (Year)
- \$ _____ in 20__ (Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature Mike Rogers

Signature Janet D. Rogers

Date _____

(Handwritten initials)

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: **Lynn R. Bueche**

219 Adams Blvd.

Address:

Greenville

City

NC

State

27858

Zip

Telephone: **(252) 551-7691**

Home

Business

Relationship to the University:

Proposed Object for Naming: **Women's Basketball - Director of Operations Office**

Purpose for recommendation:

Service

Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual

Capital

Endowment

Date of Original Commitment:

3/19/2012

Amount of the Total Commitment:

\$25,000

Amount Paid:

\$25,000

Current Pledge Balance:

\$0

Status of Payments:

Paid

Was the gift part of a campaign:

Yes

No Define:

Was the project pre-approved:

Yes

No

Directed to:


ECU Foundation

Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

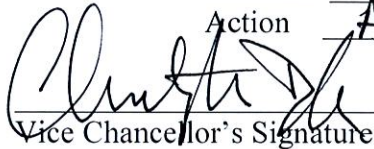

Signature of Originator


Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

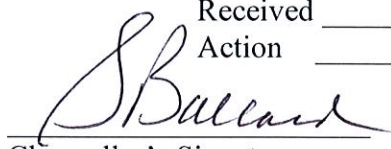
ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date

Vice Chancellor's Signature Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date

Chancellor's Signature Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date
Forwarded or returned _____
Date
Chair's Signature

Comments _____

Proposed Name(s) to Honor: Lynn R. Bueche



PCH# 717698

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign
East Carolina University

Name LYNN R BUECHE

Street Address 219 ADAMS BLVD

City GREENVILLE State NC Zip 27858

Phone (252) 551-7691 Fax ()

E-mail LYNNBUECHE@SUDDENLINK.NET

Naming Opportunity(ies) DR JOHN O REYNOLDS, MATH ADVISOR

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 25,000 to be paid in either cash, securities or other property of equivalent market value.

* W. Basketball
operations office

I/we desire to have this financial support distributed in the following manner.

- \$ 5000 in 2012 (Year)
- \$ 5000 in 2013 (Year)
- \$ 5000 in 2014 (Year)
- \$ 5000 in 2015 (Year)
- \$ 5000 in 2016 (Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature Lynn Bueche

Signature _____

Date 3-19-2012

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: Jonathan A. Popkin

125 Brookview Drive

Address:

Jacksonville

City

NC

State

28540

Zip

Telephone: (910) 455-0865

Home

(910) 455-9595

Business

Relationship to the University: _____

Proposed Object for Naming: Football Assistant Coach Office in WSMB

Purpose for recommendation: Service Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual Capital Endowment

Date of Original Commitment: 8/4/2010

Amount of the Total Commitment: \$25,000

Amount Paid: \$25,000

Current Pledge Balance: \$0

Status of Payments: Paid

Was the gift part of a campaign: Yes No Define: _____

Was the project pre-approved: Yes No

Directed to: ECU Foundation Educational Foundation

Medical Foundation Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.


Signature of Originator

6/25/10
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date
[Signature] Forwarded or returned _____
Vice Chancellor's Signature Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date
[Signature] Forwarded or returned 6-25-14
Chancellor's Signature Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date
_____ Forwarded or returned _____
Chair's Signature Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date
_____ Forwarded or returned _____
Chair's Signature Date

Comments _____

Proposed Name(s) to Honor: Jonathan A. Popkin



STATEMENT OF INTENT
STEP UP TO THE HIGHEST LEVEL Campaign
 East Carolina University Athletics

Name Jonathan A. Popkin

Street Address P. O. Box 1414

City Jacksonville State NC Zip 28541

Phone (910) 455-9595 Fax (910) 455-1485

E-mail jpopkin@furniture-fair.net

Naming Opportunity(ies) FOOTBALL ASSISTANT COACH OFFICE IN WSMB

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 25,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

\$ 5,000 pd. in 2010 (Year)

\$ 5,000 in 2011 (Year)


\$ 5,000 in 2012 (Year)

\$ 5,000 in 2013 (Year)

\$ 5,000 in 2014 (Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature 

Date 8/4/10

Signature _____

Date _____

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

J. Batt

Name of Originator

ECU Educational Foundation

Department/Division

252-737-4540

Phone

battj@ecu.edu

Email

Proposed Name(s) to Honor: Kel and Parker Normann

2411 Brookwood Trail

Address:

Sanford

City

NC

State

27330-8200

Zip

Telephone: (919) 774-4136

Home

(919) 774-4711

Business

Relationship to the University: _____

Proposed Object for Naming: Football Assistant Coach Office in WSMB

Purpose for recommendation:

Service

Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift: Annual

Capital

Endowment

Date of Original Commitment:

