



# East Carolina University | Board of Trustees

## Athletics & Advancement Committee | September 19, 2013

### Agenda

- I. Approval of April 18, 2013 Minutes **Action**
  
- II. University Advancement
  - A. Advancement Annual Report Discussion
  - B. Naming Proposals **Action**
  
- II. Athletics
  - A. Compliance Overview Discussion
  - B. Strategic Plan Update Discussion
  - C. Financial Report Discussion
  - D. Athletics Update Discussion
    - 1. Facilities
    - 2. Academics
    - 3. Human Resources
    - 4. Points of Distinction
  
- III. Closed Session

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics and Advancement
Responsible Person	Edwin Clark, Chair
Agenda Item	I. Approval of Minutes
Item Description	
Action Requested	Approval of minutes from April 18, 2013
Disposition	
Notes	

## **Athletics & Advancement Committee**

**April 18, 2013**

### **Talking Points**

Committee members present were Danny Scott, Bob Lucas, Robert Brinkley, Mark Copeland and Mark Tipton. Other board members present included Joel Butler, Carol Mabe, Matthew Paske, Ken Chalk, Edwin Clark, Deborah Davis, Bobby Owens and Steve Jones.

We started the meeting by recognizing the ECU Men's Basketball Team. Coach Lebo and his staff were there with a pretty impressive CIT Championship Trophy. We watched a short highlight reel of the championship game, which was just as exciting the 2<sup>nd</sup> time around. Congratulations again to Coach Lebo, his staff and his players for such an inspiring season, and we look forward to what's to come next season!

### **University Advancement**

#### **Access / Brody Scholars**

We had the pleasure of having two students share their story as to why they chose ECU. The first student is an Access Scholar, TaCriasha Willoughby (TC for short). She is a biology major from Gates County who is graduating in few weeks and looking forward to graduate school. Her long-term goal is to be an OB/GYN physician in North Carolina. Being the recipient of the Access Scholarship afforded her the opportunity of getting the kind of education she would have not had access to otherwise. The second student, Stephanie Carrier, is a Brody Scholar in her third year here at ECU. She did her undergraduate work at UNC before coming to ECU for med school. She felt that being asked to interview for the Brody Scholarship was an honor in itself and once selected as a recipient there was no hesitation in acceptance. She also mentioned that through all her medical school interviews ECU's interview left her feeling accepted, welcomed and that she truly felt wanted here. Because of her Brody Scholarship she is afforded the opportunity to graduate medical school with much less debt. She plans to practice Family Medicine here in NC.

#### **Development**

Glen Gilbert shared a few pages from the ECU Foundation's 2011-2012 annual report, which illustrated significant increase of ECU's planned giving over the last five years. Giving has increased from 40M to 140M. Our alumni participation rate has increased to nearly 10% up from 6% five years ago. This increase is significant because state appropriations and public support are decreasing. The Advancement function has never been more critical than it is today.

#### **Vice Chancellor Search Update**

Rick Niswander provided an update on the search for the Vice Chancellor for Advancement. On May 2<sup>nd</sup>, the committee will review applicants for the first cut. They hope to conduct airport interviews May 16-17. We hope to bring in the top 3-4 candidates for on campus interviews the first part of June.

## **BOV Nominations**

DR. Philip Rogers presented the nominations for the Board of Visitors as well as the slate of officers for the BOV. We have 7 members seeking reappointment, and five new member appointments. I will make a motion at the conclusion of my report.

## **Athletics**

### **Coach Update**

This meeting, our Coach's Update came from ECU Swimming and Diving Coach, Rick Kobe. ECU has had a swim team for 59 years – over the course of those 59 years, there has only been 3 coaches, Coach Kobe being the most recent, however, he has been here 33 years.

There are 60 student athletes on the swim team – 30 females/30 males. First to recap the women: The women have a 9-1 dual meet record. They finished 4<sup>th</sup> in Conference USA, set 5 ECU records and set 6 ECU Freshman records. They are also very successful academically. Two female swimmers made the Chancellor's List, 16 have made the Deans List and 8 were on the Honor Roll. The male swimmers aren't quite as smart, but they hold their own: with 1 swimmer on the Chancellor's List, 3 on the Dean's List and 11 making the Honor Roll. Their dual meet record was 6-2. And it should be noted that this is the 30<sup>th</sup> consecutive year having a non-losing season.

Not only do these kids perform in the pool, and in the classroom, but they are active in their community. The swim team participates in three service projects annually. This year, they helped with the Pitt County Special Olympics Swim Team, which included 35 swimmers ages 7-65. They were involved with the Relay for Life event, and they participated in the "Swim Across America for Cancer Research."

Coach Kobe talking a little about recruiting. Obviously, scholarships are a key to successful recruiting, and about 80-90% of all swimmers receive some sort of scholarship. The other key factor in recruiting is the facilities. Our pool was built in 1960, and whereas we are making upgrades to the pool, we will eventually need to invest in new pool facilities if we want to become a "Top 25" swim program.

### **Athletic Strategic Plan**

Since last summer we have received a report at each regarding updates of the athletics strategic plan work. This meeting, we received a describes a review of the plan development process, core values, mission and vision statements, and 15 major goals spread among the 7 established priority work areas.

80 people, representing many, if not all, areas of the university, including the students, is a major strength of this plan. Having such a diverse and collaborative effort will certainly aid in the implementation of this strategic plan.

After receiving final directions from the Chancellor, Jeff Compher and the Board, the next step is to move forward with implementation of the plan which includes a public release of this effort

thru posting on ecupirates.com and meeting with the athletics staff to communicate the operational plan.

We hope to have a thorough presentation of the Athletics Strategic Plan to the Board of Trustees in the near future.

**Athletics Update**

Coach Holland gave a conference update – ECU is now an All-Sports member of the newly named American Athletic Conference. Our teams and coaches are already in the integration stage of the conference.

**MOTIONS:**

I move that the Board approve the Board of Visitors nominations and recommendations for officers as presented in the Board materials.

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Advancement
Responsible Person	Interim Vice Chancellor for University Advancement Glen Gilbert
Agenda Item	II. A. Advancement Annual Report
Item Description	
Action Requested	Committee Review and Discussion
Disposition	
Notes	

# ECU FOUNDATIONS

## Annual Report 2012-13

**Dr. Glen Gilbert**

**Interim Vice Chancellor for Advancement**

**Interim Vice President of the Medical & Health Sciences Foundation**

**Interim Executive Director of the Brody Foundation**

**Dean of the College of Health and Human Performance**

## This is what will be included today:

- ❖ **Background on Advancement at ECU – how we are organized and who we are.**
- ❖ **How we are doing – the numbers over recent years.**
- ❖ **Review of our major scholarship programs.**
- ❖ **Endowed professorships.**
- ❖ **How we are doing versus our peer group and system institutions.**
- ❖ **A review of our alumni base.**
- ❖ **Institutional priorities.**
- ❖ **Draft Short Term & Long Term Goals**
- ❖ **Enabling objectives – plans underway.**
- ❖ **Actions to consider.**





East Carolina University.



The ECU Foundation



The ECU Educational Foundation



The ECU Medical & Health  
Sciences Foundation



The ECU Alumni Association

**Foundations  
ANNUAL REPORT  
2012-2013**

Vice Chancellor  
University  
Advancement

Pirate Club  
*Reports to  
Athletic Director*

Administrative  
Services

Planned  
Giving

ECU  
Foundation

Advancement  
Services

Corporation &  
Foundation  
Relations

Alumni Relations  
Including Alumni  
Association

Medical & Health  
Sciences  
Foundation

Estate  
Planning

Major Gift  
Officers

Annual  
Giving

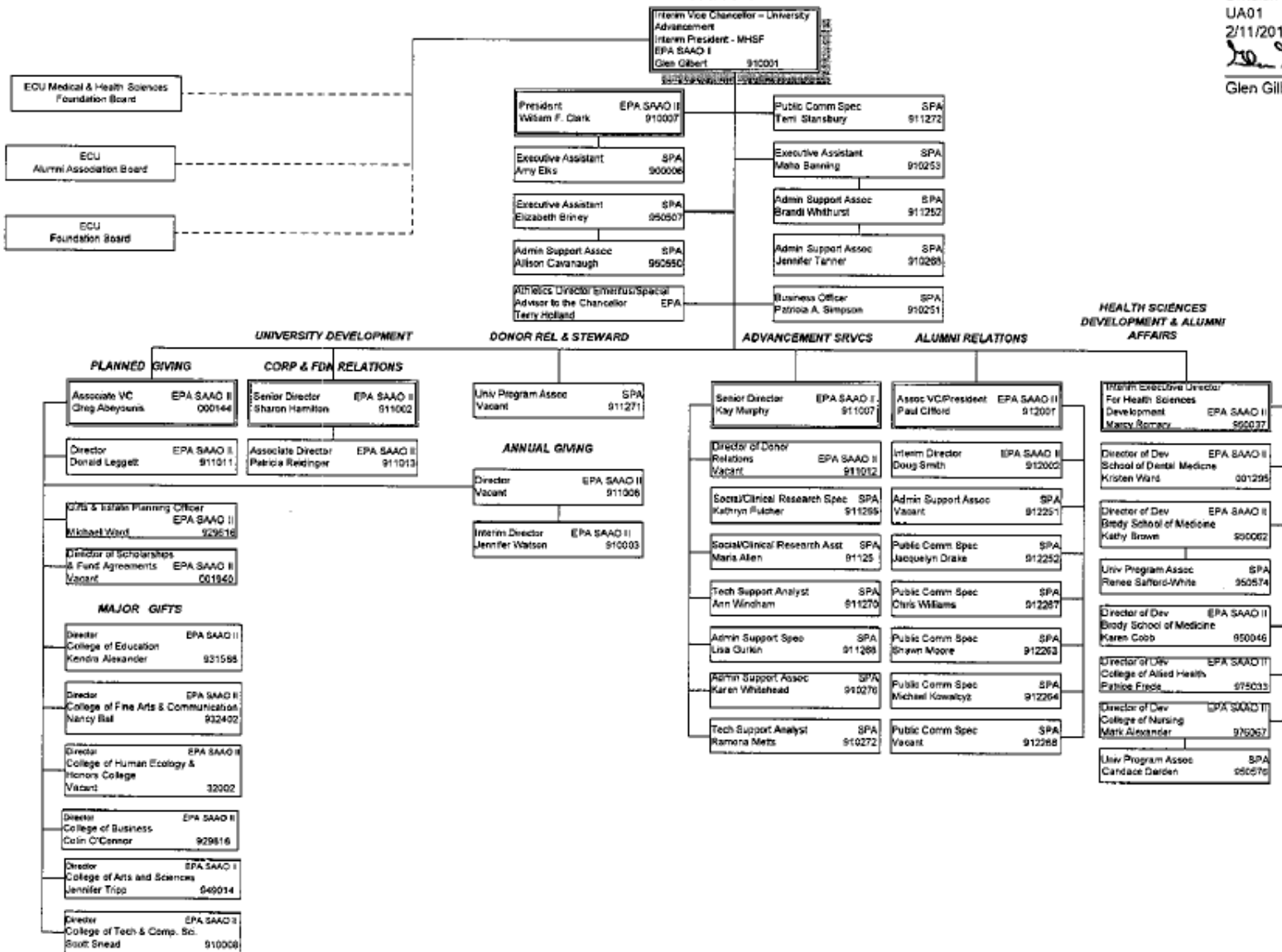
Major Gift  
Officers

Steward-  
ship

The following slides are organizational charts.

**UNIVERSITY ADVANCEMENT ORGANIZATIONAL CHART**  
Institutional

East Carolina University  
Division of University Advancement  
UA01  
2/11/2013  
*Glen Gilbert*  
Glen Gilbert, PhD



# UNIVERSITY ADVANCEMENT FUNCTIONAL ORGANIZATIONAL CHART

## Functional Relationship

East Carolina University  
Division of University Advancement  
Functional Relationship Org Chart

Interim Vice Chancellor - University Advancement  
Glen Gilbert 910001

Interim President  
Medical & Health Sciences Foundation  
EPA SAAO II  
Glen Gilbert

ECU Medical & Health Sciences Foundation Board

ECU Alumni Association Board

ECU Foundation Board

President  
William F. Clark EPA SAAO II 910007

Executive Assistant  
Aryr Eks SPA 900006

Executive Assistant  
Maha Banning SPA 910253

Admin Support Assoc  
Brandi Whitburnt SPA 911252

Admin Support Assoc  
Jennifer Tanner SPA 910268

Business Officer  
Patricia A. Simpson SPA 910251

Athletic Director Emeritus/Special Advisor to the Chancellor  
Terry Holland EPA

Public Comm Spec  
Terri Stansbury SPA 911272

Executive Assistant to the President of MHSF  
Elizabeth Binney SPA 950507

Admin Support Assoc  
Alison Cavanaugh SPA 950550

Educational Foundation  
Sr. Assoc. Athletics Director/  
Executive Director Pirate Club  
J. Batt  
Report to Athletic Director

Development Staff

**UNIVERSITY DEVELOPMENT**

**ADVANCEMENT SRVCS**

**ALUMNI RELATIONS**

**HEALTH SCIENCES DEVELOPMENT & ALUMNI AFFAIRS**

**PLANNED GIVING**

Associate VC  
Greg Abeyoukis EPA SAAO II 000144

Gifts & Estate Planning Officer  
Michael Ward EPA SAAO II 829610

**CORP & FDN RELATIONS**

Senior Director  
Sharon Hamilton EPA SAAO II 911002

Associate Director  
Patricia Reindler EPA SAAO II 911013

**ANNUAL GIVING**

Director of Scholarships & Fund Agreements  
Vacant EPA SAAO II 001940

Director  
Vacant EPA SAAO II 911008

Interim Director  
Jennifer Watson EPA SAAO II 910003

**MAJOR GIFTS**

Major Gift Officer College of Education Kendra Alexander EPA SAAO II 991988	Major Gift Officer College of Fine Arts & Communication Nancy Ball EPA SAAO II 932402	Major Gift Officer College of Human Ecology & Honors College Vacant EPA SAAO II 932002
Director of Development College of Education Jessica Nottingham	Director of Development College of Fine Arts & Communication Mary Jane Geddes	Director of Development College of Human Ecology Cheryl Reich
Interim Major Gift Officer College of Health & Human Performance Don Leggett EPA SAAO II 911011 5 FTE	Major Gift Officer College of Tech & Comp. Sc. Scott Sneed EPA SAAO II 910009	Major Gift Officer College of Business Carm O'Connor EPA SAAO II 929610
Major Gift Officer College of Arts and Sciences Jasmyne Tripp EPA SAAO II 949046	Major Gift Officer Student Affairs Zack Hawkins EPA SAAO II	Director of Development College of Business Taylor Bell
Major Gift Officer Joyne/Laupus Library Dwayne Tesque EPA SAAO II		Associate Dean College of Business Jim Westmoreland

