



Minutes Requiring Board Approval  
September 13, 2019

- I. July 12, 2019 Board of Trustees meeting minutes
- II. August 1, 2019 special called meeting minutes

**ECU Board of Trustees  
July 12, 2019  
Main Campus Student Center**

**MINUTES**

The Board of Trustees met in regular session in Ballroom A of the Main Campus Student Center on the campus of East Carolina University in Greenville, NC. Acting Chairman Vern Davenport called the meeting to order.

Mr. Davenport called on Trustee Bob Plybon to lead the invocation.

Mr. Davenport called on Trustee Vince Smith to lead the Pledge of Allegiance.

**OATH OF OFFICE**

Mr. Davenport called on Sarah Beth Fulford Rhodes, Pitt County Clerk of Court, to administer the oath of office to incoming members of the Board of Trustees: Thomas Furr, Colin Johnson, Phillip Lewis, Robert Moore, Angela Moss, and Jim Segrave.

**ROLL CALL**

Mr. Davenport called on Assistant Secretary to the Board of Trustees Megan Ayers to call the roll. A quorum was established.

**Members Present**

Vern Davenport  
Leigh Fanning (via phone)  
Thomas Furr  
Colin Johnson  
Max Joyner Jr.  
Phillip Lewis  
Fielding Miller  
Robert Moore  
Angela Moss  
Bob Plybon  
Jason Poole

**Members Absent**

Jim Segrave  
Vince Smith

### **READING OF ETHICS STATEMENT**

In compliance with the State Government Ethics Act, Mr. Davenport read the conflict of interest statement and asked if anyone had a conflict of interest to disclosed. No conflicts were identified.

### **ELECTION OF OFFICERS**

Mr. Davenport called on Megan Ayers, the Assistant Secretary to the Board of Trustees, to conduct the election of officers.

In lieu of a nominating committee, nominations for each position were solicited from the floor, then each position was individually voted on via roll call.

For the position of Chair of the Board of Trustees, Mr. Robbie Moore nominated Angela Moss. Mr. Max Joyner, Jr. nominated Vern Davenport. There were no further nominations from the floor.

Ms. Angela Moss called into question the ability of a trustee to vote via phone. Donna Gooden Payne, University Counsel and Vice Chancellor for Legal Affairs, was consulted.

Mr. Moore motioned to table the voting process until further legal counsel could be provided.

Ms. Moss seconded Mr. Moore's motion.

Mr. Davenport opened the floor for discussion and Mr. Johnson suggested a more appropriate action would be for the board to recess for legal counsel to provide a more sufficient answer.

Mr. Moore amended his motion to state the board recess for as long as needed to get proper legal counsel.

The motion did not carry.

Rather than recess, the Board agreed to continue with reports from university representatives.

## **UNIVERSITY REPORTS**

### **REPORT FROM THE INTERIM CHANCELLOR**

Interim Chancellor Dan Gerlach provided a summary of University Priorities for 2019-2020. A copy of the summary is listed as "Attachment A."

## **ELECTION OF OFFICERS**

*During the report from the Interim Chancellor, Trustee Leigh Fanning arrived at the meeting.*

Mr. Davenport called on Assistant Secretary to the Board of Trustees Megan Ayers to continue the election of officers.

Ms. Ayers asked for additional nominations for the office of chair. Hearing no further nominations, Ms. Ayers closed the nominations for the office of chair.

Ms. Ayers collected the votes via roll call.

Following the roll call vote, Mr. Vern Davenport was elected to the office of chair with seven votes to Angela Moss' six votes. A detailed report of the roll call vote for the office of chair is listed as "Attachment B."

Ms. Ayers opened the floor for nominations for the office of vice chair. Mr. Max Joyner, Jr. nominated Mr. Fielding Miller.

Mr. Vince Smith nominated Ms. Angela Moss. Ms. Moss respectfully declined the nomination.

Hearing no additional nominations from the floor, Ms. Ayers closed the nomination period.

Ms. Ayers collected the votes via roll call.

Following the roll call vote, Mr. Fielding Miller was elected to the office of vice chair unanimously. A detailed report of the roll call vote for the office of vice chair is listed as "Attachment C."