10/21/2010

Amount of the Total Commitment:

\$25,000

Amount Paid:

\$20,000

Current Pledge Balance:

\$5,000

Status of Payments:

Current

Was the gift part of a campaign:

Yes

No

Define: _____

Was the project pre-approved:

Yes

No

Directed to:

ECU Foundation

Educational Foundation

Medical Foundation

Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

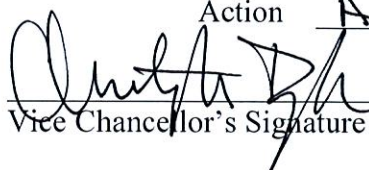
J. Batt
Signature of Originator

6/23/10
Date

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES
TO NAME A FACILITY OR ACTIVITY

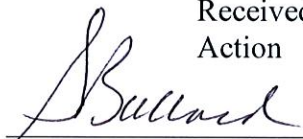
ROUTING SHEET

Office of Vice Chancellor for University Advancement:

Received June 24, 2014
Action Approved / June 24, 2014
Date

Vice Chancellor's Signature Forwarded or returned _____
Date

Comments _____

Chancellor's Office:

Received _____
Action _____ / _____
Date

Chancellor's Signature Forwarded or returned 6-25-14
Date

Comments _____

Athletics and Advancement Committee of the Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature Forwarded or returned _____
Date

Comments _____

Board of Trustees:

Received _____
Action _____ / _____
Date

Chair's Signature Forwarded or returned _____
Date

Comments _____

Proposed Name(s) to Honor: **Kel and Parker Normann**



PC # 11396

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Kel and Parker Normann

Street Address 2411 Brookwood Tr.

City Sanford State NC Zip 27330

Phone 919 774-4136 Fax ()

E-mail kelnormann@wellsfargoadvisors.com

Naming Opportunity(ies) Football Assistant Coach Office

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 25,000.⁰⁰ to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 5,000.⁰⁰ in 2011 (Year)
- \$ 5,000. in 2012 (Year)
- \$ 5,000. in 2013 (Year)
- \$ 5,000. in 2014 (Year)
- \$ 5,000. in 2015 (Year)

Annually () Semi-Annually () Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature W. Kel Normann

Signature _____

Date 10-21-10

(118)

**Minutes from ECU BOT Audit Committee
July 17, 2014
ECHI Conference Room B**

Committee members present: Chair Mark Copeland, Carol Mabe, Terry Yeargan, Robert Brinkley

Others present: Donna Payne, Nicholas Benson, Tim Wiseman, Ken DeVille, Norma Epley, Hiromi Sanders, Dan Sweat, Kitty Wetherington, Amanda Danielson, Stacie Tronto

Mark Copeland, Chair of the Audit Committee, convened the meeting at 9:05AM. Mr. Copeland read the conflict of interest provisions as required by the State Government Ethics Act. Mr. Copeland asked if anyone would like to declare or report an actual or perceived conflict of interest. Hearing none, he asked for the approval of the minutes for the April 24, 2014 audit committee meeting.

Action Item: The minutes of the April 24, 2014 audit committee meeting were approved with no changes.

Stacie Tronto, Chief Audit Officer, provided the **Internal Audit update**.

- **Action Item:** Ms. Tronto presented the 2014-2015 audit plan and explained how the audit plan was developed; highest risk areas are IT, Health Sciences, and Athletics; therefore, a number of audits are included on the plan that relate to these areas as well as core business processes. The committee approved the annual audit plan as presented.
- Ms. Tronto discussed the results of management's corrective actions for fiscal year 2013-2014. Management satisfactorily resolved 94% (49 out of 52) of the findings from previous audits. The goal is 90%. Ms. Tronto reminded the committee that the goal has been increased for 2014-2015 to 95%.
- Ms. Tronto updated the committee on the status of the MOU with ECSU. She reported that the MOU had been completed and UNC GA reimbursed ECU approximately \$20,000 for the audit services provided to ECSU. Approximately 254 hours were spent on this project. The audit services included developing the 2014-2014 audit plan based on a risk assessment methodology and completing the necessary documents regarding the quality assurance process.
- Ms. Tronto updated the committee on the status of the MOU with UNC GA to provide internal audit services. UNC GA will reimburse ECU for the services, which has been budgeted at 80 hours at a cost of \$6,000. The audit services included developing the 2014-2015 audit plan based on a risk assessment methodology. The audit plan has been completed and will be presented at the next BOG Audit Committee meeting.

Tim Wiseman, Assistant VC for Enterprise Risk Management, provided the **ERM update**.