Senior Director  
Kay Murphy EPA SAAO II 911007

Director of Donor Relations  
Vacant EPA SAAO II 911012

Social/Clinical Research Spec  
Kathryn Fischer SPA 911255

Social/Clinical Research Asst  
Maria Allen SPA 911251

Tech Support Analyst  
Ann Windham SPA 911270

Admin Support Spec  
Lisa Gurkin SPA 911268

Tech Support Tech  
Karen Whitehead SPA 912278

Tech Support Analyst  
Ramona Metts SPA 910272

Bus Tech Applic Analyst  
Debbie White - JTCS SPA

Assoc VCPresident  
Paul Clifton EPA SAAO II 912001

Interim Director  
Doug Smith EPA SAAO II 912002

Public Comm Spec  
Jacquelyn Drake SPA 912252

Public Comm Spec  
Chris Williams SPA 912267

Public Comm Spec  
Christy Angle SPA 912263

Public Comm Spec  
Michael Kowalczyk SPA 912264

Public Comm Spec  
Shawn Moore SPA 912266

Admin Support Assoc  
Chelsea Ward SPA 912251

Interim Executive Director  
For Health Sciences Development  
Misty Romany EPA SAAO II 950337

Major Gift Officer  
School of Dental Medicine  
Kristen Ward EPA SAAO II 001285

Major Gift Officer  
Brody School of Medicine  
Kathy Brown EPA SAAO II 950082

Univ Program Assoc  
Renee Safford-White SPA 950574

Director of Annual Giving & BSOM Alumni/Parent Relations  
Karen Cobb EPA SAAO II 950046

Major Gift Officer  
College of Allied Health  
Patricia Frede EPA SAAO II 975033

Major Gift Officer  
College of Nursing  
Mark Alexander EPA SAAO II 978087

Univ Program Assoc  
Candace Garden SPA 950576

Major Gift Officer  
Joyne/Laupus Library  
Dwayne Tesque EPA SAAO II

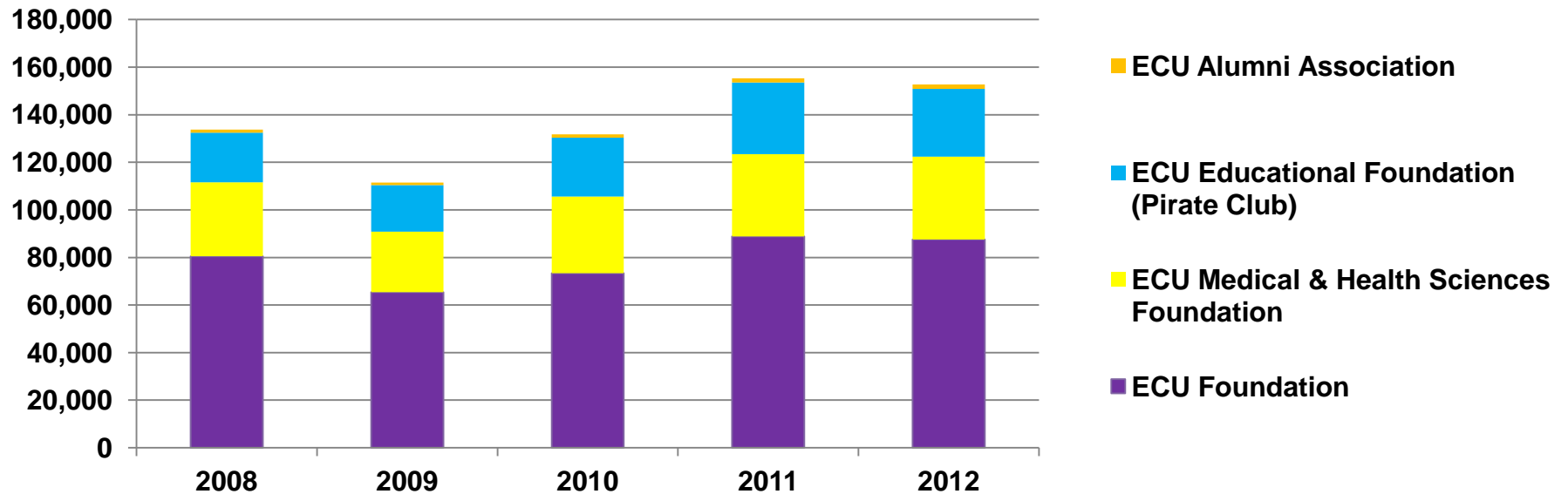
# ECU Foundations

	<b>*Assets</b>	<b>*Endowment</b>
ECU Foundation <ul style="list-style-type: none"> <li>• Real Estate Foundation</li> <li>• Green Town Properties</li> </ul>	87,600,000	61,100,000
ECU Medical & Health Sciences (includes real estate) <ul style="list-style-type: none"> <li>• Brody Foundation</li> </ul>	34,800,000	19,000,000
ECU Educational Foundation (Pirate Club)	28,500,000	11,100,000
ECU Alumni Association	1,800,000	600,000

\*As of 2012

# Net Assets of ECU Foundations

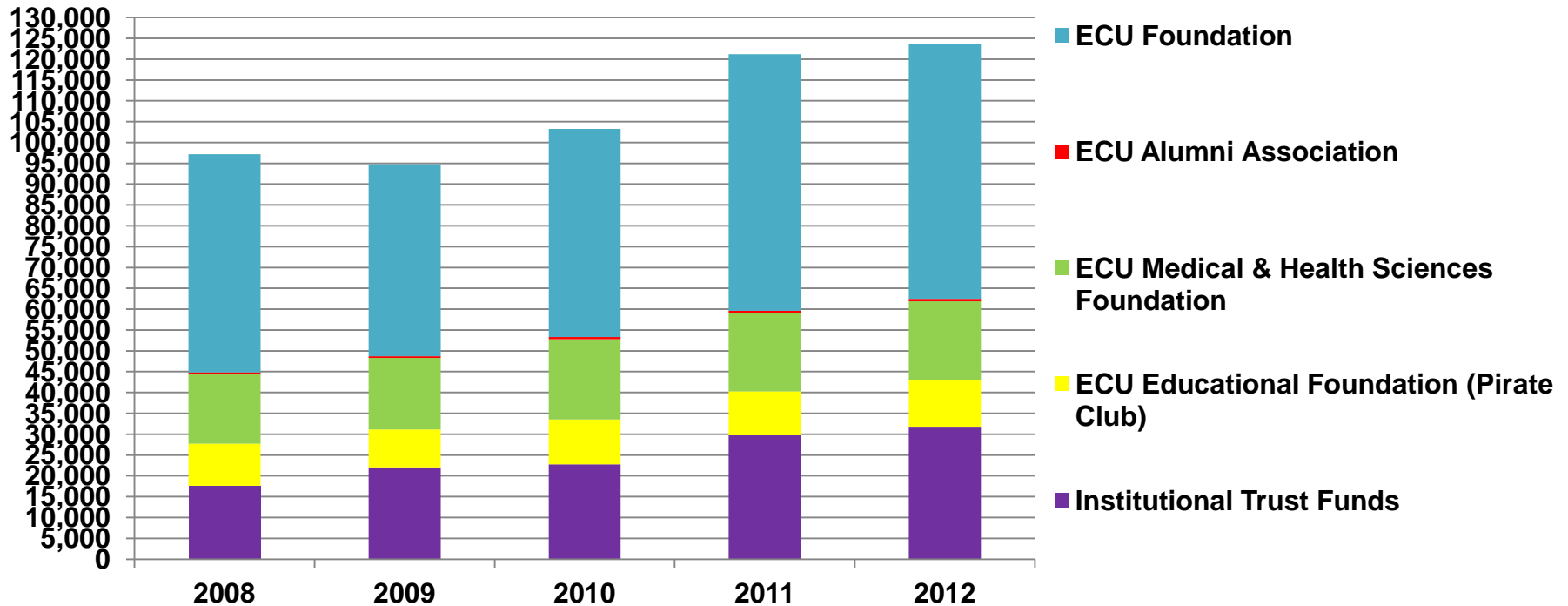
(in thousands of \$s)



	2008	2009	2010	2011	2012
<b>ECU Foundation</b>	80,500	65,400	73,400	88,800	87,600
<b>ECU Medical &amp; Health Sciences Foundation</b>	31,100	25,500	32,200	34,600	34,800
<b>ECU Educational Foundation (Pirate Club)</b>	20,900	19,500	24,700	30,100	28,500
<b>ECU Alumni Association</b>	1,200	1,100	1,400	1,800	1,800
<b>Total Net Assets</b>	133,700	111,500	131,700	155,300	152,700

# Endowments of the ECU Foundations

(in thousands of \$s)



	2008	2009	2010	2011	2012
<b>ECU Alumni Association</b>	300	400	500	500	600
<b>Institutional Trust Funds</b>	17,600	22,000	22,800	29,700	31,800
<b>Educational Foundation (Pirate Club)</b>	10,100	9,100	10,700	10,600	11,100
<b>Med &amp; Health Sciences Foundation</b>	16,800	17,200	19,300	18,800	19,000
<b>ECU Foundation</b>	52,400	46,100	50,000	61,600	61,100
<b>Total Endowments</b>	96,900	94,400	102,800	120,700	123,000



The following numbers are extracted from a recent study by General Administration on Advancement.

# DEVELOPMENT BUDGET & STAFFING BY CAMPUS – 2010-2011

Campus	Total Gift Receipts	Total # (FTE) of Development Staff	Total Development Specific Budget	Dollars Raised per \$1.00 Invested
ASU	16,435,408	32.50	3,057,754	5.37
<b>ECU</b>	<b>24,005,166</b>	<b>26.50</b>	<b>3,750,000</b>	<b>6.40</b>
ECSU	2,011,998	7.00	678,621	2.96
FSU	1,309,402	12.00	841,369	1.56
NCA&T	4,344,684	23.00	2,284,261	1.90
NCCU	4,978,172	24.00	1,700,00	2.93
NCSU	94,756,666	112.00	6,922,490	13.69
UNCA	3,367,144	9.75	1,052,424	3.20
UNC-CH	274,947,462	211.00	26,900,000	10.22
UNCC	13,231,759	22.80	2,246,266	5.89
UNCG	13,680,620	44.00	5,073,835	2.70
UNCP	1,779,033	7.00	865,529	2.06
UNCW	8,215,987	33.75	1,752,870	4.69
UNCSA	6,123,936	8.40	741,021	8.26
WCU	4,581,754	24.00	1,194,255	3.84
WSSU	3,369,267	19.00	506,552	6.65
NCSSM	1,031,339	3.20	218,803	4.71
<b>TOTAL</b>	<b>478,169,797</b>	<b>619.90</b>	<b>59,786,050</b>	<b>5.12</b>

The ECU Foundation  
**Access Scholarships...**  
**An Investment in**  
**Tomorrow**



[Access Scholars Video](#)

- For students with both demonstrated financial need & proven academic potential (2.5 GPA).
  - Awarded annually to full-time NC students for four years if grades maintained.
  - Annual award of \$5,000 (\$2,500 per semester) will cover in-state tuition, fees & books.
  - For on-campus & distance-ed students in any major, incoming freshmen, transfer or current.
  - Students monitored to ensure they continue to meet the academic & financial eligibility.
  - Recipients must complete at least 20 hrs. of volunteer service yearly through ECU's Volunteer & Service Learning Center.
- Currently students average a 3.4 GPA.

## EC Scholars Award



- Established in 1998, the EC Scholars award is the most prestigious scholarship offered at ECU.
- Students must, first, be accepted into the Honors College - Admission is competitive and by invitation only.
- Students must meet the following minimum criteria within **both** the standardized test and high school achievement categories in order to be accepted into the program:
  - Minimum math/verbal combined SAT score of 1200 or Minimum ACT score of 27
  - Minimum un-weighted GPA of 3.5 or minimum weighted GPA of 4.0
- The Award is granted for a total of 8 continuous semesters at ECU- student must maintain a cumulative 3.5 GPA.
- The program provides students with rigorous coursework, research opportunities with faculty members, and a required Study Abroad experience. Students receive \$10,000 per year and a \$5,000 stipend for study abroad.
- EC Scholars live and learn in a collegial residence environment, & participate in leadership & community engagement opportunities in Eastern NC and beyond

## Undergraduate Merit Scholarships

Institution	Name	Award Amt. Total Instate (4ys)	Award Amt. Total Out of State (4yrs)	Awards Fall 2011	Total Estimated Endowment
<b>ECU</b>	<b>EC Scholars</b>	<b>\$61,000</b>	<b>\$61,000</b>	<b>15</b>	<b>\$12,000,000</b>
UNC-C	Levine	\$90,000	\$140,000	16	\$316,000,000
UNC-CH	Morehead-Cain	\$90,000	\$140,000	52	\$188,000,000
NCSU	Park	\$88,000	\$144,000	45	\$315,000,000
ASU#	Chancellor's Scholars	\$52,000	\$100,000	15	
UNC-G	Assortment				unknown

### EC Scholars Award Projections:

Fall 2012-	20
Fall 2013-	19
Fall 2014-	13

### Access Scholarship Awards:

2012-2013-	85
2013-2014 (est.)-	89

- 5 are fully endowed as of May 2013
- 6 are in process of being endowed

# Distinguished Professors Endowment Supported by a System Wide Trust Fund

- The trust fund created in 1985
- It is a one-for-two match for seven institutions including ECU.
- The other institutions are designated “focused growth” or “special needs” institutions and receive a one-to-one match.