Ms. Ayers opened the floor for nominations for the office of secretary.

Mr. Max Joyner, Jr. nominated Vince Smith.

Mr. Robert Moore nominated Leigh Fanning. Ms. Fanning respectfully declined the nomination.

Hearing no additional nominations from the floor, Ms. Ayers closed the nomination period.

Following the roll call vote, Mr. Vince Smith was elected to the office of secretary unanimously. A detailed report of the roll call vote for the office of secretary is listed as "Attachment D."

To close out the election period, Ms. Ayers restated the elected officers of the Board of Trustees.

Mr. Vern Davenport was elected as Chair of Board of Trustees.

Mr. Fielding Miller was elected as Vice – Chair of the Board of Trustees.

Mr. Vince Smith was elected as Secretary of the Board of Trustees.

## **UNIVERSITY REPORTS**

### **REPORT FROM THE STUDENT GOVERNMENT ASSOCIATION**

Colin Johnson, President of the Student Body, brought an update from the Student Government Association. A full text version of his update is listed as “Attachment E.”

### **REPORT FROM THE FACULTY SENATE**

Jeff Popke, Chair of the Faculty Senate, brought an update from the Faculty Senate. A full text version of his update is listed as “Attachment F.”

### **NEW BUSINESS**

Chair Davenport called on Mr. Wayne Poole, Director of Internal Audit, to present the annual audit plan. A version of the annual audit plan is listed as “Attachment G.”

Following Mr. Poole’s presentation, Mr. Davenport called for a motion to approve the annual audit plan as presented in board materials.

The motion was seconded without discussion. The board voted unanimously to approve the annual audit plan.

Chair Davenport called on Jon Gilbert, Director of ECU Athletics, and J.J. McLamb, Senior Associate Director of Athletics, to present a proposal on alcohol sales at athletic events to the board.

Mr. Max Joyner, Jr. identified a conflict of interest with the sale of alcohol at all athletic venues and recused himself from the vote.

Mr. Jason Poole moved that the board approve the resolution authorizing the sale of alcohol at all athletic venues as presented in board materials. A copy of the resolution is listed as "Attachment H." The motion was seconded without comments and passed.

Interim Chancellor Gerlach announced the appointment of a Task Force on Alcohol Sales to address concerns associated with the sale of alcohol.

### **APPROVAL OF MINUTES**

Mr. Davenport asked for a motion to approve the minutes from the regularly scheduled meeting of April 12, as well as the special called meetings on May 23 and May 30, 2019.

The motion was seconded and passed without discussion.

### **NEW BUSINESS**

Chair Davenport called on Donna Gooden Payne, University Counsel and Vice Chancellor for Legal Affairs, to provide an overview on proposed Board of Trustees Bylaw Revisions as presented in board materials.

Mr. Davenport announced an ad hoc committee to perform a comprehensive review of the bylaws and recommend revisions to the board at the next regular meeting.

The ad hoc committee will be chaired by Mr. Jason Poole.

### **CLOSED SESSION**

Mr. Colin Johnson moved the board go into closed session to prevent the disclosure of confidential information under NC General Statutes §126-22 to §126-30 (personnel information); and the federal Family Educational Rights and Privacy Act; and to consult with an

attorney and to preserve the attorney-client privilege and to consider and give instructions concerning claims, including pending, potential and/or threatened litigation (see Exhibit A, attached here and incorporated herein by reference, for pending claims) and to prevent the disclosure of competitive healthcare information under N.C. General Statute 130A-45.11; G.S. 131E-97.3.

The motion was seconded and passed.

#### **MOTIONS FROM CLOSED SESSION**

Upon resumption of open session, Chairman Davenport called for any motions that were a result of closed session discussions.

Mr. Moore presented a draft resolution to the board and then called for a discussion on the resolution.

Chairman Davenport suggested the board return to closed session to discuss the resolution.

#### **CLOSED SESSION**

Mr. Johnson presented the motion for the board to return to closed session. The motion was seconded and approved.

#### **MOTIONS FROM CLOSED SESSION**

Upon resumption of open session, Chairman Davenport called for any motions that were a result of closed session discussions.