- Mr. Wiseman updated the committee on the activities of the ERM office, which included several consultations and involvement with such items as the Student Disciplinary Process, Volunteers Policy, ANNOUCE Listserv Posting Guidelines.
- Mr. Wiseman will be presenting ECU's ERM efforts at the URMIA (University Risk Management and Insurance Association) conference.
- Mr. Wiseman shared two excellent publications on ERM that Mr. Copeland will be sharing with the entire Board of Trustees.
- Based on the best practices listed in one of the publications, it was suggested that a survey be conducted of the board of trustees and senior management views regarding ERM at ECU.
- Mr. Yeargan inquired if there were any issues or "sacred cows" that were off limits when it came to discussing risk and/or conducting audits. Mr. Wiseman and Ms. Tronto stated in their opinion the answer was "no."

**Minutes from ECU BOT Audit Committee
July 17, 2014
ECHI Conference Room B**

Dr. Nicholas Benson, Vice Dean, BSOM and Associate Vice Chancellor for Healthcare Regulatory Affairs, and Dr. Ken DeVille updated the committee on **Health Sciences Compliance**

- Dr. Benson announced that after a national search, Dr. Ken DeVille was selected as the new Chief Institutional Integrity Officer for Health Sciences.
- Dr. Benson also announced that the BSOM Office of Compliance has been renamed the Office of Institutional Integrity for Health Sciences as it now includes not only the compliance efforts regarding the BSOM but also the compliance efforts regarding Allied Health and the School of Dental Medicine.
- Dr. DeVille announced that as of June 2nd the Compliance Office is fully staffed and they are now recruiting for a new position, which is a Dental Billing Specialist.
- Dr. DeVille updated the committee on provider reviews. Currently, a pilot project is in place to conduct retrospective billing reviews rather than prospective billing reviews. This will allow more provider reviews to be conducted and also should free up resources to review areas that have been identified by the OIG as high risk areas.
- Dr. DeVille also provided the committee an outline of the compliance plans for Allied Health and the School of Dental Medicine.

Other Business – No other business was brought forward by anyone in attendance.

Closed Session – At 10:10 AM, Ms. Mabe made a motion that the committee go into closed session in order to discuss items that are protected according to state statutes governing personnel information, criminal investigations, internal audit working papers, sensitive security information, and/or otherwise not considered a public record within the meaning of Chapter 132 of the North Carolina General Statutes.

The Committee returned to open session at 10:40AM.

There was no additional business to discuss, and the Audit Committee meeting was adjourned at 10:41AM.

-----*Respectfully submitted by Stacie Tronto*

ECU Board of Trustees
Finance and Facilities Committee
July 18, 2014
Highlights

The Finance and Facilities Committee met on July 17, 2014.

Property Purchase

The Committee reviewed a request to purchase Property at 908 Forbes Street. Mr. Buck was available for questions. The Committee approved a motion to recommend that the full Board approve the request.

Information

Dr. Niswander and Mr. Bagnell updated the Committee on the East Campus Student Center.

Mr. Bagnell updated the Committee on Capital Projects.

Dr. Niswander and Mr. Bagnell updated the Committee on Deferred Maintenance, Repair and Renovation Priorities.


Associate Vice
Chancellor for
Administration
and Finance -
Business Services

224 Ragsdale Building
East Carolina University
Greenville, NC 27858-4353

252-328-6910 office
252-328-1558 fax

MEMORANDUM

TO: Rick Niswander

FROM: Scott Buck 

DATE: June 24, 2014

SUBJECT: Request ECU Board of Trustees Approval to Purchase Property at
908 Forbes Street

Request ECU Board of Trustees approval to purchase property at 908 Forbes Street (tax parcel#20361) from the ECU Real Estate Foundation at a purchase price of \$107,800 plus carrying costs.

The property consists of 0.17 acres of land and sites a single family residence in average condition for the neighborhood. The property is identified in the Campus Master Plan for acquisition and is depicted on the attached map. Our plan is to sever the building when appropriate.

Purchase shall be from auxiliary overhead receipt funds. The purchase has been reviewed by the State Property Office and is contingent on approvals from the UNC Board of Governors, Joint Commission on Governmental Operations and Council of State.