- The funds must be “on hand” before a match can be sought.
- Wait time depends on the number of applicants and funds on-hand.

**\$333,000 = \$500,000**

**\$666,000 = \$1,000,000**

**\$1,000,000 = \$1,500,000**

**\$1,500,000 = \$2,000,000**

## Summary Distinguished Professorships

	Endowed	Endowed Seeking Match	Pending
Heath Sciences- West Campus	12	1	1
Academic Affairs- East Campus	14	7	3

# Distinguished Professorships Health Sciences-West Campus

## Professorship

State Match

## Endowed

### College of Allied Health

Barbara W. Bremer Distinguished Professorship in Language, Learning, and Literacy Disorders 12/2012

### Brody School of Medicine

Berbecker Dist. Professor In Rural Medicine 10/2004

D. E. Darnell Jones, MD, Endowed Professorship For Residency Education in Obstetrics and Gynecology 09/2007

Max R. & Catherine S. Joyner Dist. Prof. in Primary Care Medicine 10/2001

Vernada and Clifford Kiehn Distinguished Professorship in Pediatric Surgery 09/2008

Monk Distinguished Professorship in Family Medicine 12/2012

ECU Distinguished Professorship in Emergency Medicine 09/2008

Drs. Mary and Spencer Raab Dist. Professor in Adult Oncology 11/2011

Walker Distinguished Professorship in Clinical Oncology 11/2011

East Carolina Heart Institute Dist. Professor in Research 12/2010

Eddie and Jo Allison Smith Endowed Chair to support the Director of the ECHI at ECU 10/2009

### Nursing

Richard R. Eakin Distinguished Professorship 10/2007

### Endowed Seeking Match (in queue for match)

Maynard Professorship - Brody School of Medicine

### Pending – has not reached amount needed for match

None



# Distinguished Professorships – Academic Affairs- East Campus

## Professorship

State Match

## Endowed

### College of Business

Robert F. Bird Dist. Prof. in Risk and Insurance	12/2012
Thomas Arthur Distinguished Professorship in Leadership	12/2012
Robert Dillard Teer Dist. Prof. In Business	06/1986

### College of Education

Taft Distinguished Professorship in Science Education	01/2011
Wachovia Dist. Prof. In Educational Leadership	10/1997
Lora W. King Dist. Prof. in Education	06/1992

### College of Fine Arts and Communication

Irwin Belk Dist. Prof. In Art	05/1998
Four Seasons Chamber Music Professorship	11/2011
Ira M. & Mary Ruth Hardy Dist. Prof. in Suzuki String Pedagogy	02/2002
Roddy Jones Dist. Prof. In Music	03/1996

### Harriot College of Arts and Sciences

Harriot Distinguished Professorship in Natural Sciences and Mathematics	05/2005
Linda McMahon Dist. Professorship in Foreign Language	03/2009
Thomas W. Rivers Dist. Prof. in International Studies	09/1989
David J. & Virginia S. Whichard Dist. Prof. in the Humanities	02/1994

# **Distinguished Professorships–Academic Affairs - East Campus-cont.**

## **Endowed Seeking Match**

### **College of Human Ecology**

Nancy Darden Distinguished Professorship

### **College of Business**

Vincent McMahon Dist. Professorship in Business

Edwin B. Jones Family Endowed Professorship in Accounting

### **College of Science and Technology**

Gregory Poole Equipment Distinguished Prof. in Construction Management

### **College of Health and Human Performance**

Leroy T. Walker Dist. Prof. in College of Health and Human Performance

Leroy T. Walker Dist. Prof. in College of Health and Human Performance

Leroy T. Walker Dist. Prof. in College of Health and Human Performance

## **Pending – has not reached amount needed for match**

### **College of Arts and Sciences**

Harold C. Troxler Distinguished Professorship Fund

### **College of Education**

Bate Professorship

### **College of Health and Human Performance**

Jerry E. McGee College of Health and Human Performance

The following numbers are extracted from the ECU Gift Records found in our “One” data base.

All monies recorded (including matching gifts) are included in Total Gifts Received.

Gifts that are pledge payments are subtracted to give an account of new monies.

Gifts recorded as gifts-in-kind are added and new pledges are added (excluding tele-fund).

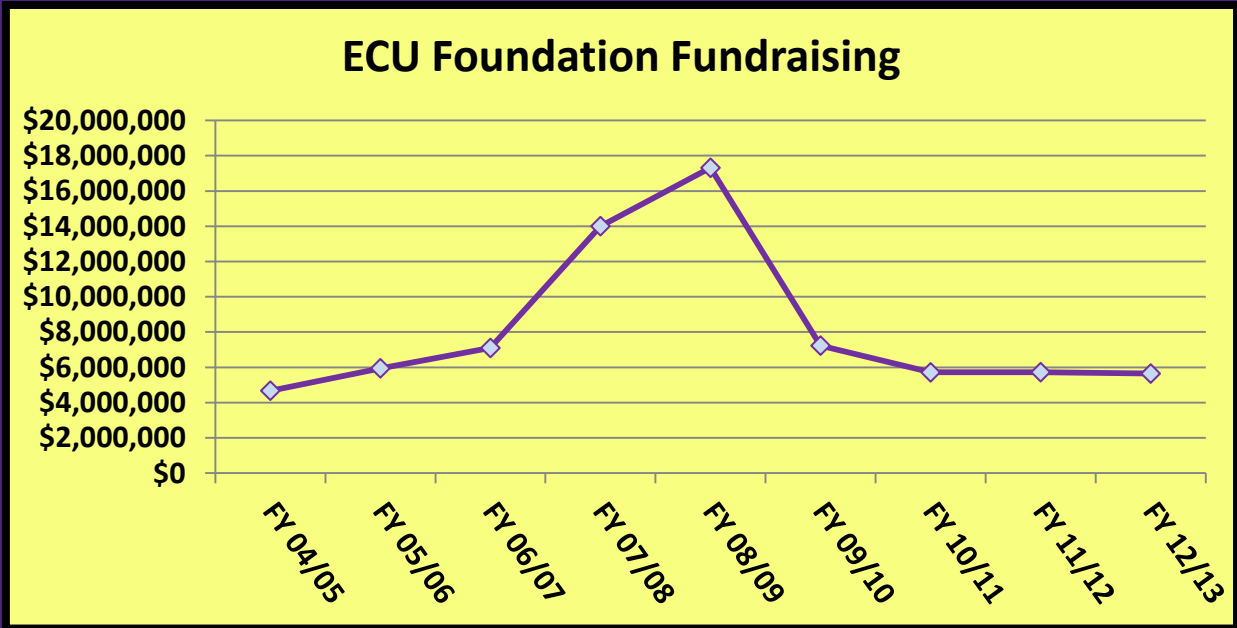
The NCPG Standards for Irrevocable and Revocable Planned Gifts are added to provide Fund Raising Totals.

In short all data is reported according to standard practices of major universities.

# ECU Foundation Executive Fund Raising Report

Year	Total Gifts Received	Less: Pledge Payments	Total Current Gifts	Gifts in Kind	Pledges	Irrevocable New Planned Gifts using NCPG Stds Face Value	Revocable New Planned Gifts using NCPG Stds Probability Value	Totals
12/13	\$4,754,238	\$956,337	\$3,797,901	\$300,971	\$784,046	\$171,486	\$592,854	\$5,647,258
11/12	\$4,413,648	\$964,258	\$3,449,390	\$164,002	\$1,251,806	\$11,771	\$848,158	\$5,725,127
10/11	\$4,967,770	\$1,713,676	\$3,254,094	\$361,804	\$1,059,830	\$350,000	\$689,967	\$5,715,695
09/10	\$4,376,393	\$1,673,260	\$2,703,133	\$49,556	\$1,140,079	\$0	\$3,339,074	\$7,231,842
08/09	\$4,622,547	\$1,738,015	\$2,884,532	\$3,879,426	\$2,943,290	\$0	\$7,608,180	\$17,315,428
07/08	\$5,284,087	\$1,269,717	\$4,014,370	\$1,489,167	\$1,092,151	\$1,875,000	\$5,534,787	\$14,005,475
06/07	\$4,816,385	\$1,764,721	\$3,051,664	\$898,724	\$1,914,218	\$1,019,092	\$215,178	\$7,098,876
05/06	\$4,457,537	\$806,118	\$3,651,419	\$141,935	\$1,923,603	\$87,546	\$141,198	\$5,945,701
04/05	\$3,214,628	\$840,423	\$2,374,205	\$358,312	\$1,442,124	\$14,847	\$486,138	\$4,675,626

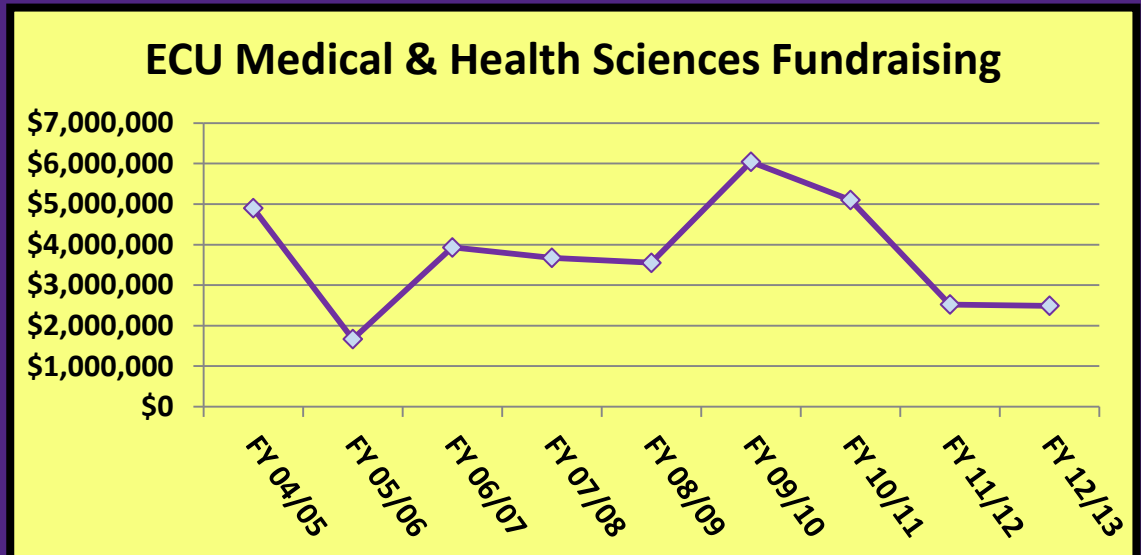
Year	Fund Raising Totals
FY 04/05	\$4,675,626
FY 05/06	\$5,945,701
FY 06/07	\$7,098,876
FY 07/08	\$14,005,475
FY 08/09	\$17,315,428
FY 09/10	\$7,231,842
FY 10/11	\$5,715,695
FY 11/12	\$5,725,127
FY 12/13	\$5,647,258



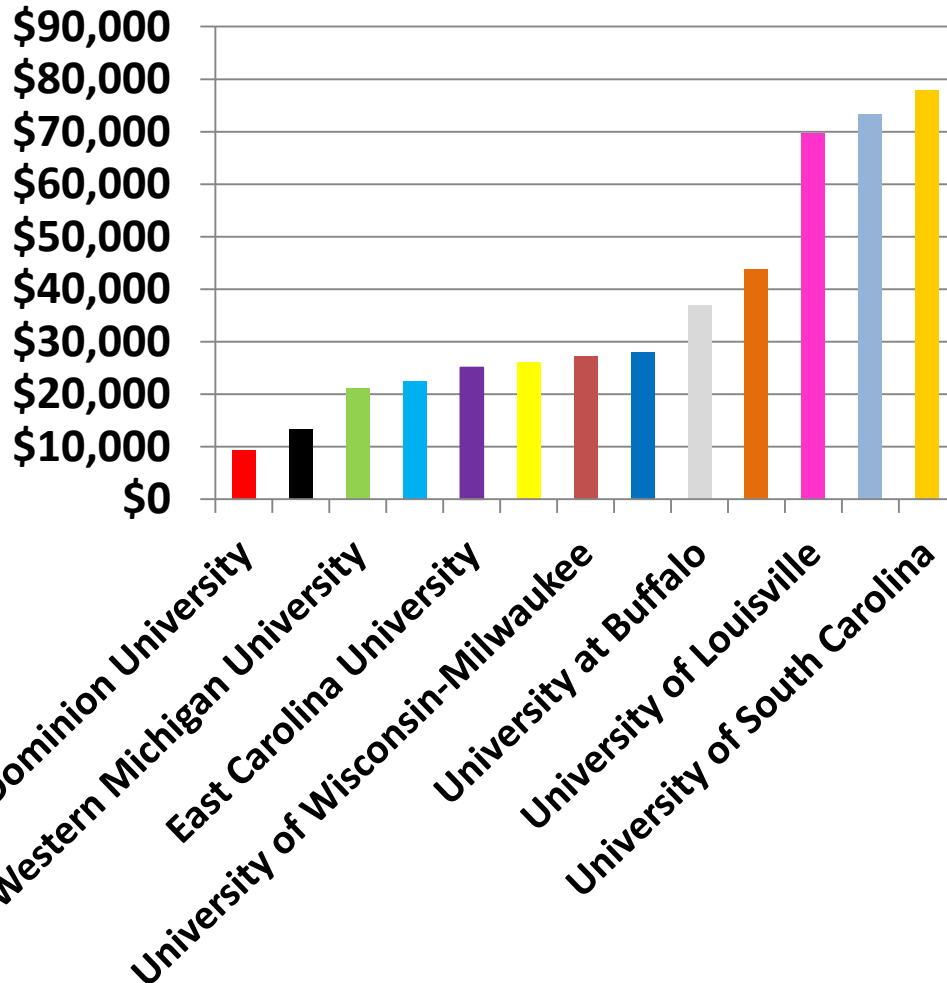
# ECU Medical & Health Sciences Fundraising