Colin Johnson moved that the board approve the conferral of tenure for Dr. Jarvis Hargrove, Dr. Richard Lamb and Dr. Joonkoo Yun as presented in board materials. The motion was seconded and unanimously approved. This item is listed as Attachment I.

Mr. Moore moved that the board approve a resolution urging the Board of Directors of Vidant Health and the Pitt County Board of Commissioners to act to restore the 45 percent of appointees by the UNC Board of Governors to the Vidant Medical Center Board of Trustees. The motion was seconded and approved by a twelve to one vote, with Mr. Joyner noting his opposition to not receiving the motion prior to the board meeting. Text of the resolution is listed as Attachment J.

#### **ADJOURNMENT**

Having no other business to come before the board, Chairman Davenport adjourned the meeting.

**###**

**Respectfully Submitted,**  
Megan Ayers  
Assistant Secretary to the Board of Trustees

## PRIORITIES FOR 2019-2020

- GOALS:**
- Improved financial condition**
  - Increased enrollment**
  - Improved graduation rates and postsecondary outcomes**
  - Increased external funding & support**
  - Improved image**

### 1. NUTS AND BOLTS BUDGETING

- a. Finalize plan for 2020-21 with \$16 million in recurring savings
- b. Establish plan for ECU-Physicians with incentives for budget targets
- c. Develop and finalize budgets for auxiliary operations supported by fees

### 2. STRATEGIC BUDGETING AND PLANNING

- a. With Trustees, work on multiyear and comprehensive plan for funding priorities such as athletics
- b. Improve long-term business planning for efficiency & effectiveness (task force)
- c. Sharpen revenue generation focus
  - i. Create specific development targets and implement plan – Pirate Club & Advancement
  - ii. Rebuild true partnerships with key institutions such as Vidant
  - iii. Continue momentum on funded research

### 3. OPPORTUNITY FOR ENROLLMENT

- a. With new AVC for Admissions, further enhance recruitment experience for incoming first year students
- b. Target community colleges for increased Pirate Promise and other transfer initiatives
- c. Begin work to enhance contact with individuals who may have some college, but not completed, and target their employers
- d. Institute Enrollment Management Task Force ideas

### 4. LEGISLATIVE/ADMINISTRATIVE ADVOCACY

- a. Enrollment formula strategy & development
- b. Faculty & staff compensation
- c. Main campus academic capital & R&R needs
- d. Brody operating support
- e. Message development for BoT, BoV and community

### 5. COMMUNICATIONS

- a. Improved affirmative messaging on regular basis
- b. Placement in targeted large markets – Raleigh, Charlotte, Triad, Wilmington
- c. Transparency with Board and campus community

**6. COMMUNITY DEVELOPMENT**

- a. Enhance economic development participation and presence in the region**
- b. Implement and communicate millennial campus timing and development**
- c. Collaborate with Greenville for mutual benefit**





ECU®

BOARD OF TRUSTEES

**VOTING RECORD - VICE CHAIR OF BOARD OF TRUSTEES**

Nominations for Vice Chair: Fielding Miller by Max Joyner, Jr.

**ROLL CALL VOTE**

Vern Davenport – Fielding Miller

Leigh Fanning – Fielding Miller

Tom Furr – Fielding Miller

Max Joyner – Fielding Miller

Colin Johnson – Fielding Miller

Phil Lewis – Fielding Miller

Fielding Miller – Fielding Miller

Robert Moore – Fielding Miller

Angela Moss – Fielding Miller

Bob Plybon – Fielding Miller

Jason Poole – Fielding Miller

Jim Segrave – Fielding Miller

Vince Smith – Fielding Miller

The Board of Trustees elected **Fielding Miller** for the position of Vice Chair in a unanimous vote.



ECU®

BOARD OF TRUSTEES

**VOTING RECORD - SECRETARY OF BOARD OF TRUSTEES**

Nominated for Secretary: Vince Smith by Max Joyner, Jr.