Attachment (1) Map

cc: Bill Clark
Bill Bagnell
Mike Moser
Tim Walton



Legend

● 1. Saad House/908 Forbes Street

● University Owned Property

Health Sciences Committee
July 17, 2014

- Dr. Chadwick presented a report on the SODM. The announcement of the eighth SODM Clinical Service Learning Center in Brunswick County was held in May and the contract is out for bid. It is scheduled for completion in late spring of 2015. The 4th floor of Ross Hall assigned for research/faculty and staff offices is scheduled to open in spring of 2016. The fourth-year students began rotations at the community service learning centers for the first time in May. The third and final CODA site visit is scheduled for March 2015. ECU's first DMD Degree should be awarded in May 2015. SODM is in the process of opening a clinical research clinic and faculty practice clinic in the fall of 2014.
- The College of Allied Health Sciences Dean Search is underway. A pool of candidates have been identified and the interview process is beginning with the hopes of having someone in place no later than the first of the year. Baker and Associates search firm has been engaged to assist with the search process.
- The Laupus Library Director search is underway with a joint meeting of the committee with Baker and Associates search firm and Lisa Sutton. The search will be conducted in tandem with the Joyner Library Director search.
- The Division is continuing to work on Interprofessional Education & Practice Activities to engage all faculty activity in education, research, patient care, and service across the colleges and schools. The Research Council is continuing to work on endeavors and operations to advance and grow research.
- Dr. Horns gave a legislative update. ECU has met with members of the NC House and Senate members to discuss SODCA and UPL issues. We are hearing that some movement is being made on reinstating SODCA. SODCA is in the House budget, but not addressed in the Senate budget. UPL is still under debate between the House and Senate regarding the number of physician cap slots and how that will affect our tax assessment. More information will be known at the end of the legislative session. The reporting requirements are not known at this time.
- Dr. Niswander reviewed the financial year-to-date information for 2014 and is cautiously optimistic that ECU Physicians will be financially stable this year. With the addition of funds at year end to cover selected expenses, practice plan performance improved so that the deficit was only \$9M.
- Dr. Horns outlined the efficiency measures that are underway for ECU Physicians including activities with direct fiscal impact, clinical enterprise transformation activities, and other restructuring efforts.
- Dr. Horns discussed the efforts of integration with VMC by looking at selective clinical services. The best strategy is to develop sustainable partnerships with collaborative partners.

University Affairs Committee - July 17, 2014

Board Members Attending: Deborah Davis (chair), Robert Brinkley, Carol Mabe, Danny Scott and Michael King

Academic Affairs – Interim Provost Ron Mitchelson

- **Faculty Workloads**

- Dr. Mitchelson provided an update related to ECU’s faculty workload. The expectation is five classes in a year, but this can be adjusted due to research, service, teaching, and other criteria. That is at the university level and then there are criteria for each individual college for teaching. The individual plans are very different across the colleges. The individual policies will be sent to General Administration in September for review. Dr. Mitchelson said at this point, ECU looks to be in pretty good standing. We are currently at an average of 3.8 courses per year, which is up a bit. FTE credit hours have experienced nearly a 14% increase.

- **KPI Update – IPAR** - Dr. Zhou presented

- She shared the five CORE metrics and five Campus Metrics for ECU’s Performance Funding Measures. All of these metrics, except degree efficiency under CORE metrics, were achieved. She recapped the last couple of years with the Board of Governors policy. The final version of the BOG will be presented this August. The first draft of the policy included ten metrics for CFO’s. Of the potential Efficiency and Effectiveness Metrics were Operating Metrics and Academic Metrics. Each group has five metrics included. A new academic metric added this version included “attempted hours to degree.” In response, ECU has asked that if we are required to meet these metrics, we need to have a list of standards and definitions that are set in place.
- Dr. Zhou shared data for full-time, first-time, fall-to-fall retention for students. UNC General Administration sets these numbers. We are doing pretty well compared to our peer schools. She also shared six-year graduation rates. Chairperson Davis has asked that the Board receive regular updates about her progress on these measures.

Student Affairs – Vice Chancellor Virginia Hardy

- **Student Conduct Review Process Outcomes**

- She shared some of the findings from the external review of the student conduct system conducted in June 2014. Dr. Hardy shared some of the observations including but not limited to, “more due process than is required”; the system was convoluted and complex; the OSRR staff is competent and knowledgeable; and we need a more streamlined process.
- Some of the recommendations include:
 - Modify system to streamline the process, address minor violations more rapidly and clarify jurisdictional areas.
 - Tamika Wordlow (Interim Director of OSRR) explained the current undergraduate process that will be streamlined moving forward for minor and more significant violations.
 - OSRR should become the primary office for enforcing the Student Code of Conduct and for overseeing student conduct processes.
 - SGA and OSRR educate student body jointly about the new judicial system as well as work together to identify students to serve on the Appeals Board.

Research and Graduate Studies – Graduate Student Enrollment Trends - Paul Gemperline

- Dr. Gemperline shared the enrollment decreasing numbers at ECU since 2009-10 to present. Graduate enrollment will decline by an additional 300 students in the fall 2014 semester. Some of these declines are coming from the typically large graduate programs. He also shared state and national trends for graduate programs.
- He shared some of the reasons believed to be attributed to graduate admissions declining including that graduate admission decisions are decentralized
- Dr. Gemperline stated the selectivity is also a problem. For example, the College of Nursing has about 500 applications per year for 30 spots and the numbers of applications are rising. Some programs are capped for space due to resources, faculty and capabilities.