Year	Total Gifts Received	Less: Pledge Payments	Total Current Gifts	Gifts in Kind	Pledges	Irrevocable new Planned Gifts using NCPG Stds Face Value	Revocable New Planned Gifts using NCPG Stds Probability Value	Totals
04/05	\$2,869,657	\$1,233,937	\$1,635,720	\$21,581	\$2,975,278	\$0	\$266,716	\$4,899,295
05/06	\$2,108,988	\$1,092,218	\$1,016,770	\$9,384	\$112,883	\$530,000	\$0	\$1,669,037
06/07	\$4,011,662	\$2,887,519	\$1,124,143	\$17,416	\$2,367,485	\$0	\$420,749	\$3,929,793
07/08	\$3,376,137	\$1,388,340	\$1,987,797	\$32,131	\$1,320,118	\$148,333	\$185,767	\$3,674,146
08/09	\$3,347,672	\$1,467,475	\$1,880,197	\$538,076	\$490,195	\$100,000	\$542,000	\$3,550,468
09/10	\$4,488,620	\$3,185,080	\$1,303,540	\$22,940	\$4,706,141	\$0	\$12,206	\$6,044,827
10/11	\$5,665,495	\$2,283,938	\$3,381,557	\$22,109	\$382,820	\$879,014	\$435,577	\$5,101,077
11/12	\$1,741,368	\$338,824	\$1,402,544	\$28,432	\$732,056	\$0	\$360,035	\$2,523,067
12/13	\$2,244,550	\$259,009	\$1,985,541	\$26,309	\$273,240	\$0	\$206,401	\$2,491,491

Year	Fund Raising Totals
FY 04/05	\$4,899,295
FY 05/06	\$1,669,037
FY 06/07	\$3,929,793
FY 07/08	\$3,674,146
FY 08/09	\$3,550,468
FY 09/10	\$6,044,827
FY 10/11	\$5,101,077
FY 11/12	\$2,523,067
FY 12/13	\$2,491,491



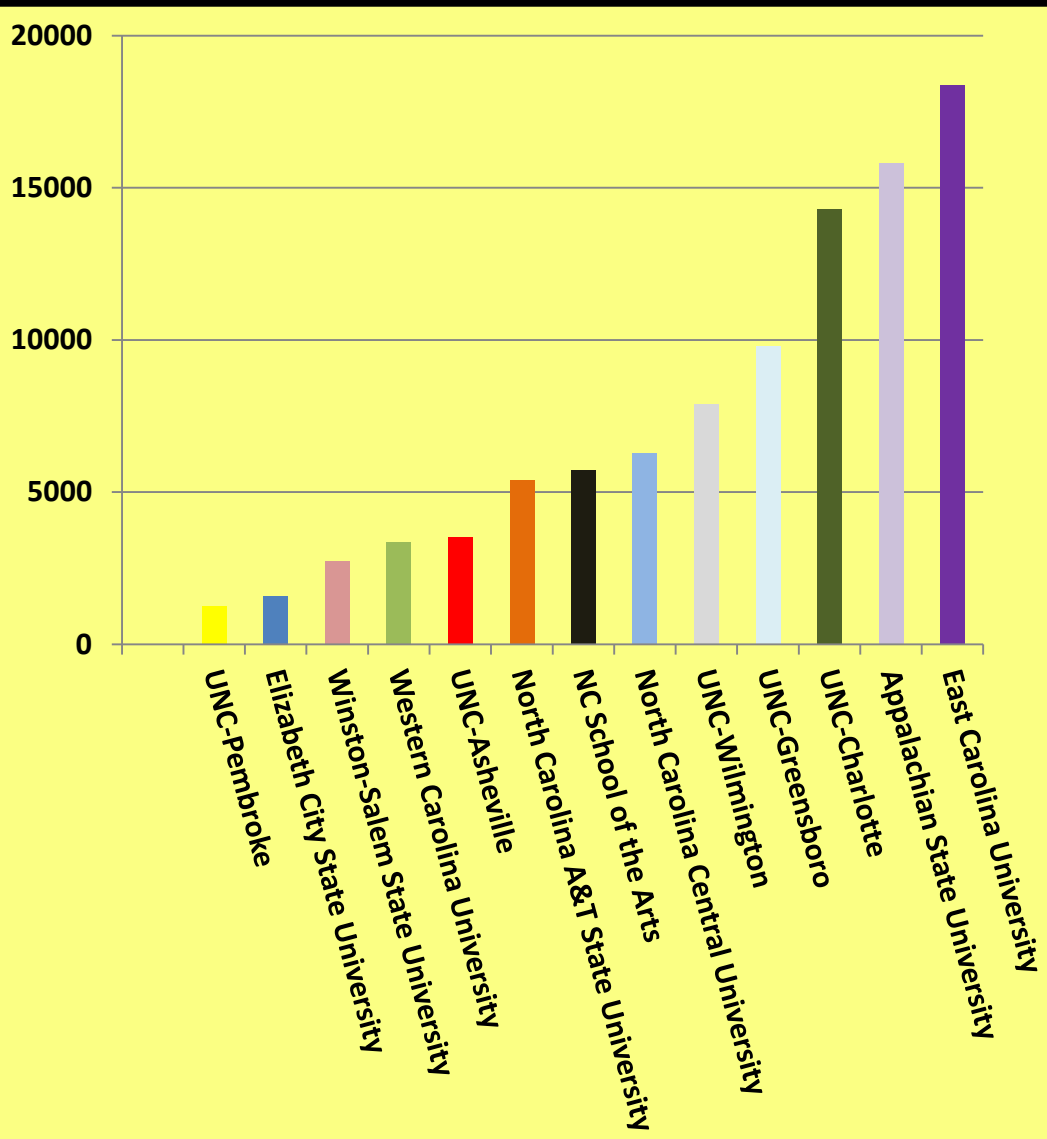
# Peer Institutions Total Support 5-Year Average FY 2012 VSE Report



Institution	Total Support	# of Students
Old Dominion University	\$9,355	24,670
Wright State University	\$13,387	19,600
Western Michigan University	\$21,170	25,086
University of Missouri-Kansas City	\$22,476	15,473
<b>East Carolina University</b>	<b>\$25,060</b>	<b>27,386</b>
Florida International University	\$26,204	47,966
University of Wisconsin-Milwaukee	\$27,188	29,142
University of Nevada-Reno	\$28,132	18,227
University at Buffalo	\$36,933	28,860
Ohio University-Main Campus	\$43,913	36,126
University of Louisville	\$69,666	22,249
Virginia Commonwealth University	\$73,440	31,899
University of South Carolina	\$77,945	45,774

Total Support is defined as the total of Outright Giving and Deferred Giving (at present value).

# NC System Total Support Comparison FY2012 VSE Report (in thousands of \$s)



Institution	Total	Enrollment
UNC-Pembroke	\$1,256	6,251
Elizabeth City State University	\$1,600	2,930
Winston-Salem State University	\$2,739	6,163
Western Carolina University	\$3,363	9,616
UNC-Asheville	\$3,508	3,665
North Carolina A&T State University	\$5,388	10,693
NC School of the Arts	\$5,704	1,167
North Carolina Central University	\$6,293	8,359
UNC-Wilmington	\$7,907	13,145
UNC-Greensboro	\$9,791	18,274
UNC-Charlotte	\$14,285	26,232
Appalachian State University	\$15,796	17,344
<b>East Carolina University</b>	<b>\$18,357</b>	<b>27,386</b>
North Carolina State University	\$100,324	34,767
UNC-Chapel Hill	\$286,710	29,278

## PERCENTAGE OF LOST ALUMNI COMPARISON

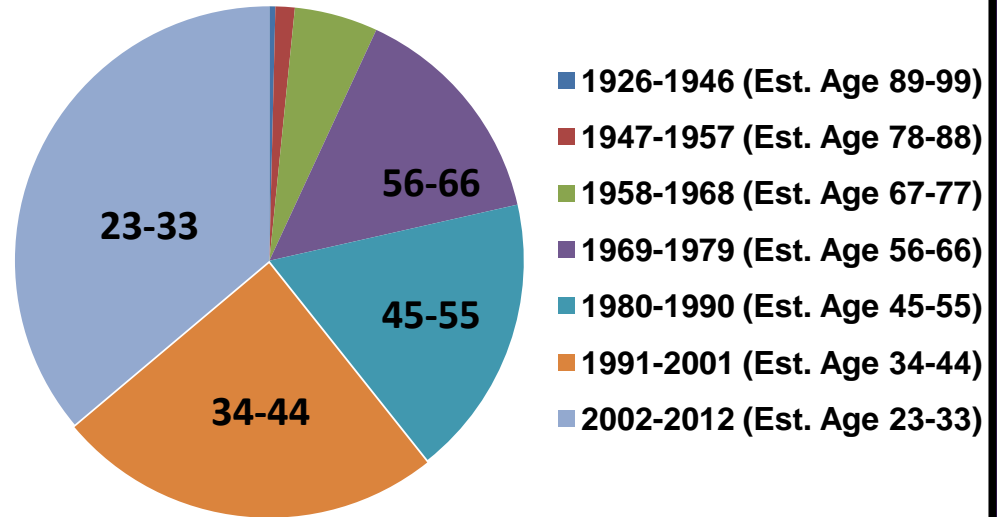
School	Number of Records in Database	Number of Alumni in Database	Percentage of Alumni Records Coded Lost
Northern Illinois	382,894	237,553	8%
Old Dominion	265,741	124,482	11.54%
Wright State University	190,000	100,000	11%
University of Louisville	283,601	129,356	4%
<b>East Carolina University</b>	<b>198,587</b>	<b>148,418</b>	<b>2%</b>
Virginia Commonwealth University	345,003	171,844	4.17%
University at Buffalo	421,171	250,018	5.80%

A survey was sent to each of our Peer Institutions and the above institutions responded. According to best practices in the Advancement field, a percentage less than 10% is considered to be good. In 1999, the percent of lost alumni records at ECU was 28%