**ROLL CALL VOTE**

Vern Davenport – Vince Smith

Leigh Fanning – Vince Smith

Tom Furr – Vince Smith

Max Joyner – Vince Smith

Colin Johnson – Vince Smith

Phil Lewis – Vince Smith

Fielding Miller – Vince Smith

Robert Moore – Vince Smith

Angela Moss – Vince Smith

Bob Plybon – Vince Smith

Jason Poole – Vince Smith

Jim Segrave – Vince Smith

Vince Smith – Vince Smith

The Board of Trustees elected **Vince Smith** for the position of Secretary in a unanimous vote.

Colin Johnson  
Student Body President

Interim Chancellor Gerlach, fellow trustees, vice chancellors, faculty, my fellow Pirates:

There is so much to be excited about at ECU. Each day, I serve as Student Body President, I see so much of the compassion and dedication that embodies Pirate Nation. For the past month, I've gotten the opportunity to participate in orientation and welcome hundreds of new students to campus. I see how excited they are to begin their journey and find a new home at ECU. Just seeing them reminds me of the promise I made to them, the promise I made to all students, to serve them, to advocate for their best interests, and to work hard to ensure that their student experience is the best that it can possibly be.

It's not always easy. Actually, it's rarely easy. The decisions that I face, that we face as trustees, are high stakes. But it is the student voice that empowers me to be bold and live my truth. It is the student voice that gives me the courage to speak up and the tenacity to speak out. And it is the commitment that I make to students bound by my integrity that I take into every decision.

It is with this passion that my vice president, Taylor Chappell, and I are enacting an ambitious platform focused around advocacy and affordability. I hope that this Board will join us as we find creative ways to make the costs of textbooks more transparent and accessible, and as we focus on internships and providing financial support to help our students take on new opportunities. Because the challenges that our students face are real and when they see and they feel the support of an entire university behind them, they will be successful. That's also why we are funding a new wellness platform in partnership with the Dean of Students'

Colin Johnson  
Student Body President

Office called YOU@ECU, which will bridge students to the resources available to them on campus.

This year SGA will be making a difference by doing things that students care about. I couldn't be more proud of the diverse team that I have supporting me in these endeavors: students from every area of campus, both undergraduate and graduate all working together. I am optimistic about our future and I am looking forward to all the great things that we will do.



## Report from the Faculty

### Jeff Popke, Chair of the Faculty

Good morning, everyone. My name is Jeff Popke, and I am just beginning my second year as Chair of the Faculty. Before I begin, I'd like to acknowledge Crystal Chambers, who is here this morning, and was re-elected to again serve as Vice-Chair this year. I also want to extend a warm welcome to our new Board members – we are grateful for your willingness to serve, and we look forward to working with you.

As you will come to learn, our 2,000 or so ECU faculty members are a [pretty diverse lot](#). We are scientists and engineers, musicians and novelists, clinicians and professionals in a wide array of fields. But we are united by a set of common commitments: we are engaged in world-class research, creative activities, and clinical practice that make a demonstrable impact upon our region and the wider world; and we share a deep sense of mission built around the success of our students.

If you walk around campus this time of year, you don't see a whole lot of faculty, but rest assured it has been a busy productive summer already. Some of us have been teaching summer classes, helping to get our students one step closer to graduation. And some of those classes took the form of faculty-led study-abroad programs to some 35 different countries. Our students this summer learned about child welfare in Ghana, sustainable cities in South Korea, and fashion merchandizing in Morocco, among many other amazing opportunities. These can truly be life-changing experiences for our students.

Our faculty are also using this summer time to focus on research – and you heard Vice-Chancellor Golden mention yesterday that our research enterprise is at an all-time high. Just looking at my home department of Geography, colleagues have been in the field researching disaster management in Japan, chronic kidney disease in Nicaragua, and landslides in Colorado, and I myself will soon be travelling to Bangladesh, to study how farmers in the Ganges River Delta cope with the challenges of flooding and riverbank erosion. So, our faculty are doing a lot of great things, in both classrooms and research settings, and I think it fair to say that these faculty efforts are the driving force behind ECU's positive impact.

But I must also say to you this morning that I have some real concerns about our ability to continue to recruit and retain outstanding faculty, and I can tell you forthrightly that faculty morale is not high. There are number of reasons for this, but first among them is the erosion of faculty salaries, and the resulting problems of compression and inversion, resulting from a decade without meaningful legislative appropriations for faculty raises.<sup>i</sup> And let me note in this regard how dismayed I was to learn that this year's budget has once again shortchanged our university employees, incorporating just a 1% raise over the next 2 years, rather than the 5% budgeted for all other state employees.

In addition to this, our general campus climate over the past couple of years has been somewhat unsettling, as you know, and this has made it difficult at times to focus attention on our core mission, to highlight the achievements of our faculty and the successes of our students. And now, amid a challenging environment for enrollments, we face the prospect of a hiring freeze and budget constraints, which will require our faculty to do more with less, and without the reward, recognition, or respect that I believe they are due.

If there is good news in this, it is that we have a strong tradition at ECU of working collaboratively and productively to address challenges as they arise, and so I want to close with a plea for us to protect, and where possible strengthen, our culture and institutions of *shared governance*. The concept of shared governance refers to the inherent partnership and common sense of mission that inherently exists among University stakeholders, but especially between faculty, the administration, and Board of Trustees. For those unfamiliar with it, I want to recommend the [Statement on Shared Governance](#) put out by the Association of Governing Boards, which emphasizes the value of shared governance principles from a trustees' perspective.

At its core, shared governance rests upon what the AGB Statement calls “a culture of meaningful engagement” (p. 6), and in my experience, we have been successful at ECU in building such a culture between the faculty and administration, and I want to express my appreciation to Interim Chancellor Gerlach, Provost Mitchelson, and the other Vice-Chancellors for their commitment to engaging the faculty in dialogue around our important shared concerns.

Over the coming year, I'd like to work toward strengthening channels of communication with the Board of Trustees as well. To start with, I hope that we can continue the arrangement by which the Chair of the Faculty participates in the Board's University Affairs Committee. But I welcome additional opportunities – formal or informal – for increased interaction between the faculty and the Board. I believe that a robust culture of engagement will be especially important when the time comes to initiate the search for our next permanent chancellor, a process that I hope will provide significant opportunity for faculty involvement.

In a companion [White Paper](#) to its Statement on Shared Governance, the AGB notes that “[during times] of challenge, stress, and change ... it is more important than ever that shared governance works well” (p. 3). I want you to know during these times that the ECU faculty stand as willing partners in our efforts to address our challenges, promote our many successes, and move ECU forward in a positive direction. Please do not hesitate to reach out to me personally, if there is ever anything that I can do to assist ([popkee@ecu.edu](mailto:popkee@ecu.edu)).

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<sup>i</sup> For additional information on salary trends at ECU, see the Chair's remarks from [the January 2019 meeting of the ECU Faculty Senate](#). For discussion of the problem of salary compression and inversion, see the [White Paper](#) produced by the UNC Faculty Assembly in support of a UNC System Competitive Compensation Fund.

## Annual Audit Planning Process – Why?

- Required to comply with IIA Standard 2010
- Required to submit to UNC System Office/BOG
- Determine the priorities of the Internal Audit team based on the assessment of risks that may affect ECU's ability to accomplish its objectives
- Coordinate activities of all compliance and risk units to avoid duplication and ensure key risks are covered

# Annual Audit Planning Process – How?

**Continuously** Assess and Monitor Risk / Update Audit Universe and Plan



- Ensures completeness of risk coverage
- Vision, mission, and strategic plan
- Latest financial statement
- Financial balances
- Organization charts, chart of accounts
- Recent internal and external audits completed and results

- Ratings based on objective guidance; judgment applied
- Criticality of unit
- Internal Control
- Public or political sensitivity
- Legal and regulatory requirements
- Changes in management
- Financial Impact
- Fraud and Abuse

- Facilitated by ERM
- Uncovers issues impacting University at an enterprise level
- Links to strategic objectives
- Identifies most critical risk (strategic, operational, compliance, reputational, financial)

- Review other ECU compliance and assurance providers' plans & reviews
- Review latest findings from State Audit reports
- Review UNC KPIs and Internal Control Assessments
- Discuss with VCs and key management personnel
- Review industry literature for emerging risks and hot topics