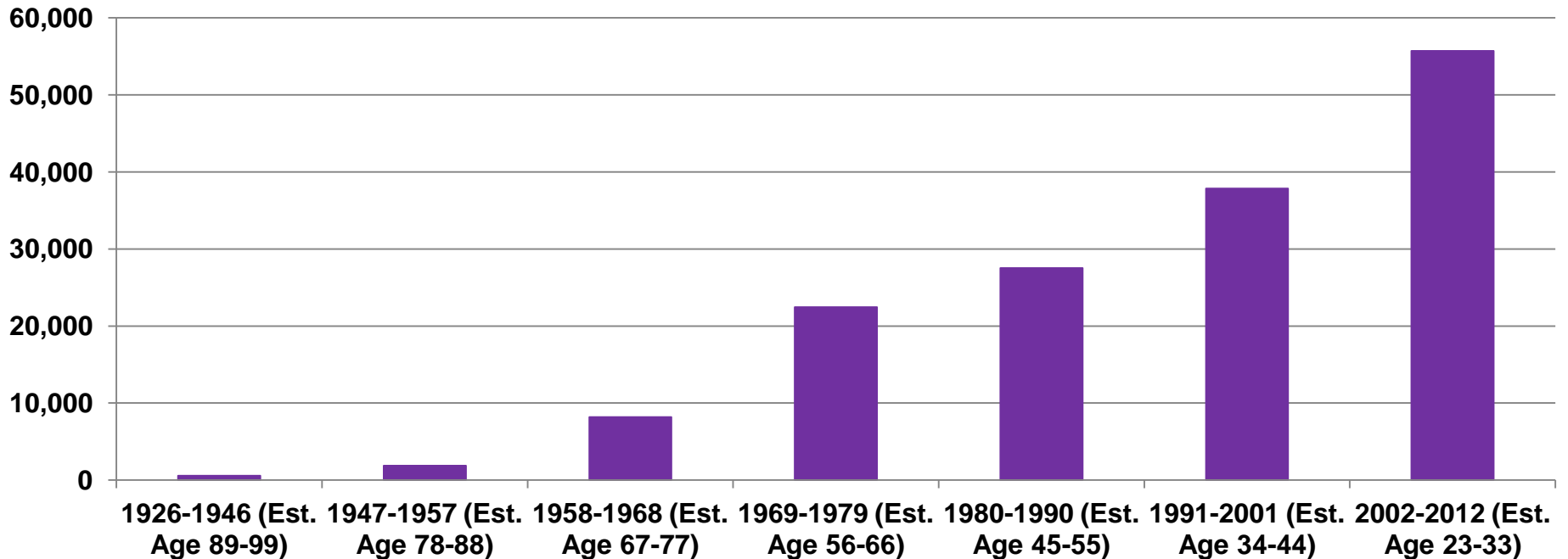


# ECU Alumni By Year

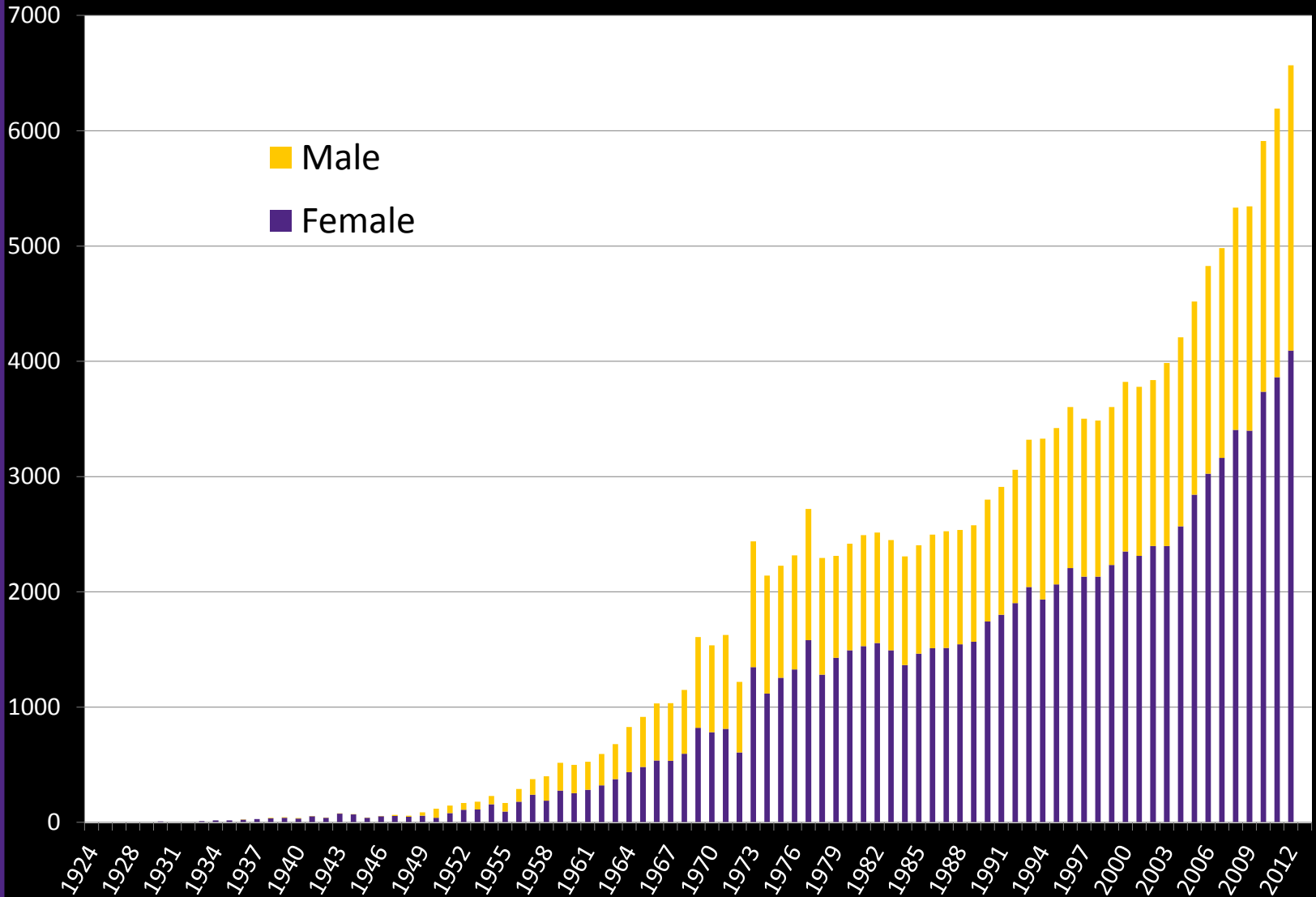
Years	# of Alumni
1926-1946 (Est. Age 89-99)	586
1947-1957 (Est. Age 78-88)	1,887
1958-1968 (Est. Age 67-77)	8,172
1969-1979 (Est. Age 56-66)	22,434
1980-1990 (Est. Age 45-55)	27,522
1991-2001 (Est. Age 34-44)	37,836
2002-2012 (Est. Age 23-33)	55,703



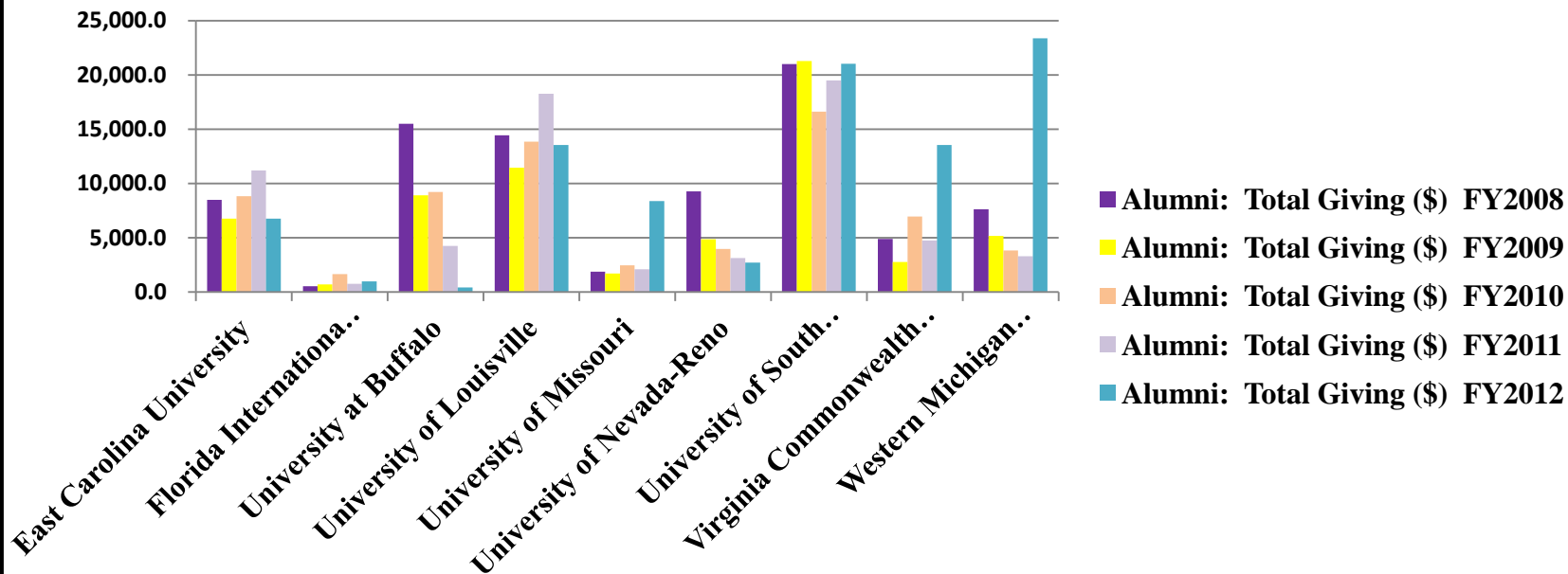
## Number of Alumni



# ECU Alumni 1924 to 2013



## Alumni Total Giving 5 Yr Peer Comparison reported in VSE (in thousands of \$) *all that reported*



Institution	Alumni: Total Giving (\$) FY2008	Alumni: Total Giving (\$) FY2009	Alumni: Total Giving (\$) FY2010	Alumni: Total Giving (\$) FY2011	Alumni: Total Giving (\$) FY2012
<b>East Carolina University</b>	<b>8,484.9</b>	<b>6,760.2</b>	<b>8,833.4</b>	<b>11,192.4</b>	<b>6,766.5</b>
Florida International University	538.9	698.6	1,637.3	761.2	968.4
University at Buffalo	15,513.9	8,901.3	9,211.2	4,234.7	427.0
University of Louisville	14,448.6	11,443.7	13,842.0	18,277.4	13,556.4
University of Missouri	1,878.7	1,717.3	2,455.4	2,111.3	8,366.5
University of Nevada-Reno	9,267.3	4,873.4	3,966.6	3,117.2	2,702.2
University of South Carolina	20,994.5	21,266.0	16,615.8	19,496.2	21,036.3
Virginia Commonwealth University	4,890.9	2,772.5	6,967.8	4,755.8	13,545.1
Western Michigan University	7,634.4	5,159.1	3,828.0	3,294.4	23,366.5

# ECU Current Institutional Advancement Priorities

- **Completion of Hall of Fame (BB Practice Facility) (estimated 1.25 Million)**
- **Scholarships – recruitment & retention**
  - EC Scholars (100 endowed, need additional 75 Million)**
  - Access (50 endowed, need additional 50 Million)**
  - Other scholarships for schools, colleges and other needs**
- **Increase discretionary funds for Colleges, Schools, Libraries units, leaders and programs**
- **Specific needs of units (Colleges, Schools, Libraries, Centers and Institutes)**
- **Alumni Center (estimated cost 15 Million)**
- **Endowed Professorships (eligible for state match 2-1, from 333 equals .5 million up to 1 million equals 1.5 million)**
- **ECU CRAFT Laboratory to be located @ North Recreation Complex (estimated cost 4.5 million)**
- **Performing Arts Center – (estimated cost 65 Million)**
- **Updating BSOM Auditorium (estimated cost 2 Million)**
- **Refurbish Health Sciences Commons – no estimate**

*Not in priority order*

# East Carolina University Institutional Draft Advancement Goals

## Short term Goals – one year

- Hire a new Vice Chancellor by mid fall and have them in place by January 2014
- Announce a significant fund raising campaign by summer 2014
- Completion of Hall of Fame (BB Practice Facility) (estimated 1.25 Million)

## Long term Goals – Five-Seven Years

- Grow all endowments by minimum of 25% over next five years
- Scholarships – recruitment & retention – increase by 25% over next five years
- Seek to make the EC Scholars a “named program” with significant endowment
- Complete Alumni Center (estimated cost 15 Million)
- Complete ECU CRAFT Laboratory to be located @ North Recreation Complex (estimated cost 4.5 million)
- Updating BSOM Auditorium (estimated cost 2 Million)
- Refurbish Health Sciences Commons – no estimate
- South Football Stadium Expansion (estimate 40 Million)

# East Carolina University Advancement Planning

## Strategic Planning Advancement Division

**GOAL:** Significantly increase donated resources available to support ECU

### Enabling Objectives:

1. Minimize impact of loss of state funding in advancement division.
2. Insure MGOs have opportunity to be successful and are held accountable for an appropriate level of fundraising. Metrics are in place but may require some adjustment.
3. Insure that all key positions are filled.
4. Expand Corporation Foundations relations and corresponding increased expectations.
5. Establish long term plan for foundations to support more of the advancement division activities by reducing the amount of state supported salaries.
6. Seek greater efficacy in all approaches to fund raising (examine all expenditures based on a cost-benefit analysis).
7. Establish a program of continuous improvement to provide feedback for all activities.
8. Prepare for a major campaign. Establish an overall target, identify specific funding needs, develop planning to meet staffing and support needs.
9. Insure appropriate coordination of all “asks” by all foundations. One of the major tasks of new Advancement Council (VC Adv., VC ADF and AD).
10. Update division strategic plans including all metrics.
11. Establish fund raising as a major component of dean’s duties. Provide necessary assistance and training for deans. Encourage deans to attend a Case Conference. Consider hosting a case conference in-service at ECU.

# East Carolina University Advancement Planning

## Strategic Planning Advancement Division

**GOAL: Significantly increase donated resources available to support ECU**

### Actions to consider:

1. Adding personnel to Advancement Division in key areas.
2. Establish greater incentives for high end donors.
3. Moving all of Advancement Division to one building.
4. Having all foundations report to one Vice Chancellor.
5. Have all foundations use same “One” system for call reporting.
6. Develop incentive program for Advancement Division.
7. Change the name of the “Educational Foundation” to the Pirate Club.
8. Hire consultant to look at organization of foundations and advancement division.
9. Hire consultant to determine a realistic target for the next ECU campaign.

# ECU FOUNDATIONS Annual Report 2012-13

# Questions?



East Carolina University  
Board of Trustees  
September 19, 2013

Session	Advancement
Responsible Person	Interim Vice Chancellor for University Advancement Glen Gilbert
Agenda Item	II. B. Naming Proposals
Item Description	<ol style="list-style-type: none"><li>1. Defensive Line Team Meeting Room – 50K Kieran Shanahan</li><li>2. Assistant Football Coaches Office – 25K Douglas Gomes</li><li>3. Janice Hardison Faulkner Gallery – 195K 2<sup>nd</sup> Floor Joyner Library Multiple Donors</li></ol>
	See Accompanying Proposals
Action Requested	Committee review/approval for submission to full Board.
Disposition	
Notes	

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES  
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

**J. Batt**

Name of Originator

**ECU Educational Foundation**

Department/Division

**252-737-4540**

Phone

**battj@ecu.edu**

Email

Proposed Name(s) to Honor: **Kieran Joseph Shanahan**

**128 E. Hargett St., Third Floor Raleigh NC 27601**

Address: City State Zip

Telephone: **919-846-9975**

Home

**919-856-9494**

Business

Relationship to the University:

Proposed Object for Naming: **Defensive Line Team Meeting Room**

Purpose for recommendation:  Service  Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift:  Annual  Capital  Endowment

Date of Original Commitment: **1/11/2011**

Amount of the Total Commitment: **\$50,000**

Amount Paid: **\$30,000**

Current Pledge Balance: **\$20,000**

Status of Payments: **Paid in full**

Was the gift part of a campaign:  Yes  No Define: \_\_\_\_\_

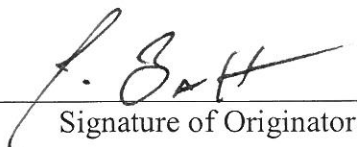
Was the project pre-approved:  Yes  No

Directed to:  ECU Foundation  Educational Foundation

Medical Foundation  Other

If the Gift was more than \$25,000:

4. Attach a copy of the signed Letter of Intent.
5. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
6. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

  
Signature of Originator

**9/9/13**  
Date



PC# 13806

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Kieran J. Shanahan

Street Address Shanahan Law Firm 128 E. Hargett Street, 3rd Floor

City Raleigh State NC Zip 27601

Phone (919) 856-9494 Fax (919) 856-9499

E-mail cmskjs@aol.com

Naming Opportunity(ies) DBD DEFENSIVE LINE TEAM MEETING ROOM

To assist in attaining the goals set forth by the Département of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 50,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 10,000 in 2011 (Year) *pc: ck # 7346*
- \$ 10,000 in 2012 (Year)
- \$ 10,000 in 2013 (Year)
- \$ 10,000 in 2014 (Year)
- \$ 10,000 in 2015 (Year)

() Annually ( ) Semi-Annually ( ) Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature Kieran J. Shanahan

Signature \_\_\_\_\_

Date 1/11/11

(Handwritten initials)

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES  
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

**J. Batt**

Name of Originator

**ECU Educational Foundation**

**252-737-4540**

**battj@ecu.edu**

Department/Division

Phone

Email

Proposed Name(s) to Honor: **Douglas L. Gomes**

**1807 Circle Drive**                      **Greenville**                      **NC 27858**

Address:                                      City                                      State                      Zip

Telephone: **252-227-4142**                      **252-752-2111**

Home

Business

Relationship to the University: \_\_\_\_\_

Proposed Object for Naming: **Assistant Football Coaches Office**

Purpose for recommendation:                       Service                       Gift

Explanation (Attach background materials as appropriate to justify the recommendation)

If related to a gift:

Purpose of Gift:     Annual                       Capital                       Endowment

Date of Original Commitment: **9/8/2012**

Amount of the Total Commitment: **\$25,000**

Amount Paid: **\$25,000**

Current Pledge Balance: **\$ - 0 -**

Status of Payments: **Paid in full**

Was the gift part of a campaign:     Yes                       No    Define: \_\_\_\_\_


Was the project pre-approved:     Yes                       No

Directed to:                       ECU Foundation                       Educational Foundation

Medical Foundation                       Other

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.
2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

  
Signature of Originator

**9.9.13**  
Date



PC# 3662

STATEMENT OF INTENT

STEP UP TO THE HIGHEST LEVEL Campaign

East Carolina University

Name Doug & Kathy GOMES

Street Address 1807 Circle Drive

City GREENVILLE State NC Zip 27858

Phone 252 916-9922 Fax ( )

E-mail DGomes99@gmail.com

Naming Opportunity(ies) Assistant Football Coaches office (unfwd) 8/7/12

To assist in attaining the goals set forth by the Department of Athletics under the STEP UP TO THE HIGHEST LEVEL Campaign for East Carolina University, I/we will commit a gift to the East Carolina Educational Foundation, Inc., in the sum of \$ 25,000 to be paid in either cash, securities or other property of equivalent market value.