- Based on prioritized audit universe, top-down assessment
- Include management requests
- Determine if pending audits from previous plan need to be brought forward
- Determine follow-up reviews
- Determine available auditor hours

- Present to Executive Council for Discussion
- Approval by Chancellor
- Approval by ECU BOT Audit Committee
- Submit to UNC GA
- Submit to NC Council of Internal Auditing

# Annual Audit Planning Process – Result

- A work plan/list of priorities for the IA team
- Includes hours for unplanned consultations and investigative audits – based on historical trends
- Flexible; can and will change as new priorities emerge
- Changes will be brought to the Chancellor and BOT/Audit Committee for approval

## Annual Audit Planning Process – Next Steps

- Chancellor approved in June 2019
- BOT/Audit Committee approval sought
- Submit to required external agencies in July
- CAO will keep Chancellor and BOT/Audit Committee apprised of progress and desired changes throughout the year

**East Carolina University  
Office of Internal Audit  
Annual Engagement Plan  
By Type  
FY 2019-2020**

Attachment G

Project Description	Budget Status	Budgeted Hours	%age of Total	Risk Ranking
<b>Integrated/Operational Audits:</b>				
Kronos and Timekeeping (F18032/A16038) (Data Analytics)	WIP	400	2.4%	High
PeopleAdmin Position Approval Processes	WIP	300	1.8%	High
SoDM Revenue Cycle (Data Analytics)	WIP	300	1.8%	High
ERM Maturity Assessment	CYP	300	1.8%	High
University Advancement (Gift Valuation and Accounting)	CYP	300	1.8%	High
Sponsored Programs Time and Effort Reporting	CYP	340	2.0%	High
Data Analytics Review of Payroll, Travel, Athletics, other (TBD)	CYP	500	3.0%	High
Unpaid Adjunct Faculty Members	CYP	340	2.0%	High
Research Compliance Staffing and Funding Model	CYP	300	1.8%	High
Automated Journal Vouchers Process	CYP	300	1.8%	High
<b>Total Integrated/Operational Audit Hours</b>		<b>3380</b>	<b>20.3%</b>	
<b>Compliance Audits:</b>				
Third Party Service Provider Controls	WIP	100	0.6%	High
ADA/504 Compliance	CYP	300	1.8%	High
<b>Total Compliance Audit Hours</b>		<b>400</b>	<b>2.4%</b>	
<b>Information Technology Audits:</b>				
Technology Security Assessment Process	CYP	300	1.8%	High
IT Asset Management (ITCS and non-ITCS)	CYP	300	1.8%	High
Controls over Privileged Accounts	CYP	300	1.8%	High
<b>Total Information Technology Audit Hours</b>		<b>900</b>	<b>5.4%</b>	
<b>Investigative Reviews:</b>				
Hotline Allegations Triage	CYP	120	1%	NA
Investigative Reviews - Already In Progress	WIP	200	1%	NA
Investigative Reviews - Not Yet Received/Started	CYP	2200	13%	NA
<b>Total Investigative Audit Hours</b>		<b>2520</b>	<b>15%</b>	
<b>Follow-Up Reviews:</b>				
Action Plan Tracking and Follow-Up	CYP	240	1.4%	High
University Youth Programs (A18008)	CYP	150	0.9%	High
Student Transit (L18021)	CYP	150	0.9%	High
Americorps Grant (2nd Follow-Up) (F19022)	CYP	120	0.7%	High
Security Camera Controls (A18017)	CYP	120	0.7%	High
Student Conduct Process (A19021)	CYP	80	0.5%	High
SoDM CSLCs (A18024)	CYP	100	0.6%	High
<b>Total Follow-Up Review Audit Hours</b>		<b>960</b>	<b>4.7%</b>	
<b>Consultations/Management Advisory Services</b>				
Routine/Other Consultations	CYP	800	4.8%	
Routine Data Analytics Support for Clients	CYP	200	1.2%	
University Committees Participation	CYP	500	3.0%	
ProCard Analytics for Chrome River	WIP	120	0.7%	
Assist with AICFR	WIP	40	0.2%	
Student Athlete Course Clustering	CYP	60	0.4%	
University Orientation Materials	CYP	100	0.6%	
<b>Total Consultation Hours</b>		<b>1820</b>	<b>10.9%</b>	