I/we desire to have this financial support distributed in the following manner:

- \$ 5,000 in 20 12 (Year)
- \$ 5,000 in 20 13 (Year)
- \$ 5,000 in 20 14 (Year)
- \$ 5,000 in 20 15 (Year)
- \$ 5,000 in 20 16 (Year)

(  ) Annually ( ) Semi-Annually ( ) Quarterly

In making this Statement of Intent, it is understood that this document constitutes a declaration of intent only, and shall not legally bind me/us or my/our estate.

Signature Doug Gomes

Signature \_\_\_\_\_

Date 9/8/2012

PROPOSAL TO EAST CAROLINA UNIVERSITY BOARD OF TRUSTEES  
COMMITTEE ON NAMING UNIVERSITY FACILITIES AND ACTIVITIES

Jan Lewis

Name of Originator

<u>Academic Library Services</u>	<u>328-2267</u>	<u>lewisja@ecu.edu</u>
Department/Division	Phone	Email

Proposed Name(s) to Honor: Janice Hardison Faulkner Gallery

<u>Joyner Library Greenville</u>	<u>NC</u>	<u>27858</u>
Address: City	State	Zip

Telephone:	<u>328-2267</u>
Home	Business

Relationship to the University: ECU alum (B.S. and M.A.), donor, volunteer.

Proposed Object for Naming: Gallery Space on the 2<sup>nd</sup> floor of Joyner Library.

Purpose for recommendation:                     Service                     Gift  
Explanation (Attach background materials as appropriate to justify the recommendation)

Janice Hardison Faulkner Gallery in honor of Janice's service to ECU and the State.

Janice Faulkner has been unstinting in her service to East Carolina University and the people of North Carolina. The proposed Janice Hardison Faulkner Gallery will be a multi-use community facility, exemplifying Janice's credo of "Service Has No Bounds".

Joyner Library is proud of the award-winning exhibitions, seminars and conferences we have offered our students, faculty and the community over the last decade. The Faulkner Gallery will enable us to make these presentations even more rewarding. Hosting as many as 150 participants, it will provide exhibition quality lighting, high definition sound and the latest in presentation technology.

If related to a gift:

Purpose of Gift:	<input type="checkbox"/> Annual	<input checked="" type="checkbox"/> Capital	<input type="checkbox"/> Endowment
Date of Original Commitment:	<u>Support from multiple donors.</u>		
Amount of the Total Commitment:	<u>\$ 195,853</u>		
Amount Paid:	<u>\$128,000</u>		
Current Pledge Balance:	<u>Approximately \$6,365 from multiple donors</u>		
Status of Payments:	<u>Following up with past due pledges at this time.</u>		
Was the gift part of a campaign:	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	Define: _____
Was the project pre-approved:	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
Directed to:	<input checked="" type="checkbox"/> ECU Foundation	<input type="checkbox"/> Educational Foundation	
	<input type="checkbox"/> Medical Foundation	<input type="checkbox"/> Other	

If the Gift was more than \$25,000:

1. Attach a copy of the signed Letter of Intent.



2. Define in detail any special arrangements or expectations the donor may have resulting from this Gift.
3. If the Gift is other than cash or cash equivalent, explain the method of valuation, and the plan for liquidation.

*Jim Lewis*

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Signature of Originator

*8/28/2013*

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Date

**From:** Lewis, Janice S  
**Sent:** Wednesday, August 14, 2013 2:58 PM  
**To:** Gilbert, Glen  
**Cc:** Teague, Dwain  
**Subject:** FW: Faulkner Gallery

Glen,

At the next Board of Trustees meeting, can you ask the Board to formally approve naming the second floor exhibit space in Joyner Library the "Janice Hardison Faulkner Gallery"? We have raised approximately \$128,000, which is over 60% of the projected project cost of \$ 195,853. The rest of the cost will be paid from income from library endowments.

Thanks,  
Jan Lewis  
Interim Dean, Academic Library Services

**From:** Dowdy, Michael  
**Sent:** Monday, September 24, 2012 5:36 PM  
**To:** Lewis, Janice S  
**Subject:** RE: Faulkner Gallery

Jan, the way I have interpreted this is the naming and construction are on two separate tracks. You are following university procedures that relate to the gallery construction; and my job is to get the naming through the BOT process. I didn't call for the vote this meeting because I didn't want to get into the discussion with them pertaining to whether or not we had fulfilled the 60% requirement. So I simply made them aware of the project and what a great project it was. When we have completed the fund-raising and have 60% of gifts and pledges paid in (\$90,000 cash) I will take to that next meeting and have it approved..."Members of the committee, you will recall the Janice Faulkner Gallery Project in Joyner Library that was in your September board book. The Project has now fulfilled the 60% gift payment requirement and the naming is recommended for your approval." So sometime after the first of the year aren't we likely to be in position to do this? Probably February or April meeting? We'll see, but it will be met with enthusiasm.

--Mickey

**From:** Lewis, Janice S  
**Sent:** Friday, September 21, 2012 3:54 PM  
**To:** Dowdy, Michael  
**Subject:** Faulkner Gallery

Hi Mickey,

Thanks for informing the Board of Trustees about the fundraising campaign and plan to name the second floor exhibit area in Joyner Library "The Janice Hardison Faulkner Gallery". I wanted to make sure I'm clear on the need and the timetable for getting formal approval of the name. Do we need to get formal approval before construction begins? I met with Ricky Hill Wednesday and he said he'd get a project authorization request for the design work to me. So, we should have an agreement with an architect within the next month. We're tentatively planning for construction in December 2013.

Thanks,  
Jan Lewis  
Interim Dean, Academic Library and Learning Resources



East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jamie Johnson
Agenda Item	III. A
Item Description	Compliance Overview
Action Requested	Committee review
Disposition	
Notes	See accompanying materials



National Collegiate Athletic Association

## New Violation Structure

[Home](#) > [Division I](#) > [Enforcement](#)

### Structure focuses on conduct that threatens integrity of college sports

The four-level violation structure ranges from severe breaches of conduct to incidental infractions. The structure, which replaces the current two-tier approach (major and secondary violations), is designed to focus most on conduct breaches that seriously undermine or threaten the integrity of the NCAA Constitution and bylaws.

"Having four levels of violations is helpful because it allows us to distinguish between severe and significant in the new structure as opposed to simply major and secondary violations in the previous model." - Oregon State President Ed Ray, former chair of the NCAA Executive Committee who also chairs the Enforcement Working Group.

#### Level I: Severe breach of conduct

Violations that seriously undermine or threaten the integrity of the NCAA collegiate model as set forth in the Constitution and bylaws, including any violation that provides or is intended to provide a substantial or extensive recruiting, competitive or other advantage, or a substantial or extensive impermissible benefit.

#### Level II: Significant breach of conduct

Violations that provide or are intended to provide more than a minimal but less than a substantial or extensive recruiting, competitive or other advantage; includes more than a minimal but less than a substantial or extensive impermissible benefit; or involves conduct that may compromise the integrity of the NCAA collegiate model as set forth in the Constitution and bylaws.

#### Level III: Breach of conduct

### Examples of Violations

#### Level I

- Lack of institutional control.
- Academic fraud.
- Failure to cooperate in an NCAA enforcement investigation.
- Individual unethical or dishonest conduct.
- Head coach responsibility violation by a head coach resulting from an underlying Level I violation by an individual within the sport program.

#### Level II

- Violations that do not rise to the level of Level I violations and are more serious than Level III violations.
- Failure to monitor.
- Systemic violations that do not amount to a lack of institutional control.
- Multiple recruiting, financial aid, or eligibility violations that do not amount to a lack of institutional control.
- Collective Level III violations.

Violations that are isolated or limited in nature; provide no more than a minimal recruiting, competitive or other advantage; and do not include more than a minimal impermissible benefit. Multiple Level IV violations may collectively be considered a breach of conduct.

## **Level IV: Incidental issues**

Incidental infractions that are inadvertent and isolated, technical in nature and result in a negligible, if any, competitive advantage. Level IV infractions generally will not affect eligibility for intercollegiate athletics.

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Printed from:

<http://www.ncaa.org/wps/wcm/myconnect/public/ncaa/divisioni/enforcement/new+violation+structure?pageDesign=print+template>

on

Tue Sep 03 2013 14:09:00 GMT-0400 (Eastern Daylight Time)

## **Head Coach Control**

### NCAA Bylaw 11.1.2.1

NCAA Division I Bylaw 11.1.2.1 places the responsibility on the head coach to **promote** an atmosphere of NCAA rules compliance within his program and to **monitor** the activities of his staff to ensure compliance with the rules. This bylaw was enacted in 2005 at the request of the National Association of Basketball Coaches.

Pursuant to Bylaw 11.1.2.1, a head coach is presumed to have knowledge of what is occurring in his program and therefore, can be responsible for the actions of his staff and individuals associated with the program. In other words, if an allegation of Bylaw 11.1.2.1 is made against a head coach, then the coach must rebut the presumption that he had knowledge of what was occurring in his program and show that he did in fact set a proper tone of compliance and reasonably monitored the activities of his program.

The action plans described below may assist a head coach in setting a proper tone of compliance and monitoring his program in order to meet the obligations imposed by Bylaw 11.1.2.1.

#### Action Plan-Communication

A head coach should demonstrate a commitment to compliance through ongoing communication with his athletics director, compliance staff and coaching staff. The outline below was created to assist a head coach with managing this dialogue.

- Meet with your chancellor or president to discuss his/her expectations for NCAA rules compliance.
- Meet with your athletics director to discuss his/her expectations for NCAA rules compliance. Suggested talking points:
  - Athletics director's philosophy and expectations on rules compliance.
  - Compliance resources for your program.
  - Program's shared responsibility with compliance staff.
  - Establish a plan for continued dialogue with athletics director to discuss the institution and program's compliance environment and expectations (e.g. regularly scheduled meetings, etc.).
- Meet with your compliance director to discuss his/her expectations for NCAA rules compliance. Suggested talking points:
  - Compliance director's philosophy and expectations on rules compliance.
  - Compliance resources for your program.

- Discuss compliance staff and program's expectations for submitting rules interpretations and waiver requests and determine how to best resolve any disagreements over the submission of such requests.
- Program's shared responsibility with compliance staff.
- Expectations for reporting actual and suspected NCAA rules issues (e.g., immediate action; reporting lines).
- Establish a plan for continued dialogue with compliance director to discuss the institution and program's compliance environment and expectations (e.g. regularly scheduled meetings, etc.).
- Establish a plan for ongoing dialogue between coaching staff and compliance staff to discuss key issues facing the sport and program (e.g., agents; initial eligibility; pre-enrollment amateurism, etc.).
- Meet jointly with your president, athletics director and compliance director to discuss the institution and program's compliance environment and expectations.
- Meet with your staff to discuss your expectations for NCAA rules compliance. Suggested talking points:
  - Program's ethical standards.
  - Expectations for reporting actual and suspected NCAA rules issues (e.g., immediate action; reporting lines).
  - Review president, athletics director and compliance director's philosophy and expectations for rules compliance.
  - Expectations for regular communication between coaching staff and the compliance staff.
  - Establish a plan for continued dialogue with staff to discuss the institution and program's compliance environment and expectations (e.g. regularly scheduled meetings, etc.).
  - Establish ongoing dialogue with staff to review any issues involving prospective student-athletes and current student-athletes (e.g., agents; initial eligibility; pre-enrollment amateurism, etc.).

### Action Plan-Monitoring

A head coach should also demonstrate a commitment to compliance through monitoring his staff's activities in consultation with the compliance staff. The outline below was created to assist a head coach with managing his monitoring responsibilities.

- In consultation with the compliance director, create procedures to ensure your staff is monitoring your program's rules compliance. Suggested procedures:
  - Assign a staff liaison(s) to the compliance staff.
  - Assign staff members to monitor specific areas of compliance (e.g., recruiting contacts; initial eligibility; amateurism; telephone contacts).
  - Regularly evaluate staff members to ensure their areas of compliance are monitored and that all responsibilities are executed in a timely manner.
  - Ensure that the entire program has adequate and on-going compliance training and that there is a plan in place for discussion of important information.
  - Determine reporting lines for resolving actual and suspected NCAA rules issues.
  - Determine reporting lines to alert compliance staff of issues involving prospective student-athletes and current student-athletes (e.g., agents; initial eligibility; pre-enrollment amateurism, etc.).
- Regularly solicit feedback from your staff members concerning their areas of compliance and the program's overall compliance environment in order to ensure that the monitoring systems are functioning properly.
- Ensure that the program immediately notifies the compliance staff when concerns or red flags occur related to suspected NCAA rules violations. A lack of immediate action by the head coach will be a significant factor in determining whether the head coach met the obligations imposed by Bylaw 11.1.2.1.