Budget Status:  
CYP = Current Year Plan  
WIP = Work-In-Progress on June 30

East Carolina University  
Office of Internal Audit  
Annual Engagement Plan  
By Type  
FY 2019-2020

Attachment G

Project Description	Budget Status	Budgeted Hours	%age of Total	Risk Ranking
<b>Other/Special Projects:</b>				
Coordinate and Assist with External Audits, SBI Reports, Routine Tasks	CYP	800	4.8%	NA
Audit Software Admin and Maintenance	CYP	300	1.8%	High
Student Intern Program	CYP	300	1.8%	High
Required IIA QAIP/Self-Assessment	CYP	40	0.2%	High
Develop Assurance Map	CYP	100	0.6%	High
<b>Unplanned Special Projects as Assigned</b>	CYP	200	1.2%	High
Risk Assessment/Audit Planning 2020-2021	CYP	80	0.5%	High
Risk Assessment/Audit Planning 2019-2020	WIP	20	0.1%	High
<b>Total Other/Special Project Hours</b>		<b>1840</b>	<b>11.0%</b>	
<b>Total Direct Audit Hours</b>		<b>11820</b>	<b>71%</b>	
Administration	CYP	1800	11%	NA
Leave/Holiday	CYP	2300	14%	NA
Professional Development	CYP	750	4%	NA
<b>Total Indirect Audit Hours:</b>		<b>4850</b>	<b>29%</b>	
<b>Grand Total Audit Hours</b>		<b>16670</b>	<b>100%</b>	

\_\_\_\_\_  
Chancellor/Date

\_\_\_\_\_  
ECU BOT Audit Committee Chair/Date

Budget Status:  
CYP = Current Year Plan  
WIP = Work-In-Progress on June 30



Resolution of the Board of Trustees of East Carolina University  
Regarding Alcohol Sales at Athletic Facilities

Whereas, East Carolina University (“ECU”) recognizes that the General Assembly has passed, and the Governor has signed Session Law 2019-52, which became effective as law on June 26, 2019;

Whereas, Session Law 2019-52 exempts any stadium, athletic facility, or arena on the campus or property of a public college or university from the prohibition on obtaining a permit for the sale of alcoholic beverages if the Board of Trustees of the college or university has voted to allow the issuance of such permits for use at the stadium, athletic facility, or arena;

Whereas ECU Athletics operates Dowdy-Ficklen Stadium, Williams Arena at Minges Coliseum, Clark-LeClair Stadium, ECU Softball Stadium, and Johnson Stadium and each of these facilities qualifies as an athletic facility that meets the criteria for the issuance of a permit to sell alcoholic beverages under Session Law 2019-52;

Whereas the ECU Board of Trustees wishes to express its approval for the issuance of permits to allow the sale of alcoholic beverages at these ECU athletic facilities, consistent with applicable law; and

Whereas the ECU Board of Trustees urges Interim Chancellor Gerlach to adopt regulations to govern the sale of alcoholic beverages at the ECU athletic facilities until such time as the University Alcohol Policy can be more fully amended.

**Now therefore**, be it resolved that the issuance of permits for the sale of alcoholic beverages at the above-referenced ECU athletic facilities is hereby approved as required by Session Law 2019-52, and, subject to such regulations as the Chancellor may approve, ECU, businesses that contract for use of the facilities from ECU, and ECU’s Associated Entities as recognized by ECU pursuant to N.C.G.S. Section 116-20.30 may seek such permits from the appropriate authorities and, if such permits are granted, may sell alcoholic beverages within the premises of the ECU athletic facilities, including any area that is within 500 feet of the furthest exterior building wall or permanent fixed perimeter, is designated by the athletic facility in a map or written description which clearly defines the boundary of the area and which is included with the application for such permit, and that is designated in a manner that enables the ECU athletics facilities to ensure compliance with the provisions of Chapter 18B of the General Statutes.

Adopted this the \_\_\_ day of July, 2019.

\_\_\_\_\_  
\_\_\_\_\_, \_\_\_\_\_ Chair

Attest: \_\_\_\_\_  
Assistant Secretary




Office of the Provost and Senior Vice Chancellor for Academic Affairs  
210 Spilman Building | Mail Stop 501 | East Carolina University | Greenville, NC 27858-4353  
252-328-5419 office | 252-328-4010 fax

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## Memorandum

TO: Ms. Megan Ayers  
ECU Board of Trustees

FROM: Dr. Ronald L. Mitchelson   
Provost and Senior Vice Chancellor for Academic Affairs

Date: June 24, 2019

RE: Request for BOT Action on 2019 Recommendations for Tenure

Ms. Ayers, attached are three 2019 tenure recommendations that need to be submitted to the Board of Trustees for their consideration at the upcoming July 11-12 meeting. These actions have been approved by Interim Chancellor Gerlach. Formats are attached for the following faculty members:

**Harriot College of Arts and Sciences (1)**

Jarvis Hargrove, History

**College of Education (1)**

Richard Lamb, Special Education, Foundations, and Research

**College of Health and Human Performance (1)**

Joonkoo Yun, Kinesiology

Since these recommendations require approval of the Board of Trustees before tenure may be conferred, I am requesting that these actions be placed on the agenda for consideration by the Board at their July 11-12 meeting. Each of the above recommendations has been approved by all appropriate parties, including the Interim Chancellor.

Please let me know if you have questions about this request.

Attachments: 3 BOT Tenure Formats

## Draft Resolution

**Whereas, the Brody School of Medicine has served eastern North Carolina for over 40 years; and**  
**Whereas, it has long been a goal of the ECU Board of Trustees and administration to obtain financing for a new facility for the Brody School of Medicine; and**  
**Whereas, the General Assembly has acted to provide \$215 million for a new facility, one of the largest single public capital investments in North Carolina history; and**  
**Whereas, the General Assembly conditioned the provision of \$200 million of these funds on the existence of a valid affiliation agreement among the primary affiliated teaching hospital of the Brody School of Medicine, ECU, and the UNC Board of Governors that requires at least 45 percent of the hospital's Board members be appointed by the UNC Board of Governors, and**  
**Whereas, Vidant Medical Center is the primary affiliated teaching hospital of the Brody School of Medicine, and**  
**Whereas, the UNC Board of Governors had the authority and responsibility to appoint 9 of the 20 (45 percent) of the Vidant Medical Center Board of Directors until April 2019, and**  
**Whereas, Vidant Health and Pitt County acted in April 2019 to remove the appointment power of the UNC Board of Governors and provide that power to Vidant Health; and**  
**Whereas, that removal would deny East Carolina University access to \$200 million in financial support from the state of North Carolina**  
**Now, therefore, be it resolved that the Board of Trustees of East Carolina University urges the Board of Directors of Vidant Health and the Pitt County Board of Commissioners to act to restore the necessary 45 percent of appointees by the UNC Board of Governors to the Vidant Medical Center Board of Trustees.**

**ECU Board of Trustees  
August 1, 2019**

**SPECIAL CALLED MEETING  
MINUTES**

The Board of Trustees met for a special called meeting on Thursday, August 1, 2019. Chair Vern Davenport called the meeting to order and asked Assistant Secretary Megan Ayers to call the roll. All members participated in the call.

In compliance with the State Government Ethics Act, Mr. Davenport read the conflict of interest statement and asked if anyone had a conflict of interest to disclosed. No conflicts were identified.

Mr. Davenport outlined the purpose of the meeting, which was to provide an update on the report that was prepared by the Accounting Firm of Cherry Bekaert. In addition, Mr. Davenport outlined the structure of the meeting since many members were participating via phone.

Mr. Davenport called on Interim Chancellor Gerlach to provide an overview of Ms. Bekaert's report which summarized the operational assessment of ECU's financial position and operational management. Following that summary, Mr. Davenport called on each board member for comments.

No action was taken at this meeting and there were no other items of business discussed. The meeting adjourned at 4:00 p.m.

**###**

**Respectfully Submitted,**  
Megan Ayers  
Assistant Secretary to the Board of Trustees