#### Additional Considerations-Documentation

A head coach should document the ways in which he has communicated and/or demonstrated a commitment to compliance and be able to produce documentation relating to the procedures in place for monitoring the program's rules compliance. Potential areas for documentation:

- Meetings with athletics director, compliance staff and coaching staff.
- Program's procedures for monitoring specific areas of compliance.
- Reports to compliance of actual and suspected NCAA rules issues.
- Monitoring efforts undertaken by the program to ensure that the staff and student-athletes are complying with NCAA rules and compliance obligations.

Please note that the ultimate determination of whether a head coach has exercised proper control over his program rests with the NCAA Committee on Infractions and a lack of control determination will consider the unique facts and circumstances of each case. There is no way to set forth a checklist of items that will in all circumstances prevent a finding. Further, it is important that you consult with your athletics administration and compliance staff for additional guidance on how to tailor the best action plan for your institution.

**Case Precedent**  
NCAA Bylaw 11.1.2.1

Key Takeaways

1. The head coach and staff have an obligation to report suspected rules violations and actual rules violations to the administration.
  - Ask your staff about any red flags in a prospect's recruitment or a student-athlete's enrollment and immediately alert compliance.
2. The head coach has an obligation to ensure that his program's monitoring systems are operating properly.
  - Ask your staff about any issues with the program's monitoring systems and alert compliance (e.g., timeliness; accuracy).
3. The head coach and staff have an obligation to consult with compliance staff to determine if their actions are consistent with NCAA rules.
  - Ask compliance staff before acting, especially in areas of grey.
4. The head coach and staff have an obligation to identify situations where circumstances could result in NCAA violations, alert compliance and monitor the situation closely.

Fact Scenarios- Bylaw 11.1.2.1 Violations

The following information summarizes some of the factors that were noted by the Committee on Infractions in public infractions reports when finding that the head men's basketball coach failed to meet his responsibilities under Bylaw 11.1.2.1.

1. The head coach and staff knew that an agent/booster had a relationship with an elite prospect.
  - The head coach failed to alert the compliance staff and administration of possible improprieties between the agent/booster and prospect.
  - The head coach and staff knew of the prospect's limited financial resources and did not take any steps to determine whether the relationship between the prospect and agent/booster involved violations of NCAA legislation.
  - The NCAA Committee on Infractions noted that Bylaw 11.1.2.1 does not require a head coach to investigate wrongdoing, but it does require the head coach to recognize potential problems, address them and report them to the athletics administration.

2. The head coach and staff knew that several incoming 2-year institution student-athletes were deficient academically and were taking numerous classes in a short period of time to meet eligibility requirements.
  - The head coach only asked his staff general questions about the prospects' progress and did not ask how the prospects were supporting themselves, how the prospects were traveling around town, how their classes were being paid for and how involved his staff was with the prospects.
  - The head coach failed to involve the compliance staff in monitoring the prospects' situations.
3. The head coach and staff encouraged a booster/high school coach to assist the program in recruiting and believed that the booster's employment as a high school coach superseded his status as a booster.
  - The head coach failed to consult with compliance to determine whether the booster's actions were permissible.
4. The head coach and staff were told that they could not have any involvement with an on-campus nonscholastic event but the head coach provided the event operator with access to the programs' boosters in order to solicit funding for the event.
  - The head coach failed to consult with compliance staff to determine if his interactions with the event operator posed any potential NCAA rules issues.
  - The head coach permitted his incoming assistant coaches to attend the event (during a quiet period) and failed to consult with compliance staff to determine if their attendance was permissible.
5. The institution's men's basketball program's telephone contacts were subject to heightened scrutiny due to past violations.
  - The men's basketball program's system for monitoring telephone contacts was not functioning properly because the coaches were submitting inaccurate information and were not submitting the logs in a timely manner.
  - The head coach had no knowledge that the system was not functioning and when he was made aware that coaches were not submitting their logs in a timely manner, he failed to resolve the issue with his staff.
  - The Committee on Infractions noted that promoting an atmosphere of compliance requires more than general comments about compliance responsibilities.



### Fact Scenarios- Monitoring Expectations

The following information summarizes some of the monitoring considerations that were noted by the Committee on Infractions in public infractions reports that predate the adoption of Bylaw 11.1.2.1.

1. Institution failed to monitor a prospective student-athlete, who was living in the university's locale, the summer prior to initial enrollment.
  - The head coach failed to provide the institution with information about the prospect that would have facilitated the institution's monitoring efforts.
  - Effective rules compliance demands more than providing rules education and requires actually checking to see whether the staff complies with the rules.
2. A prospective student-athlete received impermissible housing from a current student-athlete the summer prior to initial enrollment.
  - The institution should have monitored the prospect's circumstances, particularly as it relates to lodging, because of the heightened possibility for rules violations.
  - The head coach should have inquired about the prospect's lodging and determined whether it was permissible.
3. A booster engaged in numerous impermissible contacts with a prospect and provided the prospect with \$4,000 of cash payments while the prospect was residing in the locale of the institution during the summer prior to initial enrollment.
  - The monitoring effort should have included rules education for the prospect and the institution should have formally monitored the prospect's presence in the locale of the institution.
  - The prospect's status as an elite prospect should have created a heightened sense of awareness and prompted the institution to increase vigilance and closer monitoring.
4. An international student-athlete, who was a nonqualifer, received cash from the director of basketball operations in order to satisfy financial burdens.
  - The Committee on Infractions noted that when an international student-athlete is also a nonqualifer, who is ineligible to receive athletically related financial aid,

the institution has a greater responsibility to monitor the student-athlete in order to avoid potential rules violations.

- Although the director of basketball operations concealed the payments and left no “paper trail,” the institution does not avoid responsibility to monitor the situation since the institution had other information available to prompt an inquiry (e.g., nonqualifer, international student-athlete).

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Lee Workman
Agenda Item	III. B
Item Description	Strategic Plan Update
Action Requested	Committee review
Disposition	
Notes	

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Nick Floyd
Agenda Item	III. C
Item Description	Financial Report
Action Requested	Committee review
Disposition	
Notes	See accompanying information



## MEMORANDUM

TO: Board of Trustees Athletics Committee

FROM: Nick Floyd

DATE: September 5, 2013

RE: Athletics Financial Report

This report contains three documents for your review.

The first document provides a summary of the current year's operating budget (Fiscal Year 13-14). As you can see, this will be a very challenging budget year due to the two-year decrease in season football ticket revenue as noted on the attached Season Ticket Report of almost \$900,000, the inclusion of several one-time expenses associated with the administrative transition in the program, and absorbing additional costs relative to moving from Conference USA (C-USA) to the American Athletic Conference (AAC). As a result, it is necessary to utilize a significant portion of the department's cash reserve to properly fund this year's budget as reflected on the report.

The third document, detailing a five-year budget projection, shows a better long term outlook. Based on current information, we expect to be in a position to rebuild the reserve balance over the next few years as we fully transition into the American Athletic Conference. Over the course of the current year, we will hopefully have more information about future conference contracts and operations that will enable us to refine these projections. With this in mind, we believe these figures are conservative at this time. It is also important to note that the entry fees into the AAC have been accounted for in the five-year plan; however, the exit fees from Conference USA have yet to be determined. Once this number is finalized it will be included as well.

In summary, while the fiscal environment remains extremely challenging, we believe going forward that the program is in the best position in our history to support our student athletes, compete and win championships, and represent the University in a manner that brings distinction to the institution.

As always, thank you for your support of Pirate Athletics, and please let us know if you would like to discuss this report in greater detail.



## DEPARTMENT OF ATHLETICS

365 WARD SPORTS MEDICINE BUILDING • MAIL STOP 158 • EAST CAROLINA UNIVERSITY  
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# EAST CAROLINA UNIVERSITY ATHLETIC FUND

## ATHLETIC BUDGET FY 2013 - 14

<u>REVENUE</u>	<b>Budgeted 7/1/2013</b>
Football Revenue (tickets,game guarantees)	\$ 6,650,000
Other Sports Revenue	950,000
Marketing & Promotions	1,670,000
Pirate Club Pledge - Scholarships	5,075,000
Student Fees	12,812,500
Gifts In Kind Accrual (Courtesy Cars, Nike, Athletic Clubs)	466,300
NCAA / C-USA Distributions	2,230,000
Transfers & Restricted Funds	500,000
Other (Licensing, Concessions, Processing Fees, Parking, Non Athletic Events & Miscellaneous)	<u>1,175,000</u>
Sub-Total	\$ 31,528,800
Reserve Cash (Fund Balance) to balance budget	\$ <u>1,742,950</u>
Total	\$ <u>33,271,750</u>
<u>EXPENDITURES</u>	<b>Budgeted 7/1/2013</b>
Football	\$ 7,821,600
Other Men's Sports	5,155,750
Women's Sports	6,333,650
Administration/Other Support Areas	11,646,750
Conference Championship Travel	483,300
Game Operations	1,461,100
Repairs / Replacements	<u>369,600</u>
Total	\$ <u>33,271,750</u>
<b>Net Operating Income / (Loss)</b>	<b>\$ (1,742,950)</b>
<b>Beginning Fund Balance - July 1, 2013</b>	<b>2,157,785</b>
<b>Projected Ending Fund Balance - June 30, 2014</b>	<b>\$ <u><u>414,835</u></u></b>

**EAST CAROLINA UNIVERSITY ATHLETIC FUND  
PROJECTED BUDGET FY 14 - 18**

as of : 8 - 06 - 13

<u>OPERATING REVENUE</u>	<u>Budgeted FY 13 - 14</u>	<u>Projected FY 14 - 15</u>	<u>Projected FY 15 - 16</u>	<u>Projected FY 16 - 17</u>	<u>Projected FY 17 - 18</u>
Football	\$ 6,650,000	7,650,000 *	6,750,000	7,500,000 *	6,950,000
Other Sports	950,000	975,000	1,000,000	1,025,000	1,050,000
Pirate Club Pledge - Scholarships	5,075,000	5,445,000	5,645,000	5,845,000	6,045,000
Student Fees	12,812,500	13,412,500	13,925,000	14,437,500	14,950,000
NCAA / C-USA / AAC	2,230,000	3,850,000	4,100,000	4,100,000	4,100,000
Other	3,811,300	3,330,958	3,476,731	3,623,650	3,771,741
<b>Total Operating Revenue</b>	<b>\$ 31,528,800</b>	<b>34,663,458</b>	<b>34,896,731</b>	<b>36,531,150</b>	<b>36,866,741</b>
<u>OPERATING EXPENDITURES</u>	\$				
Football	7,821,600	8,078,032	8,339,593	8,606,384	8,878,512
Other Men's Sports	5,155,750	5,288,865	5,424,642	5,533,135	5,643,798
Women's Sports	6,333,650	6,469,323	6,607,709	6,748,864	6,883,841
Administration/Other Support Areas	13,960,750	13,881,775	14,015,871	14,295,488	14,585,023
<b>Total Operating Expenditures</b>	<b>\$ 33,271,750</b>	<b>33,717,995</b>	<b>34,387,815</b>	<b>35,183,871</b>	<b>35,991,174</b>
<b>Net Operating Revenue</b>	<b>(1,742,950)</b>	<b>945,463</b>	<b>508,916</b>	<b>1,347,279</b>	<b>875,567</b>
Beginning Fund Balance	\$ 2,157,785 ##	414,835	1,360,298	1,869,214	3,216,493
Ending Fund Balance	<u>414,835</u>	<u>1,360,298</u>	<u>1,869,214</u>	<u>3,216,493</u>	<u>4,092,060</u>

## Reflects actual Fund Balance at June 30, 2013 per Financial Services at 8/06/13.

\* Reflects increased guarantee from revised South Carolina game contract.

FY 15,16,17,18 - Student Fee Recalculation - add \$ 600,000.

FY 16,17,18 - \$25 annual Student Fee increase.

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jeff Compher
Agenda Item	III. D. 1.
Item Description	Athletics Update - Facilities
Action Requested	Committee review
Disposition	
Notes	



East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jeff Compher
Agenda Item	III. D. 2.
Item Description	Athletics Update - Academics
Action Requested	Committee review
Disposition	
Notes	See accompanying information

## **Student Development Highlights for 2012-13**

As of 09/03/13

### **2012 NCAA Graduation Rates**

	All Students	Student-Athletes
Federal Four Class Average	57%	56%
NCAA Student-Athlete Graduation Success Rate Four-Class Average		74%

The first percentage is the federal graduation rate and does not take into account those students who leave ECU in good academic standing and who possibly graduate elsewhere. The last percentage, the Graduation Success Rate (GSR), takes those students into account.

### **Academics – Fall Semester 2012**

The cumulative GPA for all student-athletes at the end of fall 2012 was 2.92. The cumulative GPA for all full-time undergraduates at the end of fall 2012 was 2.91.

#### **Cumulative GPA at the end of Fall 2012**

Student-Athletes = 2.92

All Full-Time Undergraduates = 2.91

The freshmen student-athlete semester average for fall 2012 was 2.86. The freshmen semester average for all freshmen students at ECU was 2.70.

#### **Fall 2012 Freshmen Semester Average GPA**

Freshmen Student-Athletes = 2.86

All Full-Time Freshmen = 2.70

During the fall 2012 semester, 51% of student-athletes earned a 3.00 or better GPA.

## **Academics – Spring Semester 2013**

The cumulative GPA for all student-athletes at the end of spring 2013 was 2.95. The cumulative GPA for all full-time undergraduates at the end of spring 2013 was 2.94.

### **Cumulative GPA at the end of Spring 2013**

Student-athletes = 2.95

All Full-Time Undergraduates = 2.94

The freshmen student-athlete semester average for spring 2013 was 2.96. The freshmen semester average for all freshmen students at ECU was 2.76.

### **Spring 2013 Freshmen Semester Average GPA**

Freshmen Student-Athletes = 2.96

All Full-Time Freshmen = 2.76

During the spring 2013 semester, 54% of student-athletes earned a 3.00 or better GPA.

## **Community Service**

2012-13 Goal = 7,100 hrs.

2012-13 Total = 7,423 hrs.

The student-athletes have continued their commitment to community service for the 2012-13 academic year with a 92.9% participation rate. Agencies benefiting from their service this year include The Food Bank of Central and Eastern NC, Boys and Girls Club of Pitt County, Pitt County Schools, Humane Society, Salvation Army, American Red Cross, Leo Jenkins Cancer Center, Special Olympics, and Greenville Recreation and Parks.

## **2012-13 C-USA Academic Recognitions**

### **C-USA Sport Academic Award**

- Given to the team in each C-USA sport with the highest GPA for the current academic year.
  - Women's Basketball – 3.39
  - Women's Swimming – 3.52

### **C-USA Scholar-Athlete of the Year Award**

- Presented to the top student-athlete of each conference-sponsored sport and based on academic and athletics achievement as well as service.
  - Fanny Wolte – Women's Golf
  - Jill Jelnick – Softball

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jeff Compher
Agenda Item	III. D. 3.
Item Description	Athletics Update – Human Resources
Action Requested	Committee review
Disposition	
Notes	

East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jeff Compher
Agenda Item	III. D. 4.
Item Description	Athletics Update – Points of Distinction
Action Requested	Committee review
Disposition	
Notes	See accompanying information

# EAST CAROLINA ATHLETICS

## *2013-2014 Informational Recaps – Early Fall Update*

### FOOTBALL

- Five players earned preseason selection to national award watch lists – WR Justin Hardy (Biletnikoff Award), OLB Derrell Johnson (Butkus Award), PK Warren Harvey (Lou Groza Award), OL Will Simmons (Outland Award and P Trent Tignor (Ray Guy Award).
- Fourth-year head coach Ruffin McNeill has led his Pirates to a 2-0 start in 2013, opening the season with a 52-38 win against Old Dominion on Aug. 31 before following with a 31-13 Conference USA victory over Florida Atlantic on Sept. 5.
- Junior QB Shane Carden earned his fourth career C-USA Player-of-the-Week honor for his play against ODU, establishing new school single-game records for passing yards (447), completions (46) and TD throws (5).
- Junior WR Justin Hardy set a new personal best with 191 reception yards and matched a career-high with 16 catches vs. the Monarchs.
- WR Davon Grayson set a school record for most TD receptions in a game by a true freshman when he grabbed three vs. Old Dominion. He added his fourth of the young season five days later vs. Florida Atlantic.
- The win vs. FAU marked ECU's sixth-straight C-USA home triumph.
- After hosting Virginia Tech on Sept. 14 to close out a season-opening three-game homestand, the Pirates won't return to Dowdy-Ficklen Stadium until Oct. 19 – a month-plus timespan that includes three road contests and an open week. ECU also has another off weekend on Oct. 26.

### BASEBALL

- Junior right-handed pitcher Jeff Hoffman was named to the Cape Cod All-Star squad and won the 2013 Robert A. McNeece Award winner for Outstanding Pro Prospect. He was also named Top Prospect by *Perfect Game* and listed as the No. 2 Prospect for the 2014 MLB Draft.
- Junior right-handed pitcher Tyler Bolton was named Coastal Plain League Top Prospect by *Perfect Game*.
- Sophomore outfielder Garrett Brooks helped the Peninsula Pilots to the Coastal Plain League Title.
- Bolton and junior right-handed pitcher Dylan Dickens were named to 2013 Coastal Plain League All-Star squad with Bolton starting for the East Division. Both were also named to the post-season All-Star Team (first-teamers).

### BASKETBALL (MEN)

- The Pirates added a trio of Division I transfers: Keith Armstrong (Robert Morris), Michel Nzege (Winston-Salem State) and Terry Whisnant (Florida State). Nzege has three years of eligibility remaining, while Armstrong and Whisnant will have two each.
- ECU will make its first-ever appearance in the NIT Tip-Off (formerly known as the Preseason NIT). The Pirates will play Norfolk State on Nov. 18 and then face either Duke or UNC Asheville the following night. Both games will be played at Duke's Cameron Indoor Stadium.
- Mike Netti was hired as an assistant coach following the departure of Tim Craft, who was named head coach at Gardner-Webb University.
- The Pirates' 2013-14 schedule includes 17 games inside Williams Arena at Minges Coliseum, featuring matchups against UNC Wilmington, Old Dominion, Southern Miss, UTEP and Charlotte.
- ECU has 10 returnees on this season's roster along with four newcomers and three transfers sitting out the season in compliance with NCAA rules.

### BASKETBALL (WOMEN)

- Head coach Heather Macy added assistant coach John Marcum to her staff in July.
- Ariana Jackson was selected as Conference USA Winter Spirit of Service Honoree for her work both on and off the court.
- The Pirates had 11 of 14 eligible student-athletes named to the Athletic Director's Honor roll last spring after having earned a GPA of 3.0 or higher during the fall semester.
- Four women's basketball student-athletes (Tatiana Chapple, Ariana Jackson, Katie Paschal and Kyani White) were presented with the Academic Excellence Award – an honor given to upperclassmen student-athletes with a GPA of 3.5 or higher.
- The Pirates signed J'Kyra Brown, a freshman guard out of Rocky Mount High School, Kia Jones, a junior transfer from Walters State, Shae Nelson, a junior transfer from Ole Miss, Jenka Stiasna, a freshman from Slovakia, and Carly Vendemia, a graduate student who transferred from Duquesne.
- Celeste Stewart was named to the North Carolina Collegiate Sports Information Association (NCCSIA) All-State Women's Basketball First Team after leading ECU to a 22-10 overall record last season and posting a team-best 132 assists to average 4.1 per game.

- The women's basketball team earned the Conference USA Sport Academic Award after posting a cumulative team GPA of 3.396 for the 2012-13 school year to go along with a 967 Academic Progress Rate.

### **CROSS COUNTRY**

- Newcomer John Crossley was named to the All-USA Boys Track & Field Team by *USA Today*.
- The Pirates' women's team placed third, while the men finished fourth at the season-opening Covered Bridge Invitational in Boone, N.C., on Aug. 30.
- The women's team had four top-20 finishers, while the men were led by redshirt junior Cory Hampshire (eighth overall).

### **GOLF (MEN)**

- Junior Eric Brady was named to the Conference USA Men's Golf All-Academic Team and was also named a Cleveland Golf/Srixon All-America Scholar.
- The Pirates were one of 79 Division I squads named an All-Academic Team announced the Golf Coaches Association of America (GCAA). Rice was the only other team in Conference USA to receive this distinction.
- The 2013-14 roster features seniors Eric Brady and Ryan Eibner with five returning sophomores. There are no juniors on the roster.
- ECU has four new players joining the team this fall: Clay Brown (Davidson, N.C.), Wes Conover (Holly Springs, N.C.), Ryan Douglass (Leesburg, Va.) and Jackson Spires (Fayetteville, Va.).
- The Pirates will be hosting their first tournament in Greenville since 2009 this fall. The Pirate Intercollegiate will be held Oct. 28-29 at Brook Valley Country Club.

### **GOLF (WOMEN)**

- Made sixth-straight NCAA Regional appearance to close out last spring while Frida Gustafsson Spang became the first golfer in C-USA history to be named Player and Freshman-of-the-Year (same season).
- Fanny Wolte was a repeat selection on the All-Conference USA Academic Team.
- Wolte and Katie Kirk earned academic recognition from National Golf Coaches Association (NGCA). For Wolte, it marked her third-straight honor, while Kirk was a repeat selection.
- Spang was named "One of the Top 50 Players" by *Golf World* heading into her sophomore season.

### **SOCCER**

- Redshirt junior midfielder Lexi Miller was named to the Conference USA Preseason Soccer Watch List.
- The Pirates are off to a 2-1-1 start this fall, which includes wins over Davidson and Western Carolina.
- Head Coach Rob Donnenwirth earned his 200th career victory in the Pirates' 2-0 season-opening shutout win at Davidson on Aug. 23.
- Miller recorded her third-career game-winning goal in double overtime, lifting ECU past Western Carolina 2-1 on Sept. 2 (final game of the ECU Soccer Tournament).
- Miller, junior Stephanie Seagrave and freshman Caitlin Hite were named to the All-ECU Soccer Tournament team.

### **SOFTBALL**

- At the conclusion of her junior season in 2013, Jill Jelnick was named among 12 Conference USA student-athletes to receive the C-USA Spring Spirit of Service award.
- The East Carolina softball program will host a one-day fall camp Sunday, September 8 at ECU Softball Stadium.

### **SWIMMING AND DIVING - done**

- The women's team earned the Conference USA Academic Award for the second-straight year, carrying a GPA of 3.520.
- The College Swimming Coaches Association of America (CSCAA) recognized both the men's and women's swimming and diving squad as Scholar All-American Team(s). The women posted the highest GPA (3.62) among all Division I teams during the 2013 spring semester.
- Attila Kiraly, Bailie Monahan and Daniel Woods were each named Honorable Mention Scholar All-Americans.
- The Pirates received commitments from 17 newcomers for the 2013-14 season.
- ECU will host five dual meets in 2013-14 with the first being held Oct. 26 against South Carolina.

## **TENNIS (MEN)**

- Junior Joran Vliegen became the first player in school history to be ranked in the final ITA (Intercollegiate Tennis Association) ratings to close out the spring, finishing No. 125.
- In addition, Vliegen and freshman Colin Roller became the first players in ECU history to participate in the NCAA Championships. The duo competed in the NCAA Doubles Championships, losing to the 10<sup>th</sup>-ranked tandem from Georgia Tech in the opening round. Vliegen and Roller compiled a 17-1 doubles record, playing all 18 matches at the No. 1 position.
- ECU will host the annual Fall Shootout Sept. 20-22.

## **TENNIS (WOMEN)**

- Head coach Tom Morris' squad earned Intercollegiate Tennis Association (ITA) All-Academic Team honors, while junior Dana Gray and sophomore Nicole LaDuca garnered individual ITA accolades for their strong academic efforts during the 2012-13 school year.
- The Pirates welcomed six newcomers to their roster this fall - Michelle Castro (Oakton, Va./Bishop O'Connell), Emily Groeneveld (Ft. Lauderdale, Fla./Calvary Christian Academy), Samantha Light (Cramerton, N.C./Providence Day School), Maria Storozheva (Moscow, Russia/High School #1557), Scarlett Walston (Greenville, N.C./Heritage Academy) and Elizabeth Zurawel (Raleigh, N.C./North Raleigh Christian Academy)
- East Carolina has five fall (individual bracket play) tournaments on its 2013 schedule, beginning with the Davidson Invitational Sept. 7-8. The Pirates also will compete in the Charlotte Invitational (Oct. 12-13), the ITA Regionals (Oct. 18-21), the Appalachian State Invitational (Oct. 26-27) and the ECU Invitational (Nov. 2-3).

## **TRACK**

- Cameron Hudson finished the spring outdoor season by collecting First Team All-America accolades in the men's long jump at the NCAA Division I Outdoor Track & Field Championships after placing fourth with a career-best and ECU school-record tying mark of 7.86m (25-09.50)
- Cameron Hudson represented the ECU men's track and field team at the 2013 USA Junior Outdoor Track & Field Championships in the long jump where he placed fourth with a mark of 6.91m (22-08.00)
- Lauren Lubarski and Kayla Padgett each posted a Top-25 finish at the NCAA Division I Outdoor Track & Field Championships. Lubarski earned a 17th place finish in the javelin (45.37m, 148-10.00) and Padgett placed 24th in the hammer throw (52.44m, 172-00.00)
- Henry Johnson was named to the 2013 Conference USA Track & Field All-Academic Team after posting a cumulative GPA of 3.82 as a management major
- ECU set 10 school records in the men's 4x800-meter relay and decathlon as well as the women's 100-meter dash, 100-meter hurdles, shuttle hurdle relay, pole vault, shot put, discus, javelin and heptathlon.
- Head coach Curt Kraft signed 23 newcomers for the 2013-14 season.

## **VOLLEYBALL**

- First-year head coach Julie Torbett signed four incoming freshman for the 2013 season in Bella Borgiotti, Dayna Kramer, Ashley McRae, and Halee Wright.
- The Pirates opened the 2013 campaign at the Wake Forest Deacon Invitational where they dropped three 0-3 sets to host Wake Forest, UNC Greensboro and East Tennessee State.
- Through three matches this season, senior opposite hitter Nicole Willis leads the team with 31 kills to average 3.44 per set and seven total blocks.
- Defensively, libero Shelby Beasley leads the Pirates with 27 digs to average 3.00 per set. She was named to the Wake Forest Deacon Invitational All-Tournament Team.
- Junior Zarah Cecich and freshman Bella Borgiotti are sharing the setter duties. In the first three matches, the duo has combined for 72 assists.
- The Pirates will host the East Carolina Classic September 6-7 at Minges Coliseum. The four-team tournament features ECU, The Citadel, Morgan State and Loyola Chicago.



East Carolina University  
Board of Trustees  
September 19, 2013

Session	Athletics
Responsible Person	Jeff Compher
Agenda Item	IV. Closed Session
Item Description	
Action Requested	
Disposition	
Notes	

**ECU Board of Trustees Athletics and Advancement Committee**

**September 19, 2013**

**CLOSED SESSION MOTION**

**I move that we go into Closed Session:**

- 1. to prevent the disclosure of confidential information under N.C. General Statutes §126-22 to §126-30 (personnel information);**
- 2. to consider the qualifications, competence, performance, character, fitness, or conditions of appointment of one or more prospective and/or current employees and/or to hear or investigate a complaint, charge, or grievance by or against one or more individual employees;**
- 3. to establish or provide instructions regarding the amount of compensation and/or other material terms of an employment contract or proposed employment contract; and**
- 4. to consult with an attorney to preserve the attorney-client privilege